NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST JANUARY 2014

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Status	Due date
1	PAC Securities Limited	April	Active	17-Mar-14
2	Finmal Finance Service Limited	July	Active	17-Mar-14

INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Status	Due date
1	Zuma Securities Limited	July	Inactive	17-Mar-14

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 28TH FEBRUARY 2014

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Status	Due date
1	ARM Securities Limited	FEBRUARY	Active	14-Apr-14
2	Lambeth Trust & Investment Co. Ltd	FEBRUARY	Active	14-Apr-14
3	Chartwell Securities Limited	MAY	Active	14-Apr-14
4	The Bridge Securities Limited	AUGUST	Active	14-Apr-14
5	Equity Capital Solutions Limited	AUGUST	Active	14-Apr-14
6	Waila Securities & Funds Limited	February	Active	14-Apr-14

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST MARCH, 2014

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Pilot Securities Limited	DECEMBER	15-May-14
2	Royal Crest Finance Limited	DECEMBER	15-May-14
3	Excel Securities Limited	DECEMBER	15-May-14
4	Shalom Investment & Financial services limited	DECEMBER	15-May-14
5	Transworld Investment & Securities Ltd	SEPTEMBER	15-May-14
6	Magnartis Finace & Investment Limited	DECEMBER	15-May-14
7	Partnership Securities Limited	DECEMBER	15-May-14
8	Mercov Securities Limited	DECEMBER	15-May-14
9	Readings Investment Limited	DECEMBER	15-May-14
10	Tiddo Securities Limited	DECEMBER	15-May-14
11	Anchorage Securities & Finance Limited	DECEMBER	15-May-14
12	Trade Link Securities Ltd	DECEMBER	15-May-14
13	Springboard Trust & Investment Limited	DECEMBER	15-May-14
14	Imperial Assets Managers Limited	MARCH	15-May-14
15	Supra Commercial Trust Co. Ltd	DECEMBER	15-May-14
16	Traders Trust and Investment Company Ltd	DECEMBER	15-May-14
17	Atlass Portfolio Limited	DECEMBER	15-May-14
18	BGL Securities Limited	DECEMBER	15-May-14
19	Meristem Securities Ltd	DECEMBER	15-May-14
20	Kedari Securities Limited	DECEMBER	15-May-14
21	Perfecta Investment & Trust Limited	DECEMBER	15-May-14
22	Century Securities Limited	JUNE	15-May-14
23	TFS Securities & Investment Co. Ltd	DECEMBER	15-May-14
24	Fidelity Finance Company Limited	DECEMBER	15-May-14
25	FBC Trust & Securities Limited	DECEMBER	15-May-14
26	Stanwal Securities Limited	DECEMBER	15-May-14
27	Arthur Steven Asset Management Ltd	DECEMBER	15-May-14
28	Yuderb Investment & Securities Ltd	DECEMBER	15-May-14
29	Emi Capital Resources Ltd	DECEMBER	15-May-14
30	Century Securities Limited	JUNE	15-May-14
31	Maven Asset Mgt Ltd	DECEMBER	15-May-14
32	Securities and Capital Management Company Ltd	DECEMBER	15-May-14
33	Milestone Capital Management Ltd	DECEMBER	15-May-14
34	FCSL Assets Mgt Coy Ltd	DECEMBER	15-May-14
35	DBSL Securities Limited	MARCH	15-May-14
36	Global View Consult & Investment Limited	DECEMBER	15-May-14

37	Cashcraft Asset Management Limited	DECEMBER	15-May-14
38	Deep Trust & Investment Limited	DECEMBER	15-May-14
39	Fidelity Securities Limited	DECEMBER	15-May-14
40	FSDH Securities Limited	DECEMBER	15-May-14
41	Santrust Securities Limited	MARCH	15-May-14
42	Tower Assets Management Ltd	DECEMBER	15-May-14
43	Belfry Investment & Securities Ltd	JUNE	15-May-14
44	UNEX Capital Ltd	DECEMBER	15-May-14
45	Summa Guaranty & Trust Company Ltd	DECEMBER	15-May-14
46	Networth Securities & Finance Ltd	DECEMBER	15-May-14
48	Standard Union Securities Ltd	DECEMBER	15-May-14
49	Delords Securities Limited	DECEMBER	15-May-14
50	Rainbow Securities Limited	DECEMBER	15-May-14
51	Fortress Capital Limited	DECEMBER	15-May-14
52	Vision Trust & Investment Limited	JUNE	15-May-14
53	Falcon Securities Limited	DECEMBER	15-May-14
54	Stanbic IBTC Stockbrokers	DECEMBER	15-May-14
55	Reward Investment & Services Ltd	DECEMBER	15-May-14
56	Kinley Finance Service Limited	DECEMBER	15-May-14
57	Gidauniya Investment & Sec Ltd	DECEMBER	15-May-14
58	Apel Asset & Trust Limited	DECEMBER	15-May-14
59	MC Finerco Investment	DECEMBER	15-May-14
60	Mainland Trust Limitd	DECEMBER	15-May-14
61	Kofana Securities Limited	DECEMBER	15-May-14
62	Capital Trust Brokers Limited	DECEMBER	15-May-14
63	Rostrum Investment and Securities Ltd	DECEMBER	15-May-14
64	Transafrica Securities Limited	DECEMBER	15-May-14
65	Kapital Care Trust & Securities Limited	MARCH	15-May-14
66	First Integrated Capital management Ltd	DECEMBER	15-May-14
67	Future view Securities Limited	DECEMBER	15-May-14
68	International Standard Securities Limited	DECEMBER	15-May-14
69	Securities Africa Financials Ltd	DECEMBER	15-May-14
70	Golden Securities Limited	DECEMBER	15-May-14
71	GMT Securities & Asset Mgt Limited	DECEMBER	15-May-14
72	Capital Express Securities Limited	DECEMBER	15-May-14
73	Global Asset Management (Nig) Ltd	DECEMBER	15-May-14
74	Pivot Trust & Investment Co. Limited	DECEMBER	15-May-14
75	Investors & Trust Company Limited	DECEMBER	15-May-14
76	Union Capital Markets Limited	DECEMBER	15-May-14
77	Nigerian International Securities Ltd	DECEMBER	15-May-14
78	City Code Trust & Invest Company Ltd	DECEMBER	15-May-14
79	Morgan Capital Securities Ltd	DECEMBER	15-May-14
80	Mountain Investment & Securities Ltd	DECEMBER	15-May-14
81	Adonai Stockbrokers Limited	DECEMBER	15-May-14
82	Regency Assets Management Ltd	MARCH	15-May-14

83	Royal Guaranty & Trust Limited	DECEMBER	15-May-14
84	Express Portfolio Services Limited	DECEMBER	15-May-14
85	UIDC Securities Limited	DECEMBER	15-May-14
86	Trust Yields Securities Limited	DECEMBER	15-May-14
87	Molten Trust Limited	MARCH	15-May-14
88	Cradle Trust Finance & Securities Ltd	DECEMBER	15-May-14
89	Resano Securities Limited	DECEMBER	15-May-14
90	Icon Stockbrokers Limited	DECEMBER	15-May-14
91	Pinefields Investment Services Limited	MARCH	15-May-14
92	Strategy And Arbitrage Limited	DECEMBER	15-May-14
93	Network capital Limited	DECEMBER	15-May-14
94	Emerging Capital Limited	DECEMBER	15-May-14
95	Horizon Stockbrokers Ltd	SEPTEMBER	15-May-14
96	Zenith Securities Limited	DECEMBER	15-May-14
97	Signet Investment & Securities Ltd	DECEMBER	15-May-14
98	Dominion Trust Limited	DECEMBER	15-May-14
99	Nova Finance & Securities Limited	DECEMBER	15-May-14
100	Cashville Investment & Securities Ltd	DECEMBER	15-May-14
101	Crossworld Securities Ltd	DECEMBER	15-May-14
102	Newdevco Invests & Sec. Co. Ltd	DECEMBER	15-May-14
103	African Alliance Stockbrokers Ltd	DECEMBER	15-May-14
104	Aims Asset Management Limited	DECEMBER	15-May-14
105	Alangrange Securities Ltd	DECEMBER	15-May-14
106	Altrade Securities Limited	DECEMBER	15-May-14
107	Amyn Investment Limited	DECEMBER	15-May-14
108	Anchoria Investment & Securities Limited	DECEMBER	15-May-14
109	FBNQuest Securities Limited	DECEMBER	15-May-14
110	Associated Asset Managers Limited	DECEMBER	15-May-14
111	Forte Financial Limited	DECEMBER	15-May-14
112	MBC Securities Limited	DECEMBER	15-May-14
113	Mega Equities Ltd	DECEMBER	15-May-14
114	Bestlink Investment Limited	DECEMBER	15-May-14
115	Bestworth Assets & Trust Limited	DECEMBER	15-May-14
116	Bytofel Trust & Securities Limited	DECEMBER	15-May-14
117	Cadington Securities Limited	DECEMBER	15-May-14
118	Calyx Securities Limited	DECEMBER	15-May-14
119	Camry Securities Limited	DECEMBER	15-May-14
120	Capital Bancorp Limited	DECEMBER	15-May-14
121	CDL Capital Markets Ltd	DECEMBER	15-May-14
122	Chapel Hill Denham Securities Ltd	DECEMBER	15-May-14
123	Compass Investments & Sec. Ltd	DECEMBER	15-May-14
124	Cordros Capital Limited	DECEMBER	15-May-14
125	Core Trust & Investment Limited	DECEMBER	15-May-14
126	Covenant Securities & Investment Management	DECEMBER	15-May-14
127	Centre Point Investment Limited	DECEMBER	15-May-14
128	Cowry Asset management	DECEMBER	15-May-14
129	Crane Securities Limited	DECEMBER	15-May-14
130	Crown Capital Limited	DECEMBER	15-May-14

131	CSL Stockbrokers Ltd	DECEMBER	15-May-14
132	Dependable Securities Limited	DECEMBER	15-May-14
133	Diamond Securities Limited	DECEMBER	15-May-14
134	DSU Brokerage Services Ltimited	DECEMBER	15-May-14
135	Dunbell Securities Limited	DECEMBER	15-May-14
136	Dunn Loren Merrifield Securities Ltd	DECEMBER	15-May-14
137	ECL Asset Management Limited	DECEMBER	15-May-14
138	EDC Securities Limited	DECEMBER	15-May-14
139	Enterprise Stockbrokers Limited	DECEMBER	15-May-14
140	Eurocomm Securities Limited	DECEMBER	15-May-14
141	Express Discount Asset Mgt Ltd	DECEMBER	15-May-14
142	FBN Securities limited	DECEMBER	15-May-14
143	Financial Trust Company Limited	DECEMBER	15-May-14
144	Finbank Securities & Assets Management Limited	DECEMBER	15-May-14
145	First Stockbrokers Limited	DECEMBER	15-May-14
146	FIS Securities Limited	DECEMBER	15-May-14
147	Gem Assets Management Ltd	DECEMBER	15-May-14
148	Greenwich Securities Limited	DECEMBER	15-May-14
149	GTI Capital Limited	DECEMBER	15-May-14
150	Harmony Investment & Securities Limited	DECEMBER	15-May-14
151	Heartbeat Investment Limited	DECEMBER	15-May-14
152	Hedge Securities & Investment Ltd	DECEMBER	15-May-14
153	Heritage Capital Markets Limited	DECEMBER	15-May-14
154	IMTL Securities Ltd	DECEMBER	15-May-14
155	Independent Securities Limited	DECEMBER	15-May-14
156	Integrated Trust & Investments Limited	DECEMBER	15-May-14
157	Interstate Securities Limited	DECEMBER	15-May-14
158	Investment Centre Limited	DECEMBER	15-May-14
159	Investment One Stockbrokers Int'l Ltd	DECEMBER	15-May-14
160	Investment Shark & Asset Management Limited	DECEMBER	15-May-14
161	Kundila Finance Services Limited	DECEMBER	15-May-14
162	Lead Securities & Invests Ltd	DECEMBER	15-May-14
163	Lighthouse Asset Management Limited	DECEMBER	15-May-14
164	Longterm Global Capital Ltd.	DECEMBER	15-May-14
165	Marina Securities Stockbroking Services Limited	DECEMBER	15-May-14
166	Marriot Securities Limited	DECEMBER	15-May-14
167	Maxifund Investment & Securities Plc	DECEMBER	15-May-14
168	MBL Financial Services Limited	DECEMBER	15-May-14
169	Merit Securities Ltd	DECEMBER	15-May-14
170	Midas Stockbrokers Limited	DECEMBER	15-May-14
171	Mutual Alliance Invest & Securities Ltd	DECEMBER	15-May-14
172	Nigerian Stockbrokers Limited	DECEMBER	15-May-14
173	Peace Capital Market Limited	DECEMBER	15-May-14
174	Phronesis Securities Limited	DECEMBER	15-May-14
175	PIPC Securities Limited	DECEMBER	15-May-14
176	Portfolio Advisers Limited	DECEMBER	15-May-14
177	Primera Africa Securities Limited	DECEMBER	15-May-14
178	Primewealth Capital Limited	DECEMBER	15-May-14
179	Prominent Securities Limited	DECEMBER	15-May-14
180	PSI Securities Limited	DECEMBER	15-May-14
181	Pyramid Securities Limited	DECEMBER	15-May-14

182	Quantum Securities Limited	DECEMBER	15-May-14
183	Redasel Investment Ltd	DECEMBER	15-May-14
184	Rencap Securities (Nig) Limited	DECEMBER	15-May-14
185	Rowet Capital Mgt Limited	DECEMBER	15-May-14
186	Royal Trust Securities Limited	DECEMBER	15-May-14
187	SFC Securities Limited	DECEMBER	15-May-14
188	Sigma Securities Ltd	DECEMBER	15-May-14
189	Skyview Capital	DECEMBER	15-May-14
190	Spring Trust & Securities Limited	DECEMBER	15-May-14
191	Standard Alliance Capital & Asset Limited	DECEMBER	15-May-14
192	Topmost Sec Ltd	DECEMBER	15-May-14
193	Tower Securities & Invest Co. Ltd	DECEMBER	15-May-14
194	Trusthouse Investment Limited	DECEMBER	15-May-14
195	TRW Stockbrokers Limited	DECEMBER	15-May-14
196	UBA Stockbrokers Limited	DECEMBER	15-May-14
197	Valmon Securities Limited	DECEMBER	15-May-14
198	Valueline Securities & Investments Limited	DECEMBER	15-May-14
199	Woodland Capital Markets Plc	DECEMBER	15-May-14
200	WSTC Financial Services Limited	DECEMBER	15-May-14
201	Yobe Investment & Securities Limited	DECEMBER	15-May-14
202	Allbond Investment Limited	DECEMBER	15-May-14
203	Capital Assets Limited	DECEMBER	15-May-14
204	Foresight Securities Limited	DECEMBER	15-May-14
205	Securities SWAPS Limited	DECEMBER	15-May-14
206	Afrinvest West Africa Limited	DECEMBER	15-May-14
207	Citi Investment Capital Ltd	DECEMBER	15-May-14
208	Cardinal Stone Securities Limited	DECEMBER	15-May-14
209	Dynamic Portfolio Limited	DECEMBER	15-May-14
210	Forthright Securities & Investment Limited	DECEMBER	15-May-14
211	ICMG Securities Limited	DECEMBER	15-May-14
212	Mission Securities Limited	DECEMBER	15-May-14
213	Solid Rock Securities & Investment Plc	DECEMBER	15-May-14
214	Tomil Trust Limited	DECEMBER	15-May-14
215	Vetiva Securities Limited	DECEMBER	15-May-14
216	Clearview Investment & Securities Ltd	DECEMBER	15-May-14
217	PML Securities Company Ltd	DECEMBER	15-May-14
218	Resort Securities Ltd	DECEMBER	15-May-14
219	Adamawa Securities Limited	DECEMBER	15-May-14
220	Funds Matrix & Assets management ltd	DECEMBER	15-May-14
221	Shelong Investment Limited	DECEMBER	15-May-14
222	Mayfield Investment Limited	DECEMBER	15-May-14
223	Options Securities Limited	DECEMBER	15-May-14
224	Marimpex Finance & Investment Company Limited	DECEMBER	15-May-14
225	Dakal Services Limited	DECEMBER	15-May-14

S/N	**FIRMS**	**Year End**	**Due date**
1	Mainstreet Securities Limited	DECEMBER	15-May-14
2	ITIS Securities Limited	DECEMBER	15-May-14
3	Northbridge Invest & Stockbrokers Ltd	DECEMBER	15-May-14
4	LB Securities Limited	DECEMBER	15-May-14

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due date**
1	Arian Capital Management Limited	DECEMBER	15-May-14
2	Davandy Finance Limited	DECEMBER	15-May-14
3	Empire Securities Limited	DECEMBER	15-May-14
4	First Alstate Securities Limited	DECEMBER	15-May-14
5	Fittco Securities Limited	DECEMBER	15-May-14
6	Mact Securities Limited	DECEMBER	15-May-14
7	Midpoint Capital Limited	DECEMBER	15-May-14
8	Omas Investment & Trust Co.Ltd	DECEMBER	15-May-14
9	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	15-May-14
10	Platinum Capital Limited	DECEMBER	15-May-14
11	Profund Securities Limited	DECEMBER	15-May-14
12	Securities Trading & Invest Co. Ltd	DECEMBER	15-May-14
13	Surport Services Limited	DECEMBER	15-May-14
14	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	15-May-14
15	WT Securities Limited	DECEMBER	15-May-14
16	Consolidated Investments Limited	JUNE	15-May-14
17	Lion Stockbrokers Limited	JUNE	15-May-14
18	Professional Stockbrokers Ltd	JUNE	15-May-14
19	Decanon Investment Limited	MARCH	15-May-14
20	Maninvest Asset Management Plc	MARCH	15-May-14
21	Monument Sec & Finance Ltd	MARCH	15-May-14
22	Prudential Securities Limited	MARCH	15-May-14
23	Securities Solutions Limited	MARCH	15-May-14
24	LMB Stockbrokers Limited	SEPTEMBER	15-May-14

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30TH APRIL, 2014

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Finmal Finance Services Limited	JULY	16-Jun-14
2	PAC Securities Limited	APRIL	16-Jun-14

INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due date
1	Zuma Securities Limited	JULY	16-Jun-14

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST MAY, 2014

	ACTIVE AND SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	Chartwell Securities Limited	JULY	15-Jul-14
2	ARM Securities Limited	FEBRUARY	15-Jul-14
3	Lambeth Trust & Investment Co. Ltd	FEBRUARY	15-Jul-14
4	Equity Capital Solutions Limited	AUGUST	15-Jul-14
5	The Bridge Securities Limited	AUGUST	15-Jul-14

INACTIVE AND NOT SUBMITTED			
S/N	**FIRMS**	**Year End**	**Due date**
1	Waila Securities & Funds Limited	FEBRUARY	15-Jul-14

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30TH JUNE, 2014

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Royal Crest Finance Limited	DECEMBER	14-Aug-14
2	Springboard Trust & Investment Limited	DECEMBER	14-Aug-14
3	Shalom Investment & Financial services limited	DECEMBER	14-Aug-14
4	Imperial Assets Managers Limited	MARCH	14-Aug-14
5	Chapel Hill Denham Securities Ltd	DECEMBER	14-Aug-14
6	Calyx Securities Limited	DECEMBER	14-Aug-14
7	Hedge Securities & Investment Ltd	DECEMBER	14-Aug-14
8	Rowet Capital Mgt Limited	DECEMBER	14-Aug-14
9	Adonai Stockbrokers Limited	DECEMBER	14-Aug-14
10	Signet Investment & Securities Ltd	DECEMBER	14-Aug-14
11	Covenant Securities & Investment Management	DECEMBER	14-Aug-14
12	Amyn Investment Limited	DECEMBER	14-Aug-14
13	Perfecta Investment & Trust Limited	DECEMBER	14-Aug-14
14	Crane Securities Limited	DECEMBER	14-Aug-14
15	Trusthouse Investment Limited	DECEMBER	14-Aug-14
16	Interstate Securities Limited	DECEMBER	14-Aug-14
17	Cradle Trust Finance & Securities Ltd	DECEMBER	14-Aug-14
18	Dominion Trust Limited	DECEMBER	14-Aug-14
19	Yuderb Investment & Securities Ltd	DECEMBER	14-Aug-14
20	SFC Securities Limited	DECEMBER	14-Aug-14
21	Pilot Securities Limited	DECEMBER	14-Aug-14
22	Dependable Securities Limited	DECEMBER	14-Aug-14
23	Arthur Steven Asset Management Ltd	DECEMBER	14-Aug-14
24	Smadac Securities Limited	DECEMBER	14-Aug-14
25	Networth Securities & Finance Ltd	DECEMBER	14-Aug-14
26	Transafrica Securities Limited	DECEMBER	14-Aug-14
27	FBC Trust & Securities Limited	DECEMBER	14-Aug-14
28	Lighthouse Asset Management Limited	DECEMBER	14-Aug-14
29	Valmon Securities Limited	DECEMBER	14-Aug-14
30	Dependable Securities Limited	DECEMBER	14-Aug-14
31	FSDH Securities Limited	DECEMBER	14-Aug-14
32	Global View Consult & Investment Limited	DECEMBER	14-Aug-14
33	Milestone Capital Management Ltd	DECEMBER	14-Aug-14
34	IMTL Securities Ltd	DECEMBER	14-Aug-14
35	TFS Securities & Investment Co. Ltd	DECEMBER	14-Aug-14
36	Fidelity Finance Company Limited	DECEMBER	14-Aug-14
37	Cashcraft Asset Management Limited	DECEMBER	14-Aug-14
38	DBSL Securities Limited	MARCH	14-Aug-14
39	Strategy And Arbitrage Limited	DECEMBER	14-Aug-14
40	Maven Asset Management Limited	DECEMBER	14-Aug-14
41	City Code Trust & Invest Company Ltd	DECEMBER	14-Aug-14

42	Capital Trust Brokers Limited	DECEMBER	14-Aug-14
43	Cadington Securities Limited	DECEMBER	14-Aug-14
44	Golden Securities Limited	DECEMBER	14-Aug-14
45	Century Securities Limited	DECEMBER	14-Aug-14
46	UNEX Capital Ltd	DECEMBER	14-Aug-14
48	Nova Finance & Securities Limited	DECEMBER	14-Aug-14
49	Kundila Finance Services Limited	DECEMBER	14-Aug-14
50	Falcon Securities Limited	DECEMBER	14-Aug-14
51	Partnership Securities Limited	DECEMBER	14-Aug-14
52	Anchorage Securities & Finance Limited	DECEMBER	14-Aug-14
53	Apel Asset & Trust Limited	DECEMBER	14-Aug-14
54	Readings Investment Limited	DECEMBER	14-Aug-14
55	Diamond Securities Limited	DECEMBER	14-Aug-14
56	Capital Express Securities Limited	DECEMBER	14-Aug-14
57	Kapital Care Trust & Securities Limited	MARCH	14-Aug-14
58	Rencap Securities (Nig) Limited	DECEMBER	14-Aug-14
59	Zenith Securities Limited	DECEMBER	14-Aug-14
60	Securities Africa Financials Ltd	DECEMBER	14-Aug-14
61	Royal Guaranty & Trust Limited	DECEMBER	14-Aug-14
62	Resano Securities Limited	DECEMBER	14-Aug-14
63	Longterm Global Capital Ltd.	DECEMBER	14-Aug-14
64	Capital Bancorp Limited	DECEMBER	14-Aug-14
65	FBN Securities limited	DECEMBER	14-Aug-14
66	Cordros Capital Limited	DECEMBER	14-Aug-14
67	Valueline Securities & Investments Limited	DECEMBER	14-Aug-14
68	WSTC Financial Services Limited	DECEMBER	14-Aug-14
69	Crossworld Securities Ltd	DECEMBER	14-Aug-14
70	Meristem Securities Ltd	JUNE	14-Aug-14
71	Finbank Securities & Assets Management Limited	DECEMBER	14-Aug-14
72	Mission Securities Limited	MARCH	14-Aug-14
73	FCSL Assets Mgt Coy Ltd	DECEMBER	14-Aug-14
74	International Standard Securities Limited	JUNE	14-Aug-14
75	Belfry Investment & Securities Ltd	JUNE	14-Aug-14
76	UBA Stockbrokers Limited	DECEMBER	14-Aug-14
77	Heartbeat Investment Limited	DECEMBER	14-Aug-14
78	DSU Brokerage Services Ltimited	DECEMBER	14-Aug-14
79	Securities and Capital Management Company Limited	DECEMBER	14-Aug-14
80	Woodland Capital Markets Plc	DECEMBER	14-Aug-14
81	De-Lords Securities Limited	DECEMBER	14-Aug-14
82	Reward Investment & Services Limited	DECEMBER	14-Aug-14
83	Fidelity Securities Limited	DECEMBER	14-Aug-14
84	GMT Securities & Asset Mgt Limited	DECEMBER	14-Aug-14
85	Network capital Limited	DECEMBER	14-Aug-14
86	PSI Securities Limited	DECEMBER	14-Aug-14
87	Adamawa Securities Limited	DECEMBER	14-Aug-14
88	African Alliance Stockbrokers Ltd	DECEMBER	14-Aug-14
89	Deep Trust & Investment Limited	DECEMBER	14-Aug-14
90	Express Discount Asset Mgt Ltd	DECEMBER	14-Aug-14
91	Express Portfolio Services Limited	DECEMBER	14-Aug-14
92	Cowry Securities Limited	DECEMBER	14-Aug-14
93	MC-Finerco Investment	DECEMBER	14-Aug-14

94	GTI Securities Limited	DECEMBER	14-Aug-14
95	Sigma Securities Limited	DECEMBER	14-Aug-14
96	CDL Capital Markets Ltd	DECEMBER	14-Aug-14
97	Global Asset Management (Nig) Ltd	DECEMBER	14-Aug-14
98	Greenwich Securities Limited	DECEMBER	14-Aug-14
99	Alangrange Securities Ltd	DECEMBER	14-Aug-14
100	Altrade Securities Limited	DECEMBER	14-Aug-14
101	Anchoria Investment & Securities Limited	DECEMBER	14-Aug-14
102	APT Securities & Funds Limited	DECEMBER	14-Aug-14
103	Associated Asset Managers Limited	DECEMBER	14-Aug-14
104	Atlass Portfolio Limited	DECEMBER	14-Aug-14
105	Bestlink Investment Limited	DECEMBER	14-Aug-14
106	Bestworth Assets & Trust Limited	DECEMBER	14-Aug-14
107	BGL Securities Limited	DECEMBER	14-Aug-14
108	Camry Securities Limited	DECEMBER	14-Aug-14
109	FBNQuest Securities Limited	DECEMBER	14-Aug-14
110	Compass Investments & Sec. Ltd	DECEMBER	14-Aug-14
111	Marimpex Finance & Investment Company Limited	DECEMBER	14-Aug-14
112	Marina Securities Stockbroking Services Limited	DECEMBER	14-Aug-14
113	Marriot Securities Limited	DECEMBER	14-Aug-14
114	Crown Capital Limited	DECEMBER	14-Aug-14
115	CSL Stockbrokers Ltd	DECEMBER	14-Aug-14
116	Dunbell Securities Limited	DECEMBER	14-Aug-14
117	Dunn Loren Merrifield Securities Ltd	DECEMBER	14-Aug-14
118	ECL Asset Management Limited	DECEMBER	14-Aug-14
119	EDC Securities Limited	DECEMBER	14-Aug-14
120	Elixir Securities Ltd	DECEMBER	14-Aug-14
121	Emerging Capital Limited	DECEMBER	14-Aug-14
122	Enterprise Stockbrokers Limited	DECEMBER	14-Aug-14
123	Eurocomm Securities Limited	DECEMBER	14-Aug-14
124	Excel Securities Limited	DECEMBER	14-Aug-14
125	Financial Trust Company Limited	DECEMBER	14-Aug-14
126	First Integrated Capital management Ltd	DECEMBER	14-Aug-14
127	Future view Securities Limited	DECEMBER	14-Aug-14
128	First Stockbrokers Limited	DECEMBER	14-Aug-14
129	FIS Securities Limited	DECEMBER	14-Aug-14
130	Fortress Capital Limited	DECEMBER	14-Aug-14
131	Gem Assets Management Ltd	DECEMBER	14-Aug-14
132	Gidauniya Investment & Sec Ltd	DECEMBER	14-Aug-14
133	Harmony Investment & Securities Limited	DECEMBER	14-Aug-14
134	Heritage Capital Markets Limited	DECEMBER	14-Aug-14
135	Icon Stockbrokers Limited	DECEMBER	14-Aug-14
136	Independent Securities Limited	DECEMBER	14-Aug-14
137	Primewealth Capital Limited	DECEMBER	14-Aug-14
138	Prominent Securities Limited	DECEMBER	14-Aug-14
139	Pyramid Securities Limited	DECEMBER	14-Aug-14
140	Quantum Securities Limited	DECEMBER	14-Aug-14
141	Rainbow Securities Limited	DECEMBER	14-Aug-14
142	Redasel Investment Ltd	DECEMBER	14-Aug-14
143	Rostrum Investment and Securities Limited	DECEMBER	14-Aug-14
144	Royal Trust Securities Limited	DECEMBER	14-Aug-14

145	Cardinal Stone Securities Limited	MARCH	14-Aug-14
146	Centre Point Investment Limited	MARCH	14-Aug-14
147	Citi Investment Capital Limited	MARCH	14-Aug-14
148	Dynamic Portfolio Limited	MARCH	14-Aug-14
149	Forte Financial Limited	MARCH	14-Aug-14
150	Forthright Securities & Investment Limited	MARCH	14-Aug-14
151	ICMG Securities Limited	MARCH	14-Aug-14
152	MBC Securities Limited	MARCH	14-Aug-14
153	Mega Equities Limited	MARCH	14-Aug-14
154	Molten Trust Limited	MARCH	14-Aug-14
155	Pinefields Investment Services Limited	MARCH	14-Aug-14
156	Pivot Trust & Investment Co. Limited	MARCH	14-Aug-14
157	Regency Assets Management Ltd	MARCH	14-Aug-14
158	Santrust Securities Limited	MARCH	14-Aug-14
159	Solid Rock Securities & Investment Plc	MARCH	14-Aug-14
160	Tomil Trust Limited	MARCH	14-Aug-14
161	Vetiva Securities Limited	MARCH	14-Aug-14
162	Clearview Investment & Securities Limited	SEPTEMBER	14-Aug-14
163	Horizon Stockbrokers Limited	DECEMBER	14-Aug-14
164	PML Securities Company Limited	SEPTEMBER	14-Aug-14
165	Resort Securities Limited	SEPTEMBER	14-Aug-14
166	Transworld Investment & Securities Limited	SEPTEMBER	14-Aug-14
167	Morgan Capital Securities Limited	DECEMBER	14-Aug-14
168	Mountain Investment & Securities Limited	DECEMBER	14-Aug-14
169	Mutual Alliance Invest & Securities Limited	DECEMBER	14-Aug-14
170	Aims Asset Management Limited	DECEMBER	14-Aug-14
171	Newdevco Invests & Sec. Co. Ltd	DECEMBER	14-Aug-14
172	Nigerian International Securities Ltd	DECEMBER	14-Aug-14
173	Nigerian Stockbrokers Limited	DECEMBER	14-Aug-14
174	Peace Capital Market Limited	DECEMBER	14-Aug-14
175	Phronesis Securities Limited	DECEMBER	14-Aug-14
176	Portfolio Advisers Limited	DECEMBER	14-Aug-14
177	Skyview Capital Limited	DECEMBER	14-Aug-14
178	Spring Trust & Securities Limited	DECEMBER	14-Aug-14
179	Stanbic IBTC Stockbrokers Limited	DECEMBER	14-Aug-14
180	Standard Alliance Capital & Asset Limited	DECEMBER	14-Aug-14
181	Standard Union Securities Limited	DECEMBER	14-Aug-14
182	Stanwal Securities Limited	DECEMBER	14-Aug-14
183	MBL Financial Services Limited	DECEMBER	14-Aug-14
184	Mercov Securities Limited	DECEMBER	14-Aug-14
185	Midas Stockbrokers Limited	DECEMBER	14-Aug-14
186	Tiddo Securities Limited	DECEMBER	14-Aug-14
187	Topmost Securities Limited	DECEMBER	14-Aug-14
188	Tower Assets Management Limited	DECEMBER	14-Aug-14
189	Tower Securities & Investment Company Limited	DECEMBER	14-Aug-14
190	Trade Link Securities Ltd	DECEMBER	14-Aug-14
191	Traders Trust and Investment Company Ltd	DECEMBER	14-Aug-14
192	Trust Yields Securities Limited	DECEMBER	14-Aug-14
193	TRW Stockbrokers Limited	DECEMBER	14-Aug-14
194	Integrated Trust & Investments Limited	DECEMBER	14-Aug-14
195	Investment Centre Limited	DECEMBER	14-Aug-14

196	Investment One Stockbrokers Int'l Ltd	DECEMBER	14-Aug-14
197	Investment Shark & Asset Management Limited	DECEMBER	14-Aug-14
198	Investors & Trust Company Limited	DECEMBER	14-Aug-14
199	Kedari Securities Limited	DECEMBER	14-Aug-14
200	Kinley Finance Service Limited	DECEMBER	14-Aug-14
201	Lead Securities & Invests Ltd	DECEMBER	14-Aug-14
202	Magnartis Finace & Investment Limited	DECEMBER	14-Aug-14
203	Mainland Trust Limitd	DECEMBER	14-Aug-14
204	Union Capital Markets Limited	DECEMBER	14-Aug-14
205	Yobe Investment & Securities Limited	DECEMBER	14-Aug-14
206	Belfry Investment & Securities Ltd	JUNE	14-Aug-14
207	Capital Assets Limited	JUNE	14-Aug-14
208	Maxifund Investment & Securities Plc	DECEMBER	14-Aug-14
209	Security Swaps Limited	JUNE	14-Aug-14
210	Afrinvest Securities Limited	MARCH	14-Aug-14
211	Emi Capital Resources Ltd	JUNE	14-Aug-14
212	Primera Africa Securities Limited	DECEMBER	14-Aug-14
213	Kofana Securities Limited	DECEMBER	14-Aug-14
214	FundsMatrix & Assets management ltd	DECEMBER	14-Aug-14
215	PIPC Securities Limited	DECEMBER	14-Aug-14
216	Shelong Investment Limited	DECEMBER	14-Aug-14
217	Foresight Securities Limited	JUNE	14-Aug-14
218	Mayfield Investment Limited	DECEMBER	14-Aug-14
219	Options Securities Limited	DECEMBER	14-Aug-14
220	UIDC Securities Limited	DECEMBER	14-Aug-14

INACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Northbridge Invest & Stockbrokers Ltd	DECEMBER	14-Aug-14
2	Mainstreet Securities Limited	DECEMBER	14-Aug-14

INACTIVE AND NOT SUBMITTED

S/N	FIRMS	Year End	Due date
1	Arian Capital Management Limited	DECEMBER	14-Aug-14
2	ITIS Securities Limited	DECEMBER	14-Aug-14
3	Bytofel Trust & Securities Limited	DECEMBER	14-Aug-14
4	Vision Trust & Investment Limited	JUNE	14-Aug-14
5	Summa Guaranty & Trust Company Ltd	DECEMBER	14-Aug-14
6	LB Securities Limited	DECEMBER	14-Aug-14
7	Dakal Services Limited	DECEMBER	14-Aug-14
8	Davandy Finance Limited	DECEMBER	14-Aug-14
9	Empire Securities Limited	DECEMBER	14-Aug-14
10	First Alstate Securities Limited	DECEMBER	14-Aug-14
11	Fittco Securities Limited	DECEMBER	14-Aug-14
12	Mact Securities Limited	DECEMBER	14-Aug-14
13	Midpoint Capital Limited	DECEMBER	14-Aug-14
14	Omas Investment & Trust Co.Ltd	DECEMBER	14-Aug-14
15	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	14-Aug-14
16	Platinum Capital Limited	DECEMBER	14-Aug-14

17	Profund Securities Limited	DECEMBER	14-Aug-14
18	Securities Trading & Invest Co. Ltd	DECEMBER	14-Aug-14
19	Supra Commercial Trust Co. Ltd	DECEMBER	14-Aug-14
20	Surport Services Limited	DECEMBER	14-Aug-14
21	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	14-Aug-14
22	WT Securities Limited	DECEMBER	14-Aug-14
23	Allbond Investment Limited	JUNE	14-Aug-14
24	Consolidated Investments Limited	JUNE	14-Aug-14
25	Lion Stockbrokers Limited	JUNE	14-Aug-14
26	Professional Stockbrokers Ltd	JUNE	14-Aug-14
27	Decanon Investment Limited	MARCH	14-Aug-14
28	Maninvest Asset Management Plc	MARCH	14-Aug-14
29	Monument Sec & Finance Ltd	MARCH	14-Aug-14
30	Prudential Securities Limited	MARCH	14-Aug-14
31	Securities Solutions Limited	MARCH	14-Aug-14
32	LMB Stockbrokers Limited	SEPTEMBER	14-Aug-14

ACTIVE AND NOT SUBMITTED			
S/N	**FIRMS**	**Year End**	**Due date**
1	Edgefield Capital Management Limited	DECEMBER	14-Aug-14

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST JULY, 2014

ACTIVE AND SUBMITTED			
S/N	**FIRMS**	**Year End**	**Due date**
1	Finmal Finance Services Limited	JULY	15-Sep-14
2	PAC Securities Limited	APRIL	15-Sep-14

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due date**
1	Zuma Securities Limited	JULY	15-Sep-14

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST AUGUST 2014

ACTIVE AND SUBMITTED			
S/N	**FIRMS**	**Year End**	**DUE DATE**
1	Chartwell Securities Limited	May	15-Oct-14
2	ARM Securities Limited	FEBRUARY	15-Oct-14
3	Lambeth Trust & Investment Co. Ltd	FEBRUARY	15-Oct-14
4	The Bridge Securities Limited	AUGUST	15-Oct-14
5	Equity Capital Solutions Limited	AUGUST	15-Oct-14

INACTIVE AND NOT SUBMITTED			
S/N	**FIRMS**	**Year End**	**Due Date**
1	Midpoint Capital Limited	February	15-Oct-14

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30TH SEPTEMBER, 2014

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Royal Crest Finance Limited	DECEMBER	14-Nov-14
2	Imperial Assets Managers Limited	DECEMBER	14-Nov-14
3	Arthur Steven Asset Management Ltd	DECEMBER	14-Nov-14
4	Belfry Investment & Securities Ltd	DECEMBER	14-Nov-14
5	Marimpex Finance & Investment Company Limited	DECEMBER	14-Nov-14
6	Afrinvest Securities Limited	DECEMBER	14-Nov-14
7	Fidelity Securities Limited	DECEMBER	14-Nov-14
8	Nova Finance & Securities Limited	DECEMBER	14-Nov-14
9	Chapel Hill Denham Securities Ltd	DECEMBER	14-Nov-14
10	UIDC Securities Limited	DECEMBER	14-Nov-14
11	GTI Securities Limited	DECEMBER	14-Nov-14
12	MBC Securities Limited	DECEMBER	14-Nov-14
13	Dominion Trust Limited	DECEMBER	14-Nov-14
14	IMTL Securities Ltd	DECEMBER	14-Nov-14
15	Primewealth Capital Limited	DECEMBER	14-Nov-14
16	Pyramid Securities Limited	DECEMBER	14-Nov-14
17	Adonai Stockbrokers Limited	DECEMBER	14-Nov-14
18	Altrade Securities Limited	DECEMBER	14-Nov-14
19	Springboard Trust & Investment Limited	DECEMBER	14-Nov-14
20	Horizon Stockbrokers Limited	DECEMBER	14-Nov-14
21	Perfecta Investment & Trust Limited	DECEMBER	14-Nov-14
22	Global View Consult & Investment Limited	DECEMBER	14-Nov-14
23	Pilot Securities Limited	DECEMBER	14-Nov-14
24	Smadac Securities Limited	DECEMBER	14-Nov-14
25	Networth Securities & Finance Ltd	DECEMBER	14-Nov-14
26	PIPC Securities Limited	DECEMBER	14-Nov-14
27	Transafrica Securities Limited	DECEMBER	14-Nov-14
28	Securities and Capital Management Company Limited	DECEMBER	14-Nov-14
29	Signet Investment & Securities Ltd	DECEMBER	14-Nov-14
30	Global View Consult & Investment Limited	DECEMBER	14-Nov-14
31	Deep Trust & Investment Limited	DECEMBER	14-Nov-14
32	Cashcraft Asset Management Limited	DECEMBER	14-Nov-14
33	FSDH Securities Limited	DECEMBER	14-Nov-14
34	Excel Securities Limited	DECEMBER	14-Nov-14
35	Fidelity Finance Company Limited	DECEMBER	14-Nov-14
36	Zenith Securities Limited	DECEMBER	14-Nov-14
37	Milestone Capital Management Ltd	DECEMBER	14-Nov-14
38	Global Asset Management (Nig) Ltd	DECEMBER	14-Nov-14
39	Maven Asset Management Limited	DECEMBER	14-Nov-14
40	Crane Securities Limited	DECEMBER	14-Nov-14
41	City Code Trust & Invest Company Ltd	DECEMBER	14-Nov-14
42	FBC Trust & Securities Limited	DECEMBER	14-Nov-14
43	UBA Stockbrokers Limited	DECEMBER	14-Nov-14
44	Funds Matrix & Assets management ltd	DECEMBER	14-Nov-14
45	Maxifund Investment & Securities Plc	DECEMBER	14-Nov-14
46	First Integrated Capital management Ltd	DECEMBER	14-Nov-14
48	Resano Securities Limited	DECEMBER	14-Nov-14
49	FCSL Assets Mgt Coy Ltd	DECEMBER	14-Nov-14
50	Cradle Trust Finance & Securities Ltd	DECEMBER	14-Nov-14
51	Mutual Alliance Invest & Securities Limited	DECEMBER	14-Nov-14

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2014

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	DECEMBER	16-Feb-15
2	Adonai Stockbrokers Limited	DECEMBER	16-Feb-15
3	African Alliance Stockbrokers Ltd	DECEMBER	16-Feb-15
4	Afrinvest Securities Limited	DECEMBER	16-Feb-15
5	DBSL Securities Limited	DECEMBER	16-Feb-15
6	Cradle Trust Finance & Securities Ltd	DECEMBER	16-Feb-15
7	Emi Capital Resources Ltd	DECEMBER	16-Feb-15
8	FBC Trust & Securities Limited	DECEMBER	16-Feb-15
9	Finbank Securities & Assets Management Limited	DECEMBER	16-Feb-15
10	De-Lords Securities Limited	DECEMBER	16-Feb-15
11	First Stockbrokers Limited	DECEMBER	16-Feb-15
12	Global View Consult & Investment Limited	DECEMBER	16-Feb-15
13	Alangrange Securities Ltd	DECEMBER	16-Feb-15
14	Altrade Securities Limited	DECEMBER	16-Feb-15
15	Security Swaps Limited	DECEMBER	16-Feb-15
16	Shelong Investment Limited	DECEMBER	16-Feb-15
17	ICMG Securities Limited	DECEMBER	16-Feb-15
18	IMTL Securities Ltd	DECEMBER	16-Feb-15
19	Topmost Securities Limited	DECEMBER	16-Feb-15
20	Amyn Investment Limited	DECEMBER	16-Feb-15
21	Anchoria Investment & Securities Limited	DECEMBER	16-Feb-15
22	Associated Asset Managers Limited	DECEMBER	16-Feb-15
23	Apel Asset Limited	DECEMBER	16-Feb-15
24	APT Securities & Funds Limited	DECEMBER	16-Feb-15
25	ARM Securities Limited	DECEMBER	16-Feb-15
26	Securities Africa Financials Ltd	DECEMBER	16-Feb-15
27	Arthur Steven Asset Management Ltd	DECEMBER	16-Feb-15
28	Stanbic IBTC Stockbrokers Limited	DECEMBER	16-Feb-15
29	TFS Securities & Investment Co. Ltd	DECEMBER	16-Feb-15
30	Associated Asset Managers Limited	DECEMBER	16-Feb-15
31	Atlass Portfolio Limited	DECEMBER	16-Feb-15
32	Belfry Investment & Securities Ltd	DECEMBER	16-Feb-15
33	Bestlink Investment Limited	DECEMBER	16-Feb-15
34	Bestworth Assets & Trust Limited	DECEMBER	16-Feb-15
35	BGL Securities Limited	DECEMBER	16-Feb-15
36	Cadington Securities Limited	DECEMBER	16-Feb-15
37	Calyx Securities Limited	DECEMBER	16-Feb-15
38	Camry Securities Limited	DECEMBER	16-Feb-15
39	Capital Assets Limited	DECEMBER	16-Feb-15
40	Capital Bancorp Limited	DECEMBER	16-Feb-15
41	Capital Express Securities Limited	DECEMBER	16-Feb-15
42	Capital Trust Brokers Limited	DECEMBER	16-Feb-15
43	Cardinal Stone Securities Limited	DECEMBER	16-Feb-15
44	Cashcraft Asset Management Limited	DECEMBER	16-Feb-15
45	Cashville Investment & Securities Ltd	DECEMBER	16-Feb-15
46	CDL Capital Markets Ltd	DECEMBER	16-Feb-15
48	Century Securities Limited	DECEMBER	16-Feb-15
49	Chapel Hill Denham Securities Ltd	DECEMBER	16-Feb-15
50	Chartwell Securities Limited	DECEMBER	16-Feb-15

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2015

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	DECEMBER	15-May-15
2	Adonai Stockbrokers Limited	DECEMBER	15-May-15
3	African Alliance Stockbrokers Ltd	DECEMBER	15-May-15
4	Afrinvest Securities Limited	DECEMBER	15-May-15
5	DBSL Securities Limited	DECEMBER	15-May-15
6	Cradle Trust Finance & Securities Ltd	DECEMBER	15-May-15
7	EFCP Limited	DECEMBER	15-May-15
8	Express Portfolio Services Limited	DECEMBER	15-May-15
9	Financial Trust Company Limited	DECEMBER	15-May-15
10	De-Lords Securities Limited	DECEMBER	15-May-15
11	First Integrated Capital management Ltd	DECEMBER	15-May-15
12	Global Asset Management (Nig) Ltd	DECEMBER	15-May-15
13	Alangrange Securities Ltd	DECEMBER	15-May-15
14	Altrade Securities Limited	DECEMBER	15-May-15
15	Securities Africa Financials Ltd	DECEMBER	15-May-15
16	SFC Securities Limited	DECEMBER	15-May-15
17	Horizon Stockbrokers Limited	DECEMBER	15-May-15
18	Imperial Assets Managers Limited	DECEMBER	15-May-15
19	The Bridge Securities Limited	DECEMBER	15-May-15
20	Amyn Investment Limited	DECEMBER	15-May-15
21	Anchorage Securities & Finance Limited	DECEMBER	15-May-15
22	Arthur Steven Asset Management Ltd	DECEMBER	15-May-15
23	Anchoria Investment & Securities Limited	DECEMBER	15-May-15
24	Apel Asset Limited	DECEMBER	15-May-15
25	APT Securities & Funds Limited	DECEMBER	15-May-15
26	Santrust Securities Limited	DECEMBER	15-May-15
27	ARM Securities Limited	DECEMBER	15-May-15
28	Springboard Trust & Investment Limited	DECEMBER	15-May-15
29	Stanwal Securities Limited	DECEMBER	15-May-15
30	Arthur Steven Asset Management Ltd	DECEMBER	15-May-15
31	Associated Asset Managers Limited	DECEMBER	15-May-15
32	Atlass Portfolio Limited	DECEMBER	15-May-15
33	Belfry Investment & Securities Ltd	DECEMBER	15-May-15
34	Bestlink Investment Limited	DECEMBER	15-May-15
35	Bestworth Assets & Trust Limited	DECEMBER	15-May-15
36	BGL Securities Limited	DECEMBER	15-May-15
37	Calyx Securities Limited	DECEMBER	15-May-15
38	Camry Securities Limited	DECEMBER	15-May-15
39	Capital Assets Limited	DECEMBER	15-May-15
40	Capital Bancorp Limited	DECEMBER	15-May-15

41	Capital Express Securities Limited	DECEMBER	15-May-15
42	Capital Trust Brokers Limited	DECEMBER	15-May-15
43	Cardinal Stone Securities Limited	DECEMBER	15-May-15
44	Cashcraft Securities Limited	DECEMBER	15-May-15
45	Cashville Investment & Securities Ltd	DECEMBER	15-May-15
46	CDL Capital Markets Ltd	DECEMBER	15-May-15
47	Century Securities Limited	DECEMBER	15-May-15
48	Chapel Hill Denham Securities Ltd	DECEMBER	15-May-15
49	Chartwell Securities Limited	DECEMBER	15-May-15
50	Citi Investment Capital Limited	DECEMBER	15-May-15
51	City Code Trust & Invest Company Ltd	DECEMBER	15-May-15
52	Clearview Investment & Securities Limited	DECEMBER	15-May-15
53	Compass Investments & Sec. Ltd	DECEMBER	15-May-15
54	Cordros Capital Limited	DECEMBER	15-May-15
55	Core Trust & Investment Limited	DECEMBER	15-May-15
56	Covenant Securities & Investment Management	DECEMBER	15-May-15
57	Cowry Securities Limited	DECEMBER	15-May-15
58	Crane Securities Limited	DECEMBER	15-May-15
59	Crossworld Securities Ltd	DECEMBER	15-May-15
60	Crown Capital Limited	DECEMBER	15-May-15
61	CSL Stockbrokers Ltd	DECEMBER	15-May-15
62	Deep Trust & Investment Limited	DECEMBER	15-May-15
63	Dependable Securities Limited	DECEMBER	15-May-15
64	Diamond Securities Limited	DECEMBER	15-May-15
65	Dominion Trust Limited	DECEMBER	15-May-15
66	TFS Securities & Investment Co. Ltd	DECEMBER	15-May-15
67	Tiddo Securities Limited	DECEMBER	15-May-15
68	DSU Brokerage Services Ltimited	DECEMBER	15-May-15
69	Dunbell Securities Limited	DECEMBER	15-May-15
70	Dunn Loren Merrifield Securities Limited	DECEMBER	15-May-15
71	Dynamic Portfolio Limited	DECEMBER	15-May-15
72	ECL Asset Management Limited	DECEMBER	15-May-15
73	EDC Securities Limited	DECEMBER	15-May-15
74	Edgefield Capital Management Limited	DECEMBER	15-May-15
75	Elixir Securities Ltd	DECEMBER	15-May-15
76	Enterprise Stockbrokers Limited	DECEMBER	15-May-15
77	Equity Capital Solutions Limited	DECEMBER	15-May-15
78	Eurocomm Securities Limited	DECEMBER	15-May-15
79	Excel Securities Limited	DECEMBER	15-May-15
80	Express Discount Asset Mgt Ltd	DECEMBER	15-May-15
81	FBC Trust & Securities Limited	DECEMBER	15-May-15
82	FBN Securities limited	DECEMBER	15-May-15
83	FCSL Assets Mgt Coy Ltd	DECEMBER	15-May-15
84	Fidelity Finance Company Limited	DECEMBER	15-May-15
85	Fidelity Securities Limited	DECEMBER	15-May-15
86	Finbank Securities & Assets Management Limited	DECEMBER	15-May-15
87	Finmal Finance Services Limited	DECEMBER	15-May-15
88	First Stockbrokers Limited	DECEMBER	15-May-15
89	FIS Securities Limited	DECEMBER	15-May-15
90	Foresight Securities & Investment Limited	DECEMBER	15-May-15

91	Forte Financial Limited	DECEMBER	15-May-15
92	Forthright Securities & Investment Limited	DECEMBER	15-May-15
93	Fortress Capital Limited	DECEMBER	15-May-15
94	FSDH Securities Limited	DECEMBER	15-May-15
95	Funds Matrix & Assets management ltd	DECEMBER	15-May-15
96	Future view Securities Limited	DECEMBER	15-May-15
97	Gem Assets Management Ltd	DECEMBER	15-May-15
98	Gidauniya Investment & Sec Ltd	DECEMBER	15-May-15
99	Global View Consult & Investment Limited	DECEMBER	15-May-15
100	GMT Securities & Asset Mgt Limited	DECEMBER	15-May-15
101	Golden Securities Limited	DECEMBER	15-May-15
102	Greenwich Securities Limited	DECEMBER	15-May-15
103	Gruene Capital Limnited	DECEMBER	15-May-15
104	GTI Securities Limited	DECEMBER	15-May-15
105	Harmony Investment & Securities Limited	DECEMBER	15-May-15
106	Heartbeat Investment Limited	DECEMBER	15-May-15
107	FBNQuest Securities Limited	DECEMBER	15-May-15
108	Heritage Capital Markets Limited	DECEMBER	15-May-15
109	ICMG Securities Limited	DECEMBER	15-May-15
110	Tower Securities & Investment Company Limited	DECEMBER	15-May-15
111	Trade Link Securities Ltd	DECEMBER	15-May-15
112	Traders Trust and Investment Company Ltd	DECEMBER	15-May-15
113	Icon Stockbrokers Limited	DECEMBER	15-May-15
114	Independent Securities Limited	DECEMBER	15-May-15
115	Integrated Trust & Investments Limited	DECEMBER	15-May-15
116	International Standard Securities Limited	DECEMBER	15-May-15
117	Interstate Securities Limited	DECEMBER	15-May-15
118	Investment Centre Limited	DECEMBER	15-May-15
119	Investment One Stockbrokers Int'l Ltd	DECEMBER	15-May-15
120	Investment Shark & Asset Management Limited	DECEMBER	15-May-15
121	Investors & Trust Company Limited	DECEMBER	15-May-15
122	Kapital Care Trust & Securities Limited	DECEMBER	15-May-15
123	Kedari Securities Limited	DECEMBER	15-May-15
124	Kinley Securities Limited	DECEMBER	15-May-15
125	Kofana Securities & Investments Limited	DECEMBER	15-May-15
126	Transworld Investment & Securities Limited	DECEMBER	15-May-15
127	Kundila Finance Services Limited	DECEMBER	15-May-15
128	Lambeth Trust & Investment Company Limited	DECEMBER	15-May-15
129	Lead Securities & Invests Ltd	DECEMBER	15-May-15
130	Lighthouse Asset Management Limited	DECEMBER	15-May-15
131	Longterm Global Capital Ltd.	DECEMBER	15-May-15
132	Magnartis Finace & Investment Limited	DECEMBER	15-May-15
133	Mainland Trust Limitd	DECEMBER	15-May-15
134	Mainstreet Securities Limited	DECEMBER	15-May-15
135	Marimpex Finance & Investment Company Limited	DECEMBER	15-May-15
136	Marina Securities Stockbroking Services Limited	DECEMBER	15-May-15
137	Marriot Securities Limited	DECEMBER	15-May-15
138	Maven Asset Management Limited	DECEMBER	15-May-15
139	Maxifund Investment & Securities Plc	DECEMBER	15-May-15
140	Mayfield Investment Limited	DECEMBER	15-May-15

141	MBC Securities Limited	DECEMBER	15-May-15
142	MBL Financial Services Limited	DECEMBER	15-May-15
143	Mega Equities Limited	DECEMBER	15-May-15
144	Mercov Securities Limited	DECEMBER	15-May-15
145	Meristem Securities Ltd	DECEMBER	15-May-15
146	Midas Stockbrokers Limited	DECEMBER	15-May-15
147	Milestone Capital Management Ltd	DECEMBER	15-May-15
148	Mission Securities Limited	DECEMBER	15-May-15
149	Molten Trust Limited	DECEMBER	15-May-15
150	Morgan Capital Securities Limited	DECEMBER	15-May-15
151	Mountain Investment & Securities Limited	DECEMBER	15-May-15
152	Mutual Alliance Invest & Securities Limited	DECEMBER	15-May-15
153	Network Capital Limited	DECEMBER	15-May-15
154	Networth Securities & Finance Ltd	DECEMBER	15-May-15
155	Newdevco Invests & Sec. Co. Ltd	DECEMBER	15-May-15
156	Nigerian International Securities Ltd	DECEMBER	15-May-15
157	Nigerian Stockbrokers Limited	DECEMBER	15-May-15
158	Nova Finance & Securities Limited	DECEMBER	15-May-15
159	Options Securities Limited	DECEMBER	15-May-15
160	PAC Securities Limited	DECEMBER	15-May-15
161	Partnership Securities Limited	DECEMBER	15-May-15
162	Peace Capital Market Limited	DECEMBER	15-May-15
163	Perfecta Investment & Trust Limited	DECEMBER	15-May-15
164	Phronesis Securities Limited	DECEMBER	15-May-15
165	Pilot Securities Limited	DECEMBER	15-May-15
166	Pinefields Investment Services Limited	DECEMBER	15-May-15
167	PIPC Securities Limited	DECEMBER	15-May-15
168	Pivot Trust & Investment Co. Limited	DECEMBER	15-May-15
169	Planet Capital Limited	DECEMBER	15-May-15
170	Portfolio Advisers Limited	DECEMBER	15-May-15
171	Primera Africa Securities Limited	DECEMBER	15-May-15
172	Primewealth Capital Limited	DECEMBER	15-May-15
173	Prominent Securities Limited	DECEMBER	15-May-15
174	PSI Securities Limited	DECEMBER	15-May-15
175	Pyramid Securities Limited	DECEMBER	15-May-15
176	Quantum Securities Limited	DECEMBER	15-May-15
177	Rainbow Securities Limited	DECEMBER	15-May-15
178	Readings Investment Limited	DECEMBER	15-May-15
179	Redasel Investment Ltd	DECEMBER	15-May-15
180	Regency Assets Management Ltd	DECEMBER	15-May-15
181	Rencap Securities (Nig) Limited	DECEMBER	15-May-15
182	Resano Securities Limited	DECEMBER	15-May-15
183	Resort Securities Limited	DECEMBER	15-May-15
184	Reward Investment & Services Limited	DECEMBER	15-May-15
185	Rostrum Investment and Securities Limited	DECEMBER	15-May-15
186	Rowet Capital Mgt Limited	DECEMBER	15-May-15
187	Royal Crest Finance Limited	DECEMBER	15-May-15
188	Royal Guaranty & Trust Limited	DECEMBER	15-May-15
189	Royal Trust Securities Limited	DECEMBER	15-May-15
190	Securities and Capital Management Company Limited	DECEMBER	15-May-15

191	Security Swaps Limited	DECEMBER	15-May-15
192	Shalom Investment & Financial services limited	DECEMBER	15-May-15
193	Shelong Investment Limited	DECEMBER	15-May-15
194	Sigma Securities Limited	DECEMBER	15-May-15
195	Signet Investment & Securities Ltd	DECEMBER	15-May-15
196	Skyview Capital Limited	DECEMBER	15-May-15
197	Smadac Securities Limited	DECEMBER	15-May-15
198	Solid Rock Securities & Investment Plc	DECEMBER	15-May-15
199	Spring Trust & Securities Limited	DECEMBER	15-May-15
200	Stanbic IBTC Stockbrokers Limited	DECEMBER	15-May-15
201	Standard Alliance Capital & Asset Limited	DECEMBER	15-May-15
202	Standard Union Securities Limited	DECEMBER	15-May-15
203	Summa Guaranty & Trust Company Ltd	DECEMBER	15-May-15
204	Tomil Trust Limited	DECEMBER	15-May-15
205	Topmost Securities Limited	DECEMBER	15-May-15
206	Tower Assets Management Limited	DECEMBER	15-May-15
207	Transafrica Securities Limited	DECEMBER	15-May-15
208	Trust Yields Securities Limited	DECEMBER	15-May-15
209	TrustBanc Capital Management Limited	DECEMBER	15-May-15
210	Trusthouse Investment Limited	DECEMBER	15-May-15
211	TRW Stockbrokers Limited	DECEMBER	15-May-15
212	UIDC Securities Limited	DECEMBER	15-May-15
213	UNEX Capital Ltd	DECEMBER	15-May-15
214	Union Capital Markets Limited	DECEMBER	15-May-15
215	United Capital Securities Limited	DECEMBER	15-May-15
216	Valmon Securities Limited	DECEMBER	15-May-15
217	Valueline Securities & Investments Limited	DECEMBER	15-May-15
218	Vetiva Securities Limited	DECEMBER	15-May-15
219	Woodland Capital Markets Plc	DECEMBER	15-May-15
220	WSTC Financial Services Limited	DECEMBER	15-May-15
221	Yobe Investment & Securities Limited	DECEMBER	15-May-15
222	Yuderb Investment & Securities Ltd	DECEMBER	15-May-15
223	Zenith Securities Limited	DECEMBER	15-May-15
224	LB Securities Limited	DECEMBER	15-May-15

	INACTIVE AND SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	Aims Asset Management Limited	DECEMBER	15-May-15
2	Arian Capital Management Limited	DECEMBER	15-May-15
3	Emi Capital Resources Ltd	DECEMBER	15-May-15
4	Falcon Securities Limited	DECEMBER	15-May-15
5	ITIS Securities Limited	DECEMBER	15-May-15
6	Northbridge Invest & Stockbrokers Ltd	DECEMBER	15-May-15
7	PML Securities Company Limited	DECEMBER	15-May-15
8	Summa Guaranty & Trust Company Ltd	DECEMBER	15-May-15
9	Supra Commercial Trust Co. Ltd	DECEMBER	15-May-15
10	Vision Trust & Investment Limited	DECEMBER	15-May-15

	INACTIVE AND NOT SUBMITTED

S/N	FIRMS	Year End	Due date
1	Allbond Investment Limited	DECEMBER	15-May-15
2	Bauchi Investment Corp. Sec Limited	DECEMBER	15-May-15
3	Bytofel Trust & Securities Limited	DECEMBER	15-May-15
4	Cadington Securities Limited	DECEMBER	15-May-15
5	CEB Securities Limited	DECEMBER	15-May-15
6	Consolidated Investment Limited	DECEMBER	15-May-15
7	Dakal Services Limited	DECEMBER	15-May-15
8	Davandy Finance & Securities Limited	DECEMBER	15-May-15
9	Decanon Investment Limited	DECEMBER	15-May-15
10	Empire Securities Limited	DECEMBER	15-May-15
11	First Alstate Securities Limited	DECEMBER	15-May-15
12	Fittco Securities Limited	DECEMBER	15-May-15
13	Gombe Securities Limited	DECEMBER	15-May-15
14	HIP Asset Management Limited (Formerly Kakawa Asset Mgt Ltd)	DECEMBER	15-May-15
15	Lion Stockbrokers Limited	DECEMBER	15-May-15
16	LMB Stockbrokers Limited	DECEMBER	15-May-15
17	Mact Securities Limited	DECEMBER	15-May-15
18	Maninvest Asset Management Plc	DECEMBER	15-May-15
19	Metropolitan Trust Nigeria Limited	DECEMBER	15-May-15
20	Midpoint Capital Limited	DECEMBER	15-May-15
21	ML Securities Limited	DECEMBER	15-May-15
22	Monument Sec & Finance Ltd	DECEMBER	15-May-15
23	Omas Investment & Trust Co.Ltd	DECEMBER	15-May-15
24	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	15-May-15
25	Platinum Capital Limited	DECEMBER	15-May-15
26	Professional Stockbrokers Ltd	DECEMBER	15-May-15
27	Profund Securities Limited	DECEMBER	15-May-15
28	Prudential Securities Limited	DECEMBER	15-May-15
29	Regency Finance Limited	DECEMBER	15-May-15
30	Securities Solutions Limited	DECEMBER	15-May-15
31	Securities Trading & Invest Co. Ltd	DECEMBER	15-May-15
32	Surport Services Limited	DECEMBER	15-May-15
33	Waila Securities and Funds Limited	DECEMBER	15-May-15
34	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	15-May-15
35	WT Securities Limited	DECEMBER	15-May-15
36	Zuma Securities Limited	DECEMBER	15-May-15

NGX Regulation

Quarterly Returns

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FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2015

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	DECEMBER	17-Aug-15
2	Adonai Stockbrokers Limited	DECEMBER	17-Aug-15
3	African Alliance Stockbrokers Limited	DECEMBER	17-Aug-15
4	Afrinvest Securities Limited	DECEMBER	17-Aug-15
5	DBSL Securities Limited	DECEMBER	17-Aug-15
6	Cradle Trust Finance & Securities Limited	DECEMBER	17-Aug-15
7	EFCP Limited	DECEMBER	17-Aug-15
8	Express Portfolio Services Limited	DECEMBER	17-Aug-15
9	Finbank Securities & Assets Management Limited	DECEMBER	17-Aug-15
10	Delords Securities Limited	DECEMBER	17-Aug-15
11	First Stockbrokers Limited	DECEMBER	17-Aug-15
12	Global View Consult & Investment Limited	DECEMBER	17-Aug-15
13	Alangrange Securities Limited	DECEMBER	17-Aug-15
14	Altrade Securities Limited	DECEMBER	17-Aug-15
15	SFC Securities Limited	DECEMBER	17-Aug-15
16	Sigma Securities Limited	DECEMBER	17-Aug-15
17	ICMG securities Limited	DECEMBER	17-Aug-15
18	Independent Securities Limited	DECEMBER	17-Aug-15
19	Topmost Securities Limited	DECEMBER	17-Aug-15
20	Amyn Investment Limited	DECEMBER	17-Aug-15
21	Anchorage Securities & Finance Limited	DECEMBER	17-Aug-15
22	Arthur Stevens Asset Management Limited	DECEMBER	17-Aug-15
23	Anchoria Investment & Securities Limited	DECEMBER	17-Aug-15
24	Apel Asset Limited	DECEMBER	17-Aug-15
25	Apt Securities & Funds Limited	DECEMBER	17-Aug-15
26	Security Swaps Limited	DECEMBER	17-Aug-15
27	ARM Securities Limited	DECEMBER	17-Aug-15
28	Standard Union Securities Limited	DECEMBER	17-Aug-15
29	The Bridge Securities Limited	DECEMBER	17-Aug-15
30	Arthur Stevens Asset Management Limited	DECEMBER	17-Aug-15
31	Associated Asset Managers Limited	DECEMBER	17-Aug-15
32	Atlass Portfolio Limited	DECEMBER	17-Aug-15
33	Belfry Investment & Securities Ltd	DECEMBER	17-Aug-15
34	Bestlink Investment Limited	DECEMBER	17-Aug-15
35	Bestworth Assets & Trust Limited	DECEMBER	17-Aug-15
36	BGL Securities Limited	DECEMBER	17-Aug-15
37	Calyx Securities Limited	DECEMBER	17-Aug-15
38	Camry Securities Limited	DECEMBER	17-Aug-15
39	Capital Asset Limited	DECEMBER	17-Aug-15
40	Capital Bancorp Plc	DECEMBER	17-Aug-15
41	Capital Express Securities Limited	DECEMBER	17-Aug-15
42	Capital Trust Brokers Limited	DECEMBER	17-Aug-15
43	Cardinal Stone Securities Ltd	DECEMBER	17-Aug-15
44	Cashcraft Securities Limited	DECEMBER	17-Aug-15

45	Cashville Investment & Securities Limited	DECEMBER	17-Aug-15
46	CDL Capital Markets Limited	DECEMBER	17-Aug-15
48	Century Securities Limited	DECEMBER	17-Aug-15
49	Chapel Hill Denham Securities Limited	DECEMBER	17-Aug-15
50	Chartwell Securities Limited	DECEMBER	17-Aug-15
51	Citi Investment Capital Limited	DECEMBER	17-Aug-15
52	City Code Trust & Investment Company Limited	DECEMBER	17-Aug-15
53	Clearview Investment & Securities Limited	DECEMBER	17-Aug-15
54	Compass Investment & Securities Limited	DECEMBER	17-Aug-15
55	Cordros Capital Limited	DECEMBER	17-Aug-15
56	Core Trust & Investment Limited	DECEMBER	17-Aug-15
57	Covenant Securities & Asset Management Limited	DECEMBER	17-Aug-15
58	Cowry Securities Limited	DECEMBER	17-Aug-15
59	Crane Securities Limited	DECEMBER	17-Aug-15
60	Crossworld Securities Limited	DECEMBER	17-Aug-15
61	Crown Capital Limited	DECEMBER	17-Aug-15
62	CSL Stockbrokers Limited	DECEMBER	17-Aug-15
63	Deep Trust & Investment Limited	DECEMBER	17-Aug-15
64	Dependable Securities Limited	DECEMBER	17-Aug-15
65	Diamond Securities Limited	DECEMBER	17-Aug-15
66	Dominion Trust Limited	DECEMBER	17-Aug-15
67	Tomil Trust Limited	DECEMBER	17-Aug-15
68	Tower Asset Management Limited	DECEMBER	17-Aug-15
69	DSU Brokerage Services Limited	DECEMBER	17-Aug-15
70	Dunbell Securities Limited	DECEMBER	17-Aug-15
71	Dunn Loren Merrifield Securities Limited	DECEMBER	17-Aug-15
72	Dynamic Portfolio Limited	DECEMBER	17-Aug-15
73	ECL Asset Management Limited	DECEMBER	17-Aug-15
74	EDC Securities Limited	DECEMBER	17-Aug-15
75	Edgefield Capital Management Limited	DECEMBER	17-Aug-15
76	Elixir Securities Limited	DECEMBER	17-Aug-15
77	Enterprise Stockbrokers Limited	DECEMBER	17-Aug-15
78	Equity Capital Solutions Limited	DECEMBER	17-Aug-15
79	Eurocomm Securities Limited	DECEMBER	17-Aug-15
80	Excel Securities Limited	DECEMBER	17-Aug-15
81	Express Discount Asset Management Limited	DECEMBER	17-Aug-15
82	FBC Trust & Securities Limited	DECEMBER	17-Aug-15
83	FBN Securities Limited	DECEMBER	17-Aug-15
84	FCSL Asset Management Company Limited	DECEMBER	17-Aug-15
85	Fidelity Finance Company Limited	DECEMBER	17-Aug-15
86	Fidelity Securities Limited	DECEMBER	17-Aug-15
87	Finmal Finance Services Limited	DECEMBER	17-Aug-15
88	First Integrated Capital Manangement Limited	DECEMBER	17-Aug-15
89	FIS Securities Limited	DECEMBER	17-Aug-15
90	Foresight Securities & Investment Limited	DECEMBER	17-Aug-15
91	Forte Financial Limited	DECEMBER	17-Aug-15
92	Forthright Securities & Investments Limited	DECEMBER	17-Aug-15
93	Fortress Capital Limited	DECEMBER	17-Aug-15
94	FSDH Securities Limited	DECEMBER	17-Aug-15
95	Funds Matrix & Assets Mgt Limited	DECEMBER	17-Aug-15
96	Futureview Securities Limited	DECEMBER	17-Aug-15
97	Gem Assets Management Limited	DECEMBER	17-Aug-15
98	Gidauniya Investment & Sec Ltd	DECEMBER	17-Aug-15
99	Global Asset Management (Nig) Limited	DECEMBER	17-Aug-15

100	GMT Securities & Asset Mangement Limited	DECEMBER	17-Aug-15
101	Golden Securities Limited	DECEMBER	17-Aug-15
102	Greenwich Securities Limited	DECEMBER	17-Aug-15
103	Gruene Capital Limited	DECEMBER	17-Aug-15
104	GTI Securities Limited	DECEMBER	17-Aug-15
105	Harmony Securities Limited	DECEMBER	17-Aug-15
106	Heartbeat Investment Limited	DECEMBER	17-Aug-15
107	Hedge Securities & Investment Limited	DECEMBER	17-Aug-15
108	FBNQuest Securities Limited	DECEMBER	17-Aug-15
109	Horizon Stockbrokers Limited	DECEMBER	17-Aug-15
110	Icon Stockbrokers Limited	DECEMBER	17-Aug-15
111	Transafrica Financial Services Limited	DECEMBER	17-Aug-15
112	Transworld Investment Limited	DECEMBER	17-Aug-15
113	Trust Yield Securities Limited	DECEMBER	17-Aug-15
114	Imperial Assets Managers Limited	DECEMBER	17-Aug-15
115	Integrated Trust & Investment Limited	DECEMBER	17-Aug-15
116	Interstate Securities Limited	DECEMBER	17-Aug-15
117	Investment Centre Limited	DECEMBER	17-Aug-15
118	Investment One Stockbrokers International Limited	DECEMBER	17-Aug-15
119	Investment Shark & Asset Mgt Ltd	DECEMBER	17-Aug-15
120	Investors & Trust Company Limited	DECEMBER	17-Aug-15
121	Kapital Care Trust & Securities Limited	DECEMBER	17-Aug-15
122	Kedari Capital Limited	DECEMBER	17-Aug-15
123	Kinley Securities Limited	DECEMBER	17-Aug-15
124	Kofana Securities & Investment Limited	DECEMBER	17-Aug-15
125	Kundila Finance Services Limited	DECEMBER	17-Aug-15
126	Lambeth Trust & Investment Company Limited	DECEMBER	17-Aug-15
127	Trusthouse Investment Limited	DECEMBER	17-Aug-15
128	Lead Securities & Investment Limited	DECEMBER	17-Aug-15
129	Lighthouse Asset Management Limited	DECEMBER	17-Aug-15
130	Long Term Global Capital Limited	DECEMBER	17-Aug-15
131	Magnartis Finance & Investment Limited	DECEMBER	17-Aug-15
132	Mainland Trust Limited	DECEMBER	17-Aug-15
133	Mainstreet Securities Limited	DECEMBER	17-Aug-15
134	Marimpex Finance & Investment Company Limited	DECEMBER	17-Aug-15
135	Marina Securities Stockbroking Services Limited	DECEMBER	17-Aug-15
136	Marriot Securities Limited	DECEMBER	17-Aug-15
137	Maven Asset Management Limited	DECEMBER	17-Aug-15
138	Maxifund Investment & Securities Plc	DECEMBER	17-Aug-15
139	Mayfield Investment Limited	DECEMBER	17-Aug-15
140	MBC Securities Limited	DECEMBER	17-Aug-15
141	Mega Equities Limited	DECEMBER	17-Aug-15
142	Mercov Securities Limited	DECEMBER	17-Aug-15
143	Meristem Stockbrokers Limited	DECEMBER	17-Aug-15
144	Midas Stockbrokers Limited	DECEMBER	17-Aug-15
145	Milestone Capital Management Limited	DECEMBER	17-Aug-15
146	Mission Securities Limited	DECEMBER	17-Aug-15
147	Molten Trust Limited	DECEMBER	17-Aug-15
148	Morgan Capital Securities Limited	DECEMBER	17-Aug-15
149	Mountain Investment & Securities Limited	DECEMBER	17-Aug-15
150	Mutual Alliance Investment & Securities Limited	DECEMBER	17-Aug-15
151	Network Capital Limited	DECEMBER	17-Aug-15
152	Networth Securities & Finance Limited	DECEMBER	17-Aug-15
153	Newdevco Investments & Securities Co. Limited	DECEMBER	17-Aug-15

154	Nigerian International Securities Ltd	DECEMBER	17-Aug-15
155	Nigerian Stockbrokers Limited	DECEMBER	17-Aug-15
156	Nova Finance & Securities Limited	DECEMBER	17-Aug-15
157	Options Securities Limited	DECEMBER	17-Aug-15
158	PAC Securities Limited	DECEMBER	17-Aug-15
159	Partnership Securities Limited	DECEMBER	17-Aug-15
160	Peace Capital Markets Ltd	DECEMBER	17-Aug-15
161	Perfecta Investment & Trust Limited	DECEMBER	17-Aug-15
162	Phronesis Securities Limited	DECEMBER	17-Aug-15
163	Pilot Securities Limited	DECEMBER	17-Aug-15
164	Pinefields Investment Services Limited	DECEMBER	17-Aug-15
165	PIPC Securities Limited	DECEMBER	17-Aug-15
166	Pivot Trust & Investment Co. Limited	DECEMBER	17-Aug-15
167	Planet Capital Limited	DECEMBER	17-Aug-15
168	Portfolio Advisers Limited	DECEMBER	17-Aug-15
169	Primera Africa Securities Limited	DECEMBER	17-Aug-15
170	Primewealth Capital Limited	DECEMBER	17-Aug-15
171	Prominent Securities Limited	DECEMBER	17-Aug-15
172	PSI Securities Limited	DECEMBER	17-Aug-15
173	Pyramid Securities Limited	DECEMBER	17-Aug-15
174	Quantum Securities Limited	DECEMBER	17-Aug-15
175	Rainbow Securities Limited	DECEMBER	17-Aug-15
176	Readings Investment Limited	DECEMBER	17-Aug-15
177	Redasel Investments Limited	DECEMBER	17-Aug-15
178	Regency Asset Management Limited	DECEMBER	17-Aug-15
179	Rencap Securities (Nig) Limited	DECEMBER	17-Aug-15
180	Resano Securities Limited	DECEMBER	17-Aug-15
181	Resort Securities Limited	DECEMBER	17-Aug-15
182	Reward Investment & Service Limited	DECEMBER	17-Aug-15
183	Rostrum Investment & Sec Limited	DECEMBER	17-Aug-15
184	Rowet Capital Mgt Limited	DECEMBER	17-Aug-15
185	Royal Crest Finance Limited	DECEMBER	17-Aug-15
186	Royal Guaranty & Trust Limited	DECEMBER	17-Aug-15
187	Royal Trust Securities Limited	DECEMBER	17-Aug-15
188	Santrust Securities Limited	DECEMBER	17-Aug-15
189	Securities Africa Financial Limited	DECEMBER	17-Aug-15
190	Securities and Capital Management Company Limited	DECEMBER	17-Aug-15
191	Shalom Investment & Financial services limited	DECEMBER	17-Aug-15
192	Shelong Investments Limited	DECEMBER	17-Aug-15
193	Signet Investment & Securities Limited	DECEMBER	17-Aug-15
194	Skyview Capital Ltd	DECEMBER	17-Aug-15
195	Smadac Securities Limited	DECEMBER	17-Aug-15
196	Solid Rock Securities & Investment Plc	DECEMBER	17-Aug-15
197	Spring Trust & Securities Limited	DECEMBER	17-Aug-15
198	Springboard Trust & Investment Limited	DECEMBER	17-Aug-15
199	Stanbic IBTC Stockbrokers Limited	DECEMBER	17-Aug-15
200	Standard Alliance Capital & Asset Limited	DECEMBER	17-Aug-15
201	Stanwal Securities Limited	DECEMBER	17-Aug-15
202	Summa Guaranty & Trust Company Limited	DECEMBER	17-Aug-15
203	TFS Securities & Investment Company Limited	DECEMBER	17-Aug-15
204	Tiddo Securities Limited	DECEMBER	17-Aug-15
205	Tower Securities & Investment Company Limited	DECEMBER	17-Aug-15
206	Trade Link Securities Limited	DECEMBER	17-Aug-15
207	Traders Trust & Investment Co. Limited	DECEMBER	17-Aug-15

208	TrustBanc Capital Management Limited	DECEMBER	17-Aug-15
209	TRW Stockbrokers Limited	DECEMBER	17-Aug-15
210	UIDC Securities Limited	DECEMBER	17-Aug-15
211	Unex Capital Limited	DECEMBER	17-Aug-15
212	Union Capital Markets Limited	DECEMBER	17-Aug-15
213	United Capital Securities Limited	DECEMBER	17-Aug-15
214	Valmon Securities Limited	DECEMBER	17-Aug-15
215	Valueline Securities & Investment Limited	DECEMBER	17-Aug-15
216	Vetiva Securities Limited	DECEMBER	17-Aug-15
217	Woodland Capital Markets Plc	DECEMBER	17-Aug-15
218	WSTC Financial Services Limited	DECEMBER	17-Aug-15
219	Yobe Investment & Securities Limited	DECEMBER	17-Aug-15
220	Yuderb Investment & Securities Limited	DECEMBER	17-Aug-15
221	Zenith Securities Limited	DECEMBER	17-Aug-15

NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS			
S/N	**FIRMS**	**Year End**	**Due date**
1	Financial & Analytics Capital Limited	DECEMBER	17-Aug-15

	INACTIVE AND SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	Arian Capital Management Limited	DECEMBER	17-Aug-15
2	Cadington Securities Limited	DECEMBER	17-Aug-15
3	Falcon Securities Limited	DECEMBER	17-Aug-15
4	Financial Trust Company Limited	DECEMBER	17-Aug-15
5	International standard Securities Limited	DECEMBER	17-Aug-15
6	ITIS Securities Limited	DECEMBER	17-Aug-15
7	LB Securities Limited	DECEMBER	6-Jan-00
8	MBL Financial Services Limited	DECEMBER	17-Aug-15
9	Northbridge Invest & Stockbrokers Ltd	DECEMBER	17-Aug-15
10	PML Securities Company Limited	DECEMBER	17-Aug-15
11	Supra Commercial Trust Co. Ltd	DECEMBER	17-Aug-15
12	Vision Trust & Investment Limited	DECEMBER	17-Aug-15

	INACTIVE AND NOT SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	Aims Asset Management Limited	DECEMBER	17-Aug-15
2	Allbond Investment Limited	DECEMBER	17-Aug-15
3	Bauchi Investment Corp. Sec Limited	DECEMBER	17-Aug-15
4	Bytofel Trust & Securities Limited	DECEMBER	17-Aug-15
5	CEB Securities Limited	DECEMBER	17-Aug-15
6	Consolidated Investment Limited	DECEMBER	17-Aug-15
7	Dakal Services Limited	DECEMBER	17-Aug-15
8	Davandy Finance & Securities Limited	DECEMBER	17-Aug-15
9	Decanon Investment Limited	DECEMBER	17-Aug-15
10	Emi Capital Resources Ltd	DECEMBER	17-Aug-15
11	Empire Securities Limited	DECEMBER	17-Aug-15
12	First Alstate Securities Limited	DECEMBER	17-Aug-15
13	Fittco Securities Limited	DECEMBER	17-Aug-15
14	Gombe Securities Limited	DECEMBER	17-Aug-15
15	HIP Asset Management Limited (Formerly Kakawa Asset Mgt Ltd)	DECEMBER	17-Aug-15

16	Lion Stockbrokers Limited	DECEMBER	17-Aug-15
17	LMB Stockbrokers Limited	DECEMBER	17-Aug-15
18	Mact Securities Limited	DECEMBER	17-Aug-15
19	Maninvest Asset Management Plc	DECEMBER	17-Aug-15
20	Metropolitan Trust Nigeria Limited	DECEMBER	17-Aug-15
21	Midpoint Capital Limited	DECEMBER	17-Aug-15
22	ML Securities Limited	DECEMBER	17-Aug-15
23	Monument Sec & Finance Ltd	DECEMBER	17-Aug-15
24	Omas Investment & Trust Co.Ltd	DECEMBER	17-Aug-15
25	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	17-Aug-15
26	Platinum Capital Limited	DECEMBER	17-Aug-15
27	Professional Stockbrokers Ltd	DECEMBER	17-Aug-15
28	Profund Securities Limited	DECEMBER	17-Aug-15
29	Prudential Securities Limited	DECEMBER	17-Aug-15
30	Regency Finance Limited	DECEMBER	17-Aug-15
31	Securities Solutions Limited	DECEMBER	17-Aug-15
32	Securities Trading & Invest Co. Ltd	DECEMBER	17-Aug-15
33	Surport Services Limited	DECEMBER	17-Aug-15
34	Waila Securities and Funds Limited	DECEMBER	17-Aug-15
35	Wema Asset Management Limited	DECEMBER	17-Aug-15
36	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	17-Aug-15
37	WT Securities Limited	DECEMBER	17-Aug-15
38	Zuma Securities Limited	DECEMBER	17-Aug-15

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPT 2015

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	DECEMBER	15-Nov-15
2	Adonai Stockbrokers Limited	DECEMBER	15-Nov-15
3	African Alliance Stockbrokers Limited	DECEMBER	15-Nov-15
4	Afrinvest Securities Limited	DECEMBER	15-Nov-15
5	CSL Stockbrokers Limited	DECEMBER	15-Nov-15
6	Cowry Securities Limited	DECEMBER	15-Nov-15
7	EFCP Limited	DECEMBER	15-Nov-15
8	Express Portfolio Services Limited	DECEMBER	15-Nov-15
9	Financial & Analytics Capital Limited	DECEMBER	15-Nov-15
10	Delords Securities Limited	DECEMBER	15-Nov-15
11	Finmal Finance Services Limited	DECEMBER	15-Nov-15
12	Gidauniya Investment & Securities Limited	DECEMBER	15-Nov-15
13	Alangrange Securities Limited	DECEMBER	15-Nov-15
14	Altrade Securities Limited	DECEMBER	15-Nov-15
15	Shelong Investments Limited	DECEMBER	15-Nov-15
16	Skyview Capital Ltd	DECEMBER	15-Nov-15
17	Horizon Stockbrokers Limited	DECEMBER	15-Nov-15
18	Imperial Assets Managers Limited	DECEMBER	15-Nov-15
19	Trade Link Securities Limited	DECEMBER	15-Nov-15
20	Amyn Investment Limited	DECEMBER	15-Nov-15
21	Anchoria Investment & Securities Limited	DECEMBER	15-Nov-15
22	Arthur Stevens Asset Management Limited	DECEMBER	15-Nov-15
23	Apel Asset Limited	DECEMBER	15-Nov-15
24	Apt Securities & Funds Limited	DECEMBER	15-Nov-15
25	Arian Capital Management Limited	DECEMBER	15-Nov-15
26	Shalom Investment & Financial Services Limited	DECEMBER	15-Nov-15
27	ARM Securities Limited	DECEMBER	15-Nov-15
28	TFS Securities & Investment Company Limited	DECEMBER	15-Nov-15
29	Topmost Securities Limited	DECEMBER	15-Nov-15
30	Arthur Stevens Asset Management Limited	DECEMBER	15-Nov-15
31	Associated Asset Managers Limited	DECEMBER	15-Nov-15
32	Atlass Portfolio Limited	DECEMBER	15-Nov-15
33	Belfry Investment & Securities Limited	DECEMBER	15-Nov-15
34	Bestlink Investment Limited	DECEMBER	15-Nov-15
35	Bestworth Assets & Trust Limited	DECEMBER	15-Nov-15
36	BGL Securities Limited	DECEMBER	15-Nov-15
37	Calyx Securities Limited	DECEMBER	15-Nov-15
38	Camry Securities Limited	DECEMBER	15-Nov-15
39	Capital Asset Limited	DECEMBER	15-Nov-15
40	Capital Bancorp Plc	DECEMBER	15-Nov-15
41	Capital Express Securities Limited	DECEMBER	15-Nov-15
42	Capital Trust Brokers Limited	DECEMBER	15-Nov-15

43	Cardinal Stone Securities Ltd	DECEMBER	15-Nov-15
44	Cashcraft Securities Limited	DECEMBER	15-Nov-15
45	Cashville Investment & Securities Limited	DECEMBER	15-Nov-15
46	CDL Capital Markets Limited	DECEMBER	15-Nov-15
47	Century Securities Limited	DECEMBER	15-Nov-15
48	Chapel Hill Denham Securities Limited	DECEMBER	15-Nov-15
49	Chartwell Securities Limited	DECEMBER	15-Nov-15
50	Citi Investment Capital Limited	DECEMBER	15-Nov-15
51	City Code Trust & Investment Company Limited	DECEMBER	15-Nov-15
52	Clearview Investments Company Limited	DECEMBER	15-Nov-15
53	Compass Investment & Securities Limited	DECEMBER	15-Nov-15
54	Cordros Capital Limited	DECEMBER	15-Nov-15
55	Core Trust & Investment Limited	DECEMBER	15-Nov-15
56	Coronation Securities Limited	DECEMBER	15-Nov-15
57	Covenant Securities & Asset Management Limited	DECEMBER	15-Nov-15
58	Cradle Trust Finance & Securities Limited	DECEMBER	15-Nov-15
59	Crane Securities Limited	DECEMBER	15-Nov-15
60	Crossworld Securities Limited	DECEMBER	15-Nov-15
61	Crown Capital Limited	DECEMBER	15-Nov-15
62	Deep Trust & Investment Limited	DECEMBER	15-Nov-15
63	Dependable Securities Limited	DECEMBER	15-Nov-15
64	Diamond Securities Limited	DECEMBER	15-Nov-15
65	Dominion Trust Limited	DECEMBER	15-Nov-15
66	Tower Securities & Investment Company Limited	DECEMBER	15-Nov-15
67	Traders Trust & Investment Co. Limited	DECEMBER	15-Nov-15
68	DSU Brokerage Services Limited	DECEMBER	15-Nov-15
69	Dunbell Securities Limited	DECEMBER	15-Nov-15
70	Dunn Loren Merrifield Securities Limited	DECEMBER	15-Nov-15
71	Dynamic Portfolio Limited	DECEMBER	15-Nov-15
72	ECL Asset Management Limited	DECEMBER	15-Nov-15
73	EDC Securities Limited	DECEMBER	15-Nov-15
74	Edgefield Capital Management Limited	DECEMBER	15-Nov-15
75	Elixir Securities Limited	DECEMBER	15-Nov-15
76	Enterprise Stockbrokers Limited	DECEMBER	15-Nov-15
77	Equity Capital Solutions Limited	DECEMBER	15-Nov-15
78	Eurocomm Securities Limited	DECEMBER	15-Nov-15
79	Excel Securities Limited	DECEMBER	15-Nov-15
80	Express Discount Asset Management Limited	DECEMBER	15-Nov-15
81	FBC Trust & Securities Limited	DECEMBER	15-Nov-15
82	FBN Securities Limited	DECEMBER	15-Nov-15
83	FCSL Asset Management Company Limited	DECEMBER	15-Nov-15
84	Fidelity Finance Company Limited	DECEMBER	15-Nov-15
85	Fidelity Securities Limited	DECEMBER	15-Nov-15
86	Financial Trust Company Limited	DECEMBER	15-Nov-15
87	Finbank Securities & Assets Management Limited	DECEMBER	15-Nov-15
88	First Integrated Capital Manangement Limited	DECEMBER	15-Nov-15
89	First Stockbrokers Limited	DECEMBER	15-Nov-15
90	FIS Securities Limited	DECEMBER	15-Nov-15
91	Foresight Securities & Investment Limited	DECEMBER	15-Nov-15
92	Forte Financial Limited	DECEMBER	15-Nov-15
93	Forthright Securities & Investments Limited	DECEMBER	15-Nov-15

94	Fortress Capital Limited	DECEMBER	15-Nov-15
95	FSDH Securities Limited	DECEMBER	15-Nov-15
96	Funds Matrix & Assets Mgt Limited	DECEMBER	15-Nov-15
97	Futureview Securities Limited	DECEMBER	15-Nov-15
98	Gem Assets Management Limited	DECEMBER	15-Nov-15
99	Global Asset Management (Nig) Limited	DECEMBER	15-Nov-15
100	Global View Consult & Investment Limited	DECEMBER	15-Nov-15
101	Golden Securities Limited	DECEMBER	15-Nov-15
102	Greenwich Securities Limited	DECEMBER	15-Nov-15
103	Gruene Capital Limited	DECEMBER	15-Nov-15
104	GTI Securities Limited	DECEMBER	15-Nov-15
105	Harmony Securities Limited	DECEMBER	15-Nov-15
106	Heartbeat Investment Limited	DECEMBER	15-Nov-15
107	FBNQuest Securities Limited	DECEMBER	15-Nov-15
108	Heritage Capital Markets Limited	DECEMBER	15-Nov-15
109	ICMG securities Limited	DECEMBER	15-Nov-15
110	TrustBanc Capital Management Limited	DECEMBER	15-Nov-15
111	Trusthouse Investment Limited	DECEMBER	15-Nov-15
112	TRW Stockbrokers Limited	DECEMBER	15-Nov-15
113	Icon Stockbrokers Limited	DECEMBER	15-Nov-15
114	Independent Securities Limited	DECEMBER	15-Nov-15
115	Integrated Trust & Investment Limited	DECEMBER	15-Nov-15
116	Interstate Securities Limited	DECEMBER	15-Nov-15
117	Investment Centre Limited	DECEMBER	15-Nov-15
118	Investment One Stockbrokers International Limited	DECEMBER	15-Nov-15
119	Investment Shark & Asset Mgt Ltd	DECEMBER	15-Nov-15
120	Investors & Trust Company Limited	DECEMBER	15-Nov-15
121	Kapital Care Trust & Securities Limited	DECEMBER	15-Nov-15
122	Kedari Capital Limited	DECEMBER	15-Nov-15
123	Kinley Securities Limited	DECEMBER	15-Nov-15
124	Kofana Securities & Investment Limited	DECEMBER	15-Nov-15
125	Kundila Finance Services Limited	DECEMBER	15-Nov-15
126	UIDC Securities Limited	DECEMBER	15-Nov-15
127	Lambeth Trust & Investment Company Limited	DECEMBER	15-Nov-15
128	Lead Securities & Investment Limited	DECEMBER	15-Nov-15
129	Lighthouse Asset Management Limited	DECEMBER	15-Nov-15
130	Long Term Global Capital Limited	DECEMBER	15-Nov-15
131	Magnartis Finance & Investment Limited	DECEMBER	15-Nov-15
132	Mainland Trust Limited	DECEMBER	15-Nov-15
133	Mainstreet Bank Securities Limited	DECEMBER	15-Nov-15
134	Marimpex Finance & Investment Company Limited	DECEMBER	15-Nov-15
135	Marriot Securities & Investment Company Limited	DECEMBER	15-Nov-15
136	Maven Asset Management Limited	DECEMBER	15-Nov-15
137	Maxifund Investment & Securities Plc	DECEMBER	15-Nov-15
138	Mayfield Investment Limited	DECEMBER	15-Nov-15
139	MBC Securities Limited	DECEMBER	15-Nov-15
140	Mega Equities Limited	DECEMBER	15-Nov-15
141	Meristem Stockbrokers Limited	DECEMBER	15-Nov-15
142	Midas Stockbrokers Limited	DECEMBER	15-Nov-15
143	Milestone Capital Management Limited	DECEMBER	15-Nov-15
144	Mission Securities Limited	DECEMBER	15-Nov-15

145	Molten Trust Limited	DECEMBER	15-Nov-15
146	Morgan Capital Securities Limited	DECEMBER	15-Nov-15
147	Mountain Investment & Securities Limited	DECEMBER	15-Nov-15
148	Mutual Alliance Investment & Securities Limited	DECEMBER	15-Nov-15
149	Network Capital Limited	DECEMBER	15-Nov-15
150	Networth Securities & Finance Limited	DECEMBER	15-Nov-15
151	Newdevco Investments & Securties Limited	DECEMBER	15-Nov-15
152	Nigerian International Securities Ltd	DECEMBER	15-Nov-15
153	Nigerian Stockbrokers Limited	DECEMBER	15-Nov-15
154	Nova Finance & Securities Limited	DECEMBER	15-Nov-15
155	Options Securities Limited	DECEMBER	15-Nov-15
156	PAC Securities Limited	DECEMBER	15-Nov-15
157	Partnership Securities Limited	DECEMBER	15-Nov-15
158	Peace Capital Markets Ltd	DECEMBER	15-Nov-15
159	Perfecta Investment & Trust Limited	DECEMBER	15-Nov-15
160	Phronesis Securities Limited	DECEMBER	15-Nov-15
161	Pilot Securities Limited	DECEMBER	15-Nov-15
162	Pinefields Investment Services Limited	DECEMBER	15-Nov-15
163	PIPC Securities Limited	DECEMBER	15-Nov-15
164	Pivot Trust & Investment Co. Limited	DECEMBER	15-Nov-15
165	Planet Capital Limited	DECEMBER	15-Nov-15
166	Portfolio Advisers Limited	DECEMBER	15-Nov-15
167	Primera Africa Securities Limited	DECEMBER	15-Nov-15
168	Primewealth Capital Limited	DECEMBER	15-Nov-15
169	Prominent Securities Limited	DECEMBER	15-Nov-15
170	PSI Securities Limited	DECEMBER	15-Nov-15
171	Pyramid Securities Limited	DECEMBER	15-Nov-15
172	Quantum Securities Limited	DECEMBER	15-Nov-15
173	Rainbow Securities Limited	DECEMBER	15-Nov-15
174	Readings Investment Limited	DECEMBER	15-Nov-15
175	Regency Asset Management Limited	DECEMBER	15-Nov-15
176	Rencap Securities (Nig) Limited	DECEMBER	15-Nov-15
177	Resano Securities Limited	DECEMBER	15-Nov-15
178	Resort Securities & Trust Limited	DECEMBER	15-Nov-15
179	Reward Investment & Service Limited	DECEMBER	15-Nov-15
180	Rostrum Investment & Sec Limited	DECEMBER	15-Nov-15
181	Rowet Capital Mgt Limited	DECEMBER	15-Nov-15
182	Royal Crest Finance Limited	DECEMBER	15-Nov-15
183	Royal Guaranty & Trust Limited	DECEMBER	15-Nov-15
184	Royal Trust Securities Limited	DECEMBER	15-Nov-15
185	Santrust Securities Limited	DECEMBER	15-Nov-15
186	Securities Africa Financial Limited	DECEMBER	15-Nov-15
187	Securities and Capital Management Company Limited	DECEMBER	15-Nov-15
188	Security Swaps Limited	DECEMBER	15-Nov-15
189	SFC Securities Limited	DECEMBER	15-Nov-15
190	Sigma Securities Limited	DECEMBER	15-Nov-15
191	Signet Investment & Securities Limited	DECEMBER	15-Nov-15
192	Smadac Securities Limited	DECEMBER	15-Nov-15
193	Solid Rock Securities & Investment Plc	DECEMBER	15-Nov-15
194	Spring Trust & Securities Limited	DECEMBER	15-Nov-15
195	Springboard Trust & Investment Limited	DECEMBER	15-Nov-15

196	Stanbic IBTC Stockbrokers Limited	DECEMBER	15-Nov-15
197	Standard Alliance Capital Asset Mgt Limited	DECEMBER	15-Nov-15
198	Standard Union Securities Limited	DECEMBER	15-Nov-15
199	Summa Guaranty & Trust Company Limited	DECEMBER	15-Nov-15
200	The Bridge Securities Limited	DECEMBER	15-Nov-15
201	Tiddo Securities Limited	DECEMBER	15-Nov-15
202	Tomil Trust Limited	DECEMBER	15-Nov-15
203	Tower Asset Management Limited	DECEMBER	15-Nov-15
204	Transafrica Financial Services Limited	DECEMBER	15-Nov-15
205	Transworld Investment Limited	DECEMBER	15-Nov-15
206	Trust Yield Securities Limited	DECEMBER	15-Nov-15
207	Tyndale Securities Limited	DECEMBER	15-Nov-15
208	Unex Capital Limited	DECEMBER	15-Nov-15
209	Union Capital Markets Limited	DECEMBER	15-Nov-15
210	United Capital Securities Limited	DECEMBER	15-Nov-15
211	Valmon Securities Limited	DECEMBER	15-Nov-15
212	Valueline Securities & Investment Limited	DECEMBER	15-Nov-15
213	Vetiva Securities Limited	DECEMBER	15-Nov-15
214	Woodland Capital Markets Plc	DECEMBER	15-Nov-15
215	WSTC Financial Services Limited	DECEMBER	15-Nov-15
216	Yuderb Investment & Securities Limited	DECEMBER	15-Nov-15
217	Zenith Securities Limited	DECEMBER	15-Nov-15

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Anchorage Securities & Finance Limited	DECEMBER	15-Nov-15
2	Falcon Securities Limited	DECEMBER	15-Nov-15
3	International Standard Securities Limited	DECEMBER	15-Nov-15
4	ITIS Securities Limited	DECEMBER	15-Nov-15
5	MBL Financial Services Limited	DECEMBER	15-Nov-15
6	Northbridge Investment & Trust Limited	DECEMBER	15-Nov-15
7	PML Securities & Company Limited	DECEMBER	15-Nov-15
8	Supra Commercial Trust Company Limited	DECEMBER	15-Nov-15
9	Vision Trust & Investment Limited	DECEMBER	15-Nov-15

ACTIVE AND NOT SUBMITTED			
S/N	FIRMS	Year End	Due date
1	Mercov Securities Limited	DECEMBER	15-Nov-15
2	Redasel Investments Limited	DECEMBER	15-Nov-15
3	Stanwal Securities Limited	DECEMBER	15-Nov-15
4	Yobe Investment & Securities Limited	DECEMBER	15-Nov-15

	INACTIVE AND NOT SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Aims Asset Management Limited	DECEMBER	15-Nov-15
2	Allbond Investment Limited Limited	DECEMBER	15-Nov-15
3	Bauchi Investment Corp. Sec Limited	DECEMBER	15-Nov-15
4	Bytofel Trust & Securities Limited	DECEMBER	15-Nov-15
5	Cadington Securities Limited	DECEMBER	15-Nov-15
6	CEB Securities Limited	DECEMBER	15-Nov-15

7	Consolidated Investment Limited	DECEMBER	15-Nov-15
8	Dakal Services Limited	DECEMBER	15-Nov-15
9	Decanon Investment Limited	DECEMBER	15-Nov-15
10	Emi Capital Resources Limited	DECEMBER	15-Nov-15
11	First Alstate Securities Limited	DECEMBER	15-Nov-15
12	GMT Securities & Asset Mangement Limited	DECEMBER	15-Nov-15
13	Gombe Securities Limited	DECEMBER	15-Nov-15
14	HIP Asset Management Limited (Formerly Kakawa Asset	DECEMBER	15-Nov-15
15	LB Securities Limited	DECEMBER	15-Nov-15
16	Lion Stockbrokers Limited	DECEMBER	15-Nov-15
17	LMB Stockbrokers Limited	DECEMBER	15-Nov-15
18	Mact Securities Limited	DECEMBER	15-Nov-15
19	Maninvest Asset Management Plc	DECEMBER	15-Nov-15
20	Metropolitan Trust Nigeria Limited	DECEMBER	15-Nov-15
21	Midpoint Capital Limited	DECEMBER	15-Nov-15
22	ML Securities Limited	DECEMBER	15-Nov-15
23	Monument Sec & Finance Limited	DECEMBER	15-Nov-15
24	Omas Investment & Trust Company Limited	DECEMBER	15-Nov-15
25	Peninsula Asset Management & Investment Company Limited	DECEMBER	15-Nov-15
26	Platinum Capital Limited	DECEMBER	15-Nov-15
27	Professional Stockbrokers Limited	DECEMBER	15-Nov-15
28	Profund Securities Limited	DECEMBER	15-Nov-15
29	Prudential Securities Limited	DECEMBER	15-Nov-15
30	Regency Finance Limited	DECEMBER	15-Nov-15
31	Securities Solutions Limited	DECEMBER	15-Nov-15
32	Securities Trading & Investments Limited	DECEMBER	15-Nov-15
33	Surport Services Limited	DECEMBER	15-Nov-15
34	Waila Securities and Funds Limited	DECEMBER	15-Nov-15
35	Wema Asset Management Limited	DECEMBER	15-Nov-15
36	WizeTrade Capital & Asset Management Limited	DECEMBER	15-Nov-15
37	WT Securities Limited	DECEMBER	15-Nov-15
38	Zuma Securities Limited	DECEMBER	15-Nov-15

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2015

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	15-Feb-16
2	African Alliance Stockbrokers Limited	December	15-Feb-16
3	Afrinvest Securities Limited	December	15-Feb-16
4	Anchoria Investment & Securities Limited	December	15-Feb-16
5	Apel Asset Limited	December	15-Feb-16
6	Apt Securities & Funds Limited	December	15-Feb-16
7	ARM Securities Limited	December	15-Feb-16
8	Arthur Stevens Asset Management Limited	December	15-Feb-16
9	Associated Asset Managers Limited	December	15-Feb-16
10	Atlass Portfolio Limited	December	15-Feb-16
11	Belfry Investment & Securities Limited	December	15-Feb-16
12	Bestworth Assets & Trust Limited	December	15-Feb-16
13	Calyx Securities Limited	December	15-Feb-16
14	Camry Securities Limited	December	15-Feb-16
15	Capital Asset Limited	December	15-Feb-16
16	Capital Bancorp Plc	December	15-Feb-16
17	Capital Express Securities Limited	December	15-Feb-16
18	Capital Trust Brokers Limited	December	15-Feb-16
19	Cardinal Stone Securities Ltd	December	15-Feb-16
20	Cashcraft Securities Limited	December	15-Feb-16
21	Cashville Investment & Securities Limited	December	15-Feb-16
22	CDL Capital Markets Limited	December	15-Feb-16
23	Centre Point Investment Limited	December	15-Feb-16
24	Century Securities Limited	December	15-Feb-16
25	Chapel Hill Denham Securities Limited	December	15-Feb-16
26	Chartwell Securities Limited	December	15-Feb-16
27	Citi Investment Capital Limited	December	15-Feb-16
28	City Code Trust & Investment Company Limited	December	15-Feb-16
29	Clearview Investment & Securities Limited	December	15-Feb-16
30	Compass Investment & Securities Limited	December	15-Feb-16
31	Cordros Capital Limited	December	15-Feb-16
32	Core Trust & Investment Limited	December	15-Feb-16
33	Coronation Securities Limited	December	15-Feb-16
34	Cowry Securities Limited	December	15-Feb-16
35	Crossworld Securities Limited	December	15-Feb-16
36	Crown Capital Limited	December	15-Feb-16
37	CSL Stockbrokers Limited	December	15-Feb-16
38	Delords Securities Limited	December	15-Feb-16
39	Dependable Securities Limited	December	15-Feb-16
40	Diamond Securities Limited	December	15-Feb-16
41	Dominion Trust Limited	December	15-Feb-16
42	DSU Brokerage Services Limited	December	15-Feb-16
43	Dunbell Securities Limited	December	15-Feb-16
45	Dynamic Portfolio Limited	December	15-Feb-16
46	ECL Asset Management Limited	December	15-Feb-16
47	EDC Securities Limited	December	15-Feb-16

48	Edgefield Capital Management Limited	December	15-Feb-16
49	EFCP Limited	December	15-Feb-16
50	Elixir Securities Limited	December	15-Feb-16
51	Enterprise Stockbrokers Limited	December	15-Feb-16
52	Equity Capital Solutions Limited	December	15-Feb-16
53	Eurocomm Securities Limited	December	15-Feb-16
54	Express Discount Asset Management Limited	December	15-Feb-16
55	Express Portfolio Services Limited	December	15-Feb-16
56	FBN Securities Limited	December	15-Feb-16
57	FCSL Asset Management Company Limited	December	15-Feb-16
58	Fidelity Finance Company Limited	December	15-Feb-16
59	Fidelity Securities Limited	December	15-Feb-16
60	Financial & Analytics Capial Limited	December	15-Feb-16
61	Financial Trust Company Limited	December	15-Feb-16
62	Finmal Finance Services Limited	December	15-Feb-16
63	First Integrated Capital Manangement Limited	December	15-Feb-16
64	First Stockbrokers Limited	December	15-Feb-16
65	FIS Securities Limited	December	15-Feb-16
66	Foresight Securities Limited	December	15-Feb-16
67	Forte Financial Limited	December	15-Feb-16
68	Forthright Securities & Investments Limited	December	15-Feb-16
69	Gem Assets Management Limited	December	15-Feb-16
70	Fortress Capital Limited	December	15-Feb-16
71	FSDH Securities Limited	December	15-Feb-16
72	Funds Matrix & Assets Mgt Limited	December	15-Feb-16
73	Futureview Securities Limited	December	15-Feb-16
74	Gidauniya Investment & Securities Limited	December	15-Feb-16
75	Global Asset Management (Nig) Limited	December	15-Feb-16
76	GlobalView Capital Limited	December	15-Feb-16
77	Golden Securities Limited	December	15-Feb-16
78	Greenwich Securities Limited	December	15-Feb-16
79	Gruene Capital Limited	December	15-Feb-16
80	GTI Securities Limited	December	15-Feb-16
81	Harmony Securities Limited	December	15-Feb-16
82	Heartbeat Investment Limited	December	15-Feb-16
83	Hedge Securities & Investment Limited	December	15-Feb-16
84	Heritage Capital Markets Limited	December	15-Feb-16
85	Horizon Stockbrokers Limited	December	15-Feb-16
86	ICMG securities Limited	December	15-Feb-16
87	Icon Stockbrokers Limited	December	15-Feb-16
88	Imperial Assets Managers Limited	December	15-Feb-16
89	Independent Securities Limited	December	15-Feb-16
90	Integrated Trust & Investment Limited	December	15-Feb-16
91	Interstate Securities Limited	December	15-Feb-16
92	Investment Centre Limited	December	15-Feb-16
93	Investment One Stockbrokers International Limited	December	15-Feb-16
94	Investment Shark & Asset Mgt Ltd	December	15-Feb-16
95	Investors & Trust Company Limited	December	15-Feb-16
96	Kapital Care Trust & Securities Limited	December	15-Feb-16
97	Kedari Capital Limited	December	15-Feb-16
98	Kinley Securities Limited	December	15-Feb-16
99	Kofana Securities & Investment Limited	December	15-Feb-16
100	Kundila Finance Services Limited	December	15-Feb-16
101	Lambeth Trust & Investment Company Limited	December	15-Feb-16

102	Lead Securities & Investment Limited	December	15-Feb-16
103	Lighthouse Asset Management Limited	December	15-Feb-16
104	FBNQuest Securities Limited	December	15-Feb-16
105	Magnartis Finance & Investment Limited	December	15-Feb-16
106	Arian Capital Management Limited	December	15-Feb-16
107	Bestlink Investment Limited	December	15-Feb-16
108	BGL Securities Limited	December	15-Feb-16
109	Mainstreet Bank Securities Limited	December	15-Feb-16
110	Marriot Securities & Investment Company Limited	December	15-Feb-16
111	Maven Asset Management Limited	December	15-Feb-16
112	Maxifund Investment & Securities Plc	December	15-Feb-16
113	Mayfield Investment Limited	December	15-Feb-16
114	MBC Securities Limited	December	15-Feb-16
115	Mega Equities Limited	December	15-Feb-16
116	Meristem Stockbrokers Limited	December	15-Feb-16
117	Midas Stockbrokers Limited	December	15-Feb-16
118	Milestone Capital Management Limited	December	15-Feb-16
119	Mission Securities Limited	December	15-Feb-16
120	Molten Trust Limited	December	15-Feb-16
121	Morgan Capital Securities Limited	December	15-Feb-16
122	Crane Securities Limited	December	15-Feb-16
123	Mountain Investment & Securities Limited	December	15-Feb-16
124	Mutual Alliance Investment & Securities Limited	December	15-Feb-16
125	Network Capital Limited	December	15-Feb-16
126	Networth Securities & Finance Limited	December	15-Feb-16
127	Newdevco Investment & Securities Company Limited	December	15-Feb-16
128	Nigerian International Securities Ltd	December	15-Feb-16
129	Nigerian Stockbrokers Limited	December	15-Feb-16
130	Nova Finance & Securities Limited	December	15-Feb-16
131	PAC Securities Limited	December	15-Feb-16
132	Partnership Securities Limited	December	15-Feb-16
133	Peace Capital Markets Ltd	December	15-Feb-16
134	Perfecta Investment & Trust Limited	December	15-Feb-16
135	Phronesis Securities Limited	December	15-Feb-16
136	Pilot Securities Limited	December	15-Feb-16
137	Pinefields Investment Services Limited	December	15-Feb-16
138	PIPC Securities Limited	December	15-Feb-16
139	Pivot Capital Limited	December	15-Feb-16
140	Planet Capital Limited	December	15-Feb-16
141	Portfolio Advisers Limited	December	15-Feb-16
142	Primera Africa Securities Limited	December	15-Feb-16
143	Primewealth Capital Limited	December	15-Feb-16
144	Prominent Securities Limited	December	15-Feb-16
145	PSI Securities Limited	December	15-Feb-16
146	Pyramid Securities Limited	December	15-Feb-16
147	Quantum Securities Limited	December	15-Feb-16
148	Rainbow Securities Limited	December	15-Feb-16
149	Readings Investment Limited	December	15-Feb-16
150	Regency Asset Management Limited	December	15-Feb-16
151	Rencap Securities (Nig) Limited	December	15-Feb-16
152	Reward Investment & Service Limited	December	15-Feb-16
153	Rostrum Investment & Sec Limited	December	15-Feb-16
154	Royal Crest Finance Limited	December	15-Feb-16
155	Royal Guaranty & Trust Limited	December	15-Feb-16

156	Royal Trust Securities Limited	December	15-Feb-16
157	Santrust Securities Limited	December	15-Feb-16
158	Securities Africa Financial Limited	December	15-Feb-16
159	Securities and Capital Management Company Limited	December	15-Feb-16
160	Security Swaps Limited	December	15-Feb-16
161	SFC Securities Limited	December	15-Feb-16
162	Shelong Investments Limited	December	15-Feb-16
163	Sigma Securities Limited	December	15-Feb-16
164	Signet Investment & Securities Limited	December	15-Feb-16
165	Skyview Capital Ltd	December	15-Feb-16
166	Smadac Securities Limited	December	15-Feb-16
167	Solid Rock Securities & Investment Plc	December	15-Feb-16
168	Spring Trust & Securities Limited	December	15-Feb-16
169	Springboard Trust & Investment Limited	December	15-Feb-16
170	Stanbic IBTC Stockbrokers Limited	December	15-Feb-16
171	Standard Alliance Capital Asset Mgt Limited	December	15-Feb-16
172	Standard Union Securities Limited	December	15-Feb-16
173	TFS Securities & Investment Company Limited	December	15-Feb-16
174	The Bridge Securities Limited	December	15-Feb-16
175	Tiddo Securities Limited	December	15-Feb-16
176	Tomil Trust Limited	December	15-Feb-16
177	Topmost Finance & Investment Limited	December	15-Feb-16
178	Tower Asset Management Limited	December	15-Feb-16
179	Tower Securities & Investment Company Limited	December	15-Feb-16
180	Trade Link Securities Limited	December	15-Feb-16
181	Traders Trust & Investment Co. Limited	December	15-Feb-16
182	Transafrica Financial Services Limited	December	15-Feb-16
183	Transworld Investment & Securities Limited	December	15-Feb-16
184	Trust Yield Securities Limited	December	15-Feb-16
185	Trustbanc Capital Management limited	December	15-Feb-16
186	Trusthouse Investment Limited	December	15-Feb-16
187	TRW Stockbrokers Limited	December	15-Feb-16
188	Tyndale Securities Limited	December	15-Feb-16
189	Unex Capital Limited	December	15-Feb-16
190	Union Capital Markets Limited	December	15-Feb-16
191	United Capital Securities Limited	December	15-Feb-16
192	Valmon Securities Limited	December	15-Feb-16
193	Valueline Securities & Investment Limited	December	15-Feb-16
194	Vetiva Securities Limited	December	15-Feb-16
195	WSTC Financial Services Limited	December	15-Feb-16
196	Yuderb Investment & Securities Limited	December	15-Feb-16
197	Zenith Securities Limited	December	15-Feb-16
198	Zion Securities Limited	December	15-Feb-16

	INACTIVE AND SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	Adamawa Securities Limited	December	15-Feb-16
2	Amyn Investment Limited	December	15-Feb-16
3	Anchorage Securities & Finance Limited	December	15-Feb-16
4	Cradle Trust Finance & Securities Limited	December	15-Feb-16
5	Deep Trust & Investment Limited	December	15-Feb-16
6	Falcon Securities Limited	December	15-Feb-16
7	FBC Trust & Securities Limited	December	15-Feb-16
8	Finbank Securities & Assets Management Limited	December	15-Feb-16
9	International Standard Securities Limited	December	15-Feb-16
10	ITIS Securities Limited	December	15-Feb-16
11	Marimpex Finance & Investment Company Limited	December	15-Feb-16
12	Northbridge Investment & Trust Limited	December	15-Feb-16
13	Options Securities Limited	December	15-Feb-16
14	Resano Securities Limited	December	15-Feb-16
15	Resort Securities & Trust Limited	December	15-Feb-16
16	Rowet Capital Mgt Limited	December	15-Feb-16
17	Shalom Investment & Financial Services Limited	December	15-Feb-16
18	Summa Guaranty & Trust Company Limited	December	15-Feb-16
19	Vision Trust & Investment Limited	December	15-Feb-16
20	Woodland Capital Markets Plc	December	15-Feb-16
21	Yobe Investment & Securities Limited	December	15-Feb-16

ACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Alangrange Securities Limited	December	15-Feb-16

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Aims Asset Management Limited	December	15-Feb-16
2	Bauchi Investment Corp. Sec Limited	December	15-Feb-16
3	Bytofel Trust & Securities Limited	December	15-Feb-16
4	Cadington Securities Limited	December	15-Feb-16
5	CEB Securities Limited	December	15-Feb-16
6	Covenant Securities & Asset Management Limited	December	15-Feb-16
7	DBSL Securities Limited	December	15-Feb-16
8	Excel Securities Limited	December	15-Feb-16
9	First Alstate Securities Limited	December	15-Feb-16
10	GMT Securities & Asset Mangement Limited	December	15-Feb-16
11	Gombe Securities Limited	December	15-Feb-16
12	Kakawa Asset Management Limited	December	15-Feb-16
13	LB Securities Limited	December	15-Feb-16
14	Lion Stockbrokers Limited	December	15-Feb-16
15	LMB Stockbrokers Limited	December	15-Feb-16
16	Mact Securities Limited	December	15-Feb-16
17	Mainland Trust Limited	December	15-Feb-16
18	MBL Financial Services Limited	December	15-Feb-16
19	Mercov Securities Limited	December	15-Feb-16
20	Midpoint Capital Limited	December	15-Feb-16
21	ML Securities Limited	December	15-Feb-16
22	Monument Sec & Finance Limited	December	15-Feb-16
23	PML Securities & Company Limited	December	15-Feb-16
24	Professional Stockbrokers Limited	December	15-Feb-16
25	Profund Securities Limited	December	15-Feb-16
26	Redasel Investments Limited	December	15-Feb-16
27	Regency Finance Limited	December	15-Feb-16
28	Stanwal Securities Limited	December	15-Feb-16
29	Supra Commercial Trust Company Limited	December	15-Feb-16
30	Surport Services Limited	December	15-Feb-16
31	UIDC Securities Limited	December	15-Feb-16
32	Waila Securities and Funds Limited	December	15-Feb-16
33	Wema Asset Management Limited	December	15-Feb-16

NGX Regulation

Quarterly Returns

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FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2016

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	16-May-16
2	African Alliance Stockbrokers Limited	December	16-May-16
3	Afrinvest Securities Limited	December	16-May-16
4	Alangrange Securities Limited	December	16-May-16
5	Amyn Investment Limited	December	16-May-16
6	Anchoria Investment & Securities Limited	December	16-May-16
7	Apel Asset Limited	December	16-May-16
8	Apt Securities & Funds Limited	December	16-May-16
9	ARM Securities Limited	December	16-May-16
10	Arthur Stevens Asset Management Limited	December	16-May-16
11	Associated Asset Managers Limited	December	16-May-16
12	Atlass Portfolio Limited	December	16-May-16
13	Belfry Investment & Securities Limited	December	16-May-16
14	Bestworth Assets & Trust Limited	December	16-May-16
15	Calyx Securities Limited	December	16-May-16
16	Camry Securities Limited	December	16-May-16
17	Capital Assets Limited	December	16-May-16
18	Capital Bancorp Plc	December	16-May-16
19	Capital Express Securities Limited	December	16-May-16
20	Capital Trust Brokers Limited	December	16-May-16
21	Cardinal Stone Securities Ltd	December	16-May-16
22	Cashcraft Securities Limited	December	16-May-16
23	Cashville Investment & Securities Limited	December	16-May-16
24	CDL Capital Markets Limited	December	16-May-16
25	Centre Point Investment Limited	December	16-May-16
26	Century Securities Limited	December	16-May-16
27	Chapel Hill Denham Securities Limited	December	16-May-16
28	Chartwell Securities Limited	December	16-May-16
29	Citi Investment Capital Limited	December	16-May-16
30	City Code Trust & Investment Company Limited	December	16-May-16
31	Clearview Investment & Securities Limited	December	16-May-16
32	Compass Investment & Securities Limited	December	16-May-16
33	Cordros Capital Limited	December	16-May-16
34	Core Trust & Investment Limited	December	16-May-16
35	Coronation Securities Limited	December	16-May-16
36	Cowry Securities Limited	December	16-May-16
37	Crossworld Securities Limited	December	16-May-16
38	Crown Capital Limited	December	16-May-16
39	CSL Stockbrokers Limited	December	16-May-16
40	Deep Trust & Investment Limited	December	16-May-16
41	Delords Securities Limited	December	16-May-16
42	Dependable Securities Limited	December	16-May-16
44	Dominion Trust Limited	December	16-May-16
45	DSU Brokerage Services Limited	December	16-May-16
46	Dunbell Securities Limited	December	16-May-16
47	Dunn Loren Merrifield Securities Limited	December	16-May-16
48	Dynamic Portfolio Limited	December	16-May-16
49	ECL Asset Management Limited	December	16-May-16
50	EDC Securities Limited	December	16-May-16
51	Edgefield Capital Management Limited	December	16-May-16

52	EFCP Limited	December	16-May-16
53	Elixir Securities Limited	December	16-May-16
54	Enterprise Stockbrokers Limited	December	16-May-16
55	Equity Capital Solutions Limited	December	16-May-16
56	Eurocomm Securities Limited	December	16-May-16
57	Express Discount Asset Management Limited	December	16-May-16
58	Express Portfolio Services Limited	December	16-May-16
59	FBN Securities Limited	December	16-May-16
60	FCSL Asset Management Company Limited	December	16-May-16
61	Fidelity Finance Company Limited	December	16-May-16
62	Fidelity Securities Limited	December	16-May-16
63	Financial & Analytics Capial Limited	December	16-May-16
64	Financial Trust Company Limited	December	16-May-16
65	Finmal Finance Services Limited	December	16-May-16
66	First Integrated Capital Manangement Limited	December	16-May-16
67	First Stockbrokers Limited	December	16-May-16
68	FIS Securities Limited	December	16-May-16
69	Foresight Securities Limited	December	16-May-16
70	Forte Financial Limited	December	16-May-16
71	Forthright Securities & Investments Limited	December	16-May-16
72	Fortress Capital Limited	December	16-May-16
73	FSDH Securities Limited	December	16-May-16
74	Funds Matrix & Assets Mgt Limited	December	16-May-16
75	Futureview Securities Limited	December	16-May-16
76	Gidauniya Investment & Securities Limited	December	16-May-16
77	Global Asset Management (Nig) Limited	December	16-May-16
78	GlobalView Capital Limited	December	16-May-16
79	Golden Securities Limited	December	16-May-16
80	Greenwich Securities Limited	December	16-May-16
81	Gruene Capital Limited	December	16-May-16
82	GTI Securities Limited	December	16-May-16
83	Harmony Securities Limited	December	16-May-16
84	Heartbeat Investment Limited	December	16-May-16
85	Hedge Securities & Investment Limited	December	16-May-16
86	Heritage Capital Markets Limited	December	16-May-16
87	ICMG securities Limited	December	16-May-16
88	Icon Stockbrokers Limited	December	16-May-16
89	Imperial Assets Managers Limited	December	16-May-16
90	Independent Securities Limited	December	16-May-16
91	Integrated Trust & Investment Limited	December	16-May-16
92	Interstate Securities Limited	December	16-May-16
93	Investment Centre Limited	December	16-May-16
94	Investment One Stockbrokers International Limited	December	16-May-16
95	Investors & Trust Company Limited	December	16-May-16
96	Kapital Care Trust & Securities Limited	December	16-May-16
97	Kedari Capital Limited	December	16-May-16
98	Kinley Securities Limited	December	16-May-16
99	Kofana Securities & Investment Limited	December	16-May-16
100	Kundila Finance Services Limited	December	16-May-16
101	Lambeth Trust & Investment Company Limited	December	16-May-16
102	FBNQuest Securities Limited	December	16-May-16
103	Lighthouse Asset Management Limited	December	16-May-16
104	Long Term Global Capital Limited	December	16-May-16
105	Arian Capital Management Limited	December	16-May-16
106	Bestlink Investment Limited	December	16-May-16
107	Cradle Trust Finance & Securities Limited	December	16-May-16
108	Magnartis Finance & Investment Limited	December	16-May-16
109	Mainstreet Bank Securities Limited	December	16-May-16
110	Marimpex Finance & Investment Company Limited	December	16-May-16

111	Marriot Securities & Investment Company Limited	December	16-May-16
112	Maven Asset Management Limited	December	16-May-16
113	Maxifund Investment & Securities Plc	December	16-May-16
114	MBC Securities Limited	December	16-May-16
115	MBL Financial Services Limited	December	16-May-16
116	Mega Equities Limited	December	16-May-16
117	Meristem Stockbrokers Limited	December	16-May-16
118	Midas Stockbrokers Limited	December	16-May-16
119	Milestone Capital Management Limited	December	16-May-16
120	Mission Securities Limited	December	16-May-16
121	FBC Trust & Securities Limited	December	16-May-16
122	Molten Trust Limited	December	16-May-16
123	Morgan Capital Securities Limited	December	16-May-16
124	Mountain Investment & Securities Limited	December	16-May-16
125	Mutual Alliance Investment & Securities Limited	December	16-May-16
126	Network Capital Limited	December	16-May-16
127	Networth Securities & Finance Limited	December	16-May-16
128	Newdevco Investment & Securities Company Limited	December	16-May-16
129	Nigerian International Securities Ltd	December	16-May-16
130	Nigerian Stockbrokers Limited	December	16-May-16
131	Nova Finance & Securities Limited	December	16-May-16
132	PAC Securities Limited	December	16-May-16
133	Partnership Securities Limited	December	16-May-16
134	Peace Capital Markets Ltd	December	16-May-16
135	Phronesis Securities Limited	December	16-May-16
136	Pilot Securities Limited	December	16-May-16
137	Pinefields Investment Services Limited	December	16-May-16
138	PIPC Securities Limited	December	16-May-16
139	Pivot Capital Limited	December	16-May-16
140	Perfecta Investment & Trust Limited	December	16-May-16
141	Planet Capital Limited	December	16-May-16
142	Portfolio Advisers Limited	December	16-May-16
143	Primera Africa Securities Limited	December	16-May-16
144	Primewealth Capital Limited	December	16-May-16
145	Prominent Securities Limited	December	16-May-16
146	PSI Securities Limited	December	16-May-16
147	Pyramid Securities Limited	December	16-May-16
148	Quantum Securities Limited	December	16-May-16
149	Rainbow Securities Limited	December	16-May-16
150	Readings Investment Limited	December	16-May-16
151	Regency Asset Management Limited	December	16-May-16
152	Rencap Securities (Nig) Limited	December	16-May-16
153	Reward Investment & Service Limited	December	16-May-16
154	Rostrum Investment & Sec Limited	December	16-May-16
155	Royal Guaranty & Trust Limited	December	16-May-16
156	Royal Trust Securities Limited	December	16-May-16
157	Santrust Securities Limited	December	16-May-16
158	Securities Africa Financial Limited	December	16-May-16
159	Securities and Capital Management Company Limited	December	16-May-16
160	Security Swaps Limited	December	16-May-16
161	SFC Securities Limited	December	16-May-16
162	Shelong Investments Limited	December	16-May-16
163	Sigma Securities Limited	December	16-May-16
164	Signet Investment & Securities Limited	December	16-May-16
165	Skyview Capital Ltd	December	16-May-16
166	Smadac Securities Limited	December	16-May-16
167	Solid Rock Securities & Investment Plc	December	16-May-16
168	Spring Trust & Securities Limited	December	16-May-16
169	Springboard Trust & Investment Limited	December	16-May-16

170	Stanbic IBTC Stockbrokers Limited	December	16-May-16
171	Standard Alliance Capital Asset Mgt Limited	December	16-May-16
172	Standard Union Securities Limited	December	16-May-16
173	TFS Securities & Investment Company Limited	December	16-May-16
174	The Bridge Securities Limited	December	16-May-16
175	Tiddo Securities Limited	December	16-May-16
176	Tomil Trust Limited	December	16-May-16
177	Topmost Finance & Investment Limited	December	16-May-16
178	Tower Asset Management Limited	December	16-May-16
179	Tower Securities & Investment Company Limited	December	16-May-16
180	Trade Link Securities Limited	December	16-May-16
181	Traders Trust & Investment Co. Limited	December	16-May-16
182	Transworld Investment & Securities Limited	December	16-May-16
183	Trust Yield Securities Limited	December	16-May-16
184	Trustbanc Capital Management limited	December	16-May-16
185	Trusthouse Investment Limited	December	16-May-16
186	TRW Stockbrokers Limited	December	16-May-16
187	Tyndale Securities Limited	December	16-May-16
188	Unex Capital Limited	December	16-May-16
189	Union Capital Markets Limited	December	16-May-16
190	United Capital Securities Limited	December	16-May-16
191	Valmon Securities Limited	December	16-May-16
192	Valueline Securities & Investment Limited	December	16-May-16
193	Vetiva Securities Limited	December	16-May-16
194	Woodland Capital Markets Plc	December	16-May-16
195	WSTC Financial Services Limited	December	16-May-16
196	Yuderb Investment & Securities Limited	December	16-May-16
197	Zenith Securities Limited	December	16-May-16
198	Zion Securities Limited	December	16-May-16

	INACTIVE AND SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	Anchorage Securities & Finance Limited	December	16-May-16
2	Falcon Securities Limited	December	16-May-16
3	Gem Assets Management Limited	December	16-May-16
4	Investment Shark & Asset Management Limited	December	16-May-16
5	Mayfield Investment Limited	December	16-May-16
6	Options Securities Limited	December	16-May-16
7	Rowet Capital Management Limited	December	16-May-16
8	Royal Crest Finance Limited	December	16-May-16
9	Summa Guaranty & Trust Company Limited	December	16-May-16
10	Supra Commercial Trust Company Limited	December	16-May-16
11	Vision Trust & Investment Limited	December	16-May-16

NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS			
S/N	**FIRMS**	**Year End**	**Due date**
1	El-Elyon Alliance and Securities Ltd	December	16-May-16

ACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Transafrica Financial Services Limited	December	16-May-16

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	16-May-16
2	Aims Asset Management Limited	December	16-May-16
3	Bauchi Investment Corp. Sec Limited	December	16-May-16

4	Bytofel Trust & Securities Limited	December	16-May-16
5	Cadington Securities Limited	December	16-May-16
6	CEB Securities Limited	December	16-May-16
7	Crane Securities Limited	December	16-May-16
8	Excel Securities Limited	December	16-May-16
9	Finbank Securities & Assets Management Limited	December	16-May-16
10	First Alstate Securities Limited	December	16-May-16
11	GMT Securities & Asset Mangement Limited	December	16-May-16
12	Gombe Securities Limited	December	16-May-16
13	Horizon Stockbrokers Limited	December	16-May-16
14	International Standard Securities Limited	December	16-May-16
15	ITIS Securities Limited	December	16-May-16
16	Kakawa Asset Management Limited	December	16-May-16
17	LB Securities Limited	December	16-May-16
18	LMB Stockbrokers Limited	December	16-May-16
19	Mact Securities Limited	December	16-May-16
20	Mainland Trust Limited	December	16-May-16
21	Mercov Securities Limited	December	16-May-16
22	ML Securities Limited	December	16-May-16
23	Monument Sec & Finance Limited	December	16-May-16
24	Profund Securities Limited	December	16-May-16
25	Resano Securities Limited	December	16-May-16
26	Resort Securities & Trust Limited	December	16-May-16
27	Shalom Investment & Financial Services Limited	December	16-May-16
28	Stanwal Securities Limited	December	16-May-16
29	UIDC Securities Limited	December	16-May-16
30	Waila Securities and Funds Limited	December	16-May-16
31	Wema Asset Management Limited	December	16-May-16

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2016

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	15-Aug-16
2	African Alliance Stockbrokers Limited	December	15-Aug-16
3	Afrinvest Securities Limited	December	15-Aug-16
4	Amyn Investment Limited	December	15-Aug-16
5	Anchoria Investment & Securities Limited	December	15-Aug-16
6	Apel Asset Limited	December	15-Aug-16
7	Apt Securities & Funds Limited	December	15-Aug-16
8	ARM Securities Limited	December	15-Aug-16
9	Arthur Stevens Asset Management Limited	December	15-Aug-16
10	Associated Asset Managers Limited	December	15-Aug-16
11	Belfry Investment & Securities Limited	December	15-Aug-16
12	Bestworth Assets & Trust Limited	December	15-Aug-16
13	Calyx Securities Limited	December	15-Aug-16
14	Camry Securities Limited	December	15-Aug-16
15	Capital Assets Limited	December	15-Aug-16
16	Capital Bancorp Plc	December	15-Aug-16
17	Capital Express Securities Limited	December	15-Aug-16
18	Capital Trust Brokers Limited	December	15-Aug-16
19	Cardinal Stone Securities Ltd	December	15-Aug-16
20	Cashcraft Securities Limited	December	15-Aug-16
21	Cashville Investment & Securities Limited	December	15-Aug-16
22	CDL Capital Markets Limited	December	15-Aug-16
23	Centre Point Investment Limited	December	15-Aug-16
24	Century Securities Limited	December	15-Aug-16
25	Chapel Hill Denham Securities Limited	December	15-Aug-16
26	Chartwell Securities Limited	December	15-Aug-16
27	Citi Investment Capital Limited	December	15-Aug-16
28	City Code Trust & Investment Company Limited	December	15-Aug-16
29	Clearview Investment & Securities Limited	December	15-Aug-16
30	Compass Investment & Securities Limited	December	15-Aug-16
31	Cordros Capital Limited	December	15-Aug-16
32	Core Trust & Investment Limited	December	15-Aug-16
33	Coronation Securities Limited	December	15-Aug-16
34	Cowry Securities Limited	December	15-Aug-16
35	Crossworld Securities Limited	December	15-Aug-16
36	Crown Capital Limited	December	15-Aug-16
37	CSL Stockbrokers Limited	December	15-Aug-16
38	Deep Trust & Investment Limited	December	15-Aug-16
39	Delords Securities Limited	December	15-Aug-16
40	DSU Brokerage Services Limited	December	15-Aug-16
41	Dunbell Securities Limited	December	15-Aug-16
42	Dunn Loren Merrifield Securities Limited	December	15-Aug-16
43	EDC Securities Limited	December	15-Aug-16
44	Edgefield Capital Management Limited	December	15-Aug-16
45	EFCP Limited	December	15-Aug-16
46	El-Elyon Alliance and Securities Ltd	December	15-Aug-16

47	Elixir Securities Limited	December	15-Aug-16
48	Enterprise Stockbrokers Limited	December	15-Aug-16
49	Equity Capital Solutions Limited	December	15-Aug-16
50	Eurocomm Securities Limited	December	15-Aug-16
51	Express Discount Asset Management Limited	December	15-Aug-16
52	Express Portfolio Services Limited	December	15-Aug-16
53	Falcon Securities Limited	December	15-Aug-16
54	FBN Securities Limited	December	15-Aug-16
55	FCSL Asset Management Company Limited	December	15-Aug-16
56	Fidelity Finance Company Limited	December	15-Aug-16
57	Fidelity Securities Limited	December	15-Aug-16
58	Financial & Analytics Capial Limited	December	15-Aug-16
59	Financial Trust Company Limited	December	15-Aug-16
60	Finmal Finance Services Limited	December	15-Aug-16
61	First Integrated Capital Manangement Limited	December	15-Aug-16
62	Standard Alliance Capital Asset Mgt Limited	December	15-Aug-16
63	Summa Guaranty & Trust Company Limited	December	15-Aug-16
64	First Stockbrokers Limited	December	15-Aug-16
65	Foresight Securities Limited	December	15-Aug-16
66	Forte Financial Limited	December	15-Aug-16
67	Forthright Securities & Investments Limited	December	15-Aug-16
68	Fortress Capital Limited	December	15-Aug-16
69	FSDH Securities Limited	December	15-Aug-16
70	Funds Matrix & Assets Mgt Limited	December	15-Aug-16
71	Futureview Securities Limited	December	15-Aug-16
72	Gidauniya Investment & Securities Limited	December	15-Aug-16
73	Global Asset Management (Nig) Limited	December	15-Aug-16
74	Golden Securities Limited	December	15-Aug-16
75	Greenwich Securities Limited	December	15-Aug-16
76	Gruene Capital Limited	December	15-Aug-16
77	GTI Securities Limited	December	15-Aug-16
78	Harmony Securities Limited	December	15-Aug-16
79	Heartbeat Investment Limited	December	15-Aug-16
80	Hedge Securities & Investment Limited	December	15-Aug-16
81	Heritage Capital Markets Limited	December	15-Aug-16
82	ICMG securities Limited	December	15-Aug-16
83	Icon Stockbrokers Limited	December	15-Aug-16
84	Imperial Assets Managers Limited	December	15-Aug-16
85	Interstate Securities Limited	December	15-Aug-16
86	Investment One Stockbrokers International Limited	December	15-Aug-16
87	Investors & Trust Company Limited	December	15-Aug-16
88	Kapital Care Trust & Securities Limited	December	15-Aug-16
89	Kedari Capital Limited	December	15-Aug-16
90	Kinley Securities Limited	December	15-Aug-16
91	Kofana Securities & Investment Limited	December	15-Aug-16
92	Lambeth Trust & Investment Company Limited	December	15-Aug-16
93	Lead Securities & Investment Limited	December	15-Aug-16
94	Lighthouse Asset Management Limited	December	15-Aug-16
95	Long Term Global Capital Limited	December	15-Aug-16
96	Magnartis Finance & Investment Limited	December	15-Aug-16
97	Mainland Trust Limited	December	15-Aug-16
98	Mainstreet Bank Securities Limited	December	15-Aug-16
99	Marriot Securities & Investment Company Limited	December	15-Aug-16
100	Maxifund Investment & Securities Plc	December	15-Aug-16
101	MBC Securities Limited	December	15-Aug-16

102	MBL Financial Services Limited	December	15-Aug-16
103	FBNQuest Securities Limited	December	15-Aug-16
104	Meristem Stockbrokers Limited	December	15-Aug-16
105	Midas Stockbrokers Limited	December	15-Aug-16
106	Milestone Capital Management Limited	December	15-Aug-16
107	Mission Securities Limited	December	15-Aug-16
108	Molten Trust Limited	December	15-Aug-16
109	Morgan Capital Securities Limited	December	15-Aug-16
110	Mountain Investment & Securities Limited	December	15-Aug-16
111	Mutual Alliance Investment & Securities Limited	December	15-Aug-16
112	Network Capital Limited	December	15-Aug-16
113	Networth Securities & Finance Limited	December	15-Aug-16
114	Newdevco Investment & Securities Company Limited	December	15-Aug-16
115	Nigerian International Securities Ltd	December	15-Aug-16
116	Nigerian Stockbrokers Limited	December	15-Aug-16
117	Nova Finance & Securities Limited	December	15-Aug-16
118	PAC Securities Limited	December	15-Aug-16
119	Partnership Securities Limited	December	15-Aug-16
120	Peace Capital Markets Ltd	December	15-Aug-16
121	Phronesis Securities Limited	December	15-Aug-16
122	Pilot Securities Limited	December	15-Aug-16
123	Pinefields Investment Services Limited	December	15-Aug-16
124	PIPC Securities Limited	December	15-Aug-16
125	Pivot Capital Limited	December	15-Aug-16
126	Planet Capital Limited	December	15-Aug-16
127	Portfolio Advisers Limited	December	15-Aug-16
128	Primera Africa Securities Limited	December	15-Aug-16
129	Primewealth Capital Limited	December	15-Aug-16
130	Prominent Securities Limited	December	15-Aug-16
131	PSI Securities Limited	December	15-Aug-16
132	Pyramid Securities Limited	December	15-Aug-16
133	Qualinvest Capital Limited	December	15-Aug-16
134	Quantum Securities Limited	December	15-Aug-16
135	Rainbow Securities Limited	December	15-Aug-16
136	Readings Investment Limited	December	15-Aug-16
137	Regency Asset Management Limited	December	15-Aug-16
138	Rencap Securities (Nig) Limited	December	15-Aug-16
139	Resano Securities Limited	December	15-Aug-16
140	Reward Investment & Service Limited	December	15-Aug-16
141	Rostrum Investment & Sec Limited	December	15-Aug-16
142	Royal Guaranty & Trust Limited	December	15-Aug-16
143	Royal Trust Securities Limited	December	15-Aug-16
144	Santrust Securities Limited	December	15-Aug-16
145	Securities Africa Financial Limited	December	15-Aug-16
146	Securities and Capital Management Company Limited	December	15-Aug-16
147	Security Swaps Limited	December	15-Aug-16
148	SFC Securities Limited	December	15-Aug-16
149	Shelong Investments Limited	December	15-Aug-16
150	Sigma Securities Limited	December	15-Aug-16
151	Signet Investment & Securities Limited	December	15-Aug-16
152	Skyview Capital Ltd	December	15-Aug-16
153	Solid Rock Securities & Investment Plc	December	15-Aug-16
154	Spring Trust & Securities Limited	December	15-Aug-16
155	Springboard Trust & Investment Limited	December	15-Aug-16
156	Stanbic IBTC Stockbrokers Limited	December	15-Aug-16

157	Standard Union Securities Limited	December	15-Aug-16
158	TFS Securities & Investment Company Limited	December	15-Aug-16
159	The Bridge Securities Limited	December	15-Aug-16
160	Tiddo Securities Limited	December	15-Aug-16
161	Topmost Finance & Investment Limited	December	15-Aug-16
162	Tower Securities & Investment Company Limited	December	15-Aug-16
163	Trade Link Securities Limited	December	15-Aug-16
164	Traders Trust & Investment Co. Limited	December	15-Aug-16
165	Transworld Investment & Securities Limited	December	15-Aug-16
166	Trust Yield Securities Limited	December	15-Aug-16
167	Trustbanc Capital Management limited	December	15-Aug-16
168	Trusthouse Investment Limited	December	15-Aug-16
169	TRW Stockbrokers Limited	December	15-Aug-16
170	Tyndale Securities Limited	December	15-Aug-16
171	Unex Capital Limited	December	15-Aug-16
172	Union Capital Markets Limited	December	15-Aug-16
173	United Capital Securities Limited	December	15-Aug-16
174	Valmon Securities Limited	December	15-Aug-16
175	Valueline Securities & Investment Limited	December	15-Aug-16
176	Vetiva Securities Limited	December	15-Aug-16
177	Woodland Capital Markets Plc	December	15-Aug-16
178	WSTC Financial Services Limited	December	15-Aug-16
179	Zenith Securities Limited	December	15-Aug-16
180	Zion Securities Limited	December	15-Aug-16

	INACTIVE AND SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	Anchorage Securities & Finance Limited	December	15-Aug-16
2	Arian Capital Management Limited	December	15-Aug-16
3	Bestlink Investment Limited	December	15-Aug-16
4	Cradle Trust Finance & Securities Limited	December	15-Aug-16
5	FBC Trust & Securities Limited	December	15-Aug-16
6	Gem Assets Management Limited	December	15-Aug-16
7	Horizon Stockbrokers Limited	December	15-Aug-16
8	Investment Shark & Asset Management Limited	December	15-Aug-16
9	Marimpex Finance & Investment Company Limited	December	15-Aug-16
10	Mayfield Investment Limited	December	15-Aug-16
11	Options Securities Limited	December	15-Aug-16
12	Resort Securities & Trust Limited	December	15-Aug-16
13	Shalom Investment & Financial Services Limited	December	15-Aug-16
14	Yuderb Investment & Securities Limited	December	15-Aug-16

NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS			
S/N	**FIRMS**	**Year End**	**Due date**
1	Helix Securities Limited	December	15-Aug-16
2	Fundvine Capital & Securities Limited	December	15-Aug-16

ACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Alangrange Securities Limited	December	15-Aug-16
2	Atlass Portfolio Limited	December	15-Aug-16
3	Dependable Securities Limited	December	15-Aug-16
4	Diamond Securities Limited	December	15-Aug-16
5	Dominion Trust Limited	December	15-Aug-16

6	ECL Asset Management Limited	December	15-Aug-16
7	FIS Securities Limited	December	15-Aug-16
8	GlobalView Capital Limited	December	15-Aug-16
9	Integrated Trust & Investment Limited	December	15-Aug-16
10	Investment Centre Limited	December	15-Aug-16
11	Kundila Finance Services Limited	December	15-Aug-16
12	Smadac Securities Limited	December	15-Aug-16
13	Tomil Trust Limited	December	15-Aug-16
14	Tower Asset Management Limited	December	15-Aug-16
15	Transafrica Financial Services Limited	December	15-Aug-16

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	15-Aug-16
2	Aims Asset Management Limited	December	15-Aug-16
3	Bauchi Investment Corp. Sec Limited	December	15-Aug-16
4	Bytofel Trust & Securities Limited	December	15-Aug-16
5	Cadington Securities Limited	December	15-Aug-16
6	CEB Securities Limited	December	15-Aug-16
7	Crane Securities Limited	December	15-Aug-16
8	Excel Securities Limited	December	15-Aug-16
9	Finbank Securities & Assets Management Limited	December	15-Aug-16
10	First Alstate Securities Limited	December	15-Aug-16
11	GMT Securities & Asset Mangement Limited	December	15-Aug-16
12	Gombe Securities Limited	December	15-Aug-16
13	International Standard Securities Limited	December	15-Aug-16
14	ITIS Securities Limited	December	15-Aug-16
15	Kakawa Asset Management Limited	December	15-Aug-16
16	LB Securities Limited	December	15-Aug-16
17	LMB Stockbrokers Limited	December	15-Aug-16
18	Mact Securities Limited	December	15-Aug-16
19	Maven Asset Management Limited	December	15-Aug-16
20	Mercov Securities Limited	December	15-Aug-16
21	ML Securities Limited	December	15-Aug-16
22	Monument Sec & Finance Limited	December	15-Aug-16
23	Perfecta Investment & Trust Limited	December	15-Aug-16
24	Profund Securities Limited	December	15-Aug-16
25	Rowet Capital Management Limited	December	15-Aug-16
26	Royal Crest Finance Limited	December	15-Aug-16
27	Stanwal Securities Limited	December	15-Aug-16
28	Supra Commercial Trust Company Limited	December	15-Aug-16
29	UIDC Securities Limited	December	15-Aug-16
30	Vision Trust & Investment Limited	December	15-Aug-16
31	Waila Securities and Funds Limited	December	15-Aug-16
32	Wema Asset Management Limited	December	15-Aug-16

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2016

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	14-Nov-16
2	African Alliance Stockbrokers Limited	December	14-Nov-16
3	Afrinvest Securities Limited	December	14-Nov-16
4	Amyn Investment Limited	December	14-Nov-16
5	Anchoria Investment & Securities Limited	December	14-Nov-16
6	Apel Asset Limited	December	14-Nov-16
7	Apt Securities & Funds Limited	December	14-Nov-16
8	ARM Securities Limited	December	14-Nov-16
9	Arthur Stevens Asset Management Limited	December	14-Nov-16
10	Associated Asset Managers Limited	December	14-Nov-16
11	Atlass Portfolio Limited	December	14-Nov-16
12	Belfry Investment & Securities Limited	December	14-Nov-16
13	Bestlink Investment Limited	December	14-Nov-16
14	Bestworth Assets & Trust Limited	December	14-Nov-16
15	Calyx Securities Limited	December	14-Nov-16
16	Camry Securities Limited	December	14-Nov-16
17	Capital Asset Limited	December	14-Nov-16
18	Capital Bancorp Plc	December	14-Nov-16
19	Capital Express Securities Limited	December	14-Nov-16
20	Capital Trust Brokers Limited	December	14-Nov-16
21	Cardinal Stone Securities Ltd	December	14-Nov-16
22	Cashcraft Securities Limited	December	14-Nov-16
23	Cashville Investment & Securities Limited	December	14-Nov-16
24	CDL Capital Markets Limited	December	14-Nov-16
25	Century Securities Limited	December	14-Nov-16
26	Chapel Hill Denham Securities Limited	December	14-Nov-16
27	Chartwell Securities Limited	December	14-Nov-16
28	Citi Investment Capital Limited	December	14-Nov-16
29	City Code Trust & Investment Company Limited	December	14-Nov-16
30	Clearview Investments Company Limited	December	14-Nov-16
31	Compass Investment & Securities Limited	December	14-Nov-16
32	Cordros Capital Limited	December	14-Nov-16
33	Core Trust & Investment Limited	December	14-Nov-16
34	Coronation Securities Limited	December	14-Nov-16
35	Cowry Securities Limited	December	14-Nov-16
36	Crane Securities Limited	December	14-Nov-16
37	Crossworld Securities Limited	December	14-Nov-16
38	Crown Capital Limited	December	14-Nov-16
39	CSL Stockbrokers Limited	December	14-Nov-16
40	Deep Trust Investment Limited	December	14-Nov-16
41	Delords Securities Limited	December	14-Nov-16
42	Diamond Securities Limited	December	14-Nov-16
43	DSU Brokerage Services Limited	December	14-Nov-16
44	Dunbell Securities Limited	December	14-Nov-16
45	Dunn Loren Merrifield Securities Limited	December	14-Nov-16
46	Dynamic Portfolio Limited	December	14-Nov-16

47	EDC Securities Limited	December	14-Nov-16
48	Edgefield Capital Management Limited	December	14-Nov-16
49	EFCP Limited	December	14-Nov-16
50	El-Elyon Alliance and Securities Ltd	December	14-Nov-16
51	Elixir Securities Limited	December	14-Nov-16
52	Enterprise Stockbrokers Limited	December	14-Nov-16
53	Equity Capital Solutions Limited	December	14-Nov-16
54	Eurocomm Securities Limited	December	14-Nov-16
55	Express Discount Asset Management Limited	December	14-Nov-16
56	Express Portfolio Services Limited	December	14-Nov-16
57	Falcon Securities Limited	December	14-Nov-16
58	FBC Trust & Securities Limited	December	14-Nov-16
59	FBN Securities Limited	December	14-Nov-16
60	FCSL Asset Management Company Limited	December	14-Nov-16
61	Fidelity Finance Company Limited	December	14-Nov-16
62	Dependable Securities Limited	December	14-Nov-16
63	FIS Securities Limited	December	14-Nov-16
64	Fidelity Securities Limited	December	14-Nov-16
65	Financial & Analytics Capital Limited	December	14-Nov-16
66	Financial Trust Company Limited	December	14-Nov-16
67	GTI Securities Limited	December	14-Nov-16
68	Harmony Securities Limited	December	14-Nov-16
69	Heartbeat Investment Limited	December	14-Nov-16
70	Hedge Securities & Investment Limited	December	14-Nov-16
71	Heritage Capital Markets Limited	December	14-Nov-16
72	ICMG securities Limited	December	14-Nov-16
73	Icon Stockbrokers Limited	December	14-Nov-16
74	Imperial Assets Managers Limited	December	14-Nov-16
75	Integrated Trust & Investment Limited	December	14-Nov-16
76	Interstate Securities Limited	December	14-Nov-16
77	Investment One Stockbrokers International Limited	December	14-Nov-16
78	Investors & Trust Company Limited	December	14-Nov-16
79	Kapital Care Trust & Securities Limited	December	14-Nov-16
80	Kedari Capital Limited	December	14-Nov-16
81	Kinley Securities Limited	December	14-Nov-16
82	Kofana Securities & Investment Limited	December	14-Nov-16
83	Kundila Finance Services Limited	December	14-Nov-16
84	Lambeth Trust & Investment Company Limited	December	14-Nov-16
85	Lead Securities & Investment Limited	December	14-Nov-16
86	Lighthouse Asset Management Limited	December	14-Nov-16
87	Longterm Global Capital Limited	December	14-Nov-16
88	Magnartis Finance & Investment Limited	December	14-Nov-16
89	Mainland Trust Limited	December	14-Nov-16
90	Mainstreet Bank Securities Limited	December	14-Nov-16
91	Marriot Securities & Investment Co. Limited	December	14-Nov-16
92	Maxifund Investment & Securities Plc	December	14-Nov-16
93	Mayfield Investment Limited	December	14-Nov-16
94	MBC Securities Limited	December	14-Nov-16
95	MBL Financial Services Limited	December	14-Nov-16
96	Mega Equities Limited	December	14-Nov-16
97	Meristem Stockbrokers Limited	December	14-Nov-16
98	Midas Stockbrokers Limited	December	14-Nov-16
99	Milestone Capital Management Limited	December	14-Nov-16
100	Mission Securities Limited	December	14-Nov-16
101	Molten Trust Limited	December	14-Nov-16

102	Morgan Capital Securities Limited	December	14-Nov-16
103	FBNQuest Securities Limited	December	14-Nov-16
104	Network Capital Limited	December	14-Nov-16
105	Newdevco Investments & Securties Limited	December	14-Nov-16
106	Partnership Securities Limited	December	14-Nov-16
107	Peace Capital Markets Ltd	December	14-Nov-16
108	Tomil Trust Limited	December	14-Nov-16
109	Nigerian International Securities Ltd	December	14-Nov-16
110	Nigerian Stockbrokers Limited	December	14-Nov-16
111	Nova Finance & Securities Limited	December	14-Nov-16
112	PAC Securities Limited	December	14-Nov-16
113	Phronesis Securities Limited	December	14-Nov-16
114	Pilot Securities Limited	December	14-Nov-16
115	Pinefields Investment Services Limited	December	14-Nov-16
116	PIPC Securities Limited	December	14-Nov-16
117	Pivot Capital Limited	December	14-Nov-16
118	Planet Capital Limited	December	14-Nov-16
119	Portfolio Advisers Limited	December	14-Nov-16
120	Primera Africa Securities Limited	December	14-Nov-16
121	Primewealth Capital Limited	December	14-Nov-16
122	Prominent Securities Limited	December	14-Nov-16
123	PSI Securities Limited	December	14-Nov-16
124	Pyramid Securities Limited	December	14-Nov-16
125	Qualinvest Capital Limited	December	14-Nov-16
126	Quantum Securities Limited	December	14-Nov-16
127	Rainbow Securities & Investment Company Limited	December	14-Nov-16
128	Readings Investment Limited	December	14-Nov-16
129	Regency Asset Management Limited	December	14-Nov-16
130	Rencap Securities (Nig) Limited	December	14-Nov-16
131	Reward Investment & Service Limited	December	14-Nov-16
132	RMB Nigeria Stockbrokers Limited	December	14-Nov-16
133	Rostrum Investment & Sec Limited	December	14-Nov-16
134	Royal Crest Finance Limited	December	14-Nov-16
135	Royal Guaranty & Trust Limited	December	14-Nov-16
136	Royal Trust Securities Limited	December	14-Nov-16
137	Santrust Securities Limited	December	14-Nov-16
138	Securities Africa Financial Limited	December	14-Nov-16
139	Securities and Capital Management Company Limited	December	14-Nov-16
140	Security Swaps Limited	December	14-Nov-16
141	SFC Securities Limited	December	14-Nov-16
142	Shelong Investments Limited	December	14-Nov-16
143	Sigma Securities Limited	December	14-Nov-16
144	Signet Investment & Securities Limited	December	14-Nov-16
145	Skyview Capital Ltd	December	14-Nov-16
146	Smadac Securities Limited	December	14-Nov-16
147	Solid Rock Securities & Investment Plc	December	14-Nov-16
148	Spring Trust & Securities Limited	December	14-Nov-16
149	Springboard Trust & Investment Limited	December	14-Nov-16
150	Stanbic IBTC Stockbrokers Limited	December	14-Nov-16
151	Standard Alliance Capital Asset Mgt Limited	December	14-Nov-16
152	Standard Union Securities Limited	December	14-Nov-16
153	TFS Securities & Investment Company Limited	December	14-Nov-16
154	The Bridge Securities Limited	December	14-Nov-16
155	Tiddo Securities Limited	December	14-Nov-16
156	Topmost Securities Limited	December	14-Nov-16

157	Tower Securities & Investment Company Limited	December	14-Nov-16
158	Trade Link Securities Limited	December	14-Nov-16
159	Traders Trust & Investment Co. Limited	December	14-Nov-16
160	Transworld Investment Limited	December	14-Nov-16
161	Trust Yield Securities Limited	December	14-Nov-16
162	TrustBanc Capital Management Limited	December	14-Nov-16
163	Trusthouse Investment Limited	December	14-Nov-16
164	TRW Stockbrokers Limited	December	14-Nov-16
165	Tyndale Securities Limited	December	14-Nov-16
166	Unex Capital Limited	December	14-Nov-16
167	Union Capital Markets Limited	December	14-Nov-16
168	United Capital Securities Limited	December	14-Nov-16
169	Valmon Securities Limited	December	14-Nov-16
170	Valueline Securities & Investment Limited	December	14-Nov-16
171	Vetiva Securities Limited	December	14-Nov-16
172	Woodland Capital Markets Plc	December	14-Nov-16
173	WSTC Financial Services Limited	December	14-Nov-16
174	Zenith Securities Limited	December	14-Nov-16
175	Zion Stockbrokers & Securities Limited	December	14-Nov-16

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Anchorage Securities & Finance Limited	December	14-Nov-16
2	Gem Assets Management Limited	December	14-Nov-16
3	Horizon Stockbrokers Limited	December	14-Nov-16
4	Investment Shark & Asset Management Limited	December	14-Nov-16
5	Marimpex Finance & Investment Company Limited	December	14-Nov-16
6	Mutual Alliance Investment & Securities Limited	December	14-Nov-16
7	Options Securities Limited	December	14-Nov-16
8	Resort Securities & Trust Limited	December	14-Nov-16
9	Shalom Investment & Financial Services Limited	December	14-Nov-16
10	Summa Guaranty & Trust Company Limited	December	14-Nov-16

NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS			
S/N	FIRMS	Year End	Due date
1	Fundvine Capital & Securities Limited	December	14-Nov-16
2	Helix Securities Limited	December	14-Nov-16

ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Alangrange Securities Limited	December	14-Nov-16
2	Centre Point Investment Limited	December	14-Nov-16
3	Gidauniya Investment & Securities Limited	December	14-Nov-16
4	Investment Centre Limited	December	14-Nov-16
5	Networth Securities & Finance Limited	December	14-Nov-16
6	Tower Asset Management Limited	December	14-Nov-16

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	14-Nov-16
2	Aims Asset Management Limited	December	14-Nov-16
3	Arian Capital Management Limited	December	14-Nov-16
4	Bauchi Investment Corp. Sec Limited	December	14-Nov-16
5	Bytofel Securities and Investment Limited	December	14-Nov-16
6	Cadington Securities Limited	December	14-Nov-16
7	CEB Securities Limited	December	14-Nov-16
8	Covenant Securities & Asset Management Limited	December	14-Nov-16
9	Cradle Trust Finance & Securities Limited	December	14-Nov-16
10	ECL Asset Management Limited	December	14-Nov-16
11	Excel Securities Limited	December	14-Nov-16
12	Finbank Securities & Assets Management Limited	December	14-Nov-16
13	First Alstate Securities Limited	December	14-Nov-16
14	GMT Securities & Asset Mangement Limited	December	14-Nov-16
15	Gombe Securities Limited	December	14-Nov-16
16	International Standard Securities Limited	December	14-Nov-16
17	ITIS Securities Limited	December	14-Nov-16
18	Kakawa Asset Management Limited	December	14-Nov-16
19	LB Securities Limited	December	14-Nov-16
20	Lion Stockbrokers Limited	December	14-Nov-16
21	LMB Stockbrokers Limited	December	14-Nov-16
22	Mact Securities Limited	December	14-Nov-16
23	Maven Asset Management Limited	December	14-Nov-16
24	Mercov Securities Limited	December	14-Nov-16

25	Midpoint Capital Limited	December	14-Nov-16
26	ML Securities Limited	December	14-Nov-16
27	Monument Sec & Finance Limited	December	14-Nov-16
28	Northbridge Investment & Trust Limited	December	14-Nov-16
29	Perfecta Investment & Trust Limited	December	14-Nov-16
30	PML Securities & Company Limited	December	14-Nov-16
31	Professional Stockbrokers Limited	December	14-Nov-16
32	Profund Securities Limited	December	14-Nov-16
33	Redasel Investments Limited	December	14-Nov-16
34	Resano Securities Limited	December	14-Nov-16
35	Rowet Capital Management Limited	December	14-Nov-16
36	Surport Services Limited	December	14-Nov-16
37	Stanwal Securities Limited	December	14-Nov-16
38	Supra Commercial Trust Company Limited	December	14-Nov-16
39	Transafrica Financial Services Limited	December	14-Nov-16
40	UIDC Securities Limited	December	14-Nov-16
41	Vision Trust & Investment Limited	December	14-Nov-16
42	Waila Securities and Funds Limited	December	14-Nov-16
43	Wema Asset Management Limited	December	14-Nov-16
44	Yobe Investment & Securities Limited	December	14-Nov-16
45	Yuderb Investment & Securities Limited	December	14-Nov-16

NGX Regulation

Quarterly Returns

Broker TraX

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2016

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	14-Feb-17
2	African Alliance Stockbrokers Limited	December	14-Feb-17
3	Afrinvest Securities Limited	December	14-Feb-17
4	Amyn Investment Limited	December	14-Feb-17
5	Anchoria Investment & Securities Limited	December	14-Feb-17
6	Apel Asset Limited	December	14-Feb-17
7	Apt Securities & Funds Limited	December	14-Feb-17
8	ARM Securities Limited	December	14-Feb-17
9	Arthur Stevens Asset Management Limited	December	14-Feb-17
10	Associated Asset Managers Limited	December	14-Feb-17
11	Atlass Portfolio Limited	December	14-Feb-17
12	Belfry Investment & Securities Limited	December	14-Feb-17
13	Bestworth Assets & Trust Limited	December	14-Feb-17
14	Calyx Securities Limited	December	14-Feb-17
15	Camry Securities Limited	December	14-Feb-17
16	Capital Asset Limited	December	14-Feb-17
17	Capital Bancorp Plc	December	14-Feb-17
18	Capital Express Securities Limited	December	14-Feb-17
19	Capital Trust Brokers Limited	December	14-Feb-17
20	Cardinal Stone Securities Ltd	December	14-Feb-17
21	Cashcraft Securities Limited	December	14-Feb-17
22	Cashville Investment & Securities Limited	December	14-Feb-17
23	CDL Capital Markets Limited	December	14-Feb-17
24	Centre Point Investment Limited	December	14-Feb-17
25	Century Securities Limited	December	14-Feb-17
26	Chapel Hill Denham Securities Limited	December	14-Feb-17
27	Chartwell Securities Limited	December	14-Feb-17
28	Citi Investment Capital Limited	December	14-Feb-17
29	City Code Trust & Investment Company Limited	December	14-Feb-17
30	Clearview Investments Company Limited	December	14-Feb-17
31	CLG Stockbrokers Limited	December	14-Feb-17
32	Compass Investment & Securities Limited	December	14-Feb-17
33	Cordros Securities Limited	December	14-Feb-17
34	Core Trust & Investment Limited	December	14-Feb-17
35	Coronation Securities Limited	December	14-Feb-17
36	Cowry Securities Limited	December	14-Feb-17
37	Crane Securities Limited	December	14-Feb-17
38	Crossworld Securities Limited	December	14-Feb-17
39	Crown Capital Limited	December	14-Feb-17
40	CSL Stockbrokers Limited	December	14-Feb-17
41	Deep Trust Investment Limited	December	14-Feb-17
42	Delords Securities Limited	December	14-Feb-17
43	Dominion Trust Limited	December	14-Feb-17
44	DSU Brokerage Services Limited	December	14-Feb-17
45	Dunbell Securities Limited	December	14-Feb-17
46	Dunn Loren Merrifield Securities Limited	December	14-Feb-17
47	Dynamic Portfolio Limited	December	14-Feb-17
48	EDC Securities Limited	December	14-Feb-17
49	Edgefield Capital Management Limited	December	14-Feb-17
50	EFCP Limited	December	14-Feb-17
51	El-Elyon Alliance and Securities Ltd	December	14-Feb-17

52	Elixir Securities Limited	December	14-Feb-17
53	Enterprise Stockbrokers Limited	December	14-Feb-17
54	Equity Capital Solutions Limited	December	14-Feb-17
55	Eurocomm Securities Limited	December	14-Feb-17
56	Express Portfolio Services Limited	December	14-Feb-17
57	Falcon Securities Limited	December	14-Feb-17
58	FBC Trust & Securities Limited	December	14-Feb-17
59	FBN Securities Limited	December	14-Feb-17
60	FCSL Asset Management Company Limited	December	14-Feb-17
61	Fidelity Finance Company Limited	December	14-Feb-17
62	Fidelity Securities Limited	December	14-Feb-17
63	Financial & Analytics Capital Limited	December	14-Feb-17
64	Financial Trust Company Limited	December	14-Feb-17
65	Finmal Finance Services Limited	December	14-Feb-17
66	First Integrated Capital Manangement Limited	December	14-Feb-17
67	First Stockbrokers Limited	December	14-Feb-17
68	FIS Securities Limited	December	14-Feb-17
69	Foresight Securities & Investment Limited	December	14-Feb-17
70	Forte Financial Limited	December	14-Feb-17
71	Forthright Securities & Investment Limited	December	14-Feb-17
72	Fortress Capital Limited	December	14-Feb-17
73	FSDH Securities Limited	December	14-Feb-17
74	Funds Matrix & Asset Management Limited	December	14-Feb-17
75	Futureview Securities Limited	December	14-Feb-17
76	Gidauniya Investment & Securities Limited	December	14-Feb-17
77	Global Asset Management (Nig) Limited	December	14-Feb-17
78	GlobalView Capital Limited	December	14-Feb-17
79	Golden Securities Limited	December	14-Feb-17
80	Greenwich Securities Limited	December	14-Feb-17
81	Growth & Development Asset Management Limited	December	14-Feb-17
82	Gruene Capital Limited	December	14-Feb-17
83	GTI Securities Limited	December	14-Feb-17
84	Harmony Securities Limited	December	14-Feb-17
85	Heartbeat Investment Limited	December	14-Feb-17
86	Hedge Securities & Investment Limited	December	14-Feb-17
87	Helix Securities Limited	December	14-Feb-17
88	Heritage Capital Markets Limited	December	14-Feb-17
89	ICMG securities Limited	December	14-Feb-17
90	Icon Stockbrokers Limited	December	14-Feb-17
91	Imperial Assets Managers Limited	December	14-Feb-17
92	Integrated Trust & Investment Limited	December	14-Feb-17
93	Interstate Securities Limited	December	14-Feb-17
94	Investment One Stockbrokers International Limited	December	14-Feb-17
95	Investors & Trust Company Limited	December	14-Feb-17
96	Kapital Care Trust & Securities Limited	December	14-Feb-17
97	Kedari Capital Limited	December	14-Feb-17
98	Kinley Securities Limited	December	14-Feb-17
99	Kofana Securities & Investment Limited	December	14-Feb-17
100	Kundila Finance Services Limited	December	14-Feb-17
101	FBNQuest Securities Limited	December	14-Feb-17
102	Lead Securities & Investment Limited	December	14-Feb-17
103	Lighthouse Asset Management Limited	December	14-Feb-17
104	Longterm Global Capital Limited	December	14-Feb-17
105	Magnartis Finance & Investment Limited	December	14-Feb-17
106	Mainstreet Bank Securities Limited	December	14-Feb-17
107	Marriot Securities & Investment Co. Limited	December	14-Feb-17
108	Maxifund Investment & Securities Plc	December	14-Feb-17
109	Mayfield Investment Limited	December	14-Feb-17
110	MBC Securities Limited	December	14-Feb-17

111	Mega Equities Limited	December	14-Feb-17
112	Meristem Stockbrokers Limited	December	14-Feb-17
113	Midas Stockbrokers Limited	December	14-Feb-17
114	Milestone Capital Management Limited	December	14-Feb-17
115	Mission Securities Limited	December	14-Feb-17
116	Molten Trust Limited	December	14-Feb-17
117	Rowet Capital Mgt Limited	December	14-Feb-17
118	Morgan Capital Securities Limited	December	14-Feb-17
119	Mountain Investment & Securities Limited	December	14-Feb-17
120	Network Capital Limited	December	14-Feb-17
121	Networth Securities & Finance Limited	December	14-Feb-17
122	Newdevco Investments & Securties Limited	December	14-Feb-17
123	Nigerian International Securities Ltd	December	14-Feb-17
124	Nigerian Stockbrokers Limited	December	14-Feb-17
125	Nova Finance & Securities Limited	December	14-Feb-17
126	PAC Securities Limited	December	14-Feb-17
127	Pilot Securities Limited	December	14-Feb-17
128	Pinefields Investment Services Limited	December	14-Feb-17
129	PIPC Securities Limited	December	14-Feb-17
130	Pivot Capital Limited	December	14-Feb-17
131	Planet Capital Limited	December	14-Feb-17
132	Portfolio Advisers Limited	December	14-Feb-17
133	Primera Africa Securities Limited	December	14-Feb-17
134	Primewealth Capital Limited	December	14-Feb-17
135	Prominent Securities Limited	December	14-Feb-17
136	PSI Securities Limited	December	14-Feb-17
137	Pyramid Securities Limited	December	14-Feb-17
138	Qualinvest Capital Limited	December	14-Feb-17
139	Quantum Securities Limited	December	14-Feb-17
140	Rainbow Securities & Investment Company Limited	December	14-Feb-17
141	Readings Investment Limited	December	14-Feb-17
142	Regency Asset Management Limited	December	14-Feb-17
143	Rencap Securities (Nig) Limited	December	14-Feb-17
144	Reward Investment & Service Limited	December	14-Feb-17
145	RMB Nigeria Stockbrokers Limited	December	14-Feb-17
146	Rostrum Investment & Sec Limited	December	14-Feb-17
147	Royal Guaranty & Trust Limited	December	14-Feb-17
148	Royal Trust Securities Limited	December	14-Feb-17
149	Santrust Securities Limited	December	14-Feb-17
150	Securities Africa Financial Limited	December	14-Feb-17
151	Securities and Capital Management Company Limited	December	14-Feb-17
152	SFC Securities Limited	December	14-Feb-17
153	Shelong Investments Limited	December	14-Feb-17
154	Signet Investment & Securities Limited	December	14-Feb-17
155	Sigma Securities Limited	December	14-Feb-17
156	Skyview Capital Ltd	December	14-Feb-17
157	Solid Rock Securities & Investment Plc	December	14-Feb-17
158	Springboard Trust & Investment Limited	December	14-Feb-17
159	Spring Trust & Securities Limited	December	14-Feb-17
160	Stanbic IBTC Stockbrokers Limited	December	14-Feb-17
161	Standard Alliance Capital Asset Mgt Limited	December	14-Feb-17
162	Standard Union Securities Limited	December	14-Feb-17
163	TFS Securities & Investment Company Limited	December	14-Feb-17
164	The Bridge Securities Limited	December	14-Feb-17
165	Tiddo Securities Limited	December	14-Feb-17
166	Topmost Securities Limited	December	14-Feb-17
167	Tower Securities & Investment Company Limited	December	14-Feb-17
168	Trade Link Securities Limited	December	14-Feb-17
169	Traders Trust & Investment Co. Limited	December	14-Feb-17

170	Transworld Investment Limited	December	14-Feb-17
171	TrustBanc Capital Management Limited	December	14-Feb-17
172	Trusthouse Investment Limited	December	14-Feb-17
173	Trust Yield Securities Limited	December	14-Feb-17
174	TRW Stockbrokers Limited	December	14-Feb-17
175	Tyndale Securities Limited	December	14-Feb-17
176	Unex Capital Limited	December	14-Feb-17
177	Union Capital Markets Limited	December	14-Feb-17
178	United Capital Securities Limited	December	14-Feb-17
179	Valmon Securities Limited	December	14-Feb-17
180	Valueline Securities & Investment Limited	December	14-Feb-17
181	Vetiva Securities Limited	December	14-Feb-17
182	Woodland Capital Markets Plc	December	14-Feb-17
183	WSTC Financial Services Limited	December	14-Feb-17
184	Zenith Securities Limited	December	14-Feb-17
185	Zion Stockbrokers & Securities Limited	December	14-Feb-17

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Horizon Stockbrokers Limited	December	14-Feb-17
2	Investment Shark & Asset Mgt Ltd	December	14-Feb-17
3	Marimpex Finance & Investment Company Limited	December	14-Feb-17
4	MBL Financial Services Limited	December	14-Feb-17
5	Mutual Alliance Investment & Securities Limited	December	14-Feb-17
6	Supra Commercial Trust Company Limited	December	14-Feb-17

	NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS		
S/N	FIRMS	Year End	Due date
1	Bauchi Investment Corp. Sec Limited	December	14-Feb-17
2	Fundvine Capital & Securities Limited	December	14-Feb-17

	ACTIVE AND NOT SUBMITTED		
S/N	FIRM	Year End	Due Date
1	Peace Capital Markets Ltd	December	14-Feb-17
2	Royal Crest Finance Limited	December	14-Feb-17
3	Security Swaps Limited	December	14-Feb-17
4	Smadac Securities Limited	December	14-Feb-17
5	Tomil Trust Limited	December	14-Feb-17

	INACTIVE AND NOT SUBMITTED		
S/N	FIRM	Year End	Due Date
1	Aims Asset Management Limited	December	14-Feb-17
2	Adamawa Securities Limited	December	14-Feb-17
3	Bytofel Trust & Securities Limited	December	14-Feb-17
4	Cadington Securities Limited	December	14-Feb-17
5	CEB Securities Limited	December	14-Feb-17
6	ECL Asset Management Limited	December	14-Feb-17
7	Finbank Securities & Assets Management Limited	December	14-Feb-17
8	First Alstate Securities Limited	December	14-Feb-17
9	Gem Assets Management Limited	December	14-Feb-17
10	GMT Securities & Asset Mangement Limited	December	14-Feb-17
11	Gombe Securities Limited	December	14-Feb-17
12	International Standard Securities Limited	December	14-Feb-17
13	ITIS Securities Limited	December	14-Feb-17
14	Kakawa Asset Management Limited	December	14-Feb-17
15	Lion Stockbrokers Limited	December	14-Feb-17
16	LMB Stockbrokers Limited	December	14-Feb-17
17	Mact Securities Limited	December	14-Feb-17
18	Maven Asset Management Limited	December	14-Feb-17
19	Mercov Securities Limited	December	14-Feb-17
20	Midpoint Capital Limited	December	14-Feb-17
21	ML Securities Limited	December	14-Feb-17
22	Monument Sec & Finance Limited	December	14-Feb-17
23	Partnership Securities Limited	December	14-Feb-17
24	Profund Securities Limited	December	14-Feb-17
25	Resano Securities Limited	December	14-Feb-17
26	Resort Securities & Trust Limited	December	14-Feb-17
27	Stanwal Securities Limited	December	14-Feb-17
28	Summa Guaranty & Trust Company Limited	December	14-Feb-17
29	Tower Asset Management Limited	December	14-Feb-17
30	Transafrica Financial Services Limited	December	14-Feb-17
31	UIDC Securities Limited	December	14-Feb-17
32	Vision Trust & Investment Limited	December	14-Feb-17
33	Wema Asset Management Limited	December	14-Feb-17

NGX Regulation

Quarterly Returns

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FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2017

ACTIVE AND SUBMITTED			
S/N	**FIRMS**	**Year End**	**Due date**
1	Adonai Stockbrokers Limited	December	15-May-17
2	Afrinvest Securities Limited	December	15-May-17
3	Amyn Investment Limited	December	15-May-17
4	Anchoria Investment & Securities Limited	December	15-May-17
5	Apel Asset Limited	December	15-May-17
6	Apt Securities & Funds Limited	December	15-May-17
7	ARM Securities Limited	December	15-May-17
8	Arthur Stevens Asset Management Limited	December	15-May-17
9	Associated Asset Managers Limited	December	15-May-17
10	Atlass Portfolio Limited	December	15-May-17
11	Bauchi Investment Corp. Sec Limited	December	15-May-17
12	Belfry Investment & Securities Limited	December	15-May-17
13	Bestworth Assets & Trust Limited	December	15-May-17
14	Calyx Securities Limited	December	15-May-17
15	Camry Securities Limited	December	15-May-17
16	Capital Asset Limited	December	15-May-17
17	Capital Bancorp Plc	December	15-May-17
18	Capital Express Securities Limited	December	15-May-17
19	Capital Trust Brokers Limited	December	15-May-17
20	Cardinal Stone Securities Ltd	December	15-May-17
21	Cashcraft Securities Limited	December	15-May-17
22	Cashville Investment & Securities Limited	December	15-May-17
23	CDL Capital Markets Limited	December	15-May-17
24	Centre Point Investment Limited	December	15-May-17
25	Century Securities Limited	December	15-May-17
26	Chapel Hill Denham Securities Limited	December	15-May-17
27	Chartwell Securities Limited	December	15-May-17
28	Citi Investment Capital Limited	December	15-May-17
29	City Code Trust & Investment Company Limited	December	15-May-17
30	CLG Stockbrokers Limited	December	15-May-17
31	Compass Investment & Securities Limited	December	15-May-17
32	Cordros Securities Limited	December	15-May-17
33	Core Trust & Investment Limited	December	15-May-17
34	Coronation Securities Limited	December	15-May-17
35	Cowry Securities Limited	December	15-May-17
36	Crane Securities Limited	December	15-May-17
37	Crossworld Securities Limited	December	15-May-17
38	Crown Capital Limited	December	15-May-17
39	CSL Stockbrokers Limited	December	15-May-17
40	Deep Trust Investment Limited	December	15-May-17
41	Delords Securities Limited	December	15-May-17
42	Diamond Securities Limited	December	15-May-17
43	DSU Brokerage Services Limited	December	15-May-17
44	Dunbell Securities Limited	December	15-May-17
45	Dunn Loren Merrifield Securities Limited	December	15-May-17
46	Dynamic Portfolio Limited	December	15-May-17
47	EDC Securities Limited	December	15-May-17
48	Edgefield Capital Management Limited	December	15-May-17
49	EFCP Limited	December	15-May-17
50	El-Elyon Alliance and Securities Ltd	December	15-May-17
51	Elixir Securities Limited	December	15-May-17
52	Enterprise Stockbrokers Limited	December	15-May-17

53	Equity Capital Solutions Limited	December	15-May-17
54	Eurocomm Securities Limited	December	15-May-17
55	Express Portfolio Services Limited	December	15-May-17
56	Falcon Securities Limited	December	15-May-17
57	FBC Trust & Securities Limited	December	15-May-17
58	FBN Securities Limited	December	15-May-17
59	FCSL Asset Management Company Limited	December	15-May-17
60	Fidelity Finance Company Limited	December	15-May-17
61	Financial & Analytics Capital Limited	December	15-May-17
62	Financial Trust Company Limited	December	15-May-17
63	Finmal Finance Services Limited	December	15-May-17
64	First Integrated Capital Manangement Limited	December	15-May-17
65	First Stockbrokers Limited	December	15-May-17
66	FIS Securities Limited	December	15-May-17
67	Foresight Securities & Investment Limited	December	15-May-17
68	Forte Financial Limited	December	15-May-17
69	Forthright Securities & Investments Limited	December	15-May-17
70	Fortress Capital Limited	December	15-May-17
71	FSDH Securities Limited	December	15-May-17
72	FSL Securities Limited	December	15-May-17
73	Funds Matrix & Assets Mgt Limited	December	15-May-17
74	Futureview Securities Limited	December	15-May-17
75	Gidauniya Investment & Securities Limited	December	15-May-17
76	Global Asset Management (Nig) Limited	December	15-May-17
77	GlobalView Capital Limited	December	15-May-17
78	Golden Securities Limited	December	15-May-17
79	Greenwich Securities Limited	December	15-May-17
80	Growth & Development Asset Management Limited	December	15-May-17
81	Gruene Capital Limited	December	15-May-17
82	GTI Securities Limited	December	15-May-17
83	Harmony Securities Limited	December	15-May-17
84	Heartbeat Investment Limited	December	15-May-17
85	Hedge Securities & Investment Limited	December	15-May-17
86	Helix Securities Limited	December	15-May-17
87	Heritage Capital Markets Limited	December	15-May-17
88	ICMG Securities Limited	December	15-May-17
89	Icon Stockbrokers Limited	December	15-May-17
90	Imperial Asset Managers Limited	December	15-May-17
91	Integrated Trust & Investment Limited	December	15-May-17
92	Interstate Securities Limited	December	15-May-17
93	Investment One Stockbrokers International Limited	December	15-May-17
94	Investors & Trust Company Limited	December	15-May-17
95	Kapital Care Trust & Securities Limited	December	15-May-17
96	Kedari Capital Limited	December	15-May-17
97	Kinley Securities Limited	December	15-May-17
98	Kofana Securities & Investment Limited	December	15-May-17
99	Kundila Finance Services Limited	December	15-May-17
100	Lambeth Trust & Investment Company Limited	December	15-May-17
101	FBNQuest Securities Limited	December	15-May-17
102	Lighthouse Asset Management Limited	December	15-May-17
103	Longterm Global Capital Limited	December	15-May-17
104	Investment Shark & Asset Mgt Ltd	December	15-May-17
105	Supra Commercial Trust Company Limited	December	15-May-17
106	Magnartis Finance & Investment Limited	December	15-May-17
107	Mainstreet Bank Securities Limited	December	15-May-17
108	Marriot Securities & Investment Co. Limited	December	15-May-17
109	Maxifund Investment & Securities Plc	December	15-May-17
110	Mayfield Investment Limited	December	15-May-17
111	MBC Securities Limited	December	15-May-17
112	MBL Financial Services Limited	December	15-May-17
113	Mega Equities Limited	December	15-May-17
114	Meristem Stockbrokers Limited	December	15-May-17

115	Midas Stockbrokers Limited	December	15-May-17
116	Milestone Capital Management Limited	December	15-May-17
117	Mission Securities Limited	December	15-May-17
118	Molten Trust Limited	December	15-May-17
119	Morgan Capital Securities Limited	December	15-May-17
120	Mountain Investment & Securities Limited	December	15-May-17
121	Network Capital Limited	December	15-May-17
122	Networth Securities & Finance Limited	December	15-May-17
123	Newdevco Investments & Securties Limited	December	15-May-17
124	Nigerian International Securities Ltd	December	15-May-17
125	Nigerian Stockbrokers Limited	December	15-May-17
126	Nova Finance & Securities Limited	December	15-May-17
127	PAC Securities Limited	December	15-May-17
128	Peace Capital Markets Ltd	December	15-May-17
129	Pilot Securities Limited	December	15-May-17
130	Pinefields Investment Services Limited	December	15-May-17
131	PIPC Securities Limited	December	15-May-17
132	Pivot Capital Limited	December	15-May-17
133	Planet Capital Limited	December	15-May-17
134	Portfolio Advisers Limited	December	15-May-17
135	Primera Africa Securities Limited	December	15-May-17
136	Primewealth Capital Limited	December	15-May-17
137	Prominent Securities Limited	December	15-May-17
138	PSI Securities Limited	December	15-May-17
139	Pyramid Securities Limited	December	15-May-17
140	Qualinvest Capital Limited	December	15-May-17
141	Quantum Securities Limited	December	15-May-17
142	Rainbow Securities & Investment Company Limited	December	15-May-17
143	Readings Investment Limited	December	15-May-17
144	Regency Asset Management Limited	December	15-May-17
145	Rencap Securities (Nig) Limited	December	15-May-17
146	Reward Investment & Service Limited	December	15-May-17
147	RMB Nigeria Stockbrokers Limited	December	15-May-17
148	Rostrum Investment & Sec Limited	December	15-May-17
149	Rowet Capital Management Limited	December	15-May-17
150	Royal Crest Finance Limited	December	15-May-17
151	Royal Guaranty & Trust Limited	December	15-May-17
152	Royal Trust Securities Limited	December	15-May-17
153	Santrust Securities Limited	December	15-May-17
154	Securities Africa Financial Limited	December	15-May-17
155	Securities and Capital Management Company Limited	December	15-May-17
156	Security Swaps Limited	December	15-May-17
157	SFC Securities Limited	December	15-May-17
158	Shelong Investments Limited	December	15-May-17
159	Sigma Securities Limited	December	15-May-17
160	Signet Investment & Securities Limited	December	15-May-17
161	Skyview Capital Ltd	December	15-May-17
162	Smadac Securities Limited	December	15-May-17
163	Solid Rock Securities & Investment Plc	December	15-May-17
164	Spring Trust & Securities Limited	December	15-May-17
165	Springboard Trust & Investment Limited	December	15-May-17
166	Stanbic IBTC Stockbrokers Limited	December	15-May-17
167	Standard Alliance Capital Asset Mgt Limited	December	15-May-17
168	Standard Union Securities Limited	December	15-May-17
169	TFS Securities & Investment Company Limited	December	15-May-17
170	The Bridge Securities Limited	December	15-May-17
171	Tiddo Securities Limited	December	15-May-17
172	Tomil Trust Limited	December	15-May-17
173	Topmost Securities Limited	December	15-May-17
174	Tower Securities & Investment Company Limited	December	15-May-17
175	Trade Link Securities Limited	December	15-May-17
176	Traders Trust & Investment Co. Limited	December	15-May-17

177	Transworld Investment Limited	December	15-May-17
178	Trust Yield Securities Limited	December	15-May-17
179	TrustBanc Capital Management Limited	December	15-May-17
180	Trusthouse Investment Limited	December	15-May-17
181	TRW Stockbrokers Limited	December	15-May-17
182	Tyndale Securities Limited	December	15-May-17
183	Unex Capital Limited	December	15-May-17
184	Union Capital Markets Limited	December	15-May-17
185	United Capital Securities Limited	December	15-May-17
186	Valmon Securities Limited	December	15-May-17
187	Valueline Securities & Investment Limited	December	15-May-17
188	Vetiva Securities Limited	December	15-May-17
189	Woodland Capital Markets Plc	December	15-May-17
190	WSTC Financial Services Limited	December	15-May-17
191	Zenith Securities Limited	December	15-May-17
192	Zion Stockbrokers & Securities Limited	December	15-May-17

	INACTIVE AND SUBMITTED		
S/N	**FIRMS**	**Year End**	**Due date**
1	African Alliance Stockbrokers Limited	December	15-May-17
2	Clearview Investments Company Limited	December	15-May-17
3	Gem Assets Management Limited	December	15-May-17

S/N	**FIRMS**	**Year End**	**Due date**
1	Fundvine Capital & Securities Limited	December	15-May-17

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	15-May-17
2	Aims Asset Management Limited	December	15-May-17
3	Bytofel Trust & Securities Limited	December	15-May-17
4	Cadington Securities Limited	December	15-May-17
5	CEB Securities Limited	December	15-May-17
6	ECL Asset Management Limited	December	15-May-17
7	Finbank Securities & Assets Management Limited	December	15-May-17
8	GMT Securities & Asset Mangement Limited	December	15-May-17
9	Gombe Securities Limited	December	15-May-17
10	Horizon Stockbrokers Limited	December	15-May-17
11	International Standard Securities Limited	December	15-May-17
12	ITIS Securities Limited	December	15-May-17
13	Kakawa Asset Management Limited	December	15-May-17
14	Lion Stockbrokers Limited	December	15-May-17
15	LMB Stockbrokers Limited	December	15-May-17
16	Mact Securities Limited	December	15-May-17
17	Marimpex Finance & Investment Company Limited	December	15-May-17
18	Maven Asset Management Limited	December	15-May-17
19	Mercov Securities Limited	December	15-May-17
20	Midpoint Capital Limited	December	15-May-17
21	ML Securities Limited	December	15-May-17
22	Monument Sec & Finance Limited	December	15-May-17
23	Mutual Alliance Investment & Securities Limited	December	15-May-17
24	Partnership Securities Limited	December	15-May-17
25	Profund Securities Limited	December	15-May-17
26	Resano Securities Limited	December	15-May-17
27	Resort Securities & Trust Limited	December	15-May-17
28	Stanwal Securities Limited	December	15-May-17
29	Tower Asset Management Limited	December	15-May-17
30	Transafrica Financial Services Limited	December	15-May-17
31	UIDC Securities Limited	December	15-May-17
32	Vision Trust & Investment Limited	December	15-May-17
33	Wema Asset Management Limited	December	15-May-17

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2017			
ACTIVE AND SUBMITTED			
S/N	**FIRMS**	**Year End**	**Due date**
1	Afrinvest Securities Limited	December	14-Aug-17
2	Amyn Investment Limited	December	14-Aug-17
3	Anchoria Investment & Securities Limited	December	14-Aug-17
4	Apel Asset Limited	December	14-Aug-17
5	Apt Securities & Funds Limited	December	14-Aug-17
6	ARM Securities Limited	December	14-Aug-17
7	Arthur Stevens Asset Management Limited	December	14-Aug-17
8	Associated Asset Managers Limited	December	14-Aug-17
9	Atlass Portfolio Limited	December	14-Aug-17
10	Bauchi Investment Corp. Sec Limited	December	14-Aug-17
11	Belfry Investment & Securities Limited	December	14-Aug-17
12	Bestworth Assets & Trust Limited	December	14-Aug-17
13	Calyx Securities Limited	December	14-Aug-17
14	Camry Securities Limited	December	14-Aug-17
15	Capital Asset Limited	December	14-Aug-17
16	Capital Bancorp Plc	December	14-Aug-17
17	Capital Express Securities Limited	December	14-Aug-17
18	Capital Trust Brokers Limited	December	14-Aug-17
19	Cardinal Stone Securities Ltd	December	14-Aug-17
20	Cashcraft Securities Limited	December	14-Aug-17
21	Cashville Investment & Securities Limited	December	14-Aug-17
22	CDL Capital Markets Limited	December	14-Aug-17
23	Centre Point Investment Limited	December	14-Aug-17
24	Century Securities Limited	December	14-Aug-17
25	Chapel Hill Denham Securities Limited	December	14-Aug-17
26	Chartwell Securities Limited	December	14-Aug-17
27	Citi Investment Capital Limited	December	14-Aug-17
28	City Code Trust & Investment Company Limited	December	14-Aug-17
29	CLG Stockbrokers Limited	December	14-Aug-17
30	Compass Investment & Securities Limited	December	14-Aug-17
31	Cordros Securities Limited	December	14-Aug-17
32	Core Trust & Investment Limited	December	14-Aug-17
33	Coronation Securities Limited	December	14-Aug-17
34	Cowry Securities Limited	December	14-Aug-17
35	Crane Securities Limited	December	14-Aug-17
36	Crossworld Securities Limited	December	14-Aug-17
37	Crown Capital Limited	December	14-Aug-17
38	CSL Stockbrokers Limited	December	14-Aug-17
39	Deep Trust Investment Limited	December	14-Aug-17
40	Delords Securities Limited	December	14-Aug-17
41	Diamond Securities Limited	December	14-Aug-17
42	Dominion Trust Limited	December	14-Aug-17
43	Dunbell Securities Limited	December	14-Aug-17

44	Dunn Loren Merrifield Securities Limited	December	14-Aug-17
45	Dynamic Portfolio Limited	December	14-Aug-17
46	EDC Securities Limited	December	14-Aug-17
47	Edgefield Capital Management Limited	December	14-Aug-17
48	EFCP Limited	December	14-Aug-17
49	El-Elyon Alliance and Securities Ltd	December	14-Aug-17
50	Elixir Securities Limited	December	14-Aug-17
51	Equity Capital Solutions Limited	December	14-Aug-17
52	Eurocomm Securities Limited	December	14-Aug-17
53	Express Portfolio Services Limited	December	14-Aug-17
54	Falcon Securities Limited	December	14-Aug-17
55	FBC Trust & Securities Limited	December	14-Aug-17
56	FBN Securities Limited	December	14-Aug-17
57	FCSL Asset Management Company Limited	December	14-Aug-17
58	Fidelity Finance Company Limited	December	14-Aug-17
59	Financial & Analytics Capital Limited	December	14-Aug-17
60	Financial Trust Company Limited	December	14-Aug-17
61	Finmal Finance Services Limited	December	14-Aug-17
62	Horizon Stockbrokers Limited	December	14-Aug-17
63	Investment Shark & Asset Mgt Ltd	December	14-Aug-17
64	First Integrated Capital Manangement Limited	December	14-Aug-17
65	First Stockbrokers Limited	December	14-Aug-17
66	FIS Securities Limited	December	14-Aug-17
67	Foresight Securities & Investment Limited	December	14-Aug-17
68	Forte Financial Limited	December	14-Aug-17
69	Forthright Securities & Investments Limited	December	14-Aug-17
70	Fortress Capital Limited	December	14-Aug-17
71	FSDH Securities Limited	December	14-Aug-17
72	FSL Securities Limited	December	14-Aug-17
73	Funds Matrix & Assets Mgt Limited	December	14-Aug-17
74	Futureview Securities Limited	December	14-Aug-17
75	Gidauniya Investment & Securities Limited	December	14-Aug-17
76	Global Asset Management (Nig) Limited	December	14-Aug-17
77	GlobalView Capital Limited	December	14-Aug-17
78	Golden Securities Limited	December	14-Aug-17
79	Greenwich Securities Limited	December	14-Aug-17
80	Growth & Development Asset Management Limited	December	14-Aug-17
81	GTI Securities Limited	December	14-Aug-17
82	Harmony Securities Limited	December	14-Aug-17
83	Heartbeat Investment Limited	December	14-Aug-17
84	Hedge Securities & Investment Limited	December	14-Aug-17
85	Helix Securities Limited	December	14-Aug-17
86	Heritage Capital Markets Limited	December	14-Aug-17
87	ICMG Securities Limited	December	14-Aug-17
88	Icon Stockbrokers Limited	December	14-Aug-17
89	Imperial Asset Managers Limited	December	14-Aug-17
90	Integrated Trust & Investment Limited	December	14-Aug-17

91	Interstate Securities Limited	December	14-Aug-17
92	Investment One Stockbrokers International Limited	December	14-Aug-17
93	Investors & Trust Company Limited	December	14-Aug-17
94	Kapital Care Trust & Securities Limited	December	14-Aug-17
95	Kinley Securities Limited	December	14-Aug-17
96	Kundila Finance Services Limited	December	14-Aug-17
97	Lambeth Trust & Investment Company Limited	December	14-Aug-17
98	Lead Securities & Investment Limited	December	14-Aug-17
99	Lighthouse Asset Management Limited	December	14-Aug-17
100	Longterm Global Capital Limited	December	14-Aug-17
101	Magnartis Finance & Investment Limited	December	14-Aug-17
102	Mainstreet Bank Securities Limited	December	14-Aug-17
103	Marriot Securities & Investment Co. Limited	December	14-Aug-17
104	FBNQuest Securities Limited	December	14-Aug-17
105	MBC Securities Limited	December	14-Aug-17
106	MBL Financial Services Limited	December	14-Aug-17
107	Mega Equities Limited	December	14-Aug-17
108	Meristem Stockbrokers Limited	December	14-Aug-17
109	Midas Stockbrokers Limited	December	14-Aug-17
110	Milestone Capital Management Limited	December	14-Aug-17
111	Mission Securities Limited	December	14-Aug-17
112	Molten Trust Limited	December	14-Aug-17
113	Morgan Capital Securities Limited	December	14-Aug-17
114	Mountain Investment & Securities Limited	December	14-Aug-17
115	Network Capital Limited	December	14-Aug-17
116	Networth Securities & Finance Limited	December	14-Aug-17
117	Newdevco Investments & Securties Limited	December	14-Aug-17
118	Nigerian International Securities Ltd	December	14-Aug-17
119	Fundvine Capital & Securities Limited	December	14-Aug-17
120	Nigerian Stockbrokers Limited	December	14-Aug-17
121	Nova Finance & Securities Limited	December	14-Aug-17
122	PAC Securities Limited	December	14-Aug-17
123	Peace Capital Markets Ltd	December	14-Aug-17
124	Pilot Securities Limited	December	14-Aug-17
125	Pinefields Investment Services Limited	December	14-Aug-17
126	PIPC Securities Limited	December	14-Aug-17
127	Pivot Capital Limited	December	14-Aug-17
128	Planet Capital Limited	December	14-Aug-17
129	Portfolio Advisers Limited	December	14-Aug-17
130	Primera Africa Securities Limited	December	14-Aug-17
131	Primewealth Capital Limited	December	14-Aug-17
132	Prominent Securities Limited	December	14-Aug-17
133	PSI Securities Limited	December	14-Aug-17
134	Pyramid Securities Limited	December	14-Aug-17
135	Qualinvest Capital Limited	December	14-Aug-17
136	Quantum Securities Limited	December	14-Aug-17

137	Rainbow Securities & Investment Company Limited	December	14-Aug-17
138	Readings Investment Limited	December	14-Aug-17
139	Regency Asset Management Limited	December	14-Aug-17
140	Rencap Securities (Nig) Limited	December	14-Aug-17
141	Resort Securities & Trust Limited	December	14-Aug-17
142	Reward Investment & Service Limited	December	14-Aug-17
143	RMB Nigeria Stockbrokers Limited	December	14-Aug-17
144	Rostrum Investment & Sec Limited	December	14-Aug-17
145	Rowet Capital Management Limited	December	14-Aug-17
146	Royal Crest Finance Limited	December	14-Aug-17
147	Royal Guaranty & Trust Limited	December	14-Aug-17
148	Royal Trust Securities Limited	December	14-Aug-17
149	Santrust Securities Limited	December	14-Aug-17
150	Securities Africa Financial Limited	December	14-Aug-17
151	Securities and Capital Management Company Limited	December	14-Aug-17
152	Security Swaps Limited	December	14-Aug-17
153	SFC Securities Limited	December	14-Aug-17
154	Shalom Investment and Financial Services Limited	December	14-Aug-17
155	Shelong Investments Limited	December	14-Aug-17
156	Sigma Securities Limited	December	14-Aug-17
157	Signet Investment & Securities Limited	December	14-Aug-17
158	Skyview Capital Ltd	December	14-Aug-17
159	Smadac Securities Limited	December	14-Aug-17
160	Solid Rock Securities & Investment Plc	December	14-Aug-17
161	Spring Trust & Securities Limited	December	14-Aug-17
162	Springboard Trust & Investment Limited	December	14-Aug-17
163	Stanbic IBTC Stockbrokers Limited	December	14-Aug-17
164	Standard Alliance Capital Asset Mgt Limited	December	14-Aug-17
165	Standard Union Securities Limited	December	14-Aug-17
166	TFS Securities & Investment Company Limited	December	14-Aug-17
167	The Bridge Securities Limited	December	14-Aug-17
168	Tiddo Securities Limited	December	14-Aug-17
169	Tomil Trust Limited	December	14-Aug-17
170	Topmost Securities Limited	December	14-Aug-17
171	Tower Securities & Investment Company Limited	December	14-Aug-17
172	Trade Link Securities Limited	December	14-Aug-17
173	Traders Trust & Investment Co. Limited	December	14-Aug-17
174	Transworld Investment Limited	December	14-Aug-17
175	Trust Yield Securities Limited	December	14-Aug-17
176	Trust Yield Securities Limited	December	14-Aug-17
177	TrustBanc Capital Management Limited	December	14-Aug-17
178	Trusthouse Investment Limited	December	14-Aug-17
179	TRW Stockbrokers Limited	December	14-Aug-17
180	Tyndale Securities Limited	December	14-Aug-17
181	Unex Capital Limited	December	14-Aug-17
182	Union Capital Markets Limited	December	14-Aug-17

183	United Capital Securities Limited	December	14-Aug-17
184	Valmon Securities Limited	December	14-Aug-17
185	Valueline Securities & Investment Limited	December	14-Aug-17
186	Vetiva Securities Limited	December	14-Aug-17
187	Woodland Capital Markets Plc	December	14-Aug-17
188	WSTC Financial Services Limited	December	14-Aug-17
189	Zenith Securities Limited	December	14-Aug-17
190	Zion Stockbrokers & Securities Limited	December	14-Aug-17

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Clearview Investments Company Limited	December	14-Aug-17
2	Gem Assets Management Limited	December	14-Aug-17
3	Kofana Securities & Investment Limited	December	14-Aug-17
4	Marimpex Finance & Investment Company Limited	December	14-Aug-17
5	Supra Commercial Trust Company Limited	December	14-Aug-17

S/N	**FIRMS**	**Year End**	**Due date**
1	Fundvine Capital & Securities Limited	December	15-May-17

ACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adonai Stockbrokers Limited	December	14-Aug-17
2	Enterprise Stockbrokers Limited	December	14-Aug-17
3	Gruene Capital Limited	December	14-Aug-17
4	Kedari Capital Limited	December	14-Aug-17

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	14-Aug-17
2	Aims Asset Management Limited	December	14-Aug-17
3	Cadington Securities Limited	December	14-Aug-17
4	CEB Securities Limited	December	14-Aug-17
5	ECL Asset Management Limited	December	14-Aug-17
6	Finbank Securities & Assets Management Limited	December	14-Aug-17
7	GMT Securities & Asset Mangement Limited	December	14-Aug-17
8	Gombe Securities Limited	December	14-Aug-17
9	International Standard Securities Limited	December	14-Aug-17
10	ITIS Securities Limited	December	14-Aug-17
11	Kakawa Asset Management Limited	December	14-Aug-17
12	Lion Stockbrokers Limited	December	14-Aug-17
13	LMB Stockbrokers Limited	December	14-Aug-17
14	Mact Securities Limited	December	14-Aug-17
15	Maven Asset Management Limited	December	14-Aug-17
16	Maxifund Investment & Securities Plc	December	14-Aug-17

17	Mercov Securities Limited	December	14-Aug-17
18	Midpoint Capital Limited	December	14-Aug-17
19	ML Securities Limited	December	14-Aug-17
20	Monument Sec & Finance Limited	December	14-Aug-17
21	Mutual Alliance Investment & Securities Limited	December	14-Aug-17
22	Partnership Securities Limited	December	14-Aug-17
23	Profund Securities Limited	December	14-Aug-17
24	Resano Securities Limited	December	14-Aug-17
25	Tower Asset Management Limited	December	14-Aug-17
26	Transafrica Financial Services Limited	December	14-Aug-17
27	UIDC Securities Limited	December	14-Aug-17
28	Wema Asset Management Limited	December	14-Aug-17

RESIGNATION IN PROGRESS			
S/N	**FIRMS**	**Year End**	**Due date**
1	African Alliance Stockbrokers Limited	December	14-Aug-17
2	Stanwal Securities Limited	December	14-Aug-17
3	Summa Guaranty and Trust Company Limited	December	14-Aug-17
4	Vision Trust & Investment Limited	December	14-Aug-17

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2017

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	14-Nov-17
2	Afrinvest Securities Limited	December	14-Nov-17
3	Amyn Investment Limited	December	14-Nov-17
4	Anchoria Investment & Securities Limited	December	14-Nov-17
5	Apel Asset Limited	December	14-Nov-17
6	Apt Securities & Funds Limited	December	14-Nov-17
7	ARM Securities Limited	December	14-Nov-17
8	Arthur Stevens Asset Management Limited	December	14-Nov-17
9	Associated Asset Managers Limited	December	14-Nov-17
10	Atlass Portfolio Limited	December	14-Nov-17
11	Bauchi Investment Corp. Sec Limited	December	14-Nov-17
12	Belfry Investment & Securities Limited	December	14-Nov-17
13	Bestworth Assets & Trust Limited	December	14-Nov-17
14	Calyx Securities Limited	December	14-Nov-17
15	Camry Securities Limited	December	14-Nov-17
16	Capital Asset Limited	December	14-Nov-17
17	Capital Bancorp Plc	December	14-Nov-17
18	Capital Express Securities Limited	December	14-Nov-17
19	Capital Trust Brokers Limited	December	14-Nov-17
20	Cardinal Stone Securities Ltd	December	14-Nov-17
21	Cashcraft Securities Limited	December	14-Nov-17
22	Cashville Investment & Securities Limited	December	14-Nov-17
23	CDL Capital Markets Limited	December	14-Nov-17
24	Centre Point Investment Limited	December	14-Nov-17
25	Century Securities Limited	December	14-Nov-17
26	Chapel Hill Denham Securities Limited	December	14-Nov-17
27	Chartwell Securities Limited	December	14-Nov-17
28	Citi Investment Capital Limited	December	14-Nov-17
29	City Code Trust & Investment Company Limited	December	14-Nov-17
30	CLG Stockbrokers Limited	December	14-Nov-17
31	Compass Investment & Securities Limited	December	14-Nov-17
32	Cordros Securities Limited	December	14-Nov-17
33	Core Trust & Investment Limited	December	14-Nov-17
34	Coronation Securities Limited	December	14-Nov-17
35	Cowry Securities Limited	December	14-Nov-17
36	Crane Securities Limited	December	14-Nov-17
37	Crossworld Securities Limited	December	14-Nov-17

38	Crown Capital Limited	December	14-Nov-17
39	CSL Stockbrokers Limited	December	14-Nov-17
40	Deep Trust Investment Limited	December	14-Nov-17
41	Delords Securities Limited	December	14-Nov-17
42	Dominion Trust Limited	December	14-Nov-17
43	DSU Brokerage Services Limited	December	14-Nov-17
44	Dunbell Securities Limited	December	14-Nov-17
45	Dunn Loren Merrifield Securities Limited	December	14-Nov-17
46	Dynamic Portfolio Limited	December	14-Nov-17
47	EDC Securities Limited	December	14-Nov-17
48	Edgefield Capital Management Limited	December	14-Nov-17
49	EFCP Limited	December	14-Nov-17
50	El-Elyon Alliance and Securities Ltd	December	14-Nov-17
51	Elixir Securities Limited	December	14-Nov-17
52	Enterprise Stockbrokers Limited	December	14-Nov-17
53	Equity Capital Solutions Limited	December	14-Nov-17
54	Eurocomm Securities Limited	December	14-Nov-17
55	Express Portfolio Services Limited	December	14-Nov-17
56	Falcon Securities Limited	December	14-Nov-17
57	FBC Trust & Securities Limited	December	14-Nov-17
58	FBNQuest Securities Limited	December	14-Nov-17
59	FCSL Asset Management Company Limited	December	14-Nov-17
60	Fidelity Finance Company Limited	December	14-Nov-17
61	Financial & Analytics Capital Limited	December	14-Nov-17
62	Financial Trust Company Limited	December	14-Nov-17
63	Finmal Finance Services Limited	December	14-Nov-17
64	First Integrated Capital Manangement Limited	December	14-Nov-17
65	First Stockbrokers Limited	December	14-Nov-17
66	FIS Securities Limited	December	14-Nov-17
67	Foresight Securities & Investment Limited	December	14-Nov-17
68	Forte Financial Limited	December	14-Nov-17
69	Forthright Securities & Investments Limited	December	14-Nov-17
70	Fortress Capital Limited	December	14-Nov-17
71	FSDH Securities Limited	December	14-Nov-17
72	FSL Securities Limited	December	14-Nov-17
73	Funds Matrix & Assets Mgt Limited	December	14-Nov-17
74	Futureview Securities Limited	December	14-Nov-17
75	Gidauniya Investment & Securities Limited	December	14-Nov-17
76	Global Asset Management (Nig) Limited	December	14-Nov-17
77	GlobalView Capital Limited	December	14-Nov-17
78	Golden Securities Limited	December	14-Nov-17
79	Greenwich Securities Limited	December	14-Nov-17
80	Growth & Development Asset Management Limited	December	14-Nov-17
81	Gruene Capital Limited	December	14-Nov-17
82	GTI Securities Limited	December	14-Nov-17
83	Harmony Securities Limited	December	14-Nov-17
84	Heartbeat Investment Limited	December	14-Nov-17

85	Hedge Securities & Investment Limited	December	14-Nov-17
86	Helix Securities Limited	December	14-Nov-17
87	Heritage Capital Markets Limited	December	14-Nov-17
88	ICMG Securities Limited	December	14-Nov-17
89	Icon Stockbrokers Limited	December	14-Nov-17
90	Imperial Asset Managers Limited	December	14-Nov-17
91	Integrated Trust & Investment Limited	December	14-Nov-17
92	Interstate Securities Limited	December	14-Nov-17
93	Investment One Stockbrokers International Limited	December	14-Nov-17
94	Investors & Trust Company Limited	December	14-Nov-17
95	Kapital Care Trust & Securities Limited	December	14-Nov-17
96	Kedari Capital Limited	December	14-Nov-17
97	Kinley Securities Limited	December	14-Nov-17
98	Kundila Finance Services Limited	December	14-Nov-17
99	Lambeth Trust & Investment Company Limited	December	14-Nov-17
100	Lead Securities & Investment Limited	December	14-Nov-17
101	Lighthouse Asset Management Limited	December	14-Nov-17
102	Longterm Global Capital Limited	December	14-Nov-17
103	Maxifund Investment & Securities Plc	December	14-Aug-17
104	Magnartis Finance & Investment Limited	December	14-Nov-17
105	Mainstreet Bank Securities Limited	December	14-Nov-17
106	Marimpex Finance & Investment Company Limited	December	14-Nov-17
107	Marriot Securities & Investment Co. Limited	December	14-Nov-17
108	Mayfield Investment Limited	December	14-Nov-17
109	MBC Securities Limited	December	14-Nov-17
110	MBL Financial Services Limited	December	14-Nov-17
111	Mega Equities Limited	December	14-Nov-17
112	Meristem Stockbrokers Limited	December	14-Nov-17
113	Midas Stockbrokers Limited	December	14-Nov-17
114	Milestone Capital Management Limited	December	14-Nov-17
115	Mission Securities Limited	December	14-Nov-17
116	Molten Trust Limited	December	14-Nov-17
117	Morgan Capital Securities Limited	December	14-Nov-17
118	Mountain Investment & Securities Limited	December	14-Nov-17
119	Network Capital Limited	December	14-Nov-17
120	Networth Securities & Finance Limited	December	14-Nov-17
121	Newdevco Investments & Securties Limited	December	14-Nov-17
122	Nigerian International Securities Ltd	December	14-Nov-17
123	Nigerian Stockbrokers Limited	December	14-Nov-17
124	Nova Finance & Securities Limited	December	14-Nov-17
125	PAC Securities Limited	December	14-Nov-17
126	Peace Capital Markets Ltd	December	14-Nov-17
127	Pilot Securities Limited	December	14-Nov-17
128	Pinefields Investment Services Limited	December	14-Nov-17
129	PIPC Securities Limited	December	14-Nov-17
130	Pivot Capital Limited	December	14-Nov-17

131	Planet Capital Limited	December	14-Nov-17
132	Portfolio Advisers Limited	December	14-Nov-17
133	Primera Africa Securities Limited	December	14-Nov-17
134	Primewealth Capital Limited	December	14-Nov-17
135	Prominent Securities Limited	December	14-Nov-17
136	PSI Securities Limited	December	14-Nov-17
137	Pyramid Securities Limited	December	14-Nov-17
138	Qualinvest Capital Limited	December	14-Nov-17
139	Rainbow Securities & Investment Company Limited	December	14-Nov-17
140	Readings Investment Limited	December	14-Nov-17
141	Regency Asset Management Limited	December	14-Nov-17
142	Rencap Securities (Nig) Limited	December	14-Nov-17
143	Reward Investment & Service Limited	December	14-Nov-17
144	RMB Nigeria Stockbrokers Limited	December	14-Nov-17
145	Rostrum Investment & Sec Limited	December	14-Nov-17
146	Rowet Capital Management Limited	December	14-Nov-17
147	Royal Crest Finance Limited	December	14-Nov-17
148	Royal Guaranty & Trust Limited	December	14-Nov-17
149	Royal Trust Securities Limited	December	14-Nov-17
150	Sankore Securities Limited	December	14-Nov-17
151	Santrust Securities Limited	December	14-Nov-17
152	Securities Africa Financial Limited	December	14-Nov-17
153	Securities and Capital Management Company Limited	December	14-Nov-17
154	Security Swaps Limited	December	14-Nov-17
155	SFC Securities Limited	December	14-Nov-17
156	Shalom Investment and Financial Services Limited	December	14-Nov-17
157	Shelong Investments Limited	December	14-Nov-17
158	Sigma Securities Limited	December	14-Nov-17
159	Signet Investment & Securities Limited	December	14-Nov-17
160	Skyview Capital Ltd	December	14-Nov-17
161	Smadac Securities Limited	December	14-Nov-17
162	Solid Rock Securities & Investment Plc	December	14-Nov-17
163	Spring Trust & Securities Limited	December	14-Nov-17
164	Springboard Trust & Investment Limited	December	14-Nov-17
165	Stanbic IBTC Stockbrokers Limited	December	14-Nov-17
166	Standard Alliance Capital Asset Mgt Limited	December	14-Nov-17
167	Standard Union Securities Limited	December	14-Nov-17
168	TFS Securities & Investment Company Limited	December	14-Nov-17
169	The Bridge Securities Limited	December	14-Nov-17
170	Tiddo Securities Limited	December	14-Nov-17
171	Tomil Trust Limited	December	14-Nov-17
172	Topmost Securities Limited	December	14-Nov-17
173	Tower Securities & Investment Company Limited	December	14-Nov-17
174	Trade Link Securities Limited	December	14-Nov-17
175	Traders Trust & Investment Co. Limited	December	14-Nov-17
176	Transworld Investment Limited	December	14-Nov-17

177	Trust Yield Securities Limited	December	14-Nov-17
178	TrustBanc Capital Management Limited	December	14-Nov-17
179	Trusthouse Investment Limited	December	14-Nov-17
180	TRW Stockbrokers Limited	December	14-Nov-17
181	Tyndale Securities Limited	December	14-Nov-17
182	UIDC Securities Limited	December	14-Aug-17
183	Unex Capital Limited	December	14-Nov-17
184	Union Capital Markets Limited	December	14-Nov-17
185	United Capital Securities Limited	December	14-Nov-17
186	Valmon Securities Limited	December	14-Nov-17
187	Valueline Securities & Investment Limited	December	14-Nov-17
188	Vetiva Securities Limited	December	14-Nov-17
189	Woodland Capital Markets Plc	December	14-Nov-17
190	WSTC Financial Services Limited	December	14-Nov-17
191	Zenith Securities Limited	December	14-Nov-17
192	Zion Stockbrokers & Securities Limited	December	14-Nov-17

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Gem Assets Management Limited	December	14-Aug-17
2	Clearview Investments Company Limited	December	14-Aug-17
3	Kofana Securities & Investment Limited	December	14-Nov-17

S/N	**FIRMS**	**Year End**	**Due date**
1	Fundvine Capital & Securities Limited	December	15-May-17

ACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Quantum Securities Limited	December	14-Nov-17
2	Resort Securities & Trust Limited	December	14-Nov-17

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	14-Nov-17
2	Aims Asset Management Limited	December	14-Nov-17
3	Cadington Securities Limited	December	14-Nov-17
4	CEB Securities Limited	December	14-Nov-17
5	ECL Asset Management Limited	December	14-Nov-17
6	Finbank Securities & Assets Management Limited	December	14-Nov-17
7	GMT Securities & Asset Mangement Limited	December	14-Nov-17
8	Gombe Securities Limited	December	14-Nov-17
9	Horizon Stockbrokers Limited	December	14-Nov-17
10	International Standard Securities Limited	December	14-Nov-17
11	Investment Shark & Asset Mgt Ltd	December	14-Nov-17

12	ITIS Securities Limited	December	14-Nov-17
13	Kakawa Asset Management Limited	December	14-Nov-17
14	Lion Stockbrokers Limited	December	14-Nov-17
15	LMB Stockbrokers Limited	December	14-Nov-17
16	Mact Securities Limited	December	14-Nov-17
17	Maven Asset Management Limited	December	14-Nov-17
18	Mercov Securities Limited	December	14-Nov-17
19	Midpoint Capital Limited	December	14-Nov-17
20	ML Securities Limited	December	14-Nov-17
21	Monument Sec & Finance Limited	December	14-Nov-17
22	Mutual Alliance Investment & Securities Limited	December	14-Nov-17
23	Partnership Securities Limited	December	14-Nov-17
24	Profund Securities Limited	December	14-Nov-17
25	Resano Securities Limited	December	14-Nov-17
26	Supra Commercial Trust Company Limited	December	14-Nov-17
27	Tower Asset Management Limited	December	14-Nov-17
28	Transafrica Financial Services Limited	December	14-Nov-17
29	UIDC Securities Limited	December	14-Nov-17
30	Wema Asset Management Limited	December	14-Nov-17

RESIGNATION IN PROGRESS			
S/N	**FIRMS**	**Year End**	**Due date**
1	African Alliance Stockbrokers Limited	December	14-Nov-17
2	Stanwal Securities Limited	December	14-Nov-17
3	Summa Guaranty and Trust Company Limited	December	14-Nov-17
4	Vision Trust & Investment Limited	December	14-Nov-17

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2017			
ACTIVE AND SUBMITTED			
S/N	**FIRMS**	**Year End**	**Due date**
1	Adonai Stockbrokers Limited	December	14-Feb-18
2	Afrinvest Securities Limited	December	14-Feb-18
3	Amyn Investment Limited	December	14-Feb-18
4	Anchoria Investment & Securities Limited	December	14-Feb-18
5	Apel Asset Limited	December	14-Feb-18
6	Apt Securities & Funds Limited	December	14-Feb-18
7	ARM Securities Limited	December	14-Feb-18
8	Arthur Stevens Asset Management Limited	December	14-Feb-18
9	Associated Asset Managers Limited	December	14-Feb-18
10	Atlass Portfolio Limited	December	14-Feb-18
11	Bauchi Investment Corp. Sec Limited	December	14-Feb-18
12	Belfry Investment & Securities Limited	December	14-Feb-18
13	Bestworth Assets & Trust Limited	December	14-Feb-18
14	Calyx Securities Limited	December	14-Feb-18
15	Camry Securities Limited	December	14-Feb-18
16	Capital Asset Limited	December	14-Feb-18
17	Capital Bancorp Plc	December	14-Feb-18
18	Capital Express Securities Limited	December	14-Feb-18
19	Capital Trust Brokers Limited	December	14-Feb-18
20	Cardinal Stone Securities Ltd	December	14-Feb-18
21	Cashcraft Securities Limited	December	14-Feb-18
22	Cashville Investment & Securities Limited	December	14-Feb-18
23	CDL Capital Markets Limited	December	14-Feb-18
24	Centre Point Investment Limited	December	14-Feb-18
25	Century Securities Limited	December	14-Feb-18
26	Chapel Hill Denham Securities Limited	December	14-Feb-18
27	Chartwell Securities Limited	December	14-Feb-18
28	Citi Investment Capital Limited	December	14-Feb-18
29	City Code Trust & Investment Company Limited	December	14-Feb-18
30	CLG Stockbrokers Limited	December	14-Feb-18
31	Compass Investment & Securities Limited	December	14-Feb-18
32	Cordros Securities Limited	December	14-Feb-18
33	Core Securities Limited	December	14-Feb-18
34	Coronation Securities Limited	December	14-Feb-18
35	Cowry Securities Limited	December	14-Feb-18
36	Crane Securities Limited	December	14-Feb-18
37	Crossworld Securities Limited	December	14-Feb-18
38	Crown Capital Limited	December	14-Feb-18
39	CSL Stockbrokers Limited	December	14-Feb-18
40	Deep Trust Investment Limited	December	14-Feb-18
41	Delords Securities Limited	December	14-Feb-18
42	Dominion Trust Limited	December	14-Feb-18
43	DSU Brokerage Services Limited	December	14-Feb-18

44	Dunbell Securities Limited	December	14-Feb-18
45	Dunn Loren Merrifield Securities Limited	December	14-Feb-18
46	Dynamic Portfolio Limited	December	14-Feb-18
47	EDC Securities Limited	December	14-Feb-18
48	Edgefield Capital Management Limited	December	14-Feb-18
49	EFCP Limited	December	14-Feb-18
50	El-Elyon Alliance and Securities Ltd	December	14-Feb-18
51	Elixir Securities Limited	December	14-Feb-18
52	Enterprise Stockbrokers Limited	December	14-Feb-18
53	Equity Capital Solutions Limited	December	14-Feb-18
54	Eurocomm Securities Limited	December	14-Feb-18
55	Express Portfolio Services Limited	December	14-Feb-18
56	Falcon Securities Limited	December	14-Feb-18
57	FBC Trust & Securities Limited	December	14-Feb-18
58	FBNQuest Securities Limited	December	14-Feb-18
59	FCSL Asset Management Company Limited	December	14-Feb-18
60	Fidelity Finance Company Limited	December	14-Feb-18
61	Financial & Analytics Capital Limited	December	14-Feb-18
62	Financial Trust Company Limited	December	14-Feb-18
63	Finmal Finance Services Limited	December	14-Feb-18
64	First Integrated Capital Manangement Limited	December	14-Feb-18
65	First Stockbrokers Limited	December	14-Feb-18
66	FIS Securities Limited	December	14-Feb-18
67	Foresight Securities & Investment Limited	December	14-Feb-18
68	Forte Financial Limited	December	14-Feb-18
69	Forthright Securities & Investments Limited	December	14-Feb-18
70	Fortress Capital Limited	December	14-Feb-18
71	FSDH Securities Limited	December	14-Feb-18
72	FSL Securities Limited	December	14-Feb-18
73	Funds Matrix & Assets Mgt Limited	December	14-Feb-18
74	Fundvine Capital & Securities Limited	December	14-Feb-18
75	Futureview Securities Limited	December	14-Feb-18
76	Gidauniya Investment & Securities Limited	December	14-Feb-18
77	Global Asset Management (Nig) Limited	December	14-Feb-18
78	GlobalView Capital Limited	December	14-Feb-18
79	Golden Securities Limited	December	14-Feb-18
80	Greenwich Securities Limited	December	14-Feb-18
81	Growth & Development Asset Management Limited	December	14-Feb-18
82	Gruene Capital Limited	December	14-Feb-18
83	GTI Securities Limited	December	14-Feb-18
84	Harmony Securities Limited	December	14-Feb-18
85	Heartbeat Investment Limited	December	14-Feb-18
86	Hedge Securities & Investment Limited	December	14-Feb-18
87	Helix Securities Limited	December	14-Feb-18
88	Heritage Capital Markets Limited	December	14-Feb-18
89	ICMG Securities Limited	December	14-Feb-18
90	Icon Stockbrokers Limited	December	14-Feb-18

91	Imperial Asset Managers Limited	December	14-Feb-18
92	Integrated Trust & Investment Limited	December	14-Feb-18
93	Interstate Securities Limited	December	14-Feb-18
94	Investment One Stockbrokers International Limited	December	14-Feb-18
95	Investors & Trust Company Limited	December	14-Feb-18
96	Kapital Care Trust & Securities Limited	December	14-Feb-18
97	Kedari Capital Limited	December	14-Feb-18
98	Kinley Securities Limited	December	14-Feb-18
99	Kundila Finance Services Limited	December	14-Feb-18
100	Lambeth Trust & Investment Company Limited	December	14-Feb-18
101	Lead Securities & Investment Limited	December	14-Feb-18
102	Lighthouse Asset Management Limited	December	14-Feb-18
103	Longterm Global Capital Limited	December	14-Feb-18
104	Magnartis Finance & Investment Limited	December	14-Feb-18
105	Mainstreet Bank Securities Limited	December	14-Feb-18
106	Marimpex Finance & Investment Company Limited	December	14-Feb-18
107	Marriot Securities & Investment Co. Limited	December	14-Feb-18
108	Maxifund Investment & Securities Plc	December	14-Feb-18
109	Mayfield Investment Limited	December	14-Feb-18
110	MBC Securities Limited	December	14-Feb-18
111	MBL Financial Services Limited	December	14-Feb-18
112	Mega Equities Limited	December	14-Feb-18
113	Meristem Stockbrokers Limited	December	14-Feb-18
114	Midas Stockbrokers Limited	December	14-Feb-18
115	Milestone Capital Management Limited	December	14-Feb-18
116	Mission Securities Limited	December	14-Feb-18
117	Molten Trust Limited	December	14-Feb-18
118	Morgan Capital Securities Limited	December	14-Feb-18
119	Mountain Investment & Securities Limited	December	14-Feb-18
120	Network Capital Limited	December	14-Feb-18
121	Networth Securities & Finance Limited	December	14-Feb-18
122	Newdevco Investments & Securties Limited	December	14-Feb-18
123	Nigerian International Securities Ltd	December	14-Feb-18
124	Nigerian Stockbrokers Limited	December	14-Feb-18
125	Nova Finance & Securities Limited	December	14-Feb-18
126	PAC Securities Limited	December	14-Feb-18
127	Peace Capital Markets Ltd	December	14-Feb-18
128	Pilot Securities Limited	December	14-Feb-18
129	Pinefields Investment Services Limited	December	14-Feb-18
130	PIPC Securities Limited	December	14-Feb-18
131	Pivot Capital Limited	December	14-Feb-18
132	Planet Capital Limited	December	14-Feb-18
133	Portfolio Advisers Limited	December	14-Feb-18
134	Primera Africa Securities Limited	December	14-Feb-18
135	Primewealth Capital Limited	December	14-Feb-18
136	Prominent Securities Limited	December	14-Feb-18
137	PSI Securities Limited	December	14-Feb-18

138	Pyramid Securities Limited	December	14-Feb-18
139	Qualinvest Capital Limited	December	14-Feb-18
140	Rainbow Securities & Investment Company Limited	December	14-Feb-18
141	Readings Investment Limited	December	14-Feb-18
142	Regency Asset Management Limited	December	14-Feb-18
143	Rencap Securities (Nig) Limited	December	14-Feb-18
144	Resort Securities & Trust Limited	December	14-Feb-18
145	Reward Investment & Service Limited	December	14-Feb-18
146	RMB Nigeria Stockbrokers Limited	December	14-Feb-18
147	Rostrum Investment & Sec Limited	December	14-Feb-18
148	Royal Crest Finance Limited	December	14-Feb-18
149	Royal Guaranty & Trust Limited	December	14-Feb-18
150	Royal Trust Securities Limited	December	14-Feb-18
151	Sankore Securities Limited	December	14-Feb-18
152	Santrust Securities Limited	December	14-Feb-18
153	Securities Africa Financial Limited	December	14-Feb-18
154	Securities and Capital Management Company Limited	December	14-Feb-18
155	Security Swaps Limited	December	14-Feb-18
156	SFC Securities Limited	December	14-Feb-18
157	Shalom Investment and Financial Services Limited	December	14-Feb-18
158	Shelong Investments Limited	December	14-Feb-18
159	Sigma Securities Limited	December	14-Feb-18
160	Signet Investment & Securities Limited	December	14-Feb-18
161	Skyview Capital Limited	December	14-Feb-18
162	Smadac Securities Limited	December	14-Feb-18
163	Solid Rock Securities & Investment Plc	December	14-Feb-18
164	Spring Trust & Securities Limited	December	14-Feb-18
165	Springboard Trust & Investment Limited	December	14-Feb-18
166	Stanbic IBTC Stockbrokers Limited	December	14-Feb-18
167	Standard Alliance Capital Asset Mgt Limited	December	14-Feb-18
168	Standard Union Securities Limited	December	14-Feb-18
169	TFS Securities & Investment Company Limited	December	14-Feb-18
170	The Bridge Securities Limited	December	14-Feb-18
171	Tiddo Securities Limited	December	14-Feb-18
172	Tomil Trust Limited	December	14-Feb-18
173	Topmost Securities Limited	December	14-Feb-18
174	Tower Securities & Investment Company Limited	December	14-Feb-18
175	Trade Link Securities Limited	December	14-Feb-18
176	Traders Trust & Investment Co. Limited	December	14-Feb-18
177	Transworld Investment Limited	December	14-Feb-18
178	Trust Yield Securities Limited	December	14-Feb-18
179	TrustBanc Capital Management Limited	December	14-Feb-18
180	Trusthouse Investment Limited	December	14-Feb-18
181	TRW Stockbrokers Limited	December	14-Feb-18
182	Tyndale Securities Limited	December	14-Feb-18
183	Unex Capital Limited	December	14-Feb-18

184	Union Capital Markets Limited	December	14-Feb-18
185	United Capital Securities Limited	December	14-Feb-18
186	Valmon Securities Limited	December	14-Feb-18
187	Valueline Securities & Investment Limited	December	14-Feb-18
188	Vetiva Securities Limited	December	14-Feb-18
189	Woodland Capital Markets Plc	December	14-Feb-18
190	WSTC Securities Limited	December	14-Feb-18
191	Zenith Securities Limited	December	14-Feb-18
192	Zion Stockbrokers & Securities Limited	December	14-Feb-18

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Clearview Investments Company Limited	December	14-Feb-18
2	Gem Assets Management Limited	December	14-Feb-18
3	Kofana Securities & Investment Limited	December	14-Feb-18
4	Supra Commercial Trust Company Limited	December	14-Feb-18
5	UIDC Securities Limited	December	14-Feb-18

ACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Rowet Capital Management Limited	December	14-Feb-18

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	14-Feb-18
2	Aims Asset Management Limited	December	14-Feb-18
3	Cadington Securities Limited	December	14-Feb-18
4	CEB Securities Limited	December	14-Feb-18
5	ECL Asset Management Limited	December	14-Feb-18
6	Finbank Securities & Assets Management Limited	December	14-Feb-18
7	GMT Securities & Asset Mangement Limited	December	14-Feb-18
8	Gombe Securities Limited	December	14-Feb-18
9	Horizon Stockbrokers Limited	December	14-Feb-18
10	International Standard Securities Limited	December	14-Feb-18
11	Investment Shark & Asset Mgt Ltd	December	14-Feb-18
12	ITIS Securities Limited	December	14-Feb-18
13	Kakawa Asset Management Limited	December	14-Feb-18
14	Lion Stockbrokers Limited	December	14-Feb-18
15	LMB Stockbrokers Limited	December	14-Feb-18
16	Mact Securities Limited	December	14-Feb-18
17	Maven Asset Management Limited	December	14-Feb-18
18	Mercov Securities Limited	December	14-Feb-18
19	Midpoint Capital Limited	December	14-Feb-18

20	ML Securities Limited	December	14-Feb-18
21	Monument Sec & Finance Limited	December	14-Feb-18
22	Mutual Alliance Investment & Securities Limited	December	14-Feb-18
23	Partnership Securities Limited	December	14-Feb-18
24	Profund Securities Limited	December	14-Feb-18
25	Quantum Securities Limited	December	14-Feb-18
26	Resano Securities Limited	December	14-Feb-18
27	Tower Asset Management Limited	December	14-Feb-18
28	Transafrica Financial Services Limited	December	14-Feb-18
29	Wema Asset Management Limited	December	14-Feb-18

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2018

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	15-May-18
2	Afrinvest Securities Limited	December	15-May-18
3	Anchoria Investment & Securities Limited	December	15-May-18
4	Apel Asset Limited	December	15-May-18
5	Apt Securities & Funds Limited	December	15-May-18
6	ARM Securities Limited	December	15-May-18
7	Arthur Stevens Asset Management Limited	December	15-May-18
8	Associated Asset Managers Limited	December	15-May-18
9	Atlass Portfolio Limited	December	15-May-18
10	Bauchi Investment Corp. Sec Limited	December	15-May-18
11	Belfry Investment & Securities Limited	December	15-May-18
12	Bestworth Assets & Trust Limited	December	15-May-18
13	Calyx Securities Limited	December	15-May-18
14	Camry Securities Limited	December	15-May-18
15	Capital Asset Limited	December	15-May-18
16	Capital Bancorp Plc	December	15-May-18
17	Capital Express Securities Limited	December	15-May-18
18	Capital Trust Brokers Limited	December	15-May-18
19	Cardinal Stone Securities Ltd	December	15-May-18
20	Cashcraft Securities Limited	December	15-May-18
21	Cashville Investment & Securities Limited	December	15-May-18
22	CDL Capital Markets Limited	December	15-May-18
23	Centre Point Investment Limited	December	15-May-18
24	Century Securities Limited	December	15-May-18
25	Chapel Hill Denham Securities Limited	December	15-May-18
26	Chartwell Securities Limited	December	15-May-18
27	Citi Investment Capital Limited	December	15-May-18
28	City Code Trust & Investment Company Limited	December	15-May-18
29	CLG Stockbrokers Limited	December	15-May-18
30	Compass Investment & Securities Limited	December	15-May-18
31	Cordros Securities Limited	December	15-May-18
32	Core Securities Limited	December	15-May-18
33	Coronation Securities Limited	December	15-May-18
34	Cowry Securities Limited	December	15-May-18
35	Crane Securities Limited	December	15-May-18
36	Crossworld Securities Limited	December	15-May-18
37	Crown Capital Limited	December	15-May-18

38	CSL Stockbrokers Limited	December	15-May-18
39	Deep Trust Investment Limited	December	15-May-18
40	Delords Securities Limited	December	15-May-18
41	Dominion Trust Limited	December	15-May-18
42	DSU Brokerage Services Limited	December	15-May-18
43	Dunbell Securities Limited	December	15-May-18
44	Dunn Loren Merrifield Securities Limited	December	15-May-18
45	Dynamic Portfolio Limited	December	15-May-18
46	EDC Securities Limited	December	15-May-18
47	Edgefield Capital Management Limited	December	15-May-18
48	EFCP Limited	December	15-May-18
49	El-Elyon Alliance and Securities Ltd	December	15-May-18
50	Elixir Securities Limited	December	15-May-18
51	Enterprise Stockbrokers Limited	December	15-May-18
52	Equity Capital Solutions Limited	December	15-May-18
53	Eurocomm Securities Limited	December	15-May-18
54	Express Portfolio Services Limited	December	15-May-18
55	Falcon Securities Limited	December	15-May-18
56	FBC Trust & Securities Limited	December	15-May-18
57	FBNQuest Securities Limited	December	15-May-18
58	FCSL Asset Management Company Limited	December	15-May-18
59	Fidelity Finance Company Limited	December	15-May-18
60	Fidelity Securities Limited	December	15-May-18
61	Financial & Analytics Capital Limited	December	15-May-18
62	Financial Trust Company Limited	December	15-May-18
63	Finmal Finance Services Limited	December	15-May-18
64	First Integrated Capital Manangement Limited	December	15-May-18
65	First Stockbrokers Limited	December	15-May-18
66	FIS Securities Limited	December	15-May-18
67	Foresight Securities & Investment Limited	December	15-May-18
68	Forte Financial Limited	December	15-May-18
69	Forthright Securities & Investments Limited	December	15-May-18
70	Fortress Capital Limited	December	15-May-18
71	FSDH Securities Limited	December	15-May-18
72	Funds Matrix & Assets Mgt Limited	December	15-May-18
73	Fundvine Capital & Securities Limited	December	15-May-18
74	Futureview Securities Limited	December	15-May-18
75	Gidauniya Investment & Securities Limited	December	15-May-18
76	Global Asset Management (Nig) Limited	December	15-May-18
77	GlobalView Capital Limited	December	15-May-18
78	Golden Securities Limited	December	15-May-18
79	Greenwich Securities Limited	December	15-May-18
80	Growth & Development Asset Management Limited	December	15-May-18
81	Gruene Capital Limited	December	15-May-18
82	GTI Securities Limited	December	15-May-18
83	Harmony Securities Limited	December	15-May-18
84	Heartbeat Investment Limited	December	15-May-18

85	Hedge Securities & Investment Limited	December	15-May-18
86	Helix Securities Limited	December	15-May-18
87	Heritage Capital Markets Limited	December	15-May-18
88	ICMG Securities Limited	December	15-May-18
89	Icon Stockbrokers Limited	December	15-May-18
90	Imperial Asset Managers Limited	December	15-May-18
91	Integrated Trust & Investment Limited	December	15-May-18
92	Interstate Securities Limited	December	15-May-18
93	Investment One Stockbrokers International Limited	December	15-May-18
94	Investors & Trust Company Limited	December	15-May-18
95	Kapital Care Trust & Securities Limited	December	15-May-18
96	Kedari Capital Limited	December	15-May-18
97	Kinley Securities Limited	December	15-May-18
98	Kundila Finance Services Limited	December	15-May-18
99	Lambeth Trust & Investment Company Limited	December	15-May-18
100	Lead Securities & Investment Limited	December	15-May-18
101	Lighthouse Asset Management Limited	December	15-May-18
102	Longterm Global Capital Limited	December	15-May-18
103	Magnartis Finance & Investment Limited	December	15-May-18
104	Mainstreet Bank Securities Limited	December	15-May-18
105	Marimpex Finance & Investment Company Limited	December	15-May-18
106	Marriot Securities & Investment Co. Limited	December	15-May-18
107	Maxifund Investment & Securities Plc	December	15-May-18
108	Mayfield Investment Limited	December	15-May-18
109	MBC Securities Limited	December	15-May-18
110	MBL Financial Services Limited	December	15-May-18
111	Mega Equities Limited	December	15-May-18
112	Meristem Stockbrokers Limited	December	15-May-18
113	Midas Stockbrokers Limited	December	15-May-18
114	Milestone Capital Management Limited	December	15-May-18
115	Mission Securities Limited	December	15-May-18
116	Molten Trust Limited	December	15-May-18
117	Morgan Capital Securities Limited	December	15-May-18
118	Mountain Investment & Securities Limited	December	15-May-18
119	Network Capital Limited	December	15-May-18
120	Networth Securities & Finance Limited	December	15-May-18
121	Newdevco Investments & Securties Limited	December	15-May-18
122	Nigerian International Securities Ltd	December	15-May-18
123	Nigerian Stockbrokers Limited	December	15-May-18
124	PAC Securities Limited	December	15-May-18
125	Peace Capital Markets Ltd	December	15-May-18
126	Pilot Securities Limited	December	15-May-18
127	Pinefields Investment Services Limited	December	15-May-18
128	PIPC Securities Limited	December	15-May-18
129	Pivot Capital Limited	December	15-May-18
130	Planet Capital Limited	December	15-May-18
131	Portfolio Advisers Limited	December	15-May-18

132	Primera Africa Securities Limited	December	15-May-18
133	Primewealth Capital Limited	December	15-May-18
134	Prominent Securities Limited	December	15-May-18
135	PSI Securities Limited	December	15-May-18
136	Pyramid Securities Limited	December	15-May-18
137	Qualinvest Capital Limited	December	15-May-18
138	Rainbow Securities & Investment Company Limited	December	15-May-18
139	Readings Investment Limited	December	15-May-18
140	Regency Asset Management Limited	December	15-May-18
141	Rencap Securities (Nig) Limited	December	15-May-18
142	Resort Securities & Trust Limited	December	15-May-18
143	Reward Investment & Service Limited	December	15-May-18
144	RMB Nigeria Stockbrokers Limited	December	15-May-18
145	Rostrum Investment & Sec Limited	December	15-May-18
146	Rowet Capital Management Limited	December	15-May-18
147	Royal Crest Finance Limited	December	15-May-18
148	Royal Guaranty & Trust Limited	December	15-May-18
149	Royal Trust Securities Limited	December	15-May-18
150	Sankore Securities Limited	December	15-May-18
151	Santrust Securities Limited	December	15-May-18
152	Securities Africa Financial Limited	December	15-May-18
153	Securities and Capital Management Company Limited	December	15-May-18
154	Security Swaps Limited	December	15-May-18
155	SFC Securities Limited	December	15-May-18
156	Shalom Investment and Financial Services Limited	December	15-May-18
157	Shelong Investments Limited	December	15-May-18
158	Sigma Securities Limited	December	15-May-18
159	Signet Investment & Securities Limited	December	15-May-18
160	Skyview Capital Limited	December	15-May-18
161	Smadac Securities Limited	December	15-May-18
162	Solid Rock Securities & Investment Plc	December	15-May-18
163	Spring Trust & Securities Limited	December	15-May-18
164	Springboard Trust & Investment Limited	December	15-May-18
165	Stanbic IBTC Stockbrokers Limited	December	15-May-18
166	Standard Alliance Capital Asset Mgt Limited	December	15-May-18
167	Standard Union Securities Limited	December	15-May-18
168	TFS Securities & Investment Company Limited	December	15-May-18
169	The Bridge Securities Limited	December	15-May-18
170	Tiddo Securities Limited	December	15-May-18
171	Tomil Trust Limited	December	15-May-18
172	Topmost Securities Limited	December	15-May-18
173	Tower Securities & Investment Company Limited	December	15-May-18
174	Trade Link Securities Limited	December	15-May-18
175	Traders Trust & Investment Co. Limited	December	15-May-18
176	Transworld Investment Limited	December	15-May-18
177	Trust Yield Securities Limited	December	15-May-18
178	TrustBanc Capital Management Limited	December	15-May-18

179	Trusthouse Investment Limited	December	15-May-18
180	TRW Stockbrokers Limited	December	15-May-18
181	Tyndale Securities Limited	December	15-May-18
182	Unex Capital Limited	December	15-May-18
183	Union Capital Markets Limited	December	15-May-18
184	United Capital Securities Limited	December	15-May-18
185	Valmon Securities Limited	December	15-May-18
186	Valueline Securities & Investment Limited	December	15-May-18
187	Vetiva Securities Limited	December	15-May-18
188	Woodland Capital Markets Plc	December	15-May-18
189	WSTC Securities Limited	December	15-May-18
190	Zenith Securities Limited	December	15-May-18
191	Zion Stockbrokers & Securities Limited	December	15-May-18

INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Gem Assets Management Limited	December	15-May-18
2	UIDC Securities Limited	December	15-May-18
3	Kofana Securities & Investment Limited	December	15-May-18
4	Nova Finance & Securities Limited	December	15-May-18

ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Amyn Investment Limited	December	15-May-18

NOT REQUIRED TO SUBMIT DUE TO INACTIVATED LICENSE			
S/N	FIRM	Year End	Due Date
1	Nonsuch Securities Limited formerly Wema Asset Manageme	December	15-May-18

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	15-May-18
2	Aims Asset Management Limited	December	15-May-18
3	Cadington Securities Limited	December	15-May-18
4	CEB Securities Limited	December	15-May-18
5	ECL Asset Management Limited	December	15-May-18
6	Finbank Securities & Assets Management Limited	December	15-May-18
7	GMT Securities & Asset Mangement Limited	December	15-May-18
8	Gombe Securities Limited	December	15-May-18
9	Horizon Stockbrokers Limited	December	15-May-18
10	International Standard Securities Limited	December	15-May-18
11	Investment Shark & Asset Mgt Ltd	December	15-May-18

12	ITIS Securities Limited	December	15-May-18
13	Kakawa Asset Management Limited	December	15-May-18
14	Lion Stockbrokers Limited	December	15-May-18
15	Clearview Investments Company Limited	December	15-May-18
16	Supra Commercial Trust Company Limited	December	15-May-18
17	LMB Stockbrokers Limited	December	15-May-18
18	Mact Securities Limited	December	15-May-18
19	Maven Asset Management Limited	December	15-May-18
20	Mercov Securities Limited	December	15-May-18
21	Midpoint Capital Limited	December	15-May-18
22	ML Securities Limited	December	15-May-18
23	Monument Sec & Finance Limited	December	15-May-18
24	Mutual Alliance Investment & Securities Limited	December	15-May-18
25	Profund Securities Limited	December	15-May-18
26	Quantum Securities Limited	December	15-May-18
27	Resano Securities Limited	December	15-May-18
28	Tower Asset Management Limited	December	15-May-18
29	Transafrica Financial Services Limited	December	15-May-18

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2018

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Afrinvest Securities Limited	December	15-May-18
2	Anchoria Investment & Securities Limited	December	15-May-18
3	Apel Asset Limited	December	15-May-18
4	Apt Securities & Funds Limited	December	15-May-18
5	ARM Securities Limited	December	15-May-18
6	Arthur Stevens Asset Management Limited	December	15-May-18
7	Associated Asset Managers Limited	December	15-May-18
8	Atlass Portfolio Limited	December	15-May-18
9	Bauchi Investment Corp. Sec Limited	December	15-May-18
10	Belfry Investment & Securities Limited	December	15-May-18
11	Bestworth Assets & Trust Limited	December	15-May-18
12	Calyx Securities Limited	December	15-May-18
13	Camry Securities Limited	December	15-May-18
14	Capital Asset Limited	December	15-May-18
15	Capital Bancorp Plc	December	15-May-18
16	Capital Express Securities Limited	December	15-May-18
17	Capital Trust Brokers Limited	December	15-May-18
18	Cardinal Stone Securities Ltd	December	15-May-18
19	Cashcraft Securities Limited	December	15-May-18
20	Cashville Investment & Securities Limited	December	15-May-18
21	CDL Capital Markets Limited	December	15-May-18
22	Centre Point Investment Limited	December	15-May-18
23	Century Securities Limited	December	15-May-18
24	Chapel Hill Denham Securities Limited	December	15-May-18
25	Chartwell Securities Limited	December	15-May-18
26	Citi Investment Capital Limited	December	15-May-18
27	City Code Trust & Investment Company Limited	December	15-May-18
28	Compass Investment & Securities Limited	December	15-May-18
29	Cordros Securities Limited	December	15-May-18
30	Core Securities Limited	December	15-May-18
31	Coronation Securities Limited	December	15-May-18
32	Cowry Securities Limited	December	15-May-18
33	Crane Securities Limited	December	15-May-18
34	Crossworld Securities Limited	December	15-May-18
35	Crown Capital Limited	December	15-May-18
36	CSL Stockbrokers Limited	December	15-May-18
37	Deep Trust Investment Limited	December	15-May-18

38	Delords Securities Limited	December	15-May-18
39	Dominion Trust Limited	December	15-May-18
40	DSU Brokerage Services Limited	December	15-May-18
41	Dunbell Securities Limited	December	15-May-18
42	Dunn Loren Merrifield Securities Limited	December	15-May-18
43	Dynamic Portfolio Limited	December	15-May-18
44	EDC Securities Limited	December	15-May-18
45	Edgefield Capital Management Limited	December	15-May-18
46	EFCP Limited	December	15-May-18
47	El-Elyon Alliance and Securities Ltd	December	15-May-18
48	Elixir Securities Limited	December	15-May-18
49	Enterprise Stockbrokers Limited	December	15-May-18
50	Equity Capital Solutions Limited	December	15-May-18
51	Eurocomm Securities Limited	December	15-May-18
52	Express Portfolio Services Limited	December	15-May-18
53	Falcon Securities Limited	December	15-May-18
54	FBC Trust & Securities Limited	December	15-May-18
55	FBNQuest Securities Limited	December	15-May-18
56	FCSL Asset Management Company Limited	December	15-May-18
57	Fidelity Finance Company Limited	December	15-May-18
58	Fidelity Securities Limited	December	15-May-18
59	Financial & Analytics Capital Limited	December	15-May-18
60	Financial Trust Company Limited	December	15-May-18
61	Finmal Finance Services Limited	December	15-May-18
62	First Integrated Capital Manangement Limited	December	15-May-18
63	First Stockbrokers Limited	December	15-May-18
64	FIS Securities Limited	December	15-May-18
65	Foresight Securities & Investment Limited	December	15-May-18
66	Forte Financial Limited	December	15-May-18
67	Forthright Securities & Investments Limited	December	15-May-18
68	Fortress Capital Limited	December	15-May-18
69	FSDH Securities Limited	December	15-May-18
70	Funds Matrix & Assets Mgt Limited	December	15-May-18
71	Fundvine Capital & Securities Limited	December	15-May-18
72	Futureview Securities Limited	December	15-May-18
73	Gidauniya Investment & Securities Limited	December	15-May-18
74	Global Asset Management (Nig) Limited	December	15-May-18
75	GlobalView Capital Limited	December	15-May-18
76	Golden Securities Limited	December	15-May-18
77	Greenwich Securities Limited	December	15-May-18
78	Growth & Development Asset Management Limited	December	15-May-18
79	Gruene Capital Limited	December	15-May-18
80	GTI Securities Limited	December	15-May-18
81	Harmony Securities Limited	December	15-May-18
82	Heartbeat Investment Limited	December	15-May-18
83	Hedge Securities & Investment Limited	December	15-May-18
84	Helix Securities Limited	December	15-May-18

85	Heritage Capital Markets Limited	December	15-May-18
86	ICMG Securities Limited	December	15-May-18
87	Icon Stockbrokers Limited	December	15-May-18
88	Imperial Asset Managers Limited	December	15-May-18
89	Integrated Trust & Investment Limited	December	15-May-18
90	Interstate Securities Limited	December	15-May-18
91	Investment One Stockbrokers International Limited	December	15-May-18
92	Investors & Trust Company Limited	December	15-May-18
93	Kapital Care Trust & Securities Limited	December	15-May-18
94	Kedari Capital Limited	December	15-May-18
95	Kinley Securities Limited	December	15-May-18
96	Kofana Securities & Investment Limited	December	15-May-18
97	Kundila Finance Services Limited	December	15-May-18
98	Lambeth Trust & Investment Company Limited	December	15-May-18
99	Lead Securities & Investment Limited	December	15-May-18
100	Lighthouse Asset Management Limited	December	15-May-18
101	Longterm Global Capital Limited	December	15-May-18
102	Magnartis Finance & Investment Limited	December	15-May-18
103	Mainstreet Bank Securities Limited	December	15-May-18
104	Marimpex Finance & Investment Company Limited	December	15-May-18
105	Marriot Securities & Investment Co. Limited	December	15-May-18
106	Maxifund Investment & Securities Plc	December	15-May-18
107	Mayfield Investment Limited	December	15-May-18
108	MBC Securities Limited	December	15-May-18
109	MBL Financial Services Limited	December	15-May-18
110	Mega Equities Limited	December	15-May-18
111	Meristem Stockbrokers Limited	December	15-May-18
112	Midas Stockbrokers Limited	December	15-May-18
113	Milestone Capital Management Limited	December	15-May-18
114	Mission Securities Limited	December	15-May-18
115	Molten Trust Limited	December	15-May-18
116	Morgan Capital Securities Limited	December	15-May-18
117	Mountain Investment & Securities Limited	December	15-May-18
118	Network Capital Limited	December	15-May-18
119	Networth Securities & Finance Limited	December	15-May-18
120	Newdevco Investments & Securties Limited	December	15-May-18
121	Nigerian International Securities Ltd	December	15-May-18
122	Nigerian Stockbrokers Limited	December	15-May-18
123	PAC Securities Limited	December	15-May-18
124	Peace Capital Markets Ltd	December	15-May-18
125	Pilot Securities Limited	December	15-May-18
126	Pinefields Investment Services Limited	December	15-May-18
127	PIPC Securities Limited	December	15-May-18
128	Pivot Capital Limited	December	15-May-18
129	Planet Capital Limited	December	15-May-18
130	Portfolio Advisers Limited	December	15-May-18
131	Primera Africa Securities Limited	December	15-May-18

132	Primewealth Capital Limited	December	15-May-18
133	Prominent Securities Limited	December	15-May-18
134	PSI Securities Limited	December	15-May-18
135	Pyramid Securities Limited	December	15-May-18
136	Qualinvest Capital Limited	December	15-May-18
137	Rainbow Securities & Investment Company Limited	December	15-May-18
138	Readings Investment Limited	December	15-May-18
139	Regency Asset Management Limited	December	15-May-18
140	Rencap Securities (Nig) Limited	December	15-May-18
141	Resort Securities & Trust Limited	December	15-May-18
142	Reward Investment & Service Limited	December	15-May-18
143	RMB Nigeria Stockbrokers Limited	December	15-May-18
144	Rostrum Investment & Sec Limited	December	15-May-18
145	Rowet Capital Management Limited	December	15-May-18
146	Royal Crest Finance Limited	December	15-May-18
147	Royal Guaranty & Trust Limited	December	15-May-18
148	Royal Trust Securities Limited	December	15-May-18
149	Sankore Securities Limited	December	15-May-18
150	Santrust Securities Limited	December	15-May-18
151	Securities Africa Financial Limited	December	15-May-18
152	Securities and Capital Management Company Limited	December	15-May-18
153	Security Swaps Limited	December	15-May-18
154	SFC Securities Limited	December	15-May-18
155	Shalom Investment and Financial Services Limited	December	15-May-18
156	Shelong Investments Limited	December	15-May-18
157	Sigma Securities Limited	December	15-May-18
158	Signet Investment & Securities Limited	December	15-May-18
159	Skyview Capital Limited	December	15-May-18
160	Smadac Securities Limited	December	15-May-18
161	Solid Rock Securities & Investment Plc	December	15-May-18
162	Spring Trust & Securities Limited	December	15-May-18
163	Springboard Trust & Investment Limited	December	15-May-18
164	Stanbic IBTC Stockbrokers Limited	December	15-May-18
165	Standard Union Securities Limited	December	15-May-18
166	TFS Securities & Investment Company Limited	December	15-May-18
167	The Bridge Securities Limited	December	15-May-18
168	Tiddo Securities Limited	December	15-May-18
169	Tomil Trust Limited	December	15-May-18
170	Topmost Securities Limited	December	15-May-18
171	Tower Securities & Investment Company Limited	December	15-May-18
172	Trade Link Securities Limited	December	15-May-18
173	Traders Trust & Investment Co. Limited	December	15-May-18
174	Transworld Investment Limited	December	15-May-18
175	Trust Yield Securities Limited	December	15-May-18
176	TrustBanc Capital Management Limited	December	15-May-18
177	Trusthouse Investment Limited	December	15-May-18
178	TRW Stockbrokers Limited	December	15-May-18

179	Tyndale Securities Limited	December	15-May-18
180	UIDC Securities Limited	December	15-May-18
181	Unex Capital Limited	December	15-May-18
182	Union Capital Markets Limited	December	15-May-18
183	United Capital Securities Limited	December	15-May-18
184	Valmon Securities Limited	December	15-May-18
185	Valueline Securities & Investment Limited	December	15-May-18
186	Vetiva Securities Limited	December	15-May-18
187	Woodland Capital Markets Plc	December	15-May-18
188	WSTC Securities Limited	December	15-May-18
189	Zenith Securities Limited	December	15-May-18
190	Zion Stockbrokers & Securities Limited	December	15-May-18

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Clearview Investments Company Limited	**December**	15-May-18
2	Gem Assets Management Limited	**December**	15-May-18
3	Nova Finance & Securities Limited	**December**	15-May-18

ACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adonai Stockbrokers Limited	December	15-May-18
2	CLG Stockbrokers Limited	December	15-May-18

NOT REQUIRED TO SUBMIT DUE TO INACTIVATED LICENSE			
S/N	**FIRM**	**Year End**	**Due Date**
1	Nonsuch Securities Limited formerly Wema Asset Manageme	December	15-May-18

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	15-May-18
2	Aims Asset Management Limited	December	15-May-18
3	Amyn Investment Limited	December	15-May-18
4	Cadington Securities Limited	December	15-May-18
5	CEB Securities Limited	December	15-May-18
6	ECL Asset Management Limited	December	15-May-18
7	Finbank Securities & Assets Management Limited	December	15-May-18
8	GMT Securities & Asset Mangement Limited	December	15-May-18
9	Gombe Securities Limited	December	15-May-18
10	Horizon Stockbrokers Limited	December	15-May-18
11	International Standard Securities Limited	December	15-May-18

12	Investment Shark & Asset Mgt Ltd	December	15-May-18
13	ITIS Securities Limited	December	15-May-18
14	Kakawa Asset Management Limited	December	15-May-18
15	Lion Stockbrokers Limited	December	15-May-18
16	LMB Stockbrokers Limited	December	15-May-18
17	Mact Securities Limited	December	15-May-18
18	Maven Asset Management Limited	December	15-May-18
19	Mercov Securities Limited	December	15-May-18
20	Midpoint Capital Limited	December	15-May-18
21	ML Securities Limited	December	15-May-18
22	Monument Sec & Finance Limited	December	15-May-18
23	Mutual Alliance Investment & Securities Limited	December	15-May-18
24	Profund Securities Limited	December	15-May-18
25	Quantum Securities Limited	December	15-May-18
26	Resano Securities Limited	December	15-May-18
27	Standard Alliance Capital Asset Mgt Limited	December	15-May-18
28	Supra Commercial Trust Company Limited	December	15-May-18
29	Tower Asset Management Limited	December	15-May-18
30	Transafrica Financial Services Limited	December	15-May-18

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2018

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Afrinvest Securities Limited	December	14-Nov-18
2	Anchoria Investment & Securities Limited	December	14-Nov-18
3	Apt Securities & Funds Limited	December	14-Nov-18
4	ARM Securities Limited	December	14-Nov-18
5	Arthur Stevens Asset Management Limited	December	14-Nov-18
6	Belfry Investment & Securities Limited	December	14-Nov-18
7	Bestworth Assets & Trust Limited	December	14-Nov-18
8	Calyx Securities Limited	December	14-Nov-18
9	Capital Bancorp Plc	December	14-Nov-18
14	Chartwell Securities Limited	December	14-Nov-18
15	Core Securities Limited	December	14-Nov-18
16	Coronation Securities Limited	December	14-Nov-18
17	Cowry Securities Limited	December	14-Nov-18
18	Crossworld Securities Limited	December	14-Nov-18
19	CSL Stockbrokers Limited	December	14-Nov-18
20	Deep Trust Investment Limited	December	14-Nov-18
21	Delords Securities Limited	December	14-Nov-18
22	DSU Brokerage Services Limited	December	14-Nov-18
23	Dynamic Portfolio Limited	December	14-Nov-18
24	EDC Securities Limited	December	14-Nov-18
25	EFCP Limited	December	14-Nov-18
26	El-Elyon Alliance and Securities Ltd	December	14-Nov-18
27	Equity Capital Solutions Limited	December	14-Nov-18
28	Express Portfolio Services Limited	December	14-Nov-18
29	Financial Trust Company Limited	December	14-Nov-18
30	Finmal Finance Services Limited	December	14-Nov-18
31	First Integrated Capital Manangement Limited	December	14-Nov-18
32	FIS Securities Limited	December	14-Nov-18
33	Foresight Securities & Investment Limited	December	14-Nov-18
34	Fortress Capital Limited	December	14-Nov-18
35	FSDH Securities Limited	December	14-Nov-18
36	Funds Matrix & Assets Mgt Limited	December	14-Nov-18
37	Futureview Securities Limited	December	14-Nov-18
38	Gidauniya Investment & Securities Limited	December	14-Nov-18
39	Growth & Development Asset Management Limited	December	14-Nov-18
40	Gruene Capital Limited	December	14-Nov-18
41	GTI Securities Limited	December	14-Nov-18

42	Heartbeat Investment Limited	December	14-Nov-18
43	Helix Securities Limited	December	14-Nov-18
44	Heritage Capital Markets Limited	December	14-Nov-18
45	Imperial Asset Managers Limited	December	14-Nov-18
46	Investment One Stockbrokers International Limited	December	14-Nov-18
47	Lead Securities & Investment Limited	December	14-Nov-18
48	Osborne Capital Markets Limited (Formerly Longterm Global (	December	14-Nov-18
49	Magnartis Finance & Investment Limited	December	14-Nov-18
50	Mainstreet Bank Securities Limited	December	14-Nov-18
51	Mega Equities Limited	December	14-Nov-18
52	Meristem Stockbrokers Limited	December	14-Nov-18
53	Milestone Capital Management Limited	December	14-Nov-18
54	Mission Securities Limited	December	14-Nov-18
55	Morgan Capital Securities Limited	December	14-Nov-18
56	Network Capital Limited	December	14-Nov-18
57	Newdevco Investments & Securties Limited	December	14-Nov-18
58	Nigerian International Securities Ltd	December	14-Nov-18
59	Nova Finance & Securities Limited	December	14-Nov-18
60	PAC Securities Limited	December	14-Nov-18
61	Pilot Securities Limited	December	14-Nov-18
62	PIPC Securities Limited	December	14-Nov-18
63	Pivot Capital Limited	December	14-Nov-18
64	PSI Securities Limited	December	14-Nov-18
65	Readings Investment Limited	December	14-Nov-18
66	Regency Asset Management Limited	December	14-Nov-18
67	Rencap Securities (Nig) Limited	December	14-Nov-18
68	Reward Investment & Service Limited	December	14-Nov-18
69	RMB Nigeria Stockbrokers Limited	December	14-Nov-18
70	Rostrum Investment & Sec Limited	December	14-Nov-18
71	Royal Crest Finance Limited	December	14-Nov-18
72	Sankore Securities Limited (formerly Diamond)	December	14-Nov-18
73	Securities and Capital Management Company Limited	December	14-Nov-18
74	Shalom Investment and Financial Services Limited	December	14-Nov-18
75	Shelong Investments Limited	December	14-Nov-18
76	Signet Investment & Securities Limited	December	14-Nov-18
77	Skyview Capital Ltd	December	14-Nov-18
78	Solid Rock Securities & Investment Plc	December	14-Nov-18
79	Springboard Trust & Investment Limited	December	14-Nov-18
80	Standard Union Securities Limited	December	14-Nov-18
81	Tomil Trust Limited	December	14-Nov-18
82	Traders Trust & Investment Co. Limited	December	14-Nov-18
83	TrustBanc Capital Management Limited	December	14-Nov-18
84	TRW Stockbrokers Limited	December	14-Nov-18
85	Tyndale Securities Limited	December	14-Nov-18
86	Unex Capital Limited	December	14-Nov-18
87	Union Capital Markets Limited	December	14-Nov-18
88	United Capital Securities Limited	December	14-Nov-18

89	Valmon Securities Limited	December	14-Nov-18
90	Vetiva Securities Limited	December	14-Nov-18
91	WSTC Financial Services Limited	December	14-Nov-18
92	Zenith Securities Limited	December	14-Nov-18
93	Zion Stockbrokers & Securities Limited	December	14-Nov-18
94	Apel Asset Limited	December	14-Nov-18
95	Capital Trust Brokers Limited	December	14-Nov-18
96	Dunn Loren Merrifield Securities Limited	December	14-Nov-18
97	Falcon Securities Limited	December	14-Nov-18
98	Fidelity Finance Company Limited	December	14-Nov-18
99	Kapital Care Trust & Securities Limited	December	14-Nov-18
100	Lambeth Trust & Investment Company Limited	December	14-Nov-18
101	Molten Trust Limited	December	14-Nov-18
102	Prominent Securities Limited	December	14-Nov-18
103	Santrust Securities Limited	December	14-Nov-18
104	Sigma Securities Limited	December	14-Nov-18
105	Transworld Investment Limited	December	14-Nov-18
106	Fidelity Securities Limited	December	14-Nov-18
107	Capital Express Securities Limited	December	14-Nov-18
108	Dominion Trust Limited	December	14-Nov-18
109	Investors & Trust Company Limited	December	14-Nov-18
110	Planet Capital Limited	December	14-Nov-18
111	Trusthouse Investment Limited	December	14-Nov-18
112	Pyramid Securities Limited	December	14-Nov-18
113	Atlass Portfolio Limited	December	14-Nov-18
114	Elixir Securities Limited	December	14-Nov-18
115	Kofana Securities & Investment Limited	December	14-Nov-18
116	Networth Securities & Finance Limited	December	14-Nov-18
117	Nigerian Stockbrokers Limited	December	14-Nov-18
118	Primewealth Capital Limited	December	14-Nov-18
119	UIDC Securities Limited	December	14-Nov-18
120	Capital Asset Limited	December	14-Nov-18
121	Pinefields Investment Services Limited	December	14-Nov-18
122	The Bridge Securities Limited	December	14-Nov-18
123	Stanbic IBTC Stockbrokers Limited	December	14-Nov-18
124	Bauchi Investment Corp. Sec Limited	December	14-Nov-18
125	Centre Point Investment Limited	December	14-Nov-18
126	Securities Africa Financial Limited	December	14-Nov-18
127	Tiddo Securities Limited	December	14-Nov-18
128	Associated Asset Managers Limited	December	14-Nov-18
129	Crown Capital Limited	December	14-Nov-18
130	Enterprise Stockbrokers Limited	December	14-Nov-18
131	Eurocomm Securities Limited	December	14-Nov-18
132	FBC Trust & Securities Limited	December	14-Nov-18
133	GlobalView Capital Limited	December	14-Nov-18
134	Greenwich Securities Limited	December	14-Nov-18
135	Integrated Trust & Investment Limited	December	14-Nov-18

136	Kundila Finance Services Limited	December	14-Nov-18
137	Marriot Securities & Investment Co. Limited	December	14-Nov-18
138	Rainbow Securities & Investment Company Limited	December	14-Nov-18
139	Royal Guaranty & Trust Limited	December	14-Nov-18
140	Spring Trust & Securities Limited	December	14-Nov-18
141	Trade Link Securities Limited	December	14-Nov-18
142	FBNQuest Securities Limited	December	14-Nov-18
143	Global Asset Management (Nig) Limited	December	14-Nov-18
144	Portfolio Advisers Limited	December	14-Nov-18
145	Primera Africa Securities Limited	December	14-Nov-18
146	Royal Trust Securities Limited	December	14-Nov-18
147	FCSL Asset Management Company Limited	December	14-Nov-18
148	Compass Investment & Securities Limited	December	14-Nov-18
149	Resort Securities & Trust Limited	December	14-Nov-18
150	Cordros Securities Limited	December	14-Nov-18
151	Qualinvest Capital Limited	December	14-Nov-18
152	Cashcraft Securities Limited	December	14-Nov-18
153	Crane Securities Limited	December	14-Nov-18
154	Dunbell Securities Limited	December	14-Nov-18
155	Fundvine Capital & Securities Limited	December	14-Nov-18
156	Harmony Securities Limited	December	14-Nov-18
157	Interstate Securities Limited	December	14-Nov-18
158	Mayfield Investment Limited	December	14-Nov-18
159	MBL Financial Services Limited	December	14-Nov-18
160	Midas Stockbrokers Limited	December	14-Nov-18
161	Security Swaps Limited	December	14-Nov-18
162	Topmost Securities Limited	December	14-Nov-18
163	Trust Yield Securities Limited	December	14-Nov-18
164	Valueline Securities & Investment Limited	December	14-Nov-18
165	Edgefield Capital Management Limited	December	14-Nov-18
166	MBC Securities Limited	December	14-Nov-18
167	Icon Stockbrokers Limited	December	14-Nov-18
168	Hedge Securities & Investment Limited	December	14-Nov-18
169	Rowet Capital Management Limited	December	14-Nov-18
170	Cashville Investment & Securities Limited	December	14-Nov-18
171	Forthright Securities & Investments Limited	December	14-Nov-18
172	ICMG Securities Limited	December	14-Nov-18
173	Kedari Capital Limited	December	14-Nov-18
174	Lighthouse Asset Management Limited	December	14-Nov-18
175	Smadac Securities Limited	December	14-Nov-18
176	Citi Investment Capital Limited	December	14-Nov-18
177	Woodland Capital Markets Plc	December	14-Nov-18
178	City Code Trust & Investment Company Limited	December	14-Nov-18
179	Premium Capital & Stockbrokers Limited (Fomerly SFC Securi	December	14-Nov-18
180	First Stockbrokers Limited	December	14-Nov-18
181	Golden Securities Limited	December	14-Nov-18
182	Tower Securities & Investment Company Limited	December	14-Nov-18

183	Financial & Analytics Capital Limited	December	14-Nov-18
184	Mountain Investment & Securities Limited	December	14-Nov-18
185	Peace Capital Markets Ltd	December	14-Nov-18
186	TFS Securities & Investment Company Limited	December	14-Nov-18
187	Kinley Securities Limited	December	14-Nov-18

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Clearview Investments Company Limited	December	14-Nov-18
2	Marimpex Finance & Investment Company Limited	December	14-Nov-18

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Camry Securities Limited	December	14-Nov-18
2	Forte Financial Limited	December	14-Nov-18
3	Maxifund Investment & Securities Plc	December	14-Nov-18

NOT REQUIRED TO SUBMIT DUE TO INACTIVATED LICENSE			
S/N	**FIRM**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	14-Nov-18
2	Aims Asset Management Limited	December	14-Nov-18
3	Amyn Investment Limited	December	14-Nov-18
4	Cadington Securities Limited	December	14-Nov-18
5	CEB Securities Limited	December	14-Nov-18
6	ECL Asset Management Limited	December	14-Nov-18
7	Finbank Securities & Assets Management Limited	December	14-Nov-18
8	Gem Assets Management Limited	December	14-Nov-18

9	GMT Securities & Asset Mangement Limited	December	14-Nov-18
10	Gombe Securities Limited	December	14-Nov-18
11	Horizon Stockbrokers Limited	December	14-Nov-18
12	International Standard Securities Limited	December	14-Nov-18
13	Investment Shark & Asset Mgt Ltd	December	14-Nov-18
14	ITIS Securities Limited	December	14-Nov-18
15	Kakawa Asset Management Limited	December	14-Nov-18
16	Lion Stockbrokers Limited	December	14-Nov-18
17	LMB Stockbrokers Limited	December	14-Nov-18
18	Mact Securities Limited	December	14-Nov-18
19	Maven Asset Management Limited	December	14-Nov-18
20	Mercov Securities Limited	December	14-Nov-18
21	Midpoint Capital Limited	December	14-Nov-18
22	ML Securities Limited	December	14-Nov-18
23	Monument Sec & Finance Limited	December	14-Nov-18
24	Mutual Alliance Investment & Securities Limited	December	14-Nov-18
25	Profund Securities Limited	December	14-Nov-18
26	Quantum Securities Limited	December	14-Nov-18
27	Resano Securities Limited	December	14-Nov-18
28	Standard Alliance Capital Asset Mgt Limited	December	14-Nov-18
29	Supra Commercial Trust Company Limited	December	14-Nov-18
30	Tower Asset Management Limited	December	14-Nov-18
31	Transafrica Financial Services Limited	December	14-Nov-18
32	CLG Stockbrokers Limited	December	14-Nov-18
33	Adonai Stockbrokers Limited	December	14-Nov-18

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2018

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Afrinvest Securities Limited	December	30-Jan-19
2	Anchoria Investment & Securities Limited	December	30-Jan-19
3	Apel Asset Limited	December	30-Jan-19
4	Apt Securities & Funds Limited	December	30-Jan-19
5	ARM Securities Limited	December	30-Jan-19
6	Arthur Stevens Asset Management Limited	December	30-Jan-19
7	Associated Asset Managers Limited	December	30-Jan-19
8	Atlass Portfolio Limited	December	30-Jan-19
9	Barclays Stockbrokers Nigeria Limited	December	30-Jan-19
10	Bauchi Investment Corp. Sec Limited	December	30-Jan-19
11	Belfry Investment & Securities Limited	December	30-Jan-19
12	Bestworth Assets & Trust Limited	December	30-Jan-19
13	Calyx Securities Limited	December	30-Jan-19
14	Camry Securities Limited	December	30-Jan-19
15	Capital Asset Limited	December	30-Jan-19
16	Capital Bancorp Plc	December	30-Jan-19
17	Capital Express Securities Limited	December	30-Jan-19
18	Capital Trust Brokers Limited	December	30-Jan-19
19	Cardinal Stone Securities Ltd	December	30-Jan-19
20	Cashcraft Securities Limited	December	30-Jan-19
21	Cashville Investment & Securities Limited	December	30-Jan-19
22	CDL Capital Markets Limited	December	30-Jan-19
23	Centre Point Investment Limited	December	30-Jan-19
24	Century Securities Limited	December	30-Jan-19
25	Chapel Hill Denham Securities Limited	December	30-Jan-19
26	Chartwell Securities Limited	December	30-Jan-19
27	Citi Investment Capital Limited	December	30-Jan-19
28	City Code Trust & Investment Company Limited	December	30-Jan-19
29	Compass Investment & Securities Limited	December	30-Jan-19
30	Cordros Securities Limited	December	30-Jan-19
31	Core Securities Limited	December	30-Jan-19
32	Coronation Securities Limited	December	30-Jan-19
33	Cowry Securities Limited	December	30-Jan-19
34	Crane Securities Limited	December	30-Jan-19
35	Crossworld Securities Limited	December	30-Jan-19
36	Crown Capital Limited	December	30-Jan-19
37	CSL Stockbrokers Limited	December	30-Jan-19

38	Deep Trust Investment Limited	December	30-Jan-19
39	Delords Securities Limited	December	30-Jan-19
40	Dominion Trust Limited	December	30-Jan-19
41	DSU Brokerage Services Limited	December	30-Jan-19
42	Dunbell Securities Limited	December	30-Jan-19
43	Dunn Loren Merrifield Securities Limited	December	30-Jan-19
44	Dynamic Portfolio Limited	December	30-Jan-19
45	EDC Securities Limited	December	30-Jan-19
46	Edgefield Capital Management Limited	December	30-Jan-19
47	EFCP Limited	December	30-Jan-19
48	EFG Hermes Nigeria Limited	December	30-Jan-19
49	El-Elyon Alliance and Securities Ltd	December	30-Jan-19
50	Elixir Securities Limited	December	30-Jan-19
51	Enterprise Stockbrokers Limited	December	30-Jan-19
52	Equity Capital Solutions Limited	December	30-Jan-19
53	Eurocomm Securities Limited	December	30-Jan-19
54	Express Portfolio Services Limited	December	30-Jan-19
55	Falcon Securities Limited	December	30-Jan-19
56	FBC Trust & Securities Limited	December	30-Jan-19
57	FBNQuest Securities Limited	December	30-Jan-19
58	FCSL Asset Management Company Limited	December	30-Jan-19
59	Fidelity Finance Company Limited	December	30-Jan-19
60	Financial & Analytics Capital Limited	December	30-Jan-19
61	Financial Trust Company Limited	December	30-Jan-19
62	Finmal Finance Services Limited	December	30-Jan-19
63	First Integrated Capital Manangement Limited	December	30-Jan-19
64	First Stockbrokers Limited	December	30-Jan-19
65	FIS Securities Limited	December	30-Jan-19
66	Foresight Securities & Investment Limited	December	30-Jan-19
67	Forte Financial Limited	December	30-Jan-19
68	Forthright Securities & Investments Limited	December	30-Jan-19
69	Fortress Capital Limited	December	30-Jan-19
70	FSDH Securities Limited	December	30-Jan-19
71	FSL Securities Limited	December	30-Jan-19
72	Funds Matrix & Assets Mgt Limited	December	30-Jan-19
73	Fundvine Capital & Securities Limited	December	30-Jan-19
74	Futureview Securities Limited	December	30-Jan-19
75	Gidauniya Investment & Securities Limited	December	30-Jan-19
76	Global Asset Management (Nig) Limited	December	30-Jan-19
77	GlobalView Capital Limited	December	30-Jan-19
78	Golden Securities Limited	December	30-Jan-19
79	Greenwich Securities Limited	December	30-Jan-19
80	Growth & Development Asset Management Limited	December	30-Jan-19
81	Gruene Capital Limited	December	30-Jan-19
82	GTI Securities Limited	December	30-Jan-19
83	Harmony Securities Limited	December	30-Jan-19
84	Heartbeat Investment Limited	December	30-Jan-19

85	Hedge Securities & Investment Limited	December	30-Jan-19
86	Helix Securities Limited	December	30-Jan-19
87	Heritage Capital Markets Limited	December	30-Jan-19
88	ICMG Securities Limited	December	30-Jan-19
89	Icon Stockbrokers Limited	December	30-Jan-19
90	Imperial Asset Managers Limited	December	30-Jan-19
91	Integrated Trust & Investment Limited	December	30-Jan-19
92	Interstate Securities Limited	December	30-Jan-19
93	Investment One Stockbrokers International Limited	December	30-Jan-19
94	Investors & Trust Company Limited	December	30-Jan-19
95	Kapital Care Trust & Securities Limited	December	30-Jan-19
96	Kedari Capital Limited	December	30-Jan-19
97	Kinley Securities Limited	December	30-Jan-19
98	Kofana Securities & Investment Limited	December	30-Jan-19
99	Kundila Finance Services Limited	December	30-Jan-19
100	Lambeth Trust & Investment Company Limited	December	30-Jan-19
101	Lead Securities & Investment Limited	December	30-Jan-19
102	Lighthouse Asset Management Limited	December	30-Jan-19
103	Magnartis Finance & Investment Limited	December	30-Jan-19
104	Mainstreet Bank Securities Limited	December	30-Jan-19
105	Maxifund Investment & Securities Plc	December	30-Jan-19
106	Mayfield Investment Limited	December	30-Jan-19
107	MBC Securities Limited	December	30-Jan-19
108	MBL Financial Services Limited	December	30-Jan-19
109	Mega Equities Limited	December	30-Jan-19
110	Meristem Stockbrokers Limited	December	30-Jan-19
111	Midas Stockbrokers Limited	December	30-Jan-19
112	Milestone Capital Management Limited	December	30-Jan-19
113	Mission Securities Limited	December	30-Jan-19
114	Molten Trust Limited	December	30-Jan-19
115	Morgan Capital Securities Limited	December	30-Jan-19
116	Mountain Investment & Securities Limited	December	30-Jan-19
117	Network Capital Limited	December	30-Jan-19
118	Networth Securities & Finance Limited	December	30-Jan-19
119	Newdevco Investments & Securties Limited	December	30-Jan-19
120	Nigerian International Securities Ltd	December	30-Jan-19
121	Nigerian Stockbrokers Limited	December	30-Jan-19
122	Nova Finance & Securities Limited	December	30-Jan-19
123	Osborne Capital Markets Limited (Formerly Longterm Global (	December	30-Jan-19
124	PAC Securities Limited	December	30-Jan-19
125	Peace Capital Markets Ltd	December	30-Jan-19
126	Pilot Securities Limited	December	30-Jan-19
127	Pinefields Investment Services Limited	December	30-Jan-19
128	PIPC Securities Limited	December	30-Jan-19
129	Pivot Capital Limited	December	30-Jan-19
130	Planet Capital Limited	December	30-Jan-19
131	Portfolio Advisers Limited	December	30-Jan-19

132	Premium Capital and Stockbrokers Limited	December	30-Jan-19
133	Primewealth Capital Limited	December	30-Jan-19
134	Prominent Securities Limited	December	30-Jan-19
135	PSI Securities Limited	December	30-Jan-19
136	Pyramid Securities Limited	December	30-Jan-19
137	Qualinvest Capital Limited	December	30-Jan-19
138	Rainbow Securities & Investment Company Limited	December	30-Jan-19
139	Readings Investment Limited	December	30-Jan-19
140	Regency Asset Management Limited	December	30-Jan-19
141	Rencap Securities (Nig) Limited	December	30-Jan-19
142	Resort Securities & Trust Limited	December	30-Jan-19
143	Reward Investment & Service Limited	December	30-Jan-19
144	RMB Nigeria Stockbrokers Limited	December	30-Jan-19
145	Rostrum Investment & Sec Limited	December	30-Jan-19
146	Rowet Capital Management Limited	December	30-Jan-19
147	Royal Crest Finance Limited	December	30-Jan-19
148	Royal Guaranty & Trust Limited	December	30-Jan-19
149	Royal Trust Securities Limited	December	30-Jan-19
150	Sankore Securities Limited (formerly Diamond)	December	30-Jan-19
151	Santrust Securities Limited	December	30-Jan-19
152	Securities Africa Financial Limited	December	30-Jan-19
153	Securities and Capital Management Company Limited	December	30-Jan-19
154	Security Swaps Limited	December	30-Jan-19
155	Shalom Investment and Financial Services Limited	December	30-Jan-19
156	Shelong Investments Limited	December	30-Jan-19
157	Sigma Securities Limited	December	30-Jan-19
158	Signet Investment & Securities Limited	December	30-Jan-19
159	Skyview Capital Limited	December	30-Jan-19
160	Smadac Securities Limited	December	30-Jan-19
161	Solid Rock Securities & Investment Plc	December	30-Jan-19
162	Spring Trust & Securities Limited	December	30-Jan-19
163	Springboard Trust & Investment Limited	December	30-Jan-19
164	Stanbic IBTC Stockbrokers Limited	December	30-Jan-19
165	Standard Union Securities Limited	December	30-Jan-19
166	TFS Securities & Investment Company Limited	December	30-Jan-19
167	The Bridge Securities Limited	December	30-Jan-19
168	Tiddo Securities Limited	December	30-Jan-19
169	Tomil Trust Limited	December	30-Jan-19
170	Topmost Securities Limited	December	30-Jan-19
171	Tower Securities & Investment Company Limited	December	30-Jan-19
172	Trade Link Securities Limited	December	30-Jan-19
173	Traders Trust & Investment Co. Limited	December	30-Jan-19
174	Transworld Investment Limited	December	30-Jan-19
175	Trust Yield Securities Limited	December	30-Jan-19
176	TrustBanc Capital Management Limited	December	30-Jan-19
177	Trusthouse Investment Limited	December	30-Jan-19
178	TRW Stockbrokers Limited	December	30-Jan-19

179	Tyndale Securities Limited	December	30-Jan-19
180	UIDC Securities Limited	December	30-Jan-19
181	Unex Capital Limited	December	30-Jan-19
182	Union Capital Markets Limited	December	30-Jan-19
183	United Capital Securities Limited	December	30-Jan-19
184	Valmon Securities Limited	December	30-Jan-19
185	Valueline Securities & Investment Limited	December	30-Jan-19
186	Vetiva Securities Limited	December	30-Jan-19
187	WCM Capital Limited	December	30-Jan-19
188	WSTC Financial Services Limited	December	30-Jan-19
189	Zenith Securities Limited	December	30-Jan-19
190	Zion Stockbrokers & Securities Limited	December	30-Jan-19

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Clearview Investments Company Limited	December	30-Jan-19
2	CLG Stockbrokers Limited	December	30-Jan-19
3	Gem Assets Management Limited	December	30-Jan-19
4	Marimpex Finance & Investment Company Limited	December	30-Jan-19

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jan-19
2	Adonai Stockbrokers Limited	December	30-Jan-19
3	African Alliance Stockbrokers Limited	December	30-Jan-19
4	Aims Asset Management Limited	December	30-Jan-19
5	Amyn Investment Limited	December	30-Jan-19
6	Cadington Securities Limited	December	30-Jan-19
7	CEB Securities Limited	December	30-Jan-19
8	ECL Asset Management Limited	December	30-Jan-19
9	Finbank Securities & Assets Management Limited	December	30-Jan-19
10	GMT Securities & Asset Mangement Limited	December	30-Jan-19
11	Gombe Securities Limited	December	30-Jan-19
12	Horizon Stockbrokers Limited	December	30-Jan-19
13	International Standard Securities Limited	December	30-Jan-19
14	Investment Shark & Asset Mgt Ltd	December	30-Jan-19
15	ITIS Securities Limited	December	30-Jan-19

16	Kakawa Asset Management Limited	December	30-Jan-19
17	Lion Stockbrokers Limited	December	30-Jan-19
18	LMB Stockbrokers Limited	December	30-Jan-19
19	Mact Securities Limited	December	30-Jan-19
20	Maven Asset Management Limited	December	30-Jan-19
21	Mercov Securities Limited	December	30-Jan-19
22	Midpoint Capital Limited	December	30-Jan-19
23	ML Securities Limited	December	30-Jan-19
24	Monument Sec & Finance Limited	December	30-Jan-19
25	Mutual Alliance Investment & Securities Limited	December	30-Jan-19
26	Profund Securities Limited	December	30-Jan-19
27	Quantum Securities Limited	December	30-Jan-19
28	Resano Securities Limited	December	30-Jan-19
29	Standard Alliance Capital Asset Mgt Limited	December	30-Jan-19
30	Stanwal Securities Limited	December	30-Jan-19
31	Summa Guaranty and Trust Company Limited	December	30-Jan-19
32	Supra Commercial Trust Company Limited	December	30-Jan-19
33	Transafrica Financial Services Limited	December	30-Jan-19

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2019

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Afrinvest Securities Limited	December	30-Apr-19
2	Anchoria Investment & Securities Limited	December	30-Apr-19
3	Apel Asset Limited	December	30-Apr-19
4	Apt Securities & Funds Limited	December	30-Apr-19
5	ARM Securities Limited	December	30-Apr-19
6	Arthur Stevens Asset Management Limited	December	30-Apr-19
7	Associated Asset Managers Limited	December	30-Apr-19
8	Atlass Portfolio Limited	December	30-Apr-19
9	Barclays Stockbrokers Nigeria Limited	December	30-Apr-19
10	Bauchi Investment Corp. Sec Limited	December	30-Apr-19
11	Belfry Investment & Securities Limited	December	30-Apr-19
12	Bestworth Assets & Trust Limited	December	30-Apr-19
13	Calyx Securities Limited	December	30-Apr-19
14	Camry Securities Limited	December	30-Apr-19
15	Capital Asset Limited	December	30-Apr-19
16	Capital Bancorp Plc	December	30-Apr-19
17	Capital Express Securities Limited	December	30-Apr-19
18	Capital Trust Brokers Limited	December	30-Apr-19
19	Cardinal Stone Securities Ltd	December	30-Apr-19
20	Cashcraft Securities Limited	December	30-Apr-19
21	Cashville Investment & Securities Limited	December	30-Apr-19
22	CDL Capital Markets Limited	December	30-Apr-19
23	Centre Point Investment Limited	December	30-Apr-19
24	Century Securities Limited	December	30-Apr-19
25	Chapel Hill Denham Securities Limited	December	30-Apr-19
26	Chartwell Securities Limited	December	30-Apr-19
27	Citi Investment Capital Limited	December	30-Apr-19
28	City Code Trust & Investment Company Limited	December	30-Apr-19
29	Compass Investment & Securities Limited	December	30-Apr-19
30	Cordros Securities Limited	December	30-Apr-19
31	Core Securities Limited	December	30-Apr-19
32	Coronation Securities Limited	December	30-Apr-19
33	Cowry Securities Limited	December	30-Apr-19
34	Crane Securities Limited	December	30-Apr-19
35	Crossworld Securities Limited	December	30-Apr-19
36	Crown Capital Limited	December	30-Apr-19
37	CSL Stockbrokers Limited	December	30-Apr-19

38	Deep Trust Investment Limited	December	30-Apr-19
39	Delords Securities Limited	December	30-Apr-19
40	Dominion Trust Limited	December	30-Apr-19
41	DSU Brokerage Services Limited	December	30-Apr-19
42	Dunbell Securities Limited	December	30-Apr-19
43	Dunn Loren Merrifield Securities Limited	December	30-Apr-19
44	Dynamic Portfolio Limited	December	30-Apr-19
45	EDC Securities Limited	December	30-Apr-19
46	Edgefield Capital Management Limited	December	30-Apr-19
47	EFCP Limited	December	30-Apr-19
48	EFG Hermes Nigeria Limited	December	30-Apr-19
49	El-Elyon Alliance and Securities Ltd	December	30-Apr-19
50	Elixir Securities Limited	December	30-Apr-19
51	Enterprise Stockbrokers Limited	December	30-Apr-19
52	Equity Capital Solutions Limited	December	30-Apr-19
53	Eurocomm Securities Limited	December	30-Apr-19
54	Express Portfolio Services Limited	December	30-Apr-19
55	Falcon Securities Limited	December	30-Apr-19
56	FBC Trust & Securities Limited	December	30-Apr-19
57	FBNQuest Securities Limited	December	30-Apr-19
58	FCSL Asset Management Company Limited	December	30-Apr-19
59	Fidelity Finance Company Limited	December	30-Apr-19
60	Financial & Analytics Capital Limited	December	30-Apr-19
61	Financial Trust Company Limited	December	30-Apr-19
62	Finmal Finance Services Limited	December	30-Apr-19
63	First Integrated Capital Manangement Limited	December	30-Apr-19
64	First Stockbrokers Limited	December	30-Apr-19
65	FIS Securities Limited	December	30-Apr-19
66	Foresight Securities & Investment Limited	December	30-Apr-19
67	Forte Financial Limited	December	30-Apr-19
68	Forthright Securities & Investments Limited	December	30-Apr-19
69	Fortress Capital Limited	December	30-Apr-19
70	FSDH Securities Limited	December	30-Apr-19
71	FSL Securities Limited	December	30-Apr-19
72	Funds Matrix & Assets Mgt Limited	December	30-Apr-19
73	Fundvine Capital & Securities Limited	December	30-Apr-19
74	Futureview Securities Limited	December	30-Apr-19
75	Gidauniya Investment & Securities Limited	December	30-Apr-19
76	Global Asset Management (Nig) Limited	December	30-Apr-19
77	GlobalView Capital Limited	December	30-Apr-19
78	Golden Securities Limited	December	30-Apr-19
79	Greenwich Securities Limited	December	30-Apr-19
80	Growth & Development Asset Management Limited	December	30-Apr-19
81	Gruene Capital Limited	December	30-Apr-19
82	GTI Securities Limited	December	30-Apr-19
83	Harmony Securities Limited	December	30-Apr-19
84	Heartbeat Investment Limited	December	30-Apr-19

85	Hedge Securities & Investment Limited	December	30-Apr-19
86	Helix Securities Limited	December	30-Apr-19
87	Heritage Capital Markets Limited	December	30-Apr-19
88	ICMG Securities Limited	December	30-Apr-19
89	Icon Stockbrokers Limited	December	30-Apr-19
90	Imperial Asset Managers Limited	December	30-Apr-19
91	Integrated Trust & Investment Limited	December	30-Apr-19
92	Interstate Securities Limited	December	30-Apr-19
93	Investment One Stockbrokers International Limited	December	30-Apr-19
94	Investors & Trust Company Limited	December	30-Apr-19
95	Kapital Care Trust & Securities Limited	December	30-Apr-19
96	Kedari Capital Limited	December	30-Apr-19
97	Kinley Securities Limited	December	30-Apr-19
98	Kofana Securities & Investment Limited	December	30-Apr-19
99	Kundila Finance Services Limited	December	30-Apr-19
100	Lambeth Capital Limited	December	30-Apr-19
101	Lead Securities & Investment Limited	December	30-Apr-19
102	Lighthouse Asset Management Limited	December	30-Apr-19
103	Magnartis Finance & Investment Limited	December	30-Apr-19
104	Mainstreet Bank Securities Limited	December	30-Apr-19
105	Maxifund Investment & Securities Plc	December	30-Apr-19
106	MBC Securities Limited	December	30-Apr-19
107	MBL Financial Services Limited	December	30-Apr-19
108	Mega Equities Limited	December	30-Apr-19
109	Meristem Stockbrokers Limited	December	30-Apr-19
110	Midas Stockbrokers Limited	December	30-Apr-19
111	Milestone Capital Management Limited	December	30-Apr-19
112	Mission Securities Limited	December	30-Apr-19
113	Molten Trust Limited	December	30-Apr-19
114	Morgan Capital Securities Limited	December	30-Apr-19
115	Mountain Investment & Securities Limited	December	30-Apr-19
116	Network Capital Limited	December	30-Apr-19
117	Networth Securities & Finance Limited	December	30-Apr-19
118	Newdevco Investments & Securties Limited	December	30-Apr-19
119	Nigerian International Securities Ltd	December	30-Apr-19
120	Nigerian Stockbrokers Limited	December	30-Apr-19
121	Nova Finance & Securities Limited	December	30-Apr-19
122	Osborne Capital Markets Limited (Formerly Longterm Global (	December	30-Apr-19
123	PAC Securities Limited	December	30-Apr-19
124	Peace Capital Markets Ltd	December	30-Apr-19
125	Pilot Securities Limited	December	30-Apr-19
126	Pinefields Investment Services Limited	December	30-Apr-19
127	PIPC Securities Limited	December	30-Apr-19
128	Pivot Capital Limited	December	30-Apr-19
129	Planet Capital Limited	December	30-Apr-19
130	Portfolio Advisers Limited	December	30-Apr-19
131	Premium Capital and Stockbrokers Limited	December	30-Apr-19

132	Primewealth Capital Limited	December	30-Apr-19
133	Prominent Securities Limited	December	30-Apr-19
134	PSI Securities Limited	December	30-Apr-19
135	Pyramid Securities Limited	December	30-Apr-19
136	Qualinvest Capital Limited	December	30-Apr-19
137	Quantum Zenith Securities & Investments Limited	December	30-Apr-19
138	Rainbow Securities & Investment Company Limited	December	30-Apr-19
139	Readings Investment Limited	December	30-Apr-19
140	Regency Asset Management Limited	December	30-Apr-19
141	Rencap Securities (Nig) Limited	December	30-Apr-19
142	Resort Securities & Trust Limited	December	30-Apr-19
143	Reward Investment & Service Limited	December	30-Apr-19
144	RMB Nigeria Stockbrokers Limited	December	30-Apr-19
145	Rostrum Investment & Sec Limited	December	30-Apr-19
146	Rowet Capital Management Limited	December	30-Apr-19
147	Royal Crest Finance Limited	December	30-Apr-19
148	Royal Guaranty & Trust Limited	December	30-Apr-19
149	Royal Trust Securities Limited	December	30-Apr-19
150	Sankore Securities Limited (formerly Diamond)	December	30-Apr-19
151	Santrust Securities Limited	December	30-Apr-19
152	Securities Africa Financial Limited	December	30-Apr-19
153	Securities and Capital Management Company Limited	December	30-Apr-19
154	Security Swaps Limited	December	30-Apr-19
155	Shalom Investment and Financial Services Limited	December	30-Apr-19
156	Shelong Investments Limited	December	30-Apr-19
157	Sigma Securities Limited	December	30-Apr-19
158	Signet Investment & Securities Limited	December	30-Apr-19
159	Skyview Capital Limited	December	30-Apr-19
160	Smadac Securities Limited	December	30-Apr-19
161	Solid Rock Securities & Investment Plc	December	30-Apr-19
162	Spring Trust & Securities Limited	December	30-Apr-19
163	Springboard Trust & Investment Limited	December	30-Apr-19
164	Stanbic IBTC Stockbrokers Limited	December	30-Apr-19
165	Standard Union Securities Limited	December	30-Apr-19
166	TFS Securities & Investment Company Limited	December	30-Apr-19
167	The Bridge Securities Limited	December	30-Apr-19
168	Tiddo Securities Limited	December	30-Apr-19
169	Tomil Trust Limited	December	30-Apr-19
170	Topmost Securities Limited	December	30-Apr-19
171	Tower Securities & Investment Company Limited	December	30-Apr-19
172	Trade Link Securities Limited	December	30-Apr-19
173	Traders Trust & Investment Co. Limited	December	30-Apr-19
174	Transworld Investment Limited	December	30-Apr-19
175	Trust Yield Securities Limited	December	30-Apr-19
176	TrustBanc Capital Management Limited	December	30-Apr-19
177	Trusthouse Investment Limited	December	30-Apr-19
178	TRW Stockbrokers Limited	December	30-Apr-19

179	Tyndale Securities Limited	December	30-Apr-19
180	UIDC Securities Limited	December	30-Apr-19
181	Unex Capital Limited	December	30-Apr-19
182	Union Capital Markets Limited	December	30-Apr-19
183	United Capital Securities Limited	December	30-Apr-19
184	Valmon Securities Limited	December	30-Apr-19
185	Valueline Securities & Investment Limited	December	30-Apr-19
186	Vetiva Securities Limited	December	30-Apr-19
187	WCM Capital Limited	December	30-Apr-19
188	WSTC Financial Services Limited	December	30-Apr-19
189	Zion Stockbrokers & Securities Limited	December	30-Apr-19

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Gem Assets Management Limited	December	30-Apr-19
2	Marimpex Finance & Investment Company Limited	December	30-Apr-19

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Mayfield Investment Limited	December	30-Apr-19

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Apr-19
2	Adonai Stockbrokers Limited	December	30-Apr-19
3	Aims Asset Management Limited	December	30-Apr-19
4	Amyn Investment Limited	December	30-Apr-19
5	Cadington Securities Limited	December	30-Apr-19
6	CEB Securities Limited	December	30-Apr-19
7	Clearview Investments Company Limited	December	30-Apr-19
8	CLG Stockbrokers Limited	December	30-Apr-19
9	ECL Asset Management Limited	December	30-Apr-19
10	Finbank Securities & Assets Management Limited	December	30-Apr-19
11	GMT Securities & Asset Mangement Limited	December	30-Apr-19
12	Gombe Securities Limited	December	30-Apr-19
13	Horizon Stockbrokers Limited	December	30-Apr-19
14	International Standard Securities Limited	December	30-Apr-19
15	Investment Shark & Asset Mgt Ltd	December	30-Apr-19
16	ITIS Securities Limited	December	30-Apr-19
17	Kakawa Asset Management Limited	December	30-Apr-19
18	Lion Stockbrokers Limited	December	30-Apr-19
19	LMB Stockbrokers Limited	December	30-Apr-19

20	Mact Securities Limited	December	30-Apr-19
21	Maven Asset Management Limited	December	30-Apr-19
22	Midpoint Capital Limited	December	30-Apr-19
23	ML Securities Limited	December	30-Apr-19
24	Monument Sec & Finance Limited	December	30-Apr-19
25	Mutual Alliance Investment & Securities Limited	December	30-Apr-19
26	Profund Securities Limited	December	30-Apr-19
27	Quantum Securities Limited	December	30-Apr-19
28	Standard Alliance Capital Asset Mgt Limited	December	30-Apr-19
29	Supra Commercial Trust Company Limited	December	30-Apr-19

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2019

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited(formerly Barclays Stockbroker	December	30-Jul-19
2	Afrinvest Securities Limited	December	30-Jul-19
3	Anchoria Investment & Securities Limited	December	30-Jul-19
4	Apel Asset Limited	December	30-Jul-19
5	Apt Securities & Funds Limited	December	30-Jul-19
6	ARM Securities Limited	December	30-Jul-19
7	Arthur Stevens Asset Management Limited	December	30-Jul-19
8	Associated Asset Managers Limited	December	30-Jul-19
9	Atlass Portfolio Limited	December	30-Jul-19
10	Bauchi Investment Corp. Sec Limited	December	30-Jul-19
11	Belfry Investment & Securities Limited	December	30-Jul-19
12	Bestworth Assets & Trust Limited	December	30-Jul-19
13	Calyx Securities Limited	December	30-Jul-19
14	Camry Securities Limited	December	30-Jul-19
15	Capital Asset Limited	December	30-Jul-19
16	Capital Bancorp Plc	December	30-Jul-19
17	Capital Express Securities Limited	December	30-Jul-19
18	Capital Trust Brokers Limited	December	30-Jul-19
19	Cardinal Stone Securities Ltd	December	30-Jul-19
20	Cashcraft Securities Limited	December	30-Jul-19
21	Cashville Investment & Securities Limited	December	30-Jul-19
22	CDL Capital Markets Limited	December	30-Jul-19
23	Centre Point Investment Limited	December	30-Jul-19
24	Century Securities Limited	December	30-Jul-19
25	Chapel Hill Denham Securities Limited	December	30-Jul-19
26	Chartwell Securities Limited	December	30-Jul-19
27	Citi Investment Capital Limited	December	30-Jul-19
28	City Code Trust & Investment Company Limited	December	30-Jul-19
29	Compass Investment & Securities Limited	December	30-Jul-19
30	Cordros Securities Limited	December	30-Jul-19
31	Core Securities Limited	December	30-Jul-19
32	Coronation Securities Limited	December	30-Jul-19
33	Cowry Securities Limited	December	30-Jul-19
34	Crane Securities Limited	December	30-Jul-19
35	Crossworld Securities Limited	December	30-Jul-19
36	Crown Capital Limited	December	30-Jul-19
37	CSL Stockbrokers Limited	December	30-Jul-19

38	Deep Trust Investment Limited	December	30-Jul-19
39	Delords Securities Limited	December	30-Jul-19
40	Dominion Trust Limited	December	30-Jul-19
41	DSU Brokerage Services Limited	December	30-Jul-19
42	Dunbell Securities Limited	December	30-Jul-19
43	Dunn Loren Merrifield Securities Limited	December	30-Jul-19
44	Dynamic Portfolio Limited	December	30-Jul-19
45	EDC Securities Limited	December	30-Jul-19
46	Edgefield Capital Management Limited	December	30-Jul-19
47	EFG Hermes Nigeria Limited	December	30-Jul-19
48	El-Elyon Alliance and Securities Ltd	December	30-Jul-19
49	Elixir Securities Limited	December	30-Jul-19
50	Equity Capital Solutions Limited	December	30-Jul-19
51	Eurocomm Securities Limited	December	30-Jul-19
52	Express Portfolio Services Limited	December	30-Jul-19
53	Falcon Securities Limited	December	30-Jul-19
54	FBC Trust & Securities Limited	December	30-Jul-19
55	FBNQuest Securities Limited	December	30-Jul-19
56	FCSL Asset Management Company Limited	December	30-Jul-19
57	Fidelity Finance Company Limited	December	30-Jul-19
58	Financial Trust Company Limited	December	30-Jul-19
59	Finmal Finance Services Limited	December	30-Jul-19
60	First Integrated Capital Manangement Limited	December	30-Jul-19
61	FIS Securities Limited	December	30-Jul-19
62	Foresight Securities & Investment Limited	December	30-Jul-19
63	Forte Financial Limited	December	30-Jul-19
64	Forthright Securities & Investments Limited	December	30-Jul-19
65	Fortress Capital Limited	December	30-Jul-19
66	FSDH Securities Limited	December	30-Jul-19
67	FSL Securities Limited	December	30-Jul-19
68	Funds Matrix & Assets Mgt Limited	December	30-Jul-19
69	Fundvine Capital & Securities Limited	December	30-Jul-19
70	Futureview Securities Limited	December	30-Jul-19
71	Gidauniya Investment & Securities Limited	December	30-Jul-19
72	Global Asset Management (Nig) Limited	December	30-Jul-19
73	GlobalView Capital Limited	December	30-Jul-19
74	Golden Securities Limited	December	30-Jul-19
75	Greenwich Securities Limited	December	30-Jul-19
76	Growth & Development Asset Management Limited	December	30-Jul-19
77	Gruene Capital Limited	December	30-Jul-19
78	GTI Securities Limited	December	30-Jul-19
79	Harmony Securities Limited	December	30-Jul-19
80	Heartbeat Investment Limited	December	30-Jul-19
81	Hedge Securities & Investment Limited	December	30-Jul-19
82	Helix Securities Limited	December	30-Jul-19
83	Heritage Capital Markets Limited	December	30-Jul-19
84	ICMG Securities Limited	December	30-Jul-19

85	Icon Stockbrokers Limited	December	30-Jul-19
86	Imperial Asset Managers Limited	December	30-Jul-19
87	Integrated Trust & Investment Limited	December	30-Jul-19
88	Interstate Securities Limited	December	30-Jul-19
89	Investment One Stockbrokers International Limited	December	30-Jul-19
90	Investors & Trust Company Limited	December	30-Jul-19
91	Kapital Care Trust & Securities Limited	December	30-Jul-19
92	Kedari Capital Limited	December	30-Jul-19
93	Kinley Securities Limited	December	30-Jul-19
94	Kofana Securities & Investment Limited	December	30-Jul-19
95	Lambeth Capital Limited	December	30-Jul-19
96	Lead Securities & Investment Limited	December	30-Jul-19
97	Lighthouse Asset Management Limited	December	30-Jul-19
98	Magnartis Finance & Investment Limited	December	30-Jul-19
99	Mainstreet Bank Securities Limited	December	30-Jul-19
100	Maxifund Investment & Securities Plc	December	30-Jul-19
101	MBC Securities Limited	December	30-Jul-19
102	MBL Financial Services Limited	December	30-Jul-19
103	Mega Equities Limited	December	30-Jul-19
104	Meristem Stockbrokers Limited	December	30-Jul-19
105	Midas Stockbrokers Limited	December	30-Jul-19
106	Milestone Capital Management Limited	December	30-Jul-19
107	Mission Securities Limited	December	30-Jul-19
108	Molten Trust Limited	December	30-Jul-19
109	Morgan Capital Securities Limited	December	30-Jul-19
110	Mountain Investment & Securities Limited	December	30-Jul-19
111	Network Capital Limited	December	30-Jul-19
112	Networth Securities & Finance Limited	December	30-Jul-19
113	Newdevco Investments & Securties Limited	December	30-Jul-19
114	Nigerian International Securities Ltd	December	30-Jul-19
115	Nigerian Stockbrokers Limited	December	30-Jul-19
116	Osborne Capital Markets Limited (Formerly Longterm Global (	December	30-Jul-19
117	PAC Securities Limited	December	30-Jul-19
118	Peace Capital Markets Ltd	December	30-Jul-19
119	Pilot Securities Limited	December	30-Jul-19
120	Pinefields Investment Services Limited	December	30-Jul-19
121	PIPC Securities Limited	December	30-Jul-19
122	Pivot Capital Limited	December	30-Jul-19
123	Planet Capital Limited	December	30-Jul-19
124	Portfolio Advisers Limited	December	30-Jul-19
125	Premium Capital and Stockbrokers Limited	December	30-Jul-19
126	Primewealth Capital Limited	December	30-Jul-19
127	Prominent Securities Limited	December	30-Jul-19
128	PSI Securities Limited	December	30-Jul-19
129	Pyramid Securities Limited	December	30-Jul-19
130	Qualinvest Capital Limited	December	30-Jul-19
131	Quantum Zenith Securities & Investments Limited	December	30-Jul-19

132	Rainbow Securities & Investment Company Limited	December	30-Jul-19
133	Readings Investment Limited	December	30-Jul-19
134	Regency Asset Management Limited	December	30-Jul-19
135	Rencap Securities (Nig) Limited	December	30-Jul-19
136	Resort Securities & Trust Limited	December	30-Jul-19
137	Reward Investment & Service Limited	December	30-Jul-19
138	RMB Nigeria Stockbrokers Limited	December	30-Jul-19
139	Rostrum Investment & Sec Limited	December	30-Jul-19
140	Rowet Capital Management Limited	December	30-Jul-19
141	Royal Crest Finance Limited	December	30-Jul-19
142	Royal Guaranty & Trust Limited	December	30-Jul-19
143	Royal Trust Securities Limited	December	30-Jul-19
144	Sankore Securities Limited (formerly Diamond)	December	30-Jul-19
145	Santrust Securities Limited	December	30-Jul-19
146	Securities Africa Financial Limited	December	30-Jul-19
147	Securities and Capital Management Company Limited	December	30-Jul-19
148	Security Swaps Limited	December	30-Jul-19
149	Shalom Investment and Financial Services Limited	December	30-Jul-19
150	Shelong Investments Limited	December	30-Jul-19
151	Sigma Securities Limited	December	30-Jul-19
152	Signet Investment & Securities Limited	December	30-Jul-19
153	Skyview Capital Limited	December	30-Jul-19
154	Smadac Securities Limited	December	30-Jul-19
155	Solid Rock Securities & Investment Plc	December	30-Jul-19
156	Spring Trust & Securities Limited	December	30-Jul-19
157	Springboard Trust & Investment Limited	December	30-Jul-19
158	Stanbic IBTC Stockbrokers Limited	December	30-Jul-19
159	Standard Union Securities Limited	December	30-Jul-19
160	Tellimer Capital Limited (formerly EFCP Limited)	December	30-Jul-19
161	TFS Securities & Investment Company Limited	December	30-Jul-19
162	The Bridge Securities Limited	December	30-Jul-19
163	Tiddo Securities Limited	December	30-Jul-19
164	Tomil Trust Limited	December	30-Jul-19
165	Topmost Securities Limited	December	30-Jul-19
166	Tower Securities & Investment Company Limited	December	30-Jul-19
167	Trade Link Securities Limited	December	30-Jul-19
168	Traders Trust & Investment Co. Limited	December	30-Jul-19
169	Transworld Investment Limited	December	30-Jul-19
170	Trust Yield Securities Limited	December	30-Jul-19
171	TrustBanc Capital Management Limited	December	30-Jul-19
172	Trusthouse Investment Limited	December	30-Jul-19
173	TRW Stockbrokers Limited	December	30-Jul-19
174	Tyndale Securities Limited	December	30-Jul-19
175	UIDC Securities Limited	December	30-Jul-19
176	Unex Capital Limited	December	30-Jul-19
177	Union Capital Markets Limited	December	30-Jul-19
178	United Capital Securities Limited	December	30-Jul-19

179	Valmon Securities Limited	December	30-Jul-19
180	Valueline Securities & Investment Limited	December	30-Jul-19
181	Vetiva Securities Limited	December	30-Jul-19
182	WCM Capital Limited	December	30-Jul-19
183	WSTC Financial Services Limited	December	30-Jul-19
184	Zion Stockbrokers & Securities Limited	December	30-Jul-19

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Financial & Analytics Capital Limited	December	30-Jul-19
2	Gem Assets Management Limited	December	30-Jul-19
3	Kundila Finance Services Limited	December	30-Jul-19
4	Marimpex Finance & Investment Company Limited	December	30-Jul-19
5	Nova Finance & Securities Limited	December	30-Jul-19

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Enterprise Stockbrokers Limited	December	30-Jul-19

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jul-19
2	Adonai Stockbrokers Limited	December	30-Jul-19
3	Aims Asset Management Limited	December	30-Jul-19
4	Amyn Investment Limited	December	30-Jul-19
5	Cadington Securities Limited	December	30-Jul-19
6	CEB Securities Limited	December	30-Jul-19
7	Clearview Investments Company Limited	December	30-Jul-19
8	CLG Stockbrokers Limited	December	30-Jul-19
9	ECL Asset Management Limited	December	30-Jul-19
10	First Stockbrokers Limited	December	30-Jul-19
11	GMT Securities & Asset Mangement Limited	December	30-Jul-19
12	Gombe Securities Limited	December	30-Jul-19
13	Horizon Stockbrokers Limited	December	30-Jul-19
14	International Standard Securities Limited	December	30-Jul-19
15	Investment Shark & Asset Mgt Ltd	December	30-Jul-19
16	ITIS Securities Limited	December	30-Jul-19
17	Kakawa Asset Management Limited	December	30-Jul-19
18	Lion Stockbrokers Limited	December	30-Jul-19
19	LMB Stockbrokers Limited	December	30-Jul-19
20	Mact Securities Limited	December	30-Jul-19
21	Maven Asset Management Limited	December	30-Jul-19
22	Mayfield Investment Limited	December	30-Jul-19

23	Midpoint Capital Limited	December	30-Jul-19
24	ML Securities Limited	December	30-Jul-19
25	Monument Sec & Finance Limited	December	30-Jul-19
26	Mutual Alliance Investment & Securities Limited	December	30-Jul-19
27	Profund Securities Limited	December	30-Jul-19
28	Quantum Securities Limited	December	30-Jul-19
29	Standard Alliance Capital Asset Mgt Limited	December	30-Jul-19
30	Supra Commercial Trust Company Limited	December	30-Jul-19

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2019

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited(formerly Barclays Stockbroker	December	30-Oct-19
2	Afrinvest Securities Limited	December	30-Oct-19
3	Anchoria Investment & Securities Limited	December	30-Oct-19
4	Apel Asset Limited	December	30-Oct-19
5	Apt Securities & Funds Limited	December	30-Oct-19
6	ARM Securities Limited	December	30-Oct-19
7	Arthur Stevens Asset Management Limited	December	30-Oct-19
8	Associated Asset Managers Limited	December	30-Oct-19
9	Atlass Portfolio Limited	December	30-Oct-19
10	Bauchi Investment Corp. Sec Limited	December	30-Oct-19
11	Belfry Investment & Securities Limited	December	30-Oct-19
12	Bestworth Assets & Trust Limited	December	30-Oct-19
13	Calyx Securities Limited	December	30-Oct-19
14	Camry Securities Limited	December	30-Oct-19
15	Capital Asset Limited	December	30-Oct-19
16	Capital Bancorp Plc	December	30-Oct-19
17	Capital Express Securities Limited	December	30-Oct-19
18	Capital Trust Brokers Limited	December	30-Oct-19
19	Cardinal Stone Securities Ltd	December	30-Oct-19
20	Cashcraft Securities Limited	December	30-Oct-19
21	Cashville Investment & Securities Limited	December	30-Oct-19
22	CDL Capital Markets Limited	December	30-Oct-19
23	Centre Point Investment Limited	December	30-Oct-19
24	Century Securities Limited	December	30-Oct-19
25	Chapel Hill Denham Securities Limited	December	30-Oct-19
26	Chartwell Securities Limited	December	30-Oct-19
27	Citi Investment Capital Limited	December	30-Oct-19
28	City Code Trust & Investment Company Limited	December	30-Oct-19
29	Compass Investment & Securities Limited	December	30-Oct-19
30	Cordros Securities Limited	December	30-Oct-19
31	Core Securities Limited	December	30-Oct-19
32	Coronation Securities Limited	December	30-Oct-19
33	Cowry Securities Limited	December	30-Oct-19
34	Crane Securities Limited	December	30-Oct-19
35	Crossworld Securities Limited	December	30-Oct-19
36	Crown Capital Limited	December	30-Oct-19
37	CSL Stockbrokers Limited	December	30-Oct-19

38	Deep Trust Investment Limited	December	30-Oct-19
39	Delords Securities Limited	December	30-Oct-19
40	Dominion Trust Limited	December	30-Oct-19
41	DSU Brokerage Services Limited	December	30-Oct-19
42	Dunbell Securities Limited	December	30-Oct-19
43	Dunn Loren Merrifield Securities Limited	December	30-Oct-19
44	Dynamic Portfolio Limited	December	30-Oct-19
45	EDC Securities Limited	December	30-Oct-19
46	Edgefield Capital Management Limited	December	30-Oct-19
47	EFG Hermes Nigeria Limited	December	30-Oct-19
48	El-Elyon Alliance and Securities Ltd	December	30-Oct-19
49	Elixir Securities Limited	December	30-Oct-19
50	Equity Capital Solutions Limited	December	30-Oct-19
51	Eurocomm Securities Limited	December	30-Oct-19
52	Express Portfolio Services Limited	December	30-Oct-19
53	Falcon Securities Limited	December	30-Oct-19
54	FBC Trust & Securities Limited	December	30-Oct-19
55	FBNQuest Securities Limited	December	30-Oct-19
56	FCSL Asset Management Company Limited	December	30-Oct-19
57	Fidelity Finance Company Limited	December	30-Oct-19
58	Financial Trust Company Limited	December	30-Oct-19
59	Finmal Finance Services Limited	December	30-Oct-19
60	First Integrated Capital Manangement Limited	December	30-Oct-19
61	FIS Securities Limited	December	30-Oct-19
62	Foresight Securities & Investment Limited	December	30-Oct-19
63	Forte Financial Limited	December	30-Oct-19
64	Forthright Securities & Investments Limited	December	30-Oct-19
65	Fortress Capital Limited	December	30-Oct-19
66	FSDH Securities Limited	December	30-Oct-19
67	FSL Securities Limited	December	30-Oct-19
68	Funds Matrix & Assets Mgt Limited	December	30-Oct-19
69	Fundvine Capital & Securities Limited	December	30-Oct-19
70	Futureview Securities Limited	December	30-Oct-19
71	Gidauniya Investment & Securities Limited	December	30-Oct-19
72	Global Asset Management (Nig) Limited	December	30-Oct-19
73	GlobalView Capital Limited	December	30-Oct-19
74	Golden Securities Limited	December	30-Oct-19
75	Greenwich Securities Limited	December	30-Oct-19
76	Growth & Development Asset Management Limited	December	30-Oct-19
77	Gruene Capital Limited	December	30-Oct-19
78	GTI Securities Limited	December	30-Oct-19
79	Harmony Securities Limited	December	30-Oct-19
80	Heartbeat Investment Limited	December	30-Oct-19
81	Hedge Securities & Investment Limited	December	30-Oct-19
82	Helix Securities Limited	December	30-Oct-19
83	Heritage Capital Markets Limited	December	30-Oct-19
84	ICMG Securities Limited	December	30-Oct-19

85	Icon Stockbrokers Limited	December	30-Oct-19
86	Imperial Asset Managers Limited	December	30-Oct-19
87	Integrated Trust & Investment Limited	December	30-Oct-19
88	Interstate Securities Limited	December	30-Oct-19
89	Investment One Stockbrokers International Limited	December	30-Oct-19
90	Investors & Trust Company Limited	December	30-Oct-19
91	Kapital Care Trust & Securities Limited	December	30-Oct-19
92	Kedari Capital Limited	December	30-Oct-19
93	Kinley Securities Limited	December	30-Oct-19
94	Kofana Securities & Investment Limited	December	30-Oct-19
95	Lambeth Capital Limited	December	30-Oct-19
96	Lead Securities & Investment Limited	December	30-Oct-19
97	Lighthouse Asset Management Limited	December	30-Oct-19
98	Magnartis Finance & Investment Limited	December	30-Oct-19
99	Mainstreet Bank Securities Limited	December	30-Oct-19
100	Maxifund Investment & Securities Plc	December	30-Oct-19
101	MBC Securities Limited	December	30-Oct-19
102	Mega Equities Limited	December	30-Oct-19
103	Meristem Stockbrokers Limited	December	30-Oct-19
104	Midas Stockbrokers Limited	December	30-Oct-19
105	Milestone Capital Management Limited	December	30-Oct-19
106	Mission Securities Limited	December	30-Oct-19
107	Molten Trust Limited	December	30-Oct-19
108	Morgan Capital Securities Limited	December	30-Oct-19
109	Mountain Investment & Securities Limited	December	30-Oct-19
110	Network Capital Limited	December	30-Oct-19
111	Networth Securities & Finance Limited	December	30-Oct-19
112	Newdevco Investments & Securties Limited	December	30-Oct-19
113	Nigerian International Securities Ltd	December	30-Oct-19
114	Nigerian Stockbrokers Limited	December	30-Oct-19
115	Nova Finance & Securities Limited	December	30-Oct-19
116	Osborne Capital Markets Limited (Formerly Longterm Global (	December	30-Oct-19
117	PAC Securities Limited	December	30-Oct-19
118	Peace Capital Markets Ltd	December	30-Oct-19
119	Pilot Securities Limited	December	30-Oct-19
120	Pinefields Investment Services Limited	December	30-Oct-19
121	PIPC Securities Limited	December	30-Oct-19
122	Pivot Capital Limited	December	30-Oct-19
123	Planet Capital Limited	December	30-Oct-19
124	Portfolio Advisers Limited	December	30-Oct-19
125	Premium Capital and Stockbrokers Limited	December	30-Oct-19
126	Primewealth Capital Limited	December	30-Oct-19
127	Prominent Securities Limited	December	30-Oct-19
128	PSI Securities Limited	December	30-Oct-19
129	Pyramid Securities Limited	December	30-Oct-19
130	Qualinvest Capital Limited	December	30-Oct-19
131	Quantum Zenith Securities & Investments Limited	December	30-Oct-19

132	Rainbow Securities & Investment Company Limited	December	30-Oct-19
133	Readings Investment Limited	December	30-Oct-19
134	Regency Asset Management Limited	December	30-Oct-19
135	Rencap Securities (Nig) Limited	December	30-Oct-19
136	Resort Securities & Trust Limited	December	30-Oct-19
137	Reward Investment & Service Limited	December	30-Oct-19
138	RMB Nigeria Stockbrokers Limited	December	30-Oct-19
139	Rostrum Investment & Sec Limited	December	30-Oct-19
140	Rowet Capital Management Limited	December	30-Oct-19
141	Royal Crest Finance Limited	December	30-Oct-19
142	Royal Guaranty & Trust Limited	December	30-Oct-19
143	Royal Trust Securities Limited	December	30-Oct-19
144	Sankore Securities Limited (formerly Diamond)	December	30-Oct-19
145	Santrust Securities Limited	December	30-Oct-19
146	Securities Africa Financial Limited	December	30-Oct-19
147	Securities and Capital Management Company Limited	December	30-Oct-19
148	Security Swaps Limited	December	30-Oct-19
149	Shalom Investment and Financial Services Limited	December	30-Oct-19
150	Shelong Investments Limited	December	30-Oct-19
151	Sigma Securities Limited	December	30-Oct-19
152	Signet Investment & Securities Limited	December	30-Oct-19
153	Skyview Capital Limited	December	30-Oct-19
154	Smadac Securities Limited	December	30-Oct-19
155	Solid Rock Securities & Investment Plc	December	30-Oct-19
156	Spring Trust & Securities Limited	December	30-Oct-19
157	Springboard Trust & Investment Limited	December	30-Oct-19
158	Stanbic IBTC Stockbrokers Limited	December	30-Oct-19
159	Standard Union Securities Limited	December	30-Oct-19
160	Tellimer Capital Limited (formerly EFCP Limited)	December	30-Oct-19
161	TFS Securities & Investment Company Limited	December	30-Oct-19
162	The Bridge Securities Limited	December	30-Oct-19
163	Tiddo Securities Limited	December	30-Oct-19
164	Tomil Trust Limited	December	30-Oct-19
165	Topmost Securities Limited	December	30-Oct-19
166	Tower Securities & Investment Company Limited	December	30-Oct-19
167	Trade Link Securities Limited	December	30-Oct-19
168	Traders Trust & Investment Co. Limited	December	30-Oct-19
169	Transworld Investment Limited	December	30-Oct-19
170	Trust Yield Securities Limited	December	30-Oct-19
171	TrustBanc Capital Management Limited	December	30-Oct-19
172	Trusthouse Investment Limited	December	30-Oct-19
173	TRW Stockbrokers Limited	December	30-Oct-19
174	Tyndale Securities Limited	December	30-Oct-19
175	UIDC Securities Limited	December	30-Oct-19
176	Unex Capital Limited	December	30-Oct-19
177	Union Capital Markets Limited	December	30-Oct-19
178	United Capital Securities Limited	December	30-Oct-19

179	Valmon Securities Limited	December	30-Oct-19
180	Valueline Securities & Investment Limited	December	30-Oct-19
181	Vetiva Securities Limited	December	30-Oct-19
182	WCM Capital Limited	December	30-Oct-19
183	WSTC Financial Services Limited	December	30-Oct-19
184	Zion Stockbrokers & Securities Limited	December	30-Oct-19

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Financial & Analytics Capital Limited	December	30-Oct-19
2	Kundila Finance Services Limited	December	30-Oct-19
3	MBL Financial Services Limited	December	30-Oct-19
4	Marimpex Finance & Investment Company Limited	December	30-Oct-19
5	Gem Assets Management Limited	December	30-Oct-19

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Oct-19
2	Adonai Stockbrokers Limited	December	30-Oct-19
3	Aims Asset Management Limited	December	30-Oct-19
4	Amyn Investment Limited	December	30-Oct-19
5	Cadington Securities Limited	December	30-Oct-19
6	CEB Securities Limited	December	30-Oct-19
7	Clearview Investments Company Limited	December	30-Oct-19
8	CLG Stockbrokers Limited	December	30-Oct-19
9	ECL Asset Management Limited	December	30-Oct-19
10	Enterprise Stockbrokers Limited	December	30-Oct-19
11	First Stockbrokers Limited	December	30-Oct-19
12	GMT Securities & Asset Mangement Limited	December	30-Oct-19
13	Gombe Securities Limited	December	30-Oct-19
14	Horizon Stockbrokers Limited	December	30-Oct-19
15	International Standard Securities Limited	December	30-Oct-19
16	Investment Shark & Asset Mgt Ltd	December	30-Oct-19
17	ITIS Securities Limited	December	30-Oct-19
18	Kakawa Asset Management Limited	December	30-Oct-19
19	Lion Stockbrokers Limited	December	30-Oct-19
20	LMB Stockbrokers Limited	December	30-Oct-19
21	Mact Securities Limited	December	30-Oct-19
22	Maven Asset Management Limited	December	30-Oct-19

23	Mayfield Investment Limited	December	30-Oct-19
24	Midpoint Capital Limited	December	30-Oct-19
25	ML Securities Limited	December	30-Oct-19
26	Monument Sec & Finance Limited	December	30-Oct-19
27	Mutual Alliance Investment & Securities Limited	December	30-Oct-19
28	Profund Securities Limited	December	30-Oct-19
29	Quantum Securities Limited	December	30-Oct-19
30	Standard Alliance Capital Asset Mgt Limited	December	30-Oct-19
31	Supra Commercial Trust Company Limited	December	30-Oct-19

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2019

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	31-Jan-20
2	Afrinvest Securities Limited	December	31-Jan-20
3	Anchoria Investment & Securities Limited	December	31-Jan-20
4	Apel Asset Limited	December	31-Jan-20
5	Apt Securities & Funds Limited	December	31-Jan-20
6	ARM Securities Limited	December	31-Jan-20
7	Arthur Stevens Asset Management Limited	December	31-Jan-20
8	Associated Asset Managers Limited	December	31-Jan-20
9	Atlass Portfolio Limited	December	31-Jan-20
10	AVA Securities Limited	December	31-Jan-20
11	Bauchi Investment Corp. Sec Limited	December	31-Jan-20
12	Belfry Investment & Securities Limited	December	31-Jan-20
13	Bestworth Assets & Trust Limited	December	31-Jan-20
14	Calyx Securities Limited	December	31-Jan-20
15	Camry Securities Limited	December	31-Jan-20
16	Capital Asset Limited	December	31-Jan-20
17	Capital Bancorp Plc	December	31-Jan-20
18	Capital Express Securities Limited	December	31-Jan-20
19	Capital Trust Brokers Limited	December	31-Jan-20
20	Cardinal Stone Securities Ltd	December	31-Jan-20
21	Cashcraft Securities Limited	December	31-Jan-20
22	Cashville Investment & Securities Limited	December	31-Jan-20
23	CDL Capital Markets Limited	December	31-Jan-20
24	Centre Point Investment Limited	December	31-Jan-20
25	Century Securities Limited	December	31-Jan-20
26	Chapel Hill Denham Securities Limited	December	31-Jan-20
27	Chartwell Securities Limited	December	31-Jan-20
28	Citi Investment Capital Limited	December	31-Jan-20
29	City Code Trust & Investment Company Limited	December	31-Jan-20
30	Compass Investment & Securities Limited	December	31-Jan-20
31	Cordros Capital Limited	December	31-Jan-20
32	Core Trust & Investment Limited	December	31-Jan-20
33	Coronation Securities Limited	December	31-Jan-20
34	Cowry Securities Limited	December	31-Jan-20
35	Crane Securities Limited	December	31-Jan-20
36	Crossworld Securities Limited	December	31-Jan-20
37	Crown Capital Limited	December	31-Jan-20

38	CSL Stockbrokers Limited	December	31-Jan-20
39	Deep Trust Investment Limited	December	31-Jan-20
40	Delords Securities Limited	December	31-Jan-20
41	Dominion Trust Limited	December	31-Jan-20
42	DSU Brokerage Services Limited	December	31-Jan-20
43	Dunbell Securities Limited	December	31-Jan-20
44	Dunn Loren Merrifield Securities Limited	December	31-Jan-20
45	Dynamic Portfolio Limited	December	31-Jan-20
46	EDC Securities Limited	December	31-Jan-20
47	Edgefield Capital Management Limited	December	31-Jan-20
48	EFG Hermes Nigeria Limited	December	31-Jan-20
49	El-Elyon Alliance and Securities Ltd	December	31-Jan-20
50	Elixir Securities Limited	December	31-Jan-20
51	Equity Capital Solutions Limited	December	31-Jan-20
52	Eurocomm Securities Limited	December	31-Jan-20
53	Express Portfolio Services Limited	December	31-Jan-20
54	Falcon Securities Limited	December	31-Jan-20
55	FBC Trust & Securities Limited	December	31-Jan-20
56	FBNQuest Securities Limited	December	31-Jan-20
57	FCSL Asset Management Company Limited	December	31-Jan-20
58	Fidelity Finance Company Limited	December	31-Jan-20
59	Financial Trust Company Limited	December	31-Jan-20
60	Finmal Finance Services Limited	December	31-Jan-20
61	First Integrated Capital Manangement Limited	December	31-Jan-20
62	FIS Securities Limited	December	31-Jan-20
63	Foresight Securities & Investment Limited	December	31-Jan-20
64	Forte Financial Limited	December	31-Jan-20
65	Forthright Securities & Investments Limited	December	31-Jan-20
66	Fortress Capital Limited	December	31-Jan-20
67	FSDH Securities Limited	December	31-Jan-20
68	FSL Securities Limited	December	31-Jan-20
69	Funds Matrix & Assets Mgt Limited	December	31-Jan-20
70	Fundvine Capital & Securities Limited	December	31-Jan-20
71	Futureview Securities Limited	December	31-Jan-20
72	Gem Assets Management Limited	December	31-Jan-20
73	Gidauniya Investment & Securities Limited	December	31-Jan-20
74	Global Asset Management (Nig) Limited	December	31-Jan-20
75	GlobalView Capital Limited	December	31-Jan-20
76	Golden Securities Limited	December	31-Jan-20
77	Greenwich Securities Limited	December	31-Jan-20
78	Growth & Development Aseet Management Ltd	December	31-Jan-20
79	Gruene Capital Limited	December	31-Jan-20
80	GTI Securities Limited	December	31-Jan-20
81	Harmony Securities Limited	December	31-Jan-20
82	Heartbeat Investment Limited	December	31-Jan-20
83	Hedge Securities & Investment Limited	December	31-Jan-20
84	Heritage Capital Markets Limited	December	31-Jan-20

85	ICMG securities Limited	December	31-Jan-20
86	Icon Stockbrokers Limited	December	31-Jan-20
87	Imperial Asset Managers Limited	December	31-Jan-20
88	Integrated Trust & Investment Limited	December	31-Jan-20
89	Interstate Securities Limited	December	31-Jan-20
90	Investment One Stockbrokers International Limited	December	31-Jan-20
91	Investors & Trust Company Limited	December	31-Jan-20
92	Kapital Care Trust & Securities Limited	December	31-Jan-20
93	Kedari Capital Limited	December	31-Jan-20
94	Kinley Securities Limited	December	31-Jan-20
95	Kofana Securities & Investment Limited	December	31-Jan-20
96	Lambeth Trust & Investment Company Limited	December	31-Jan-20
97	Lead Securities & Investment Limited	December	31-Jan-20
98	Lighthouse Asset Management Limited	December	31-Jan-20
99	Magnartis Finance & Investment Limited	December	31-Jan-20
100	Mainstreet Bank Securities Limited	December	31-Jan-20
101	Maxifund Investment & Securities Plc	December	31-Jan-20
102	MBC Securities Limited	December	31-Jan-20
103	Mega Equities Limited	December	31-Jan-20
104	Meristem Stockbrokers Limited	December	31-Jan-20
105	Midas Stockbrokers Limited	December	31-Jan-20
106	Mission Securities Limited	December	31-Jan-20
107	Molten Trust Limited	December	31-Jan-20
108	Morgan Capital Securities Limited	December	31-Jan-20
109	Mountain Investment & Securities Limited	December	31-Jan-20
110	Network Capital Limited	December	31-Jan-20
111	Networth Securities & Finance Limited	December	31-Jan-20
112	Newdevco Investments & Securties Limited	December	31-Jan-20
113	Nigerian International Securities Ltd	December	31-Jan-20
114	Nigerian Stockbrokers Limited	December	31-Jan-20
115	Nova Finance & Securities Limited	December	31-Jan-20
116	Osborne Capital Markets Limited	December	31-Jan-20
117	PAC Securities Limited	December	31-Jan-20
118	Peace Capital Markets Ltd	December	31-Jan-20
119	Pilot Securities Limited	December	31-Jan-20
120	Pinefields Investment Services Limited	December	31-Jan-20
121	PIPC Securities Limited	December	31-Jan-20
122	Pivot Capital Limited	December	31-Jan-20
123	Planet Capital Limited	December	31-Jan-20
124	Portfolio Advisers Limited	December	31-Jan-20
125	Primewealth Capital Limited	December	31-Jan-20
126	Prominent Securities Limited	December	31-Jan-20
127	PSI Securities Limited	December	31-Jan-20
128	Pyramid Securities Limited	December	31-Jan-20
129	Qualinvest Capital Limited	December	31-Jan-20
130	Quantum Zenith Securities & Investments Limited	December	31-Jan-20
131	Rainbow Securities & Investment Company Limited	December	31-Jan-20

132	Readings Investment Limited	December	31-Jan-20
133	Regency Asset Management Limited	December	31-Jan-20
134	Rencap Securities (Nig) Limited	December	31-Jan-20
135	Resort Securities & Trust Limited	December	31-Jan-20
136	Reward Investment & Service Limited	December	31-Jan-20
137	RMB Nigeria Stockbrokers Limited	December	31-Jan-20
138	Rostrum Investment & Sec Limited	December	31-Jan-20
139	Rowet Capital Mgt Limited	December	31-Jan-20
140	Royal Crest Finance Limited	December	31-Jan-20
141	Royal Guaranty & Trust Limited	December	31-Jan-20
142	Sankore Securities Limited (formerly Diamond)	December	31-Jan-20
143	Santrust Securities Limited	December	31-Jan-20
144	Securities Africa Financial Limited	December	31-Jan-20
145	Securities and Capital Management Company Limited	December	31-Jan-20
146	Security Swaps Limited	December	31-Jan-20
147	SFC Securities Limited	December	31-Jan-20
148	Shalom Investment and Financial Services Limited	December	31-Jan-20
149	Shelong Investments Limited	December	31-Jan-20
150	Sigma Securities Limited	December	31-Jan-20
151	Signet Investment & Securities Limited	December	31-Jan-20
152	Skyview Capital Ltd	December	31-Jan-20
153	Smadac Securities Limited	December	31-Jan-20
154	Solid Rock Securities & Investment Plc	December	31-Jan-20
155	Spring Trust & Securities Limited	December	31-Jan-20
156	Springboard Trust & Investment Limited	December	31-Jan-20
157	Stanbic IBTC Stockbrokers Limited	December	31-Jan-20
158	Standard Union Securities Limited	December	31-Jan-20
159	Tellimer Capital Limited	December	31-Jan-20
160	The Bridge Securities Limited	December	31-Jan-20
161	Tiddo Securities Limited	December	31-Jan-20
162	Tomil Trust Limited	December	31-Jan-20
163	Topmost Securities Limited	December	31-Jan-20
164	Tower Securities & Investment Company Limited	December	31-Jan-20
165	Trade Link Securities Limited	December	31-Jan-20
166	Traders Trust & Investment Co. Limited	December	31-Jan-20
167	Transworld Investment Limited	December	31-Jan-20
168	Trust Yield Securities Limited	December	31-Jan-20
169	TrustBanc Capital Management Limited	December	31-Jan-20
170	Trusthouse Investment Limited	December	31-Jan-20
171	TRW Stockbrokers Limited	December	31-Jan-20
172	Tyndale Securities Limited	December	31-Jan-20
173	UIDC Securities Limited	December	31-Jan-20
174	Unex Capital Limited	December	31-Jan-20
175	Union Capital Markets Limited	December	31-Jan-20
176	United Capital Securities Limited	December	31-Jan-20
177	Valmon Securities Limited	December	31-Jan-20
178	Valueline Securities & Investment Limited	December	31-Jan-20

179	Vetiva Securities Limited	December	31-Jan-20
180	Woodland Capital Markets Plc	December	31-Jan-20
181	WSTC Securities Limited	December	31-Jan-20
182	Zion Stockbrokers & Securities Limited	December	31-Jan-20

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Financial & Analytics Capital Limited	December	31-Jan-20
2	Kakawa Asset Management Limited	December	31-Jan-20
3	Kundila Finance Services Limited	December	31-Jan-20
4	Marimpex Finance & Investment Company Limited	December	31-Jan-20
5	MBL Financial Services Limited	December	31-Jan-20
6	Milestone Capital Management Limited	December	31-Jan-20
7	TFS Securities & Investment Company Limited	December	31-Jan-20

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Royal Trust Securities Limited	December	31-Jan-20

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	31-Jan-20
2	Adonai Stockbrokers Limited	December	31-Jan-20
3	Aims Asset Management Limited	December	31-Jan-20
4	Amyn Investment Limited	December	31-Jan-20
5	Cadington Securities Limited	December	31-Jan-20
6	CEB Securities Limited	December	31-Jan-20
7	Clearview Investments Company Limited	December	31-Jan-20
8	CLG Stockbrokers Limited	December	31-Jan-20
9	ECL Asset Management Limited	December	31-Jan-20
10	Enterprise Stockbrokers Limited	December	31-Jan-20
11	First Stockbrokers Limited	December	31-Jan-20
12	GMT Securities & Asset Mangement Limited	December	31-Jan-20
13	Gombe Securities Limited	December	31-Jan-20
14	Horizon Stockbrokers Limited	December	31-Jan-20
15	International Standard Securities Limited	December	31-Jan-20
16	Investment Shark & Asset Mgt Ltd	December	31-Jan-20
17	ITIS Securities Limited	December	31-Jan-20
18	Lion Stockbrokers Limited	December	31-Jan-20
19	LMB Stockbrokers Limited	December	31-Jan-20
20	Mact Securities Limited	December	31-Jan-20
21	Maven Asset Management Limited	December	31-Jan-20
22	Mayfield Investment Limited	December	31-Jan-20
23	Midpoint Capital Limited	December	31-Jan-20

24	ML Securities Limited	December	31-Jan-20
25	Monument Sec & Finance Limited	December	31-Jan-20
26	Mutual Alliance Investment & Securities Limited	December	31-Jan-20
27	Profund Securities Limited	December	31-Jan-20
28	Quantum Securities Limited	December	31-Jan-20
29	Standard Alliance Capital Asset Mgt Limited	December	31-Jan-20
30	Supra Commercial Trust Company Limited	December	31-Jan-20
31	Yuderb Investment and Securities Limited	December	31-Jan-20

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2020

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	29-May-20
2	Afrinvest Securities Limited	December	29-May-20
3	Anchoria Investment and Securities Limited	December	29-May-20
4	Apel Asset Limited	December	29-May-20
5	Apt Securities and Funds Limited	December	29-May-20
6	ARM Securities Limited	December	29-May-20
7	Arthur Stevens Asset Management Limited	December	29-May-20
8	Associated Asset Managers Limited	December	29-May-20
9	Atlass Portfolio Limited	December	29-May-20
10	AVA Securities Limited	December	29-May-20
11	Bauchi Investment Corp. Sec Limited	December	29-May-20
12	Belfry Investment and Securities Limited	December	29-May-20
13	Bestworth Assets and Trust Limited	December	29-May-20
14	Calyx Securities Limited	December	29-May-20
15	Camry Securities Limited	December	29-May-20
16	Capital Asset Limited	December	29-May-20
17	Capital Bancorp Plc	December	29-May-20
18	Capital Express Securities Limited	December	29-May-20
19	Capital Trust Brokers Limited	December	29-May-20
20	Cardinal Stone Securities Ltd	December	29-May-20
21	Cashcraft Securities Limited	December	29-May-20
22	Cashville Investment and Securities Limited	December	29-May-20
23	CDL Capital Markets Limited	December	29-May-20
24	Centre Point Investment Limited	December	29-May-20
25	Century Securities Limited	December	29-May-20
26	Chapel Hill Denham Securities Limited	December	29-May-20
27	Chartwell Securities Limited	December	29-May-20
28	Citi Investment Capital Limited	December	29-May-20
29	Compass Investment and Securities Limited	December	29-May-20
30	Cordros Securities Limited	December	29-May-20
31	Core Securities Limited	December	29-May-20
32	Coronation Securities Limited	December	29-May-20
33	Cowry Securities Limited	December	29-May-20
34	Crossworld Securities Limited	December	29-May-20
35	Crown Capital Limited	December	29-May-20
36	CSL Stockbrokers Limited	December	29-May-20
37	Deep Trust Investment Limited	December	29-May-20

38	Delords Securities Limited	December	29-May-20
39	Dominion Trust Limited	December	29-May-20
40	DSU Brokerage Services Limited	December	29-May-20
41	Dunbell Securities Limited	December	29-May-20
42	Dunn Loren Merrifield Securities Limited	December	29-May-20
43	Dynamic Portfolio Limited	December	29-May-20
44	EDC Securities Limited	December	29-May-20
45	Edgefield Capital Management Limited	December	29-May-20
46	EFG Hermes Nigeria Limited	December	29-May-20
47	El-Elyon Alliance and Securities Ltd	December	29-May-20
48	Elixir Securities Limited	December	29-May-20
49	Equity Capital Solutions Limited	December	29-May-20
50	Eurocomm Securities Limited	December	29-May-20
51	Express Portfolio Services Limited	December	29-May-20
52	Falcon Securities Limited	December	29-May-20
53	FBC Trust and Securities Limited	December	29-May-20
54	FBNQuest Securities Limited	December	29-May-20
55	FCSL Asset Management Company Limited	December	29-May-20
56	Fidelity Finance Company Limited	December	29-May-20
57	Financial Trust Company Limited	December	29-May-20
58	Finmal Finance Services Limited	December	29-May-20
59	First Integrated Capital Manangement Limited	December	29-May-20
60	FIS Securities Limited	December	29-May-20
61	Foresight Securities and Investment Limited	December	29-May-20
62	Forte Financial Limited	December	29-May-20
63	Forthright Securities and Investments Limited	December	29-May-20
64	Fortress Capital Limited	December	29-May-20
65	FSDH Securities Limited	December	29-May-20
66	FSL Securities Limited	December	29-May-20
67	Fundvine Capital and Securities Limited	December	29-May-20
68	Futureview Securities Limited	December	29-May-20
69	Gem Assets Management Limited	December	29-May-20
70	Gidauniya Investment and Securities Limited	December	29-May-20
71	Global Asset Management (Nig) Limited	December	29-May-20
72	GlobalView Capital Limited	December	29-May-20
73	Golden Securities Limited	December	29-May-20
74	Greenwich Securities Limited	December	29-May-20
75	Growth & Development Asset Management Limited	December	29-May-20
76	Gruene Capital Limited	December	29-May-20
77	GTI Securities Limited	December	29-May-20
78	Harmony Securities Limited	December	29-May-20
79	Heartbeat Investment Limited	December	29-May-20
80	Hedge Securities and Investment Limited	December	29-May-20
81	Heritage Capital Markets Limited	December	29-May-20
82	ICMG securities Limited	December	29-May-20
83	Icon Stockbrokers Limited	December	29-May-20
84	Imperial Asset Managers Limited	December	29-May-20

85	Integrated Trust and Investment Limited	December	29-May-20
86	Interstate Securities Limited	December	29-May-20
87	Investment One Stockbrokers International Limited	December	29-May-20
88	Investors and Trust Company Limited	December	29-May-20
89	Kapital Care Trust and Securities Limited	December	29-May-20
90	Kedari Capital Limited	December	29-May-20
91	Kinley Securities Limited	December	29-May-20
92	Kofana Securities and Investment Limited	December	29-May-20
93	Lambeth Capital Limited	December	29-May-20
94	Lead Securities and Investment Limited	December	29-May-20
95	Lighthouse Asset Management Limited	December	29-May-20
96	Magnartis Finance and Investment Limited	December	29-May-20
97	Mainstreet Bank Securities Limited	December	29-May-20
98	Maxifund Investment and Securities Plc	December	29-May-20
99	MBC Securities Limited	December	29-May-20
100	Mega Equities Limited	December	29-May-20
101	Meristem Stockbrokers Limited	December	29-May-20
102	Midas Stockbrokers Limited	December	29-May-20
103	Mission Securities Limited	December	29-May-20
104	Molten Trust Limited	December	29-May-20
105	Morgan Capital Securities Limited	December	29-May-20
106	Mountain Investment and Securities Limited	December	29-May-20
107	Network Capital Limited	December	29-May-20
108	Networth Securities and Finance Limited	December	29-May-20
109	Newdevco Investments and Securties Limited	December	29-May-20
110	Nigerian International Securities Ltd	December	29-May-20
111	Nigerian Stockbrokers Limited	December	29-May-20
112	Nova Finance and Securities Limited	December	29-May-20
113	Osborne Capital Markets Limited	December	29-May-20
114	PAC Securities Limited	December	29-May-20
115	Peace Capital Markets Ltd	December	29-May-20
116	Pilot Securities Limited	December	29-May-20
117	Pinefields Investment Services Limited	December	29-May-20
118	PIPC Securities Limited	December	29-May-20
119	Pivot Capital Limited	December	29-May-20
120	Planet Capital Limited	December	29-May-20
121	Portfolio Advisers Limited	December	29-May-20
122	Premium Capital and Stockbrokers Limited	December	29-May-20
123	Primewealth Capital Limited	December	29-May-20
124	Prominent Securities Limited	December	29-May-20
125	PSI Securities Limited	December	29-May-20
126	PSL Capital Limited	December	29-May-20
127	Pyramid Securities Limited	December	29-May-20
128	Qualinvest Capital Limited	December	29-May-20
129	Quantum Zenith Securities & Investments Limited	December	29-May-20
130	Rainbow Securities and Investment Company Limited	December	29-May-20
131	Readings Investment Limited	December	29-May-20

132	Regency Asset Management Limited	December	29-May-20
133	Rencap Securities (Nig) Limited	December	29-May-20
134	Resort Securities and Trust Limited	December	29-May-20
135	Reward Investment and Service Limited	December	29-May-20
136	RMB Nigeria Stockbrokers Limited	December	29-May-20
137	Rostrum Investment and Sec Limited	December	29-May-20
138	Rowet Capital Mgt Limited	December	29-May-20
139	Royal Crest Finance Limited	December	29-May-20
140	Royal Guaranty and Trust Limited	December	29-May-20
141	Sankore Securities Limited	December	29-May-20
142	Santrust Securities Limited	December	29-May-20
143	Securities Africa Financial Limited	December	29-May-20
144	Securities and Capital Management Company Limited	December	29-May-20
145	Shalom Investment and Financial Services Limited	December	29-May-20
146	Shelong Investments Limited	December	29-May-20
147	Sigma Securities Limited	December	29-May-20
148	Signet Investment and Securities Limited	December	29-May-20
149	Skyview Capital Ltd	December	29-May-20
150	Smadac Securities Limited	December	29-May-20
151	Solid Rock Securities and Investment Plc	December	29-May-20
152	Spring Trust and Securities Limited	December	29-May-20
153	Springboard Trust and Investment Limited	December	29-May-20
154	Stanbic IBTC Stockbrokers Limited	December	29-May-20
155	Standard Union Securities Limited	December	29-May-20
156	Tellimer Capital Limited	December	29-May-20
157	The Bridge Securities Limited	December	29-May-20
158	Tiddo Securities Limited	December	29-May-20
159	Tomil Trust Limited	December	29-May-20
160	Topmost Securities Limited	December	29-May-20
161	Tower Securities and Investment Company Limited	December	29-May-20
162	Trade Link Securities Limited	December	29-May-20
163	Traders Trust and Investment Co. Limited	December	29-May-20
164	Transworld Investment Limited	December	29-May-20
165	Trust Yield Securities Limited	December	29-May-20
166	TrustBanc Capital Management Limited	December	29-May-20
167	Trusthouse Investment Limited	December	29-May-20
168	TRW Stockbrokers Limited	December	29-May-20
169	Tyndale Securities Limited	December	29-May-20
170	UIDC Securities Limited	December	29-May-20
171	Unex Capital Limited	December	29-May-20
172	Union Capital Markets Limited	December	29-May-20
173	United Capital Securities Limited	December	29-May-20
174	Valmon Securities Limited	December	29-May-20
175	Valueline Securities and Investment Limited	December	29-May-20
176	Vetiva Securities Limited	December	29-May-20
177	WCM Capital Limited	December	29-May-20
178	WSTC Securities Limited	December	29-May-20

179	Yuderb Investment and Securities Limited	December	29-May-20
180	Zion Stockbrokers and Securities Limited	December	29-May-20

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	29-May-20
2	Financial and Analytics Capital Limited	December	29-May-20
3	Marimpex Finance and Investment Company Limited	December	29-May-20
4	Kundila Finance Services Limited	December	29-May-20
5	Milestone Capital Management Limited	December	29-May-20

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	City Code Trust and Investment Company Limited	December	29-May-20
2	Funds Matrix and Assets Mgt Limited	December	29-May-20
3	Security Swaps Limited	December	29-May-20

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	29-May-20
2	Adonai Stockbrokers Limited	December	29-May-20
3	Aims Asset Management Limited	December	29-May-20
4	Amyn Investment Limited	December	29-May-20
5	Cadington Securities Limited	December	29-May-20
6	CEB Securities Limited	December	29-May-20
7	Clearview Investments Company Limited	December	29-May-20
8	CLG Stockbrokers Limited	December	29-May-20
9	ECL Asset Management Limited	December	29-May-20
10	Enterprise Stockbrokers Limited	December	29-May-20
11	First Stockbrokers Limited	December	29-May-20
12	GMT Securities and Asset Mangement Limited	December	29-May-20
13	Gombe Securities Limited	December	29-May-20
14	Horizon Stockbrokers Limited	December	29-May-20
15	International Standard Securities Limited	December	29-May-20
16	Investment Shark and Asset Mgt Ltd	December	29-May-20
17	ITIS Securities Limited	December	29-May-20
18	Lion Stockbrokers Limited	December	29-May-20
19	LMB Stockbrokers Limited	December	29-May-20
20	Mact Securities Limited	December	29-May-20
21	Maven Asset Management Limited	December	29-May-20
22	Mayfield Investment Limited	December	29-May-20
23	MBL Financial Services Limited	December	29-May-20

24	Midpoint Capital Limited	December	29-May-20
25	ML Securities Limited	December	29-May-20
26	Monument Sec and Finance Limited	December	29-May-20
27	Mutual Alliance Investment and Securities Limited	December	29-May-20
28	Profund Securities Limited	December	29-May-20
29	Quantum Securities Limited	December	29-May-20
30	Royal Trust Securities Limited	December	29-May-20
31	Standard Alliance Capital and Asset Management Limited	December	29-May-20
32	Supra Commercial Trust Company Limited	December	29-May-20
33	TFS Securities and Investment Company Limited	December	29-May-20

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2020

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Jul-20
2	Afrinvest Securities Limited	December	30-Jul-20
3	Anchoria Investment and Securities Limited	December	30-Jul-20
4	Apel Asset Limited	December	30-Jul-20
5	Apt Securities and Funds Limited	December	30-Jul-20
6	ARM Securities Limited	December	30-Jul-20
7	Arthur Stevens Asset Management Limited	December	30-Jul-20
8	Associated Asset Managers Limited	December	30-Jul-20
9	Atlass Portfolio Limited	December	30-Jul-20
10	AVA Securities Limited	December	30-Jul-20
11	Bauchi Investment Corp. Sec Limited	December	30-Jul-20
12	Belfry Investment and Securities Limited	December	30-Jul-20
13	Bestworth Assets and Trust Limited	December	30-Jul-20
14	Calyx Securities Limited	December	30-Jul-20
15	Camry Securities Limited	December	30-Jul-20
16	Capital Asset Limited	December	30-Jul-20
17	Capital Bancorp Plc	December	30-Jul-20
18	Capital Express Securities Limited	December	30-Jul-20
19	Capital Trust Brokers Limited	December	30-Jul-20
20	Cardinal Stone Securities Ltd	December	30-Jul-20
21	Cashcraft Securities Limited	December	30-Jul-20
22	Cashville Investment and Securities Limited	December	30-Jul-20
23	CDL Capital Markets Limited	December	30-Jul-20
24	Centre Point Investment Limited	December	30-Jul-20
25	Century Securities Limited	December	30-Jul-20
26	Chapel Hill Denham Securities Limited	December	30-Jul-20
27	Chartwell Securities Limited	December	30-Jul-20
28	Citi Investment Capital Limited	December	30-Jul-20
29	Compass Investment and Securities Limited	December	30-Jul-20
30	Cordros Securities Limited	December	30-Jul-20
31	Core Securities Limited	December	30-Jul-20
32	Coronation Securities Limited	December	30-Jul-20
33	Cowry Securities Limited	December	30-Jul-20
34	Crossworld Securities Limited	December	30-Jul-20
35	Crown Capital Limited	December	30-Jul-20
36	CSL Stockbrokers Limited	December	30-Jul-20
37	Deep Trust Investment Limited	December	30-Jul-20

38	Delords Securities Limited	December	30-Jul-20
39	Dominion Trust Limited	December	30-Jul-20
40	DSU Brokerage Services Limited	December	30-Jul-20
41	Dunbell Securities Limited	December	30-Jul-20
42	Dunn Loren Merrifield Securities Limited	December	30-Jul-20
43	Dynamic Portfolio Limited	December	30-Jul-20
44	EDC Securities Limited	December	30-Jul-20
45	Edgefield Capital Management Limited	December	30-Jul-20
46	EFG Hermes Nigeria Limited	December	30-Jul-20
47	El-Elyon Alliance and Securities Ltd	December	30-Jul-20
48	Elixir Securities Limited	December	30-Jul-20
49	Equity Capital Solutions Limited	December	30-Jul-20
50	Eurocomm Securities Limited	December	30-Jul-20
51	Express Portfolio Services Limited	December	30-Jul-20
52	Falcon Securities Limited	December	30-Jul-20
53	FBC Trust and Securities Limited	December	30-Jul-20
54	FBNQuest Securities Limited	December	30-Jul-20
55	FCSL Asset Management Company Limited	December	30-Jul-20
56	Fidelity Finance Company Limited	December	30-Jul-20
57	Financial Trust Company Nigeria Limited	December	30-Jul-20
58	Finmal Finance Services Limited	December	30-Jul-20
59	First Integrated Capital Manangement Limited	December	30-Jul-20
60	FIS Securities Limited	December	30-Jul-20
61	Foresight Securities and Investment Limited	December	30-Jul-20
62	Forte Financial Limited	December	30-Jul-20
63	Forthright Securities and Investments Limited	December	30-Jul-20
64	Fortress Capital Limited	December	30-Jul-20
65	FSDH Capital Limited	December	30-Jul-20
66	FSL Securities Limited	December	30-Jul-20
67	Funds Matrix and Assets Mgt Limited	December	30-Jul-20
68	Fundvine Capital and Securities Limited	December	30-Jul-20
69	Futureview Securities Limited	December	30-Jul-20
70	Gem Assets Management Limited	December	30-Jul-20
71	Gidauniya Investment and Securities Limited	December	30-Jul-20
72	Global Asset Management (Nig) Limited	December	30-Jul-20
73	GlobalView Capital Limited	December	30-Jul-20
74	Golden Securities Limited	December	30-Jul-20
75	Greenwich Securities Limited	December	30-Jul-20
76	Growth & Development Asset Management Limited	December	30-Jul-20
77	Gruene Capital Limited	December	30-Jul-20
78	GTI Securities Limited	December	30-Jul-20
79	Harmony Securities Limited	December	30-Jul-20
80	Heartbeat Investment Limited	December	30-Jul-20
81	Hedge Securities and Investment Limited	December	30-Jul-20
82	Heritage Capital Markets Limited	December	30-Jul-20
83	ICMG securities Limited	December	30-Jul-20
84	Icon Stockbrokers Limited	December	30-Jul-20

85	Imperial Asset Managers Limited	December	30-Jul-20
86	Integrated Trust and Investment Limited	December	30-Jul-20
87	Interstate Securities Limited	December	30-Jul-20
88	Investment One Stockbrokers International Limited	December	30-Jul-20
89	Investors and Trust Company Limited	December	30-Jul-20
90	Kapital Care Trust and Securities Limited	December	30-Jul-20
91	Kedari Capital Limited	December	30-Jul-20
92	Kinley Securities Limited	December	30-Jul-20
93	Kofana Securities and Investment Limited	December	30-Jul-20
94	Lambeth Capital Limited	December	30-Jul-20
95	Lead Securities and Investment Limited	December	30-Jul-20
96	Lighthouse Asset Management Limited	December	30-Jul-20
97	Magnartis Finance and Investment Limited	December	30-Jul-20
98	Marimpex Finance and Investment Company Limited	December	30-Jul-20
99	Maxifund Investment and Securities Plc	December	30-Jul-20
100	MBC Securities Limited	December	30-Jul-20
101	Mega Equities Limited	December	30-Jul-20
102	Meristem Stockbrokers Limited	December	30-Jul-20
103	Mission Securities Limited	December	30-Jul-20
104	Molten Trust Limited	December	30-Jul-20
105	Morgan Capital Securities Limited	December	30-Jul-20
106	Mountain Investment and Securities Limited	December	30-Jul-20
107	Network Capital Limited	December	30-Jul-20
108	Networth Securities and Finance Limited	December	30-Jul-20
109	Newdevco Investments and Securties Limited	December	30-Jul-20
110	Nigerian International Securities Ltd	December	30-Jul-20
111	Nigerian Stockbrokers Limited	December	30-Jul-20
112	Nova Finance and Securities Limited	December	30-Jul-20
113	Osborne Capital Markets Limited	December	30-Jul-20
114	PAC Securities Limited	December	30-Jul-20
115	Peace Capital Markets Ltd	December	30-Jul-20
116	Pilot Securities Limited	December	30-Jul-20
117	Pinefields Investment Services Limited	December	30-Jul-20
118	PIPC Securities Limited	December	30-Jul-20
119	Pivot Capital Limited	December	30-Jul-20
120	Planet Capital Limited	December	30-Jul-20
121	Portfolio Advisers Limited	December	30-Jul-20
122	Premium Capital and Stockbrokers Limited	December	30-Jul-20
123	Primewealth Capital Limited	December	30-Jul-20
124	Prominent Securities Limited	December	30-Jul-20
125	PSI Securities Limited	December	30-Jul-20
126	PSL Capital Limited	December	30-Jul-20
127	Pyramid Securities Limited	December	30-Jul-20
128	Qualinvest Capital Limited	December	30-Jul-20
129	Quantum Zenith Securities & Investments Limited	December	30-Jul-20
130	Rainbow Securities and Investment Company Limited	December	30-Jul-20
131	Readings Investment Limited	December	30-Jul-20

132	Regency Asset Management Limited	December	30-Jul-20
133	Rencap Securities (Nig) Limited	December	30-Jul-20
134	Resort Securities and Trust Limited	December	30-Jul-20
135	Reward Investment and Service Limited	December	30-Jul-20
136	RMB Nigeria Stockbrokers Limited	December	30-Jul-20
137	Rostrum Investment and Sec Limited	December	30-Jul-20
138	Rowet Capital Mgt Limited	December	30-Jul-20
139	Royal Crest Finance Limited	December	30-Jul-20
140	Royal Guaranty and Trust Limited	December	30-Jul-20
141	Sankore Securities Limited	December	30-Jul-20
142	Santrust Securities Limited	December	30-Jul-20
143	Securities Africa Financial Limited	December	30-Jul-20
144	Securities and Capital Management Company Limited	December	30-Jul-20
145	Security Swaps Limited	December	30-Jul-20
146	Shalom Investment and Financial Services Limited	December	30-Jul-20
147	Shelong Investments Limited	December	30-Jul-20
148	Sigma Securities Limited	December	30-Jul-20
149	Signet Investment and Securities Limited	December	30-Jul-20
150	Skyview Capital Ltd	December	30-Jul-20
151	Smadac Securities Limited	December	30-Jul-20
152	Solid Rock Securities and Investment Plc	December	30-Jul-20
153	Spring Trust and Securities Limited	December	30-Jul-20
154	Springboard Trust and Investment Limited	December	30-Jul-20
155	Stanbic IBTC Stockbrokers Limited	December	30-Jul-20
156	Standard Union Securities Limited	December	30-Jul-20
157	Tellimer Capital Limited	December	30-Jul-20
158	The Bridge Securities Limited	December	30-Jul-20
159	Tiddo Securities Limited	December	30-Jul-20
160	Tomil Trust Limited	December	30-Jul-20
161	Topmost Securities Limited	December	30-Jul-20
162	Tower Securities and Investment Company Limited	December	30-Jul-20
163	Trade Link Securities Limited	December	30-Jul-20
164	Traders Trust and Investment Co. Limited	December	30-Jul-20
165	Transworld Investment Limited	December	30-Jul-20
166	Trust Yield Securities Limited	December	30-Jul-20
167	TrustBanc Capital Management Limited	December	30-Jul-20
168	Trusthouse Investment Limited	December	30-Jul-20
169	TRW Stockbrokers Limited	December	30-Jul-20
170	Tyndale Securities Limited	December	30-Jul-20
171	UCML Capital Limited	December	30-Jul-20
172	UIDC Securities Limited	December	30-Jul-20
173	Unex Capital Limited	December	30-Jul-20
174	United Capital Securities Limited	December	30-Jul-20
175	Valmon Securities Limited	December	30-Jul-20
176	Valueline Securities and Investment Limited	December	30-Jul-20
177	Vetiva Securities Limited	December	30-Jul-20
178	WCM Capital Limited	December	30-Jul-20

179	WSTC Securities Limited	December	30-Jul-20
180	Yuderb Investment and Securities Limited	December	30-Jul-20
181	Zion Stockbrokers and Securities Limited	December	30-Jul-20

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	CLG Stockbrokers Limited	December	30-Jul-20
2	Crane Securities Limited	December	30-Jul-20
3	Financial and Analytics Capital Limited	December	30-Jul-20
4	Kundila Finance Services Limited	December	30-Jul-20
5	MBL Financial Services Limited	December	30-Jul-20
6	Midas Stockbrokers Limited	December	30-Jul-20
7	Milestone Capital Management Limited	December	30-Jul-20
8	TFS Securities and Investment Company Limited	December	30-Jul-20

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	City Code Trust and Investment Company Limited	December	30-Jul-20
2	Mainstreet Bank Securities Limited	December	30-Jul-20

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jul-20
2	Adonai Stockbrokers Limited	December	30-Jul-20
3	Aims Asset Management Limited	December	30-Jul-20
4	Amyn Investment Limited	December	30-Jul-20
5	Cadington Securities Limited	December	30-Jul-20
6	CEB Securities Limited	December	30-Jul-20
7	Clearview Investments Company Limited	December	30-Jul-20
8	ECL Asset Management Limited	December	30-Jul-20
9	Enterprise Stockbrokers Limited	December	30-Jul-20
10	First Stockbrokers Limited	December	30-Jul-20
11	GMT Securities and Asset Mangement Limited	December	30-Jul-20
12	Gombe Securities Limited	December	30-Jul-20
13	Horizon Stockbrokers Limited	December	30-Jul-20
14	International Standard Securities Limited	December	30-Jul-20
15	Investment Shark and Asset Mgt Ltd	December	30-Jul-20
16	ITIS Securities Limited	December	30-Jul-20
17	Lion Stockbrokers Limited	December	30-Jul-20
18	LMB Stockbrokers Limited	December	30-Jul-20
19	Mact Securities Limited	December	30-Jul-20
20	Maven Asset Management Limited	December	30-Jul-20

21	Mayfield Investment Limited	December	30-Jul-20
22	Midpoint Capital Limited	December	30-Jul-20
23	ML Securities Limited	December	30-Jul-20
24	Monument Sec and Finance Limited	December	30-Jul-20
25	Mutual Alliance Investment and Securities Limited	December	30-Jul-20
26	Profund Securities Limited	December	30-Jul-20
27	Quantum Securities Limited	December	30-Jul-20
28	Royal Trust Securities Limited	December	30-Jul-20
29	Standard Alliance Capital and Asset Management Limited	December	30-Jul-20
30	Supra Commercial Trust Company Limited	December	30-Jul-20

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2020

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Oct-20
2	Afrinvest Securities Limited	December	30-Oct-20
3	Anchoria Investment and Securities Limited	December	30-Oct-20
4	Apel Asset Limited	December	30-Oct-20
5	Apt Securities and Funds Limited	December	30-Oct-20
6	ARM Securities Limited	December	30-Oct-20
7	Arthur Stevens Asset Management Limited	December	30-Oct-20
8	Associated Asset Managers Limited	December	30-Oct-20
9	Atlass Portfolio Limited	December	30-Oct-20
10	AVA Securities Limited	December	30-Oct-20
11	Bauchi Investment Corp. Sec Limited	December	30-Oct-20
12	Belfry Investment and Securities Limited	December	30-Oct-20
13	Bestworth Assets and Trust Limited	December	30-Oct-20
14	Calyx Securities Limited	December	30-Oct-20
15	Camry Securities Limited	December	30-Oct-20
16	Capital Asset Limited	December	30-Oct-20
17	Capital Bancorp Plc	December	30-Oct-20
18	Capital Express Securities Limited	December	30-Oct-20
19	Capital Trust Brokers Limited	December	30-Oct-20
20	Cardinal Stone Securities Ltd	December	30-Oct-20
21	Cashcraft Securities Limited	December	30-Oct-20
22	Cashville Investment and Securities Limited	December	30-Oct-20
23	CDL Capital Markets Limited	December	30-Oct-20
24	Centre Point Investment Limited	December	30-Oct-20
25	Century Securities Limited	December	30-Oct-20
26	Chapel Hill Denham Securities Limited	December	30-Oct-20
27	Chartwell Securities Limited	December	30-Oct-20
28	Citi Investment Capital Limited	December	30-Oct-20
29	City Code Trust and Investment Company Limited	December	30-Oct-20
30	Compass Investment and Securities Limited	December	30-Oct-20
31	Cordros Securities Limited	December	30-Oct-20
32	Core Securities Limited	December	30-Oct-20
33	Coronation Securities Limited	December	30-Oct-20
34	Cowry Securities Limited	December	30-Oct-20
35	Crossworld Securities Limited	December	30-Oct-20
36	Crown Capital Limited	December	30-Oct-20
37	CSL Stockbrokers Limited	December	30-Oct-20

38	Deep Trust Investment Limited	December	30-Oct-20
39	Delords Securities Limited	December	30-Oct-20
40	Dominion Trust Limited	December	30-Oct-20
41	DSU Brokerage Services Limited	December	30-Oct-20
42	Dunbell Securities Limited	December	30-Oct-20
43	Dunn Loren Merrifield Securities Limited	December	30-Oct-20
44	Dynamic Portfolio Limited	December	30-Oct-20
45	EDC Securities Limited	December	30-Oct-20
46	Edgefield Capital Management Limited	December	30-Oct-20
47	EFG Hermes Nigeria Limited	December	30-Oct-20
48	El-Elyon Alliance and Securities Ltd	December	30-Oct-20
49	Elixir Securities Limited	December	30-Oct-20
50	Equity Capital Solutions Limited	December	30-Oct-20
51	Eurocomm Securities Limited	December	30-Oct-20
52	Express Portfolio Services Limited	December	30-Oct-20
53	Falcon Securities Limited	December	30-Oct-20
54	FBC Trust and Securities Limited	December	30-Oct-20
55	FBNQuest Securities Limited	December	30-Oct-20
56	FCSL Asset Management Company Limited	December	30-Oct-20
57	Fidelity Finance Company Limited	December	30-Oct-20
58	Financial Trust Company Nigeria Limited	December	30-Oct-20
59	Finmal Finance Services Limited	December	30-Oct-20
60	First Integrated Capital Manangement Limited	December	30-Oct-20
61	FIS Securities Limited	December	30-Oct-20
62	Foresight Securities and Investment Limited	December	30-Oct-20
63	Forte Financial Limited	December	30-Oct-20
64	Forthright Securities and Investments Limited	December	30-Oct-20
65	Fortress Capital Limited	December	30-Oct-20
66	FSDH Capital Limited	December	30-Oct-20
67	FSL Securities Limited	December	30-Oct-20
68	Funds Matrix and Assets Mgt Limited	December	30-Oct-20
69	Fundvine Capital and Securities Limited	December	30-Oct-20
70	Futureview Securities Limited	December	30-Oct-20
71	Gem Assets Management Limited	December	30-Oct-20
72	Gidauniya Investment and Securities Limited	December	30-Oct-20
73	Global Asset Management (Nig) Limited	December	30-Oct-20
74	GlobalView Capital Limited	December	30-Oct-20
75	Golden Securities Limited	December	30-Oct-20
76	Greenwich Securities Limited	December	30-Oct-20
77	Growth & Development Asset Management Limited	December	30-Oct-20
78	Gruene Capital Limited	December	30-Oct-20
79	GTI Securities Limited	December	30-Oct-20
80	Harmony Securities Limited	December	30-Oct-20
81	Heartbeat Investment Limited	December	30-Oct-20
82	Hedge Securities and Investment Limited	December	30-Oct-20
83	Heritage Capital Markets Limited	December	30-Oct-20
84	ICMG securities Limited	December	30-Oct-20

85	Icon Stockbrokers Limited	December	30-Oct-20
86	Imperial Asset Managers Limited	December	30-Oct-20
87	Integrated Trust and Investment Limited	December	30-Oct-20
88	Interstate Securities Limited	December	30-Oct-20
89	Investment One Stockbrokers International Limited	December	30-Oct-20
90	Investors and Trust Company Limited	December	30-Oct-20
91	Kapital Care Trust and Securities Limited	December	30-Oct-20
92	Kedari Capital Limited	December	30-Oct-20
93	Kinley Securities Limited	December	30-Oct-20
94	Kofana Securities and Investment Limited	December	30-Oct-20
95	Lambeth Capital Limited	December	30-Oct-20
96	Lead Securities and Investment Limited	December	30-Oct-20
97	Lighthouse Asset Management Limited	December	30-Oct-20
98	Magnartis Finance and Investment Limited	December	30-Oct-20
99	Mainstreet Bank Securities Limited	December	30-Oct-20
100	Marimpex Finance and Investment Company Limited	December	30-Oct-20
101	Maxifund Investment and Securities Plc	December	30-Oct-20
102	MBC Securities Limited	December	30-Oct-20
103	Mega Equities Limited	December	30-Oct-20
104	Meristem Stockbrokers Limited	December	30-Oct-20
105	Mission Securities Limited	December	30-Oct-20
106	Molten Trust Limited	December	30-Oct-20
107	Morgan Capital Securities Limited	December	30-Oct-20
108	Mountain Investment and Securities Limited	December	30-Oct-20
109	Network Capital Limited	December	30-Oct-20
110	Networth Securities and Finance Limited	December	30-Oct-20
111	Newdevco Investments and Securties Limited	December	30-Oct-20
112	Nigerian International Securities Ltd	December	30-Oct-20
113	Nigerian Stockbrokers Limited	December	30-Oct-20
114	Nova Finance and Securities Limited	December	30-Oct-20
115	Osborne Capital Markets Limited	December	30-Oct-20
116	PAC Securities Limited	December	30-Oct-20
117	Peace Capital Markets Ltd	December	30-Oct-20
118	Pilot Securities Limited	December	30-Oct-20
119	Pinefields Investment Services Limited	December	30-Oct-20
120	PIPC Securities Limited	December	30-Oct-20
121	Pivot Capital Limited	December	30-Oct-20
122	Planet Capital Limited	December	30-Oct-20
123	Portfolio Advisers Limited	December	30-Oct-20
124	Premium Capital and Stockbrokers Limited	December	30-Oct-20
125	Primewealth Capital Limited	December	30-Oct-20
126	Prominent Securities Limited	December	30-Oct-20
127	PSI Securities Limited	December	30-Oct-20
128	PSL Capital Limited	December	30-Oct-20
129	Pyramid Securities Limited	December	30-Oct-20
130	Qualinvest Capital Limited	December	30-Oct-20
131	Quantum Zenith Securities & Investments Limited	December	30-Oct-20

132	Rainbow Securities and Investment Company Limited	December	30-Oct-20
133	Readings Investment Limited	December	30-Oct-20
134	Regency Asset Management Limited	December	30-Oct-20
135	Rencap Securities (Nig) Limited	December	30-Oct-20
136	Resort Securities and Trust Limited	December	30-Oct-20
137	Reward Investment and Service Limited	December	30-Oct-20
138	RMB Nigeria Stockbrokers Limited	December	30-Oct-20
139	Rostrum Investment and Sec Limited	December	30-Oct-20
140	Rowet Capital Mgt Limited	December	30-Oct-20
141	Royal Crest Finance Limited	December	30-Oct-20
142	Royal Guaranty and Trust Limited	December	30-Oct-20
143	Sankore Securities Limited	December	30-Oct-20
144	Santrust Securities Limited	December	30-Oct-20
145	Securities Africa Financial Limited	December	30-Oct-20
146	Securities and Capital Management Company Limited	December	30-Oct-20
147	Security Swaps Limited	December	30-Oct-20
148	Shalom Investment and Financial Services Limited	December	30-Oct-20
149	Shelong Investments Limited	December	30-Oct-20
150	Sigma Securities Limited	December	30-Oct-20
151	Signet Investment and Securities Limited	December	30-Oct-20
152	Skyview Capital Ltd	December	30-Oct-20
153	Smadac Securities Limited	December	30-Oct-20
154	Solid Rock Securities and Investment Plc	December	30-Oct-20
155	Spring Trust and Securities Limited	December	30-Oct-20
156	Springboard Trust and Investment Limited	December	30-Oct-20
157	Stanbic IBTC Stockbrokers Limited	December	30-Oct-20
158	Standard Union Securities Limited	December	30-Oct-20
159	StoneX Financial Nigeria Limited	December	30-Oct-20
160	The Bridge Securities Limited	December	30-Oct-20
161	Tiddo Securities Limited	December	30-Oct-20
162	Tomil Trust Limited	December	30-Oct-20
163	Topmost Securities Limited	December	30-Oct-20
164	Tower Securities and Investment Company Limited	December	30-Oct-20
165	Trade Link Securities Limited	December	30-Oct-20
166	Traders Trust and Investment Co. Limited	December	30-Oct-20
167	Transworld Investment Limited	December	30-Oct-20
168	Trust Yield Securities Limited	December	30-Oct-20
169	TrustBanc Capital Management Limited	December	30-Oct-20
170	Trusthouse Investment Limited	December	30-Oct-20
171	TRW Stockbrokers Limited	December	30-Oct-20
172	Tyndale Securities Limited	December	30-Oct-20
173	UCML Capital Limited	December	30-Oct-20
174	UIDC Securities Limited	December	30-Oct-20
175	Unex Capital Limited	December	30-Oct-20
176	United Capital Securities Limited	December	30-Oct-20
177	Valmon Securities Limited	December	30-Oct-20
178	Valueline Securities and Investment Limited	December	30-Oct-20

179	Vetiva Securities Limited	December	30-Oct-20
180	WCM Capital Limited	December	30-Oct-20
181	WSTC Securities Limited	December	30-Oct-20
182	Yuderb Investment and Securities Limited	December	30-Oct-20
183	Zion Stockbrokers and Securities Limited	December	30-Oct-20

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	CLG Stockbrokers Limited	December	30-Oct-20
2	Crane Securities Limited	December	30-Oct-20
3	Financial and Analytics Capital Limited	December	30-Oct-20
4	Kundila Finance Services Limited	December	30-Oct-20
5	MBL Financial Services Limited	December	30-Oct-20
6	Midas Stockbrokers Limited	December	30-Oct-20
7	Milestone Capital Management Limited	December	30-Oct-20
8	TFS Securities and Investment Company Limited	December	30-Oct-20

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Oct-20
2	Adonai Stockbrokers Limited	December	30-Oct-20
3	Aims Asset Management Limited	December	30-Oct-20
4	Amyn Investment Limited	December	30-Oct-20
5	Cadington Securities Limited	December	30-Oct-20
6	CEB Securities Limited	December	30-Oct-20
7	Clearview Investments Company Limited	December	30-Oct-20
8	ECL Asset Management Limited	December	30-Oct-20
9	Enterprise Stockbrokers Limited	December	30-Oct-20
10	First Stockbrokers Limited	December	30-Oct-20
11	GMT Securities and Asset Mangement Limited	December	30-Oct-20
12	Gombe Securities Limited	December	30-Oct-20
13	Horizon Stockbrokers Limited	December	30-Oct-20
14	International Standard Securities Limited	December	30-Oct-20
15	Investment Shark and Asset Mgt Ltd	December	30-Oct-20
16	ITIS Securities Limited	December	30-Oct-20

17	Lion Stockbrokers Limited	December	30-Oct-20
18	LMB Stockbrokers Limited	December	30-Oct-20
19	Mact Securities Limited	December	30-Oct-20
20	Maven Asset Management Limited	December	30-Oct-20
21	Mayfield Investment Limited	December	30-Oct-20
22	Midpoint Capital Limited	December	30-Oct-20
23	ML Securities Limited	December	30-Oct-20
24	Monument Sec and Finance Limited	December	30-Oct-20
25	Mutual Alliance Investment and Securities Limited	December	30-Oct-20
26	Profund Securities Limited	December	30-Oct-20
27	Quantum Securities Limited	December	30-Oct-20
28	Royal Trust Securities Limited	December	30-Oct-20
29	Standard Alliance Capital and Asset Management Limited	December	30-Oct-20
30	Supra Commercial Trust Company Limited	December	30-Oct-20

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2020

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Jan-21
2	Afrinvest Securities Limited	December	30-Jan-21
3	Anchoria Investment and Securities Limited	December	30-Jan-21
4	Apel Asset Limited	December	30-Jan-21
5	Apt Securities and Funds Limited	December	30-Jan-21
6	ARM Securities Limited	December	30-Jan-21
7	Arthur Stevens Asset Management Limited	December	30-Jan-21
8	Associated Asset Managers Limited	December	30-Jan-21
9	Atlass Portfolio Limited	December	30-Jan-21
10	AVA Securities Limited	December	30-Jan-21
11	Bauchi Investment Corp. Sec Limited	December	30-Jan-21
12	Belfry Investment and Securities Limited	December	30-Jan-21
13	Bestworth Assets and Trust Limited	December	30-Jan-21
14	Calyx Securities Limited	December	30-Jan-21
15	Camry Securities Limited	December	30-Jan-21
16	Capital Asset Limited	December	30-Jan-21
17	Capital Bancorp Plc	December	30-Jan-21
18	Capital Express Securities Limited	December	30-Jan-21
19	Capital Trust Brokers Limited	December	30-Jan-21
20	Cardinal Stone Securities Ltd	December	30-Jan-21
21	Cashcraft Securities Limited	December	30-Jan-21
22	Cashville Investment and Securities Limited	December	30-Jan-21
23	CDL Capital Markets Limited	December	30-Jan-21
24	Centre Point Investment Limited	December	30-Jan-21
25	Century Securities Limited	December	30-Jan-21
26	Chapel Hill Denham Securities Limited	December	30-Jan-21
27	Chartwell Securities Limited	December	30-Jan-21
28	Citi Investment Capital Limited	December	30-Jan-21
29	City Code Trust and Investment Company Limited	December	30-Jan-21
30	Compass Investment and Securities Limited	December	30-Jan-21
31	Cordros Securities Limited	December	30-Jan-21
32	Core Securities Limited	December	30-Jan-21
33	Coronation Securities Limited	December	30-Jan-21
34	Cowry Securities Limited	December	30-Jan-21
35	Crossworld Securities Limited	December	30-Jan-21
36	Crown Capital Limited	December	30-Jan-21
37	CSL Stockbrokers Limited	December	30-Jan-21

38	Deep Trust Investment Limited	December	30-Jan-21
39	Delords Securities Limited	December	30-Jan-21
40	DLM Securities Limited	December	30-Jan-21
41	Dominion Trust Limited	December	30-Jan-21
42	DSU Brokerage Services Limited	December	30-Jan-21
43	Dunbell Securities Limited	December	30-Jan-21
44	Dynamic Portfolio Limited	December	30-Jan-21
45	EDC Securities Limited	December	30-Jan-21
46	Edgefield Capital Management Limited	December	30-Jan-21
47	EFG Hermes Nigeria Limited	December	30-Jan-21
48	El-Elyon Alliance and Securities Ltd	December	30-Jan-21
49	Elixir Securities Limited	December	30-Jan-21
50	Equity Capital Solutions Limited	December	30-Jan-21
51	Eurocomm Securities Limited	December	30-Jan-21
52	Express Portfolio Services Limited	December	30-Jan-21
53	Falcon Securities Limited	December	30-Jan-21
54	FBC Trust and Securities Limited	December	30-Jan-21
55	FBNQuest Securities Limited	December	30-Jan-21
56	FCSL Asset Management Company Limited	December	30-Jan-21
57	Fidelity Finance Company Limited	December	30-Jan-21
58	Financial Trust Company Nigeria Limited	December	30-Jan-21
59	Finmal Finance Services Limited	December	30-Jan-21
60	First Integrated Capital Manangement Limited	December	30-Jan-21
61	FIS Securities Limited	December	30-Jan-21
62	Foresight Securities and Investment Limited	December	30-Jan-21
63	Forte Financial Limited	December	30-Jan-21
64	Forthright Securities and Investments Limited	December	30-Jan-21
65	Fortress Capital Limited	December	30-Jan-21
66	FSDH Capital Limited	December	30-Jan-21
67	FSL Securities Limited	December	30-Jan-21
68	Funds Matrix and Assets Mgt Limited	December	30-Jan-21
69	Fundvine Capital and Securities Limited	December	30-Jan-21
70	Futureview Securities Limited	December	30-Jan-21
71	Gem Assets Management Limited	December	30-Jan-21
72	Gidauniya Investment and Securities Limited	December	30-Jan-21
73	Global Asset Management (Nig) Limited	December	30-Jan-21
74	GlobalView Capital Limited	December	30-Jan-21
75	Golden Securities Limited	December	30-Jan-21
76	Greenwich Securities Limited	December	30-Jan-21
77	Growth & Development Asset Management Limited	December	30-Jan-21
78	Gruene Capital Limited	December	30-Jan-21
79	GTI Securities Limited	December	30-Jan-21
80	Harmony Securities Limited	December	30-Jan-21
81	Heartbeat Investment Limited	December	30-Jan-21
82	Hedge Securities and Investment Limited	December	30-Jan-21
83	Heritage Capital Markets Limited	December	30-Jan-21
84	ICMG securities Limited	December	30-Jan-21

85	Icon Stockbrokers Limited	December	30-Jan-21
86	Imperial Asset Managers Limited	December	30-Jan-21
87	Integrated Trust and Investment Limited	December	30-Jan-21
88	Interstate Securities Limited	December	30-Jan-21
89	Investment One Stockbrokers International Limited	December	30-Jan-21
90	Investors and Trust Company Limited	December	30-Jan-21
91	Kapital Care Trust and Securities Limited	December	30-Jan-21
92	Kedari Capital Limited	December	30-Jan-21
93	Kinley Securities Limited	December	30-Jan-21
94	Kofana Securities and Investment Limited	December	30-Jan-21
95	Lambeth Capital Limited	December	30-Jan-21
96	Lead Securities and Investment Limited	December	30-Jan-21
97	Lighthouse Asset Management Limited	December	30-Jan-21
98	Magnartis Finance and Investment Limited	December	30-Jan-21
99	Mainstreet Bank Securities Limited	December	30-Jan-21
100	Marimpex Finance and Investment Company Limited	December	30-Jan-21
101	Maxifund Investment and Securities Plc	December	30-Jan-21
102	MBC Securities Limited	December	30-Jan-21
103	Mega Equities Limited	December	30-Jan-21
104	Meristem Stockbrokers Limited	December	30-Jan-21
105	Mission Securities Limited	December	30-Jan-21
106	Molten Trust Limited	December	30-Jan-21
107	Morgan Capital Securities Limited	December	30-Jan-21
108	Mountain Investment and Securities Limited	December	30-Jan-21
109	Network Capital Limited	December	30-Jan-21
110	Networth Securities and Finance Limited	December	30-Jan-21
111	Newdevco Investments and Securties Limited	December	30-Jan-21
112	Nigerian International Securities Ltd	December	30-Jan-21
113	Nigerian Stockbrokers Limited	December	30-Jan-21
114	Nova Finance and Securities Limited	December	30-Jan-21
115	NOVAMBL Securities Limited	December	30-Jan-21
116	Osborne Capital Markets Limited	December	30-Jan-21
117	PAC Securities Limited	December	30-Jan-21
118	Peace Capital Markets Ltd	December	30-Jan-21
119	Pilot Securities Limited	December	30-Jan-21
120	Pinefields Investment Services Limited	December	30-Jan-21
121	PIPC Securities Limited	December	30-Jan-21
122	Pivot Capital Limited	December	30-Jan-21
123	Planet Capital Limited	December	30-Jan-21
124	Portfolio Advisers Limited	December	30-Jan-21
125	Premium Capital and Stockbrokers Limited	December	30-Jan-21
126	Primewealth Capital Limited	December	30-Jan-21
127	Prominent Securities Limited	December	30-Jan-21
128	PSI Securities Limited	December	30-Jan-21
129	PSL Capital Limited	December	30-Jan-21
130	Pyramid Securities Limited	December	30-Jan-21
131	Qualinvest Capital Limited	December	30-Jan-21

132	Quantum Zenith Securities & Investments Limited	December	30-Jan-21
133	Rainbow Securities and Investment Company Limited	December	30-Jan-21
134	Readings Investment Limited	December	30-Jan-21
135	Regency Asset Management Limited	December	30-Jan-21
136	Rencap Securities (Nig) Limited	December	30-Jan-21
137	Resort Securities and Trust Limited	December	30-Jan-21
138	Reward Investment and Service Limited	December	30-Jan-21
139	RMB Nigeria Stockbrokers Limited	December	30-Jan-21
140	Rostrum Investment and Sec Limited	December	30-Jan-21
141	Rowet Capital Mgt Limited	December	30-Jan-21
142	Royal Crest Finance Limited	December	30-Jan-21
143	Royal Guaranty and Trust Limited	December	30-Jan-21
144	Sankore Securities Limited	December	30-Jan-21
145	Santrust Securities Limited	December	30-Jan-21
146	Securities Africa Financial Limited	December	30-Jan-21
147	Securities and Capital Management Company Limited	December	30-Jan-21
148	Security Swaps Limited	December	30-Jan-21
149	Shalom Investment and Financial Services Limited	December	30-Jan-21
150	Shelong Investments Limited	December	30-Jan-21
151	Sigma Securities Limited	December	30-Jan-21
152	Signet Investment and Securities Limited	December	30-Jan-21
153	Skyview Capital Ltd	December	30-Jan-21
154	Smadac Securities Limited	December	30-Jan-21
155	Solid Rock Securities and Investment Plc	December	30-Jan-21
156	Spring Trust and Securities Limited	December	30-Jan-21
157	Springboard Trust and Investment Limited	December	30-Jan-21
158	Stanbic IBTC Stockbrokers Limited	December	30-Jan-21
159	Standard Union Securities Limited	December	30-Jan-21
160	StoneX Financial Nigeria Limited	December	30-Jan-21
161	The Bridge Securities Limited	December	30-Jan-21
162	Tiddo Securities Limited	December	30-Jan-21
163	Tomil Trust Limited	December	30-Jan-21
164	Topmost Securities Limited	December	30-Jan-21
165	Tower Securities and Investment Company Limited	December	30-Jan-21
166	Trade Link Securities Limited	December	30-Jan-21
167	Traders Trust and Investment Co. Limited	December	30-Jan-21
168	Transworld Investment Limited	December	30-Jan-21
169	Trust Yield Securities Limited	December	30-Jan-21
170	TrustBanc Capital Management Limited	December	30-Jan-21
171	Trusthouse Investment Limited	December	30-Jan-21
172	TRW Stockbrokers Limited	December	30-Jan-21
173	Tyndale Securities Limited	December	30-Jan-21
174	UCML Capital Limited	December	30-Jan-21
175	UIDC Securities Limited	December	30-Jan-21
176	Unex Capital Limited	December	30-Jan-21
177	United Capital Securities Limited	December	30-Jan-21
178	Valmon Securities Limited	December	30-Jan-21

179	Valueline Securities and Investment Limited	December	30-Jan-21
180	Vetiva Securities Limited	December	30-Jan-21
181	WCM Capital Limited	December	30-Jan-21
182	WSTC Securities Limited	December	30-Jan-21
183	Zion Stockbrokers and Securities Limited	December	30-Jan-21

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	30-Jan-21
2	Financial and Analytics Capital Limited	December	30-Jan-21
3	Kundila Finance Services Limited	December	30-Jan-21
4	MBL Financial Services Limited	December	30-Jan-21
5	Midas Stockbrokers Limited	December	30-Jan-21
6	Milestone Capital Management Limited	December	30-Jan-21
7	TFS Securities and Investment Company Limited	December	30-Jan-21

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jan-21
2	Adonai Stockbrokers Limited	December	30-Jan-21
3	Amyn Investment Limited	December	30-Jan-21
4	CEB Securities Limited	December	30-Jan-21
5	Clearview Investments Company Limited	December	30-Jan-21
6	CLG Stockbrokers Limited	December	30-Jan-21
7	ECL Asset Management Limited	December	30-Jan-21
8	Enterprise Stockbrokers Limited	December	30-Jan-21
9	First Stockbrokers Limited	December	30-Jan-21
10	GMT Securities and Asset Mangement Limited	December	30-Jan-21
11	Gombe Securities Limited	December	30-Jan-21
12	Horizon Stockbrokers Limited	December	30-Jan-21
13	Investment Shark and Asset Mgt Ltd	December	30-Jan-21
14	ITIS Securities Limited	December	30-Jan-21
15	Maven Asset Management Limited	December	30-Jan-21
16	Mayfield Investment Limited	December	30-Jan-21
17	Midpoint Capital Limited	December	30-Jan-21
18	ML Securities Limited	December	30-Jan-21

19	Mutual Alliance Investment and Securities Limited	December	30-Jan-21
20	Quantum Securities Limited	December	30-Jan-21
21	Royal Trust Securities Limited	December	30-Jan-21
22	Standard Alliance Capital and Asset Management Limited	December	30-Jan-21
23	Supra Commercial Trust Company Limited	December	30-Jan-21

NGX Regulation

Broker TraX
Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2021

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Apr-21
2	Afrinvest Securities Limited	December	30-Apr-21
3	Anchoria Investment and Securities Limited	December	30-Apr-21
4	Apel Asset Limited	December	30-Apr-21
5	Apt Securities and Funds Limited	December	30-Apr-21
6	ARM Securities Limited	December	30-Apr-21
7	Arthur Stevens Asset Management Limited	December	30-Apr-21
8	Associated Asset Managers Limited	December	30-Apr-21
9	Atlass Portfolio Limited	December	30-Apr-21
10	AVA Securities Limited	December	30-Apr-21
11	Bauchi Investment Corp. Sec Limited	December	30-Apr-21
12	Belfry Investment and Securities Limited	December	30-Apr-21
13	Bestworth Assets and Trust Limited	December	30-Apr-21
14	Calyx Securities Limited	December	30-Apr-21
15	Camry Securities Limited	December	30-Apr-21
16	Capital Asset Limited	December	30-Apr-21
17	Capital Bancorp Plc	December	30-Apr-21
18	Capital Express Securities Limited	December	30-Apr-21
19	Capital Trust Brokers Limited	December	30-Apr-21
20	Cardinal Stone Securities Ltd	December	30-Apr-21
21	Cashcraft Securities Limited	December	30-Apr-21
22	Cashville Investment and Securities Limited	December	30-Apr-21
23	CDL Capital Markets Limited	December	30-Apr-21
24	Centre Point Investment Limited	December	30-Apr-21
25	Century Securities Limited	December	30-Apr-21
26	Chapel Hill Denham Securities Limited	December	30-Apr-21
27	Chartwell Securities Limited	December	30-Apr-21
28	Citi Investment Capital Limited	December	30-Apr-21
29	City Code Trust and Investment Company Limited	December	30-Apr-21
30	Compass Investment and Securities Limited	December	30-Apr-21
31	Cordros Securities Limited	December	30-Apr-21
32	Core Securities Limited	December	30-Apr-21
33	Coronation Securities Limited	December	30-Apr-21
34	Cowry Securities Limited	December	30-Apr-21
35	Crossworld Securities Limited	December	30-Apr-21
36	Crown Capital Limited	December	30-Apr-21
37	CSL Stockbrokers Limited	December	30-Apr-21

38	Deep Trust Investment Limited	December	30-Apr-21
39	Delords Securities Limited	December	30-Apr-21
40	DLM Securities Limited	December	30-Apr-21
41	Dominion Trust Limited	December	30-Apr-21
42	DSU Brokerage Services Limited	December	30-Apr-21
43	Dunbell Securities Limited	December	30-Apr-21
44	Dynamic Portfolio Limited	December	30-Apr-21
45	EDC Securities Limited	December	30-Apr-21
46	Edgefield Capital Management Limited	December	30-Apr-21
47	EFG Hermes Nigeria Limited	December	30-Apr-21
48	El-Elyon Alliance and Securities Ltd	December	30-Apr-21
49	Elixir Securities Limited	December	30-Apr-21
50	Equity Capital Solutions Limited	December	30-Apr-21
51	Eurocomm Securities Limited	December	30-Apr-21
52	Express Portfolio Services Limited	December	30-Apr-21
53	Falcon Securities Limited	December	30-Apr-21
54	FBC Trust and Securities Limited	December	30-Apr-21
55	FBNQuest Securities Limited	December	30-Apr-21
56	FCSL Asset Management Company Limited	December	30-Apr-21
57	Fidelity Finance Company Limited	December	30-Apr-21
58	Financial Trust Company Nigeria Limited	December	30-Apr-21
59	Finmal Finance Services Limited	December	30-Apr-21
60	First Integrated Capital Manangement Limited	December	30-Apr-21
61	FIS Securities Limited	December	30-Apr-21
62	Foresight Securities and Investment Limited	December	30-Apr-21
63	Forte Financial Limited	December	30-Apr-21
64	Forthright Securities and Investments Limited	December	30-Apr-21
65	Fortress Capital Limited	December	30-Apr-21
66	FSDH Capital Limited	December	30-Apr-21
67	FSL Securities Limited	December	30-Apr-21
68	Funds Matrix and Assets Mgt Limited	December	30-Apr-21
69	Fundvine Capital and Securities Limited	December	30-Apr-21
70	Futureview Securities Limited	December	30-Apr-21
71	Gem Assets Management Limited	December	30-Apr-21
72	Gidauniya Investment and Securities Limited	December	30-Apr-21
73	Global Asset Management (Nig) Limited	December	30-Apr-21
74	GlobalView Capital Limited	December	30-Apr-21
75	Golden Securities Limited	December	30-Apr-21
76	Greenwich Securities Limited	December	30-Apr-21
77	Growth & Development Asset Management Limited	December	30-Apr-21
78	Gruene Capital Limited	December	30-Apr-21
79	GTI Securities Limited	December	30-Apr-21
80	Harmony Securities Limited	December	30-Apr-21
81	Heartbeat Investment Limited	December	30-Apr-21
82	Hedge Securities and Investment Limited	December	30-Apr-21
83	Heritage Capital Markets Limited	December	30-Apr-21
84	ICMG securities Limited	December	30-Apr-21

85	Icon Stockbrokers Limited	December	30-Apr-21
86	Imperial Asset Managers Limited	December	30-Apr-21
87	Integrated Trust and Investment Limited	December	30-Apr-21
88	Interstate Securities Limited	December	30-Apr-21
89	Investment One Stockbrokers International Limited	December	30-Apr-21
90	Investors and Trust Company Limited	December	30-Apr-21
91	Kapital Care Trust and Securities Limited	December	30-Apr-21
92	Kedari Capital Limited	December	30-Apr-21
93	Kinley Securities Limited	December	30-Apr-21
94	Kofana Securities and Investment Limited	December	30-Apr-21
95	Lambeth Capital Limited	December	30-Apr-21
96	Lead Securities and Investment Limited	December	30-Apr-21
97	Lighthouse Asset Management Limited	December	30-Apr-21
98	Magnartis Finance and Investment Limited	December	30-Apr-21
99	Mainstreet Bank Securities Limited	December	30-Apr-21
100	Marimpex Finance and Investment Company Limited	December	30-Apr-21
101	Maxifund Investment and Securities Plc	December	30-Apr-21
102	MBC Securities Limited	December	30-Apr-21
103	Mega Equities Limited	December	30-Apr-21
104	Meristem Stockbrokers Limited	December	30-Apr-21
105	Midas Stockbrokers Limited	December	30-Apr-21
106	Mission Securities Limited	December	30-Apr-21
107	Molten Trust Limited	December	30-Apr-21
108	Morgan Capital Securities Limited	December	30-Apr-21
109	Mountain Investment and Securities Limited	December	30-Apr-21
110	Network Capital Limited	December	30-Apr-21
111	Networth Securities and Finance Limited	December	30-Apr-21
112	Newdevco Investments and Securties Limited	December	30-Apr-21
113	Nigerian International Securities Ltd	December	30-Apr-21
114	Nigerian Stockbrokers Limited	December	30-Apr-21
115	Nova Finance and Securities Limited	December	30-Apr-21
116	NOVAMBL Securities Limited	December	30-Apr-21
117	Osborne Capital Markets Limited	December	30-Apr-21
118	PAC Securities Limited	December	30-Apr-21
119	Peace Capital Markets Ltd	December	30-Apr-21
120	Pilot Securities Limited	December	30-Apr-21
121	Pinefields Investment Services Limited	December	30-Apr-21
122	PIPC Securities Limited	December	30-Apr-21
123	Pivot Capital Limited	December	30-Apr-21
124	Planet Capital Limited	December	30-Apr-21
125	Portfolio Advisers Limited	December	30-Apr-21
126	Premium Capital and Stockbrokers Limited	December	30-Apr-21
127	Primewealth Capital Limited	December	30-Apr-21
128	Prominent Securities Limited	December	30-Apr-21
129	PSI Securities Limited	December	30-Apr-21
130	PSL Capital Limited	December	30-Apr-21
131	Pyramid Securities Limited	December	30-Apr-21

132	Qualinvest Capital Limited	December	30-Apr-21
133	Quantum Zenith Securities & Investments Limited	December	30-Apr-21
134	Rainbow Securities and Investment Company Limited	December	30-Apr-21
135	Readings Investment Limited	December	30-Apr-21
136	Regency Asset Management Limited	December	30-Apr-21
137	Rencap Securities (Nig) Limited	December	30-Apr-21
138	Resort Securities and Trust Limited	December	30-Apr-21
139	Reward Investment and Service Limited	December	30-Apr-21
140	RMB Nigeria Stockbrokers Limited	December	30-Apr-21
141	Rostrum Investment and Sec Limited	December	30-Apr-21
142	Rowet Capital Mgt Limited	December	30-Apr-21
143	Royal Crest Finance Limited	December	30-Apr-21
144	Royal Guaranty and Trust Limited	December	30-Apr-21
145	Sankore Securities Limited	December	30-Apr-21
146	Santrust Securities Limited	December	30-Apr-21
147	Securities Africa Financial Limited	December	30-Apr-21
148	Securities and Capital Management Company Limited	December	30-Apr-21
149	Security Swaps Limited	December	30-Apr-21
150	Shalom Investment and Financial Services Limited	December	30-Apr-21
151	Shelong Investments Limited	December	30-Apr-21
152	Sigma Securities Limited	December	30-Apr-21
153	Signet Investment and Securities Limited	December	30-Apr-21
154	Skyview Capital Ltd	December	30-Apr-21
155	Smadac Securities Limited	December	30-Apr-21
156	Solid Rock Securities and Investment Plc	December	30-Apr-21
157	Spring Trust and Securities Limited	December	30-Apr-21
158	Springboard Trust and Investment Limited	December	30-Apr-21
159	Stanbic IBTC Stockbrokers Limited	December	30-Apr-21
160	Standard Union Securities Limited	December	30-Apr-21
161	StoneX Financial Nigeria Limited	December	30-Apr-21
162	TFS Securities and Investment Company Limited	December	30-Apr-21
163	The Bridge Securities Limited	December	30-Apr-21
164	Tiddo Securities Limited	December	30-Apr-21
165	Tomil Trust Limited	December	30-Apr-21
166	Topmost Securities Limited	December	30-Apr-21
167	Tower Securities and Investment Company Limited	December	30-Apr-21
168	Trade Link Securities Limited	December	30-Apr-21
169	Traders Trust and Investment Co. Limited	December	30-Apr-21
170	Transworld Investment Limited	December	30-Apr-21
171	TrustBanc Capital Management Limited	December	30-Apr-21
172	Trusthouse Investment Limited	December	30-Apr-21
173	TRW Stockbrokers Limited	December	30-Apr-21
174	Tyndale Securities Limited	December	30-Apr-21
175	UCML Capital Limited	December	30-Apr-21
176	UIDC Securities Limited	December	30-Apr-21
177	Unex Capital Limited	December	30-Apr-21
178	United Capital Securities Limited	December	30-Apr-21

179	Valmon Securities Limited	December	30-Apr-21
180	Valueline Securities and Investment Limited	December	30-Apr-21
181	Vetiva Securities Limited	December	30-Apr-21
182	WCM Capital Limited	December	30-Apr-21
183	WSTC Securities Limited	December	30-Apr-21
184	Zion Stockbrokers and Securities Limited	December	30-Apr-21

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	30-Apr-21
2	Financial and Analytics Capital Limited	December	30-Apr-21
3	Investment Shark and Asset Mgt Ltd	December	30-Apr-21
4	Kundila Finance Services Limited	December	30-Apr-21
5	Milestone Capital Management Limited	December	30-Apr-21

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Trust Yield Securities Limited	December	30-Apr-21

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Apr-21
2	Adonai Stockbrokers Limited	December	30-Apr-21
3	Amyn Investment Limited	December	30-Apr-21
4	CEB Securities Limited	December	30-Apr-21
5	Clearview Investments Company Limited	December	30-Apr-21
6	CLG Stockbrokers Limited	December	30-Apr-21
7	ECL Asset Management Limited	December	30-Apr-21
8	Enterprise Stockbrokers Limited	December	30-Apr-21
9	First Stockbrokers Limited	December	30-Apr-21
10	GMT Securities and Asset Mangement Limited	December	30-Apr-21
11	Gombe Securities Limited	December	30-Apr-21
12	Horizon Stockbrokers Limited	December	30-Apr-21
13	ITIS Securities Limited	December	30-Apr-21
14	Maven Asset Management Limited	December	30-Apr-21
15	Mayfield Investment Limited	December	30-Apr-21
16	MBL Financial Services Limited	December	30-Apr-21
17	Midpoint Capital Limited	December	30-Apr-21
18	ML Securities Limited	December	30-Apr-21
19	Mutual Alliance Investment and Securities Limited	December	30-Apr-21

20	Quantum Securities Limited	December	30-Apr-21
21	Royal Trust Securities Limited	December	30-Apr-21
22	Standard Alliance Capital and Asset Management Limited	December	30-Apr-21
23	Supra Commercial Trust Company Limited	December	30-Apr-21

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2021

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	31-Jul-21
2	Afrinvest Securities Limited	December	31-Jul-21
3	Anchoria Investment and Securities Limited	December	31-Jul-21
4	Apel Asset Limited	December	31-Jul-21
5	Apt Securities and Funds Limited	December	31-Jul-21
6	ARM Securities Limited	December	31-Jul-21
7	Arthur Stevens Asset Management Limited	December	31-Jul-21
8	Associated Asset Managers Limited	December	31-Jul-21
9	Atlass Portfolio Limited	December	31-Jul-21
10	AVA Securities Limited	December	31-Jul-21
11	Bancorp Securities Limited	December	31-Jul-21
12	Bauchi Investment Corp. Sec Limited	December	31-Jul-21
13	Belfry Investment and Securities Limited	December	31-Jul-21
14	Bestworth Assets and Trust Limited	December	31-Jul-21
15	Calyx Securities Limited	December	31-Jul-21
16	Camry Securities Limited	December	31-Jul-21
17	Capital Asset Limited	December	31-Jul-21
18	Capital Express Securities Limited	December	31-Jul-21
19	Capital Trust Brokers Limited	December	31-Jul-21
20	Cardinal Stone Securities Ltd	December	31-Jul-21
21	Cashville Investment and Securities Limited	December	31-Jul-21
22	CDL Capital Markets Limited	December	31-Jul-21
23	Centre Point Investment Limited	December	31-Jul-21
24	Century Securities Limited	December	31-Jul-21
25	Chapel Hill Denham Securities Limited	December	31-Jul-21
26	Chartwell Securities Limited	December	31-Jul-21
27	Citi Investment Capital Limited	December	31-Jul-21
28	City Code Trust and Investment Company Limited	December	31-Jul-21
29	Compass Investment and Securities Limited	December	31-Jul-21
30	Cordros Securities Limited	December	31-Jul-21
31	Core Securities Limited	December	31-Jul-21
32	Coronation Securities Limited	December	31-Jul-21
33	Cowry Securities Limited	December	31-Jul-21
34	Crossworld Securities Limited	December	31-Jul-21
35	Crown Capital Limited	December	31-Jul-21
36	CSL Stockbrokers Limited	December	31-Jul-21
37	Deep Trust Investment Limited	December	31-Jul-21

38	Delords Securities Limited	December	31-Jul-21
39	DLM Securities Limited	December	31-Jul-21
40	Dominion Trust Limited	December	31-Jul-21
41	DSU Brokerage Services Limited	December	31-Jul-21
42	Dunbell Securities Limited	December	31-Jul-21
43	Dynamic Portfolio Limited	December	31-Jul-21
44	EDC Securities Limited	December	31-Jul-21
45	Edgefield Capital Management Limited	December	31-Jul-21
46	EFG Hermes Nigeria Limited	December	31-Jul-21
47	El-Elyon Alliance and Securities Ltd	December	31-Jul-21
48	Elixir Securities Limited	December	31-Jul-21
49	Equity Capital Solutions Limited	December	31-Jul-21
50	Eurocomm Securities Limited	December	31-Jul-21
51	Express Portfolio Services Limited	December	31-Jul-21
52	Falcon Securities Limited	December	31-Jul-21
53	FBC Trust and Securities Limited	December	31-Jul-21
54	FBNQuest Securities Limited	December	31-Jul-21
55	FCSL Asset Management Company Limited	December	31-Jul-21
56	Fidelity Finance Company Limited	December	31-Jul-21
57	Financial Trust Company Nigeria Limited	December	31-Jul-21
58	Finmal Finance Services Limited	December	31-Jul-21
59	First Integrated Capital Manangement Limited	December	31-Jul-21
60	FIS Securities Limited	December	31-Jul-21
61	Foresight Securities and Investment Limited	December	31-Jul-21
62	Forte Financial Limited	December	31-Jul-21
63	Forthright Securities and Investments Limited	December	31-Jul-21
64	Fortress Capital Limited	December	31-Jul-21
65	FSDH Capital Limited	December	31-Jul-21
66	FSL Securities Limited	December	31-Jul-21
67	Funds Matrix and Assets Mgt Limited	December	31-Jul-21
68	Fundvine Capital and Securities Limited	December	31-Jul-21
69	Futureview Securities Limited	December	31-Jul-21
70	Gem Assets Management Limited	December	31-Jul-21
71	Gidauniya Investment and Securities Limited	December	31-Jul-21
72	Global Asset Management (Nig) Limited	December	31-Jul-21
73	GlobalView Capital Limited	December	31-Jul-21
74	Golden Securities Limited	December	31-Jul-21
75	Greenwich Securities Limited	December	31-Jul-21
76	Growth & Development Asset Management Limited	December	31-Jul-21
77	Gruene Capital Limited	December	31-Jul-21
78	GTI Securities Limited	December	31-Jul-21
79	Harmony Securities Limited	December	31-Jul-21
80	Heartbeat Investment Limited	December	31-Jul-21
81	Hedge Securities and Investments Company Limited	December	31-Jul-21
82	Heritage Capital Markets Limited	December	31-Jul-21
83	ICMG securities Limited	December	31-Jul-21
84	Icon Stockbrokers Limited	December	31-Jul-21

85	Imperial Asset Managers Limited	December	31-Jul-21
86	Integrated Trust and Investment Limited	December	31-Jul-21
87	Interstate Securities Limited	December	31-Jul-21
88	Investment One Stockbrokers International Limited	December	31-Jul-21
89	Investors and Trust Company Limited	December	31-Jul-21
90	Kapital Care Trust and Securities Limited	December	31-Jul-21
91	Kedari Capital Limited	December	31-Jul-21
92	Kinley Securities Limited	December	31-Jul-21
93	Kofana Securities and Investment Limited	December	31-Jul-21
94	Lambeth Capital Limited	December	31-Jul-21
95	Lead Securities and Investment Limited	December	31-Jul-21
96	Lighthouse Capital Limited	December	31-Jul-21
97	Magnartis Finance and Investment Limited	December	31-Jul-21
98	Mainstreet Bank Securities Limited	December	31-Jul-21
99	Marimpex Finance and Investment Company Limited	December	31-Jul-21
100	Maxifund Investment and Securities Plc	December	31-Jul-21
101	MBC Securities Limited	December	31-Jul-21
102	Mega Equities Limited	December	31-Jul-21
103	Meristem Stockbrokers Limited	December	31-Jul-21
104	Midas Stockbrokers Limited	December	31-Jul-21
105	Mission Securities Limited	December	31-Jul-21
106	Molten Trust Limited	December	31-Jul-21
107	Morgan Capital Securities Limited	December	31-Jul-21
108	Mountain Investment and Securities Limited	December	31-Jul-21
109	Network Capital Limited	December	31-Jul-21
110	Networth Securities and Finance Limited	December	31-Jul-21
111	Newdevco Investments and Securities Limited	December	31-Jul-21
112	Nigerian International Securities Ltd	December	31-Jul-21
113	Nigerian Stockbrokers Limited	December	31-Jul-21
114	Norrenberger Securities Limited	December	31-Jul-21
115	Nova Finance and Securities Limited	December	31-Jul-21
116	NOVAMBL Securities Limited	December	31-Jul-21
117	Osborne Capital Markets Limited	December	31-Jul-21
118	PAC Securities Limited	December	31-Jul-21
119	Parthian Securities Limited	December	31-Jul-21
120	Peace Capital Markets Ltd	December	31-Jul-21
121	Pilot Securities Limited	December	31-Jul-21
122	Pinefields Investment Services Limited	December	31-Jul-21
123	PIPC Securities Limited	December	31-Jul-21
124	Pivot Capital Limited	December	31-Jul-21
125	Planet Capital Limited	December	31-Jul-21
126	Portfolio Advisers Limited	December	31-Jul-21
127	Premium Capital and Stockbrokers Limited	December	31-Jul-21
128	Primewealth Capital Limited	December	31-Jul-21
129	Prominent Securities Limited	December	31-Jul-21
130	PSI Securities Limited	December	31-Jul-21
131	Pyramid Securities Limited	December	31-Jul-21

132	Qualinvest Capital Limited	December	31-Jul-21
133	Quantum Zenith Securities & Investments Limited	December	31-Jul-21
134	Rainbow Securities and Investment Company Limited	December	31-Jul-21
135	Readings Investment Limited	December	31-Jul-21
136	Regency Asset Management Limited	December	31-Jul-21
137	Rencap Securities (Nig) Limited	December	31-Jul-21
138	Resort Securities and Trust Limited	December	31-Jul-21
139	Reward Investment and Service Limited	December	31-Jul-21
140	RMB Nigeria Stockbrokers Limited	December	31-Jul-21
141	Rostrum Investment and Sec Limited	December	31-Jul-21
142	Rowet Capital Mgt Limited	December	31-Jul-21
143	Royal Crest Finance Limited	December	31-Jul-21
144	Royal Guaranty and Trust Limited	December	31-Jul-21
145	Sankore Securities Limited	December	31-Jul-21
146	Santrust Securities Limited	December	31-Jul-21
147	Securities Africa Financial Limited	December	31-Jul-21
148	Securities and Capital Management Company Limited	December	31-Jul-21
149	Security Swaps Limited	December	31-Jul-21
150	Shalom Investment and Financial Services Limited	December	31-Jul-21
151	Shelong Investments Limited	December	31-Jul-21
152	Sigma Securities Limited	December	31-Jul-21
153	Signet Investment and Securities Limited	December	31-Jul-21
154	Skyview Capital Ltd	December	31-Jul-21
155	Smadac Securities Limited	December	31-Jul-21
156	Solid Rock Securities and Investment Plc	December	31-Jul-21
157	Spring Trust and Securities Limited	December	31-Jul-21
158	Springboard Trust and Investment Limited	December	31-Jul-21
159	Stanbic IBTC Stockbrokers Limited	December	31-Jul-21
160	Standard Union Securities Limited	December	31-Jul-21
161	StoneX Financial Nigeria Limited	December	31-Jul-21
162	The Bridge Securities Limited	December	31-Jul-21
163	Tiddo Securities Limited	December	31-Jul-21
164	Tomil Trust Limited	December	31-Jul-21
165	Topmost Securities Limited	December	31-Jul-21
166	Tower Securities and Investment Company Limited	December	31-Jul-21
167	Trade Link Securities Limited	December	31-Jul-21
168	Traders Trust and Investment Co. Limited	December	31-Jul-21
169	Transworld Investment Limited	December	31-Jul-21
170	Trust Yield Securities Limited	December	31-Jul-21
171	TrustBanc Capital Management Limited	December	31-Jul-21
172	Trusthouse Investment Limited	December	31-Jul-21
173	TRW Stockbrokers Limited	December	31-Jul-21
174	Tyndale Securities Limited	December	31-Jul-21
175	UCML Capital Limited	December	31-Jul-21
176	UIDC Securities Limited	December	31-Jul-21
177	Unex Capital Limited	December	31-Jul-21
178	United Capital Securities Limited	December	31-Jul-21

179	Valmon Securities Limited	December	31-Jul-21
180	Valueline Securities and Investment Limited	December	31-Jul-21
181	Vetiva Securities Limited	December	31-Jul-21
182	WCM Capital Limited	December	31-Jul-21
183	WSTC Securities Limited	December	31-Jul-21
184	Zion Stockbrokers and Securities Limited	December	31-Jul-21

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	31-Jul-21
2	Financial and Analytics Capital Limited	December	31-Jul-21
3	Investment Shark and Asset Mgt Ltd	December	31-Jul-21
4	Maven Asset Management Limited	December	31-Jul-21
5	Milestone Capital Management Limited	December	31-Jul-21

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	31-Jul-21
2	Adonai Stockbrokers Limited	December	31-Jul-21
3	Amyn Investment Limited	December	31-Jul-21
4	Cashcraft Securities Limited	December	31-Jul-21
5	CEB Securities Limited	December	31-Jul-21
6	Clearview Investments Company Limited	December	31-Jul-21
7	CLG Stockbrokers Limited	December	31-Jul-21
8	ECL Asset Management Limited	December	31-Jul-21
9	Enterprise Stockbrokers Limited	December	31-Jul-21
10	First Stockbrokers Limited	December	31-Jul-21
11	GMT Securities and Asset Mangement Limited	December	31-Jul-21
12	Gombe Securities Limited	December	31-Jul-21
13	Horizon Stockbrokers Limited	December	31-Jul-21
14	ITIS Securities Limited	December	31-Jul-21
15	Kundila Finance Services Limited	December	31-Jul-21
16	Mayfield Investment Limited	December	31-Jul-21
17	Midpoint Capital Limited	December	31-Jul-21
18	ML Securities Limited	December	31-Jul-21

19	Mutual Alliance Investment and Securities Limited	December	31-Jul-21
20	Quantum Securities Limited	December	31-Jul-21
21	Royal Trust Securities Limited	December	31-Jul-21
22	Standard Alliance Capital and Asset Management Limited	December	31-Jul-21
23	Supra Commercial Trust Limited	December	31-Jul-21

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2021

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Oct-21
2	Afrinvest Securities Limited	December	30-Oct-21
3	Anchoria Investment and Securities Limited	December	30-Oct-21
4	Apel Asset Limited	December	30-Oct-21
5	Apt Securities and Funds Limited	December	30-Oct-21
6	ARM Securities Limited	December	30-Oct-21
7	Arthur Stevens Asset Management Limited	December	30-Oct-21
8	Associated Asset Managers Limited	December	30-Oct-21
9	Atlass Portfolio Limited	December	30-Oct-21
10	AVA Securities Limited	December	30-Oct-21
11	Bancorp Securities Limited	December	30-Oct-21
12	Bauchi Investment Corp. Sec Limited	December	30-Oct-21
13	Belfry Investment and Securities Limited	December	30-Oct-21
14	Bestworth Assets and Trust Limited	December	30-Oct-21
15	Calyx Securities Limited	December	30-Oct-21
16	Camry Securities Limited	December	30-Oct-21
17	Capital Asset Limited	December	30-Oct-21
18	Capital Express Securities Limited	December	30-Oct-21
19	Capital Trust Brokers Limited	December	30-Oct-21
20	Cardinal Stone Securities Ltd	December	30-Oct-21
21	Cashville Investment and Securities Limited	December	30-Oct-21
22	CDL Capital Markets Limited	December	30-Oct-21
23	Centre Point Investment Limited	December	30-Oct-21
24	Century Securities Limited	December	30-Oct-21
25	Chapel Hill Denham Securities Limited	December	30-Oct-21
26	Chartwell Securities Limited	December	30-Oct-21
27	Citi Investment Capital Limited	December	30-Oct-21
28	City Code Trust and Investment Company Limited	December	30-Oct-21
29	Compass Investment and Securities Limited	December	30-Oct-21
30	Cordros Securities Limited	December	30-Oct-21
31	Core Securities Limited	December	30-Oct-21
32	Coronation Securities Limited	December	30-Oct-21
33	Cowry Securities Limited	December	30-Oct-21
34	Crossworld Securities Limited	December	30-Oct-21
35	Crown Capital Limited	December	30-Oct-21
36	CSL Stockbrokers Limited	December	30-Oct-21
37	Deep Trust Investment Limited	December	30-Oct-21

38	Delords Securities Limited	December	30-Oct-21
39	DLM Securities Limited	December	30-Oct-21
40	Dominion Trust Limited	December	30-Oct-21
41	DSU Brokerage Services Limited	December	30-Oct-21
42	Dunbell Securities Limited	December	30-Oct-21
43	Dynamic Portfolio Limited	December	30-Oct-21
44	EDC Securities Limited	December	30-Oct-21
45	Edgefield Capital Management Limited	December	30-Oct-21
46	EFG Hermes Nigeria Limited	December	30-Oct-21
47	El-Elyon Alliance and Securities Ltd	December	30-Oct-21
48	Elixir Securities Limited	December	30-Oct-21
49	Equity Capital Solutions Limited	December	30-Oct-21
50	Eurocomm Securities Limited	December	30-Oct-21
51	Express Portfolio Services Limited	December	30-Oct-21
52	Falcon Securities Limited	December	30-Oct-21
53	FBC Trust and Securities Limited	December	30-Oct-21
54	FBNQuest Securities Limited	December	30-Oct-21
55	FCSL Asset Management Company Limited	December	30-Oct-21
56	Fidelity Finance Company Limited	December	30-Oct-21
57	Financial Trust Company Nigeria Limited	December	30-Oct-21
58	Finmal Finance Services Limited	December	30-Oct-21
59	First Integrated Capital Manangement Limited	December	30-Oct-21
60	FIS Securities Limited	December	30-Oct-21
61	Foresight Securities and Investment Limited	December	30-Oct-21
62	Forte Financial Limited	December	30-Oct-21
63	Forthright Securities and Investments Limited	December	30-Oct-21
64	Fortress Capital Limited	December	30-Oct-21
65	FSDH Capital Limited	December	30-Oct-21
66	FSL Securities Limited	December	30-Oct-21
67	Funds Matrix and Assets Mgt Limited	December	30-Oct-21
68	Fundvine Capital and Securities Limited	December	30-Oct-21
69	Futureview Securities Limited	December	30-Oct-21
70	Gem Assets Management Limited	December	30-Oct-21
71	Gidauniya Investment and Securities Limited	December	30-Oct-21
72	Global Asset Management (Nig) Limited	December	30-Oct-21
73	GlobalView Capital Limited	December	30-Oct-21
74	Golden Securities Limited	December	30-Oct-21
75	Greenwich Securities Limited	December	30-Oct-21
76	Growth & Development Asset Management Limited	December	30-Oct-21
77	Gruene Capital Limited	December	30-Oct-21
78	GTI Securities Limited	December	30-Oct-21
79	Harmony Securities Limited	December	30-Oct-21
80	Heartbeat Investment Limited	December	30-Oct-21
81	Hedge Securities and Investments Company Limited	December	30-Oct-21
82	Heritage Capital Markets Limited	December	30-Oct-21
83	ICMG securities Limited	December	30-Oct-21
84	Icon Stockbrokers Limited	December	30-Oct-21

85	Imperial Asset Managers Limited	December	30-Oct-21
86	Integrated Trust and Investment Limited	December	30-Oct-21
87	Interstate Securities Limited	December	30-Oct-21
88	Investment One Stockbrokers International Limited	December	30-Oct-21
89	Investors and Trust Company Limited	December	30-Oct-21
90	Kapital Care Trust and Securities Limited	December	30-Oct-21
91	Kedari Capital Limited	December	30-Oct-21
92	Kinley Securities Limited	December	30-Oct-21
93	Kofana Securities and Investment Limited	December	30-Oct-21
94	Lambeth Capital Limited	December	30-Oct-21
95	Lead Securities and Investment Limited	December	30-Oct-21
96	Lighthouse Capital Limited	December	30-Oct-21
97	Magnartis Finance and Investment Limited	December	30-Oct-21
98	Marimpex Finance and Investment Company Limited	December	30-Oct-21
99	Maxifund Investment and Securities Plc	December	30-Oct-21
100	MBC Securities Limited	December	30-Oct-21
101	Mega Equities Limited	December	30-Oct-21
102	Meristem Stockbrokers Limited	December	30-Oct-21
103	Midas Stockbrokers Limited	December	30-Oct-21
104	Mission Securities Limited	December	30-Oct-21
105	Molten Trust Limited	December	30-Oct-21
106	Morgan Capital Securities Limited	December	30-Oct-21
107	Mountain Investment and Securities Limited	December	30-Oct-21
108	Network Capital Limited	December	30-Oct-21
109	Networth Securities and Finance Limited	December	30-Oct-21
110	Newdevco Investments and Securities Limited	December	30-Oct-21
111	Nigerian International Securities Ltd	December	30-Oct-21
112	Nigerian Stockbrokers Limited	December	30-Oct-21
113	Norrenberger Securities Limited	December	30-Oct-21
114	Nova Finance and Securities Limited	December	30-Oct-21
115	NOVAMBL Securities Limited	December	30-Oct-21
116	Osborne Capital Markets Limited	December	30-Oct-21
117	PAC Securities Limited	December	30-Oct-21
118	Parthian Securities Limited	December	30-Oct-21
119	Peace Capital Markets Ltd	December	30-Oct-21
120	Pilot Securities Limited	December	30-Oct-21
121	Pinefields Investment Services Limited	December	30-Oct-21
122	PIPC Securities Limited	December	30-Oct-21
123	Pivot Capital Limited	December	30-Oct-21
124	Planet Capital Limited	December	30-Oct-21
125	Portfolio Advisers Limited	December	30-Oct-21
126	Premium Capital and Stockbrokers Limited	December	30-Oct-21
127	Prominent Securities Limited	December	30-Oct-21
128	PSI Securities Limited	December	30-Oct-21
129	Pyramid Securities Limited	December	30-Oct-21
130	Qualinvest Capital Limited	December	30-Oct-21
131	Quantum Zenith Securities & Investments Limited	December	30-Oct-21

132	Rainbow Securities and Investment Company Limited	December	30-Oct-21
133	Readings Investment Limited	December	30-Oct-21
134	Regency Asset Management Limited	December	30-Oct-21
135	Rencap Securities (Nig) Limited	December	30-Oct-21
136	Resort Securities and Trust Limited	December	30-Oct-21
137	Reward Investment and Service Limited	December	30-Oct-21
138	RMB Nigeria Stockbrokers Limited	December	30-Oct-21
139	Rostrum Investment and Sec Limited	December	30-Oct-21
140	Rowet Capital Mgt Limited	December	30-Oct-21
141	Royal Crest Finance Limited	December	30-Oct-21
142	Royal Guaranty and Trust Limited	December	30-Oct-21
143	Sankore Securities Limited	December	30-Oct-21
144	Santrust Securities Limited	December	30-Oct-21
145	Securities Africa Financial Limited	December	30-Oct-21
146	Securities and Capital Management Company Limited	December	30-Oct-21
147	Security Swaps Limited	December	30-Oct-21
148	Shalom Investment and Financial Services Limited	December	30-Oct-21
149	Shelong Investments Limited	December	30-Oct-21
150	Sigma Securities Limited	December	30-Oct-21
151	Signet Investment and Securities Limited	December	30-Oct-21
152	Skyview Capital Ltd	December	30-Oct-21
153	Smadac Securities Limited	December	30-Oct-21
154	Solid Rock Securities and Investment Plc	December	30-Oct-21
155	Spring Trust and Securities Limited	December	30-Oct-21
156	Springboard Trust and Investment Limited	December	30-Oct-21
157	Stanbic IBTC Stockbrokers Limited	December	30-Oct-21
158	Standard Union Securities Limited	December	30-Oct-21
159	StoneX Financial Nigeria Limited	December	30-Oct-21
160	The Bridge Securities Limited	December	30-Oct-21
161	Tiddo Securities Limited	December	30-Oct-21
162	Tomil Trust Limited	December	30-Oct-21
163	Topmost Securities Limited	December	30-Oct-21
164	Tower Securities and Investment Company Limited	December	30-Oct-21
165	Trade Link Securities Limited	December	30-Oct-21
166	Traders Trust and Investment Co. Limited	December	30-Oct-21
167	Transworld Investment Limited	December	30-Oct-21
168	Trust Yield Securities Limited	December	30-Oct-21
169	TrustBanc Capital Management Limited	December	30-Oct-21
170	Trusthouse Investment Limited	December	30-Oct-21
171	TRW Stockbrokers Limited	December	30-Oct-21
172	Tyndale Securities Limited	December	30-Oct-21
173	UCML Capital Limited	December	30-Oct-21
174	UIDC Securities Limited	December	30-Oct-21
175	Unex Capital Limited	December	30-Oct-21
176	United Capital Securities Limited	December	30-Oct-21
177	Valmon Securities Limited	December	30-Oct-21
178	Valueline Securities and Investment Limited	December	30-Oct-21

179	Vetiva Securities Limited	December	30-Oct-21
180	WCM Capital Limited	December	30-Oct-21
181	WSTC Securities Limited	December	30-Oct-21
182	Zion Stockbrokers and Securities Limited	December	30-Oct-21

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	30-Oct-21
2	CLG Stockbrokers Limited	December	30-Oct-21
3	Financial and Analytics Capital Limited	December	30-Oct-21
4	Investment Shark and Asset Mgt Ltd	December	30-Oct-21
5	Kundila Finance Services Limited	December	30-Oct-21
6	Maven Asset Management Limited	December	30-Oct-21
7	Milestone Capital Management Limited	December	30-Oct-21

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Mainstreet Bank Securities Limited	December	30-Oct-21
2	Primewealth Capital Limited	December	30-Oct-21

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Oct-21
2	Adonai Stockbrokers Limited	December	30-Oct-21
3	Amyn Investment Limited	December	30-Oct-21
4	Cashcraft Securities Limited	December	30-Oct-21
5	CEB Securities Limited	December	30-Oct-21
6	Clearview Investments Company Limited	December	30-Oct-21
7	ECL Asset Management Limited	December	30-Oct-21
8	Enterprise Stockbrokers Limited	December	30-Oct-21
9	First Stockbrokers Limited	December	30-Oct-21
10	GMT Securities and Asset Management Limited	December	30-Oct-21
11	Gombe Securities Limited	December	30-Oct-21
12	Horizon Stockbrokers Limited	December	30-Oct-21
13	ITIS Securities Limited	December	30-Oct-21
14	Mayfield Investment Limited	December	30-Oct-21
15	Midpoint Capital Limited	December	30-Oct-21
16	ML Securities Limited	December	30-Oct-21
17	Mutual Alliance Investment and Securities Limited	December	30-Oct-21

18	Quantum Securities Limited	December	30-Oct-21
19	Royal Trust Securities Limited	December	30-Oct-21
20	Standard Alliance Capital and Asset Management Limited	December	30-Oct-21
21	Supra Commercial Trust Limited	December	30-Oct-21

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2021

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	31-Jan-22
2	Afrinvest Securities Limited	December	31-Jan-22
3	Anchoria Investment and Securities Limited	December	31-Jan-22
4	Apel Asset Limited	December	31-Jan-22
5	Apt Securities and Funds Limited	December	31-Jan-22
6	ARM Securities Limited	December	31-Jan-22
7	Arthur Stevens Asset Management Limited	December	31-Jan-22
8	Associated Asset Managers Limited	December	31-Jan-22
9	Atlass Portfolio Limited	December	31-Jan-22
10	AVA Securities Limited	December	31-Jan-22
11	Bancorp Securities Limited	December	31-Jan-22
12	Bauchi Investment Corp. Sec Limited	December	31-Jan-22
13	Belfry Investment and Securities Limited	December	31-Jan-22
14	Bestworth Assets and Trust Limited	December	31-Jan-22
15	Calyx Securities Limited	December	31-Jan-22
16	Camry Securities Limited	December	31-Jan-22
17	Capital Asset Limited	December	31-Jan-22
18	Capital Express Securities Limited	December	31-Jan-22
19	Capital Trust Brokers Limited	December	31-Jan-22
20	Cardinal Stone Securities Ltd	December	31-Jan-22
21	Cashville Investment and Securities Limited	December	31-Jan-22
22	CDL Capital Markets Limited	December	31-Jan-22
23	Centre Point Investment Limited	December	31-Jan-22
24	Century Securities Limited	December	31-Jan-22
25	Chapel Hill Denham Securities Limited	December	31-Jan-22
26	Chartwell Securities Limited	December	31-Jan-22
27	Citi Investment Capital Limited	December	31-Jan-22
28	City Code Trust and Investment Company Limited	December	31-Jan-22
29	Compass Investment and Securities Limited	December	31-Jan-22
30	Cordros Securities Limited	December	31-Jan-22
31	Core Securities Limited	December	31-Jan-22
32	Coronation Securities Limited	December	31-Jan-22
33	Cowry Securities Limited	December	31-Jan-22
34	Crossworld Securities Limited	December	31-Jan-22
35	Crown Capital Limited	December	31-Jan-22
36	CSL Stockbrokers Limited	December	31-Jan-22
37	Delords Securities Limited	December	31-Jan-22

38	DLM Securities Limited	December	31-Jan-22
39	Dominion Trust Limited	December	31-Jan-22
40	DSU Brokerage Services Limited	December	31-Jan-22
41	Dunbell Securities Limited	December	31-Jan-22
42	Dynamic Portfolio Limited	December	31-Jan-22
43	EDC Securities Limited	December	31-Jan-22
44	Edgefield Capital Management Limited	December	31-Jan-22
45	EFG Hermes Nigeria Limited	December	31-Jan-22
46	El-Elyon Alliance and Securities Ltd	December	31-Jan-22
47	Elixir Securities Limited	December	31-Jan-22
48	Equity Capital Solutions Limited	December	31-Jan-22
49	Eurocomm Securities Limited	December	31-Jan-22
50	Express Portfolio Services Limited	December	31-Jan-22
51	Falcon Securities Limited	December	31-Jan-22
52	FBC Trust and Securities Limited	December	31-Jan-22
53	FBNQuest Securities Limited	December	31-Jan-22
54	FCSL Asset Management Company Limited	December	31-Jan-22
55	Fidelity Finance Company Limited	December	31-Jan-22
56	Financial and Analytics Capital Limited	December	31-Jan-22
57	Financial Trust Company Nigeria Limited	December	31-Jan-22
58	Finmal Finance Services Limited	December	31-Jan-22
59	First Integrated Capital Manangement Limited	December	31-Jan-22
60	FIS Securities Limited	December	31-Jan-22
61	Foresight Securities and Investment Limited	December	31-Jan-22
62	Forthright Securities and Investments Limited	December	31-Jan-22
63	Fortress Capital Limited	December	31-Jan-22
64	FSDH Capital Limited	December	31-Jan-22
65	FSL Securities Limited	December	31-Jan-22
66	Funds Matrix and Assets Mgt Limited	December	31-Jan-22
67	Fundvine Capital and Securities Limited	December	31-Jan-22
68	Futureview Securities Limited	December	31-Jan-22
69	Gem Assets Management Limited	December	31-Jan-22
70	Gidauniya Investment and Securities Limited	December	31-Jan-22
71	Global Asset Management (Nig) Limited	December	31-Jan-22
72	GlobalView Capital Limited	December	31-Jan-22
73	Golden Securities Limited	December	31-Jan-22
74	Greenwich Securities Limited	December	31-Jan-22
75	Growth & Development Asset Management Limited	December	31-Jan-22
76	Gruene Capital Limited	December	31-Jan-22
77	GTI Securities Limited	December	31-Jan-22
78	Harmony Securities Limited	December	31-Jan-22
79	Heartbeat Investment Limited	December	31-Jan-22
80	Hedge Securities and Investments Company Limited	December	31-Jan-22
81	Heritage Capital Markets Limited	December	31-Jan-22
82	ICMG securities Limited	December	31-Jan-22
83	Icon Stockbrokers Limited	December	31-Jan-22
84	Imperial Asset Managers Limited	December	31-Jan-22

85	Integrated Trust and Investment Limited	December	31-Jan-22
86	Interstate Securities Limited	December	31-Jan-22
87	Investment One Stockbrokers International Limited	December	31-Jan-22
88	Investors and Trust Company Limited	December	31-Jan-22
89	Kapital Care Trust and Securities Limited	December	31-Jan-22
90	Kedari Capital Limited	December	31-Jan-22
91	Kinley Securities Limited	December	31-Jan-22
92	Kofana Securities and Investment Limited	December	31-Jan-22
93	Lambeth Capital Limited	December	31-Jan-22
94	Lead Securities and Investment Limited	December	31-Jan-22
95	Lighthouse Capital Limited	December	31-Jan-22
96	Magnartis Finance and Investment Limited	December	31-Jan-22
97	Mainstreet Bank Securities Limited	December	31-Jan-22
98	Marimpex Finance and Investment Company Limited	December	31-Jan-22
99	Maxifund Investment and Securities Plc	December	31-Jan-22
100	MBC Securities Limited	December	31-Jan-22
101	Mega Equities Limited	December	31-Jan-22
102	Meristem Stockbrokers Limited	December	31-Jan-22
103	Midas Stockbrokers Limited	December	31-Jan-22
104	Mission Securities Limited	December	31-Jan-22
105	Molten Trust Limited	December	31-Jan-22
106	Morgan Capital Securities Limited	December	31-Jan-22
107	Mountain Investment and Securities Limited	December	31-Jan-22
108	Network Capital Limited	December	31-Jan-22
109	Networth Securities and Finance Limited	December	31-Jan-22
110	Newdevco Investments and Securities Limited	December	31-Jan-22
111	Nigerian International Securities Ltd	December	31-Jan-22
112	Nigerian Stockbrokers Limited	December	31-Jan-22
113	Norrenberger Securities Limited	December	31-Jan-22
114	Nova Finance and Securities Limited	December	31-Jan-22
115	NOVAMBL Securities Limited	December	31-Jan-22
116	Osborne Capital Markets Limited	December	31-Jan-22
117	PAC Securities Limited	December	31-Jan-22
118	Parthian Securities Limited	December	31-Jan-22
119	Peace Capital Markets Ltd	December	31-Jan-22
120	Pilot Securities Limited	December	31-Jan-22
121	Pinefields Investment Services Limited	December	31-Jan-22
122	PIPC Securities Limited	December	31-Jan-22
123	Pivot Capital Limited	December	31-Jan-22
124	Planet Capital Limited	December	31-Jan-22
125	Portfolio Advisers Limited	December	31-Jan-22
126	Premium Capital and Stockbrokers Limited	December	31-Jan-22
127	Prominent Securities Limited	December	31-Jan-22
128	PSI Securities Limited	December	31-Jan-22
129	Pyramid Securities Limited	December	31-Jan-22
130	Qualinvest Capital Limited	December	31-Jan-22
131	Quantum Zenith Securities & Investments Limited	December	31-Jan-22

132	Rainbow Securities and Investment Company Limited	December	31-Jan-22
133	Readings Investment Limited	December	31-Jan-22
134	Regency Asset Management Limited	December	31-Jan-22
135	Rencap Securities (Nig) Limited	December	31-Jan-22
136	Resort Securities and Trust Limited	December	31-Jan-22
137	Reward Investment and Service Limited	December	31-Jan-22
138	RMB Nigeria Stockbrokers Limited	December	31-Jan-22
139	Rostrum Investment and Sec Limited	December	31-Jan-22
140	Rowet Capital Mgt Limited	December	31-Jan-22
141	Royal Crest Finance Limited	December	31-Jan-22
142	Royal Guaranty and Trust Limited	December	31-Jan-22
143	Sankore Securities Limited	December	31-Jan-22
144	Santrust Securities Limited	December	31-Jan-22
145	Securities Africa Financial Limited	December	31-Jan-22
146	Securities and Capital Management Company Limited	December	31-Jan-22
147	Security Swaps Limited	December	31-Jan-22
148	Shalom Investment and Financial Services Limited	December	31-Jan-22
149	Shelong Investments Limited	December	31-Jan-22
150	Sigma Securities Limited	December	31-Jan-22
151	Signet Investment and Securities Limited	December	31-Jan-22
152	Skyview Capital Ltd	December	31-Jan-22
153	Smadac Securities Limited	December	31-Jan-22
154	Solid Rock Securities and Investment Plc	December	31-Jan-22
155	Spring Trust and Securities Limited	December	31-Jan-22
156	Springboard Trust and Investment Limited	December	31-Jan-22
157	Stanbic IBTC Stockbrokers Limited	December	31-Jan-22
158	Standard Union Securities Limited	December	31-Jan-22
159	StoneX Financial Nigeria Limited	December	31-Jan-22
160	The Bridge Securities Limited	December	31-Jan-22
161	Tiddo Securities Limited	December	31-Jan-22
162	Tomil Trust Limited	December	31-Jan-22
163	Topmost Securities Limited	December	31-Jan-22
164	Tower Securities and Investment Company Limited	December	31-Jan-22
165	Trade Link Securities Limited	December	31-Jan-22
166	Traders Trust and Investment Co. Limited	December	31-Jan-22
167	Transworld Investment Limited	December	31-Jan-22
168	TrustBanc Capital Management Limited	December	31-Jan-22
169	Trusthouse Investment Limited	December	31-Jan-22
170	TRW Stockbrokers Limited	December	31-Jan-22
171	Tyndale Securities Limited	December	31-Jan-22
172	UCML Capital Limited	December	31-Jan-22
173	UIDC Securities Limited	December	31-Jan-22
174	Unex Capital Limited	December	31-Jan-22
175	United Capital Securities Limited	December	31-Jan-22
176	Valmon Securities Limited	December	31-Jan-22
177	Valueline Securities and Investment Limited	December	31-Jan-22
178	Vetiva Securities Limited	December	31-Jan-22

179	WCM Capital Limited	December	31-Jan-22
180	WSTC Securities Limited	December	31-Jan-22
181	Zion Stockbrokers and Securities Limited	December	31-Jan-22

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	31-Jan-22
2	Deep Trust Investment Limited	December	31-Jan-22
3	Forte Financial Limited	December	31-Jan-22
4	Investment Shark and Asset Mgt Ltd	December	31-Jan-22
5	Kundila Finance Services Limited	December	31-Jan-22
6	Maven Asset Management Limited	December	31-Jan-22
7	Milestone Capital Management Limited	December	31-Jan-22

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Primewealth Capital Limited	December	31-Jan-22
2	Trust Yield Securities Limited	December	31-Jan-22

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	31-Jan-22
2	Adonai Stockbrokers Limited	December	31-Jan-22
3	Amyn Investment Limited	December	31-Jan-22
4	Cashcraft Securities Limited	December	31-Jan-22
5	CEB Securities Limited	December	31-Jan-22
6	Clearview Investments Company Limited	December	31-Jan-22
7	CLG Stockbrokers Limited	December	31-Jan-22
8	ECL Asset Management Limited	December	31-Jan-22
9	Enterprise Stockbrokers Limited	December	31-Jan-22
10	First Stockbrokers Limited	December	31-Jan-22
11	GMT Securities and Asset Mangement Limited	December	31-Jan-22
12	Gombe Securities Limited	December	31-Jan-22
13	Horizon Stockbrokers Limited	December	31-Jan-22
14	ITIS Securities Limited	December	31-Jan-22
15	Mayfield Investment Limited	December	31-Jan-22
16	Midpoint Capital Limited	December	31-Jan-22
17	ML Securities Limited	December	31-Jan-22

18	Mutual Alliance Investment and Securities Limited	December	31-Jan-22
19	Quantum Securities Limited	December	31-Jan-22
20	Royal Trust Securities Limited	December	31-Jan-22
21	Standard Alliance Capital and Asset Management Limited	December	31-Jan-22
22	Supra Commercial Trust Limited	December	31-Jan-22

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2022

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Apr-22
2	Afrinvest Securities Limited	December	30-Apr-22
3	Anchoria Investment and Securities Limited	December	30-Apr-22
4	Apel Asset Limited	December	30-Apr-22
5	Apt Securities and Funds Limited	December	30-Apr-22
6	ARM Securities Limited	December	30-Apr-22
7	Arthur Stevens Asset Management Limited	December	30-Apr-22
8	Associated Asset Managers Limited	December	30-Apr-22
9	Atlass Portfolio Limited	December	30-Apr-22
10	AVA Securities Limited	December	30-Apr-22
11	Bancorp Securities Limited	December	30-Apr-22
12	Bauchi Investment Corp. Sec Limited	December	30-Apr-22
13	Belfry Investment and Securities Limited	December	30-Apr-22
14	Bestworth Assets and Trust Limited	December	30-Apr-22
15	Calyx Securities Limited	December	30-Apr-22
16	Camry Securities Limited	December	30-Apr-22
17	Capital Asset Limited	December	30-Apr-22
18	Capital Express Securities Limited	December	30-Apr-22
19	Capital Trust Brokers Limited	December	30-Apr-22
20	Cardinal Stone Securities Ltd	December	30-Apr-22
21	Cashville Investment and Securities Limited	December	30-Apr-22
22	CDL Capital Markets Limited	December	30-Apr-22
23	Centre Point Investment Limited	December	30-Apr-22
24	Century Securities Limited	December	30-Apr-22
25	Chapel Hill Denham Securities Limited	December	30-Apr-22
26	Chartwell Securities Limited	December	30-Apr-22
27	Citi Investment Capital Limited	December	30-Apr-22
28	City Code Trust and Investment Company Limited	December	30-Apr-22
29	Compass Investment and Securities Limited	December	30-Apr-22
30	Cordros Securities Limited	December	30-Apr-22
31	Core Securities Limited	December	30-Apr-22
32	Coronation Securities Limited	December	30-Apr-22
33	Cowry Securities Limited	December	30-Apr-22
34	Crossworld Securities Limited	December	30-Apr-22
35	Crown Capital Limited	December	30-Apr-22
36	CSL Stockbrokers Limited	December	30-Apr-22
37	Deep Trust Investment Limited	December	30-Apr-22

38	Delords Securities Limited	December	30-Apr-22
39	DLM Securities Limited	December	30-Apr-22
40	Dominion Trust Limited	December	30-Apr-22
41	DSU Brokerage Services Limited	December	30-Apr-22
42	Dunbell Securities Limited	December	30-Apr-22
43	Dynamic Portfolio Limited	December	30-Apr-22
44	EDC Securities Limited	December	30-Apr-22
45	Edgefield Capital Management Limited	December	30-Apr-22
46	EFG Hermes Nigeria Limited	December	30-Apr-22
47	El-Elyon Alliance and Securities Ltd	December	30-Apr-22
48	Elixir Securities Limited	December	30-Apr-22
49	Equity Capital Solutions Limited	December	30-Apr-22
50	Eurocomm Securities Limited	December	30-Apr-22
51	Express Portfolio Services Limited	December	30-Apr-22
52	Falcon Securities Limited	December	30-Apr-22
53	FBC Trust and Securities Limited	December	30-Apr-22
54	FBNQuest Securities Limited	December	30-Apr-22
55	FCSL Asset Management Company Limited	December	30-Apr-22
56	Fidelity Finance Company Limited	December	30-Apr-22
57	Financial and Analytics Capital Limited	December	30-Apr-22
58	Financial Trust Company Nigeria Limited	December	30-Apr-22
59	Finmal Finance Services Limited	December	30-Apr-22
60	First Integrated Capital Manangement Limited	December	30-Apr-22
61	FIS Securities Limited	December	30-Apr-22
62	Foresight Securities and Investment Limited	December	30-Apr-22
63	Forte Financial Limited	December	30-Apr-22
64	Forthright Securities and Investments Limited	December	30-Apr-22
65	Fortress Capital Limited	December	30-Apr-22
66	FSDH Capital Limited	December	30-Apr-22
67	FSL Securities Limited	December	30-Apr-22
68	Funds Matrix and Assets Mgt Limited	December	30-Apr-22
69	Fundvine Capital and Securities Limited	December	30-Apr-22
70	Futureview Securities Limited	December	30-Apr-22
71	Gem Assets Management Limited	December	30-Apr-22
72	Gidauniya Investment and Securities Limited	December	30-Apr-22
73	Global Asset Management (Nig) Limited	December	30-Apr-22
74	GlobalView Capital Limited	December	30-Apr-22
75	Golden Securities Limited	December	30-Apr-22
76	Greenwich Securities Limited	December	30-Apr-22
77	Growth & Development Asset Management Limited	December	30-Apr-22
78	Gruene Capital Limited	December	30-Apr-22
79	GTI Securities Limited	December	30-Apr-22
80	Harmony Securities Limited	December	30-Apr-22
81	Heartbeat Investment Limited	December	30-Apr-22
82	Hedge Securities and Investments Company Limited	December	30-Apr-22
83	Heritage Capital Markets Limited	December	30-Apr-22
84	ICMG securities Limited	December	30-Apr-22

85	Icon Stockbrokers Limited	December	30-Apr-22
86	Imperial Asset Managers Limited	December	30-Apr-22
87	Integrated Trust and Investment Limited	December	30-Apr-22
88	Interstate Securities Limited	December	30-Apr-22
89	Investment One Stockbrokers International Limited	December	30-Apr-22
90	Investors and Trust Company Limited	December	30-Apr-22
91	Kapital Care Trust and Securities Limited	December	30-Apr-22
92	Kedari Capital Limited	December	30-Apr-22
93	Kinley Securities Limited	December	30-Apr-22
94	Kofana Securities and Investment Limited	December	30-Apr-22
95	Lambeth Capital Limited	December	30-Apr-22
96	Lead Securities and Investment Limited	December	30-Apr-22
97	Lighthouse Capital Limited	December	30-Apr-22
98	Magnartis Finance and Investment Limited	December	30-Apr-22
99	Mainstreet Bank Securities Limited	December	30-Apr-22
100	Marimpex Finance and Investment Company Limited	December	30-Apr-22
101	Maxifund Investment and Securities Plc	December	30-Apr-22
102	MBC Securities Limited	December	30-Apr-22
103	Mega Equities Limited	December	30-Apr-22
104	Meristem Stockbrokers Limited	December	30-Apr-22
105	Mission Securities Limited	December	30-Apr-22
106	Molten Trust Limited	December	30-Apr-22
107	Morgan Capital Securities Limited	December	30-Apr-22
108	Mountain Investment and Securities Limited	December	30-Apr-22
109	Network Capital Limited	December	30-Apr-22
110	Networth Securities and Finance Limited	December	30-Apr-22
111	Newdevco Investments and Securities Limited	December	30-Apr-22
112	Nigerian International Securities Ltd	December	30-Apr-22
113	Nigerian Stockbrokers Limited	December	30-Apr-22
114	Norrenberger Securities Limited	December	30-Apr-22
115	Nova Finance and Securities Limited	December	30-Apr-22
116	NOVAMBL Securities Limited	December	30-Apr-22
117	Osborne Capital Markets Limited	December	30-Apr-22
118	PAC Securities Limited	December	30-Apr-22
119	Parthian Securities Limited	December	30-Apr-22
120	Peace Capital Markets Ltd	December	30-Apr-22
121	Pilot Securities Limited	December	30-Apr-22
122	Pinefields Investment Services Limited	December	30-Apr-22
123	PIPC Securities Limited	December	30-Apr-22
124	Pivot Capital Limited	December	30-Apr-22
125	Planet Capital Limited	December	30-Apr-22
126	Portfolio Advisers Limited	December	30-Apr-22
127	Premium Capital and Stockbrokers Limited	December	30-Apr-22
128	Prominent Securities Limited	December	30-Apr-22
129	PSI Securities Limited	December	30-Apr-22
130	Pyramid Securities Limited	December	30-Apr-22
131	Qualinvest Capital Limited	December	30-Apr-22

132	Quantum Zenith Securities & Investments Limited	December	30-Apr-22
133	Rainbow Securities and Investment Company Limited	December	30-Apr-22
134	Readings Investment Limited	December	30-Apr-22
135	Regency Asset Management Limited	December	30-Apr-22
136	Rencap Securities (Nig) Limited	December	30-Apr-22
137	Resort Securities and Trust Limited	December	30-Apr-22
138	Reward Investment and Service Limited	December	30-Apr-22
139	RMB Nigeria Stockbrokers Limited	December	30-Apr-22
140	Rostrum Investment and Sec Limited	December	30-Apr-22
141	Rowet Capital Mgt Limited	December	30-Apr-22
142	Royal Crest Finance Limited	December	30-Apr-22
143	Royal Guaranty and Trust Limited	December	30-Apr-22
144	Sankore Securities Limited	December	30-Apr-22
145	Santrust Securities Limited	December	30-Apr-22
146	Securities Africa Financial Limited	December	30-Apr-22
147	Securities and Capital Management Company Limited	December	30-Apr-22
148	Security Swaps Limited	December	30-Apr-22
149	Shalom Investment and Financial Services Limited	December	30-Apr-22
150	Shelong Investments Limited	December	30-Apr-22
151	Sigma Securities Limited	December	30-Apr-22
152	Signet Investment and Securities Limited	December	30-Apr-22
153	Skyview Capital Ltd	December	30-Apr-22
154	Smadac Securities Limited	December	30-Apr-22
155	Solid Rock Securities and Investment Plc	December	30-Apr-22
156	Spring Trust and Securities Limited	December	30-Apr-22
157	Springboard Trust and Investment Limited	December	30-Apr-22
158	Stanbic IBTC Stockbrokers Limited	December	30-Apr-22
159	Standard Union Securities Limited	December	30-Apr-22
160	StoneX Financial Nigeria Limited	December	30-Apr-22
161	The Bridge Securities Limited	December	30-Apr-22
162	Tiddo Securities Limited	December	30-Apr-22
163	Tomil Trust Limited	December	30-Apr-22
164	Topmost Securities Limited	December	30-Apr-22
165	Tower Securities and Investment Company Limited	December	30-Apr-22
166	Trade Link Securities Limited	December	30-Apr-22
167	Traders Trust and Investment Co. Limited	December	30-Apr-22
168	Transworld Investment Limited	December	30-Apr-22
169	Trust Yield Securities Limited	December	30-Apr-22
170	TrustBanc Capital Management Limited	December	30-Apr-22
171	Trusthouse Investment Limited	December	30-Apr-22
172	TRW Stockbrokers Limited	December	30-Apr-22
173	Tyndale Securities Limited	December	30-Apr-22
174	UCML Capital Limited	December	30-Apr-22
175	UIDC Securities Limited	December	30-Apr-22
176	Unex Capital Limited	December	30-Apr-22
177	United Capital Securities Limited	December	30-Apr-22

178	Valmon Securities Limited	December	30-Apr-22
179	Valueline Securities and Investment Limited	December	30-Apr-22
180	Vetiva Securities Limited	December	30-Apr-22
181	WCM Capital Limited	December	30-Apr-22
182	WSTC Securities Limited	December	30-Apr-22
183	Zion Stockbrokers and Securities Limited	December	30-Apr-22

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	CLG Stockbrokers Limited	December	30-Apr-22
2	Investment Shark and Asset Mgt Ltd	December	30-Apr-22
3	Kundila Finance Services Limited	December	30-Apr-22
4	Maven Asset Management Limited	December	30-Apr-22
5	Midas Stockbrokers Limited	December	30-Apr-22
6	Milestone Capital Management Limited	December	30-Apr-22

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Apr-22
2	Adonai Stockbrokers Limited	December	30-Apr-22
3	Amyn Investment Limited	December	30-Apr-22
4	Cashcraft Securities Limited	December	30-Apr-22
5	CEB Securities Limited	December	30-Apr-22
6	Clearview Investments Company Limited	December	30-Apr-22
7	Crane Securities Limited	December	30-Apr-22
8	ECL Asset Management Limited	December	30-Apr-22
9	Enterprise Stockbrokers Limited	December	30-Apr-22
10	First Stockbrokers Limited	December	30-Apr-22
11	GMT Securities and Asset Mangement Limited	December	30-Apr-22
12	Gombe Securities Limited	December	30-Apr-22
13	Horizon Stockbrokers Limited	December	30-Apr-22
14	ITIS Securities Limited	December	30-Apr-22
15	Mayfield Investment Limited	December	30-Apr-22
16	Midpoint Capital Limited	December	30-Apr-22
17	ML Securities Limited	December	30-Apr-22

18	Mutual Alliance Investment and Securities Limited	December	30-Apr-22
19	Primewealth Capital Limited	December	30-Apr-22
20	Quantum Securities Limited	December	30-Apr-22
21	Royal Trust Securities Limited	December	30-Apr-22
22	Standard Alliance Capital and Asset Management Limited	December	30-Apr-22
23	Supra Commercial Trust Limited	December	30-Apr-22

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2022

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Jul-22
2	Afrinvest Securities Limited	December	30-Jul-22
3	Anchoria Investment and Securities Limited	December	30-Jul-22
4	Apel Asset Limited	December	30-Jul-22
5	Apt Securities and Funds Limited	December	30-Jul-22
6	ARM Securities Limited	December	30-Jul-22
7	Arthur Stevens Asset Management Limited	December	30-Jul-22
8	Associated Asset Managers Limited	December	30-Jul-22
9	Atlass Portfolio Limited	December	30-Jul-22
10	AVA Securities Limited	December	30-Jul-22
11	Bancorp Securities Limited	December	30-Jul-22
12	Bauchi Investment Corp. Sec Limited	December	30-Jul-22
13	Belfry Investment and Securities Limited	December	30-Jul-22
14	Bestworth Assets and Trust Limited	December	30-Jul-22
15	Calyx Securities Limited	December	30-Jul-22
16	Camry Securities Limited	December	30-Jul-22
17	Capital Asset Limited	December	30-Jul-22
18	Capital Express Securities Limited	December	30-Jul-22
19	Capital Trust Brokers Limited	December	30-Jul-22
20	Cardinal Stone Securities Ltd	December	30-Jul-22
21	Cashville Investment and Securities Limited	December	30-Jul-22
22	CDL Capital Markets Limited	December	30-Jul-22
23	Centre Point Investment Limited	December	30-Jul-22
24	Century Securities Limited	December	30-Jul-22
25	Chapel Hill Denham Securities Limited	December	30-Jul-22
26	Chartwell Securities Limited	December	30-Jul-22
27	Citi Investment Capital Limited	December	30-Jul-22
28	City Code Trust and Investment Company Limited	December	30-Jul-22
29	Compass Investment and Securities Limited	December	30-Jul-22
30	Cordros Securities Limited	December	30-Jul-22
31	Core Securities Limited	December	30-Jul-22
32	Coronation Securities Limited	December	30-Jul-22
33	Cowry Securities Limited	December	30-Jul-22
34	Crossworld Securities Limited	December	30-Jul-22
35	Crown Capital Limited	December	30-Jul-22
36	CSL Stockbrokers Limited	December	30-Jul-22
37	Deep Trust Investment Limited	December	30-Jul-22

38	Delords Securities Limited	December	30-Jul-22
39	DLM Securities Limited	December	30-Jul-22
40	Dominion Trust Limited	December	30-Jul-22
41	DSU Brokerage Services Limited	December	30-Jul-22
42	Dunbell Securities Limited	December	30-Jul-22
43	Dynamic Portfolio Limited	December	30-Jul-22
44	EDC Securities Limited	December	30-Jul-22
45	Edgefield Capital Management Limited	December	30-Jul-22
46	EFG Hermes Nigeria Limited	December	30-Jul-22
47	El-Elyon Alliance and Securities Ltd	December	30-Jul-22
48	Equity Capital Solutions Limited	December	30-Jul-22
49	Eurocomm Securities Limited	December	30-Jul-22
50	Express Portfolio Services Limited	December	30-Jul-22
51	Falcon Securities Limited	December	30-Jul-22
52	FBC Trust and Securities Limited	December	30-Jul-22
53	FBNQuest Securities Limited	December	30-Jul-22
54	FCSL Asset Management Company Limited	December	30-Jul-22
55	Fidelity Finance Company Limited	December	30-Jul-22
56	Financial and Analytics Capital Limited	December	30-Jul-22
57	Financial Trust Company Nigeria Limited	December	30-Jul-22
58	Finmal Finance Services Limited	December	30-Jul-22
59	First Integrated Capital Manangement Limited	December	30-Jul-22
60	FIS Securities Limited	December	30-Jul-22
61	Foresight Securities and Investment Limited	December	30-Jul-22
62	Forte Financial Limited	December	30-Jul-22
63	Forthright Securities and Investments Limited	December	30-Jul-22
64	Fortress Capital Limited	December	30-Jul-22
65	FSDH Capital Limited	December	30-Jul-22
66	FSL Securities Limited	December	30-Jul-22
67	Funds Matrix and Assets Mgt Limited	December	30-Jul-22
68	Fundvine Capital and Securities Limited	December	30-Jul-22
69	Futureview Securities Limited	December	30-Jul-22
70	Gem Assets Management Limited	December	30-Jul-22
71	Gidauniya Investment and Securities Limited	December	30-Jul-22
72	Global Asset Management (Nig) Limited	December	30-Jul-22
73	GlobalView Capital Limited	December	30-Jul-22
74	Golden Securities Limited	December	30-Jul-22
75	Greenwich Securities Limited	December	30-Jul-22
76	Growth & Development Asset Management Limited	December	30-Jul-22
77	Gruene Capital Limited	December	30-Jul-22
78	GTI Securities Limited	December	30-Jul-22
79	Harmony Securities Limited	December	30-Jul-22
80	Heartbeat Investment Limited	December	30-Jul-22
81	Hedge Securities and Investments Company Limited	December	30-Jul-22
82	Heritage Capital Markets Limited	December	30-Jul-22
83	ICMG securities Limited	December	30-Jul-22
84	Icon Stockbrokers Limited	December	30-Jul-22

85	Imperial Asset Managers Limited	December	30-Jul-22
86	Integrated Trust and Investment Limited	December	30-Jul-22
87	Interstate Securities Limited	December	30-Jul-22
88	Investment One Stockbrokers International Limited	December	30-Jul-22
89	Investors and Trust Company Limited	December	30-Jul-22
90	Kapital Care Trust and Securities Limited	December	30-Jul-22
91	Kedari Capital Limited	December	30-Jul-22
92	Kinley Securities Limited	December	30-Jul-22
93	Kofana Securities and Investment Limited	December	30-Jul-22
94	Lambeth Capital Limited	December	30-Jul-22
95	Lead Securities and Investment Limited	December	30-Jul-22
96	Lighthouse Capital Limited	December	30-Jul-22
97	Magnartis Finance and Investment Limited	December	30-Jul-22
98	Mainstreet Bank Securities Limited	December	30-Jul-22
99	Marimpex Finance and Investment Company Limited	December	30-Jul-22
100	Maxifund Investment and Securities Plc	December	30-Jul-22
101	MBC Securities Limited	December	30-Jul-22
102	Mega Equities Limited	December	30-Jul-22
103	Meristem Stockbrokers Limited	December	30-Jul-22
104	Milestone Capital Management Limited	December	30-Jul-22
105	Mission Securities Limited	December	30-Jul-22
106	Molten Trust Limited	December	30-Jul-22
107	Morgan Capital Securities Limited	December	30-Jul-22
108	Mountain Investment and Securities Limited	December	30-Jul-22
109	Network Capital Limited	December	30-Jul-22
110	Networth Securities and Finance Limited	December	30-Jul-22
111	Newdevco Investments and Securities Limited	December	30-Jul-22
112	Nigerian International Securities Ltd	December	30-Jul-22
113	Nigerian Stockbrokers Limited	December	30-Jul-22
114	Norrenberger Securities Limited	December	30-Jul-22
115	Nova Finance and Securities Limited	December	30-Jul-22
116	NOVAMBL Securities Limited	December	30-Jul-22
117	Osborne Capital Markets Limited	December	30-Jul-22
118	PAC Securities Limited	December	30-Jul-22
119	Parthian Securities Limited	December	30-Jul-22
120	Peace Capital Markets Ltd	December	30-Jul-22
121	Pilot Securities Limited	December	30-Jul-22
122	Pinefields Investment Services Limited	December	30-Jul-22
123	PIPC Securities Limited	December	30-Jul-22
124	Pivot Capital Limited	December	30-Jul-22
125	Planet Capital Limited	December	30-Jul-22
126	Portfolio Advisers Limited	December	30-Jul-22
127	Premium Capital and Stockbrokers Limited	December	30-Jul-22
128	Prominent Securities Limited	December	30-Jul-22
129	PSI Securities Limited	December	30-Jul-22
130	Pyramid Securities Limited	December	30-Jul-22
131	Qualinvest Capital Limited	December	30-Jul-22

132	Quantum Zenith Securities & Investments Limited	December	30-Jul-22
133	Rainbow Securities and Investment Company Limited	December	30-Jul-22
134	Readings Investment Limited	December	30-Jul-22
135	Regency Asset Management Limited	December	30-Jul-22
136	Rencap Securities (Nig) Limited	December	30-Jul-22
137	Resort Securities and Trust Limited	December	30-Jul-22
138	Reward Investment and Service Limited	December	30-Jul-22
139	RMB Nigeria Stockbrokers Limited	December	30-Jul-22
140	Rostrum Investment and Sec Limited	December	30-Jul-22
141	Rowet Capital Mgt Limited	December	30-Jul-22
142	Royal Crest Finance Limited	December	30-Jul-22
143	Royal Guaranty and Trust Limited	December	30-Jul-22
144	Sankore Securities Limited	December	30-Jul-22
145	Santrust Securities Limited	December	30-Jul-22
146	Securities Africa Financial Limited	December	30-Jul-22
147	Securities and Capital Management Company Limited	December	30-Jul-22
148	Security Swaps Limited	December	30-Jul-22
149	Shalom Investment and Financial Services Limited	December	30-Jul-22
150	Shelong Investments Limited	December	30-Jul-22
151	Sigma Securities Limited	December	30-Jul-22
152	Signet Investment and Securities Limited	December	30-Jul-22
153	Skyview Capital Ltd	December	30-Jul-22
154	Smadac Securities Limited	December	30-Jul-22
155	Solid Rock Securities and Investment Plc	December	30-Jul-22
156	Spring Trust and Securities Limited	December	30-Jul-22
157	Springboard Trust and Investment Limited	December	30-Jul-22
158	Stanbic IBTC Stockbrokers Limited	December	30-Jul-22
159	Standard Union Securities Limited	December	30-Jul-22
160	StoneX Financial Nigeria Limited	December	30-Jul-22
161	The Bridge Securities Limited	December	30-Jul-22
162	Tiddo Securities Limited	December	30-Jul-22
163	Tomil Trust Limited	December	30-Jul-22
164	Topmost Securities Limited	December	30-Jul-22
165	Tower Securities and Investment Company Limited	December	30-Jul-22
166	Trade Link Securities Limited	December	30-Jul-22
167	Traders Trust and Investment Co. Limited	December	30-Jul-22
168	Transworld Investment Limited	December	30-Jul-22
169	Trust Yield Securities Limited	December	30-Jul-22
170	TrustBanc Capital Management Limited	December	30-Jul-22
171	Trusthouse Investment Limited	December	30-Jul-22
172	TRW Stockbrokers Limited	December	30-Jul-22
173	Tyndale Securities Limited	December	30-Jul-22
174	UCML Capital Limited	December	30-Jul-22
175	UIDC Securities Limited	December	30-Jul-22
176	Unex Capital Limited	December	30-Jul-22
177	United Capital Securities Limited	December	30-Jul-22
178	Valmon Securities Limited	December	30-Jul-22

179	Valueline Securities and Investment Limited	December	30-Jul-22
180	Vetiva Securities Limited	December	30-Jul-22
181	WCM Capital Limited	December	30-Jul-22
182	WSTC Securities Limited	December	30-Jul-22
183	Zion Stockbrokers and Securities Limited	December	30-Jul-22

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	30-Jul-22
2	Investment Shark and Asset Mgt Ltd	December	30-Jul-22
3	Kundila Finance Services Limited	December	30-Jul-22
4	Maven Asset Management Limited	December	30-Jul-22
5	Midas Stockbrokers Limited	December	30-Jul-22

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Elixir Securities Limited	December	30-Jul-22

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jul-22
2	Adonai Stockbrokers Limited	December	30-Jul-22
3	Amyn Investment Limited	December	30-Jul-22
4	Cashcraft Securities Limited	December	30-Jul-22
5	CEB Securities Limited	December	30-Jul-22
6	Clearview Investments Company Limited	December	30-Jul-22
7	CLG Stockbrokers Limited	December	30-Jul-22
8	ECL Asset Management Limited	December	30-Jul-22
9	Enterprise Stockbrokers Limited	December	30-Jul-22
10	First Stockbrokers Limited	December	30-Jul-22
11	GMT Securities and Asset Mangement Limited	December	30-Jul-22
12	Gombe Securities Limited	December	30-Jul-22
13	Horizon Stockbrokers Limited	December	30-Jul-22
14	ITIS Securities Limited	December	30-Jul-22
15	Mayfield Investment Limited	December	30-Jul-22
16	Midpoint Capital Limited	December	30-Jul-22
17	ML Securities Limited	December	30-Jul-22
18	Mutual Alliance Investment and Securities Limited	December	30-Jul-22
19	Primewealth Capital Limited	December	30-Jul-22

20	Quantum Securities Limited	December	30-Jul-22
21	Royal Trust Securities Limited	December	30-Jul-22
22	Standard Alliance Capital and Asset Management Limited	December	30-Jul-22
23	Supra Commercial Trust Limited	December	30-Jul-22

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2022

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Oct-22
2	Afrinvest Securities Limited	December	30-Oct-22
3	Anchoria Investment and Securities Limited	December	30-Oct-22
4	Apel Asset Limited	December	30-Oct-22
5	Apt Securities and Funds Limited	December	30-Oct-22
6	ARM Securities Limited	December	30-Oct-22
7	Arthur Stevens Asset Management Limited	December	30-Oct-22
8	Associated Asset Managers Limited	December	30-Oct-22
9	Atlass Portfolio Limited	December	30-Oct-22
10	AVA Securities Limited	December	30-Oct-22
11	Bancorp Securities Limited	December	30-Oct-22
12	Bauchi Investment Corp. Sec Limited	December	30-Oct-22
13	Belfry Investment and Securities Limited	December	30-Oct-22
14	Bestworth Assets and Trust Limited	December	30-Oct-22
15	Calyx Securities Limited	December	30-Oct-22
16	Camry Securities Limited	December	30-Oct-22
17	Capital Asset Limited	December	30-Oct-22
18	Capital Express Securities Limited	December	30-Oct-22
19	Capital Trust Brokers Limited	December	30-Oct-22
20	Cardinal Stone Securities Ltd	December	30-Oct-22
21	Cashville Investment and Securities Limited	December	30-Oct-22
22	CDL Capital Markets Limited	December	30-Oct-22
23	Centre Point Investment Limited	December	30-Oct-22
24	Century Securities Limited	December	30-Oct-22
25	Chapel Hill Denham Securities Limited	December	30-Oct-22
26	Chartwell Securities Limited	December	30-Oct-22
27	Citi Investment Capital Limited	December	30-Oct-22
28	City Code Trust and Investment Company Limited	December	30-Oct-22
29	Compass Investment and Securities Limited	December	30-Oct-22
30	Cordros Securities Limited	December	30-Oct-22
31	Core Securities Limited	December	30-Oct-22
32	Coronation Securities Limited	December	30-Oct-22
33	Cowry Securities Limited	December	30-Oct-22
34	Crossworld Securities Limited	December	30-Oct-22
35	Crown Capital Limited	December	30-Oct-22
36	CSL Stockbrokers Limited	December	30-Oct-22
37	Deep Trust Investment Limited	December	30-Oct-22

38	Delords Securities Limited	December	30-Oct-22
39	DLM Securities Limited	December	30-Oct-22
40	Dominion Trust Limited	December	30-Oct-22
41	DSU Brokerage Services Limited	December	30-Oct-22
42	Dunbell Securities Limited	December	30-Oct-22
43	Dynamic Portfolio Limited	December	30-Oct-22
44	EDC Securities Limited	December	30-Oct-22
45	Edgefield Capital Management Limited	December	30-Oct-22
46	EFG Hermes Nigeria Limited	December	30-Oct-22
47	El-Elyon Alliance and Securities Ltd	December	30-Oct-22
48	Equity Capital Solutions Limited	December	30-Oct-22
49	Eurocomm Securities Limited	December	30-Oct-22
50	Express Portfolio Services Limited	December	30-Oct-22
51	Falcon Securities Limited	December	30-Oct-22
52	FBC Trust and Securities Limited	December	30-Oct-22
53	FBNQuest Securities Limited	December	30-Oct-22
54	FCSL Asset Management Company Limited	December	30-Oct-22
55	Fidelity Finance Company Limited	December	30-Oct-22
56	Financial and Analytics Capital Limited	December	30-Oct-22
57	Financial Trust Company Nigeria Limited	December	30-Oct-22
58	Finmal Finance Services Limited	December	30-Oct-22
59	First Integrated Capital Manangement Limited	December	30-Oct-22
60	FIS Securities Limited	December	30-Oct-22
61	Foresight Securities and Investment Limited	December	30-Oct-22
62	Forte Financial Limited	December	30-Oct-22
63	Forthright Securities and Investments Limited	December	30-Oct-22
64	Fortress Capital Limited	December	30-Oct-22
65	FSDH Capital Limited	December	30-Oct-22
66	FSL Securities Limited	December	30-Oct-22
67	Funds Matrix and Assets Mgt Limited	December	30-Oct-22
68	Fundvine Capital and Securities Limited	December	30-Oct-22
69	Futureview Securities Limited	December	30-Oct-22
70	Gem Assets Management Limited	December	30-Oct-22
71	Gidauniya Investment and Securities Limited	December	30-Oct-22
72	Global Asset Management (Nig) Limited	December	30-Oct-22
73	GlobalView Capital Limited	December	30-Oct-22
74	Golden Securities Limited	December	30-Oct-22
75	Greenwich Securities Limited	December	30-Oct-22
76	Growth & Development Asset Management Limited	December	30-Oct-22
77	Gruene Capital Limited	December	30-Oct-22
78	GTI Securities Limited	December	30-Oct-22
79	Harmony Securities Limited	December	30-Oct-22
80	Heartbeat Investment Limited	December	30-Oct-22
81	Hedge Securities and Investments Company Limited	December	30-Oct-22
82	Heritage Capital Markets Limited	December	30-Oct-22
83	ICMG securities Limited	December	30-Oct-22
84	Icon Stockbrokers Limited	December	30-Oct-22

85	Imperial Asset Managers Limited	December	30-Oct-22
86	Integrated Trust and Investment Limited	December	30-Oct-22
87	Interstate Securities Limited	December	30-Oct-22
88	Investment One Stockbrokers International Limited	December	30-Oct-22
89	Investors and Trust Company Limited	December	30-Oct-22
90	Kapital Care Trust and Securities Limited	December	30-Oct-22
91	Kedari Capital Limited	December	30-Oct-22
92	Kinley Securities Limited	December	30-Oct-22
93	Kofana Securities and Investment Limited	December	30-Oct-22
94	Kundila Finance Services Limited	December	30-Oct-22
95	Lambeth Capital Limited	December	30-Oct-22
96	Lead Securities and Investment Limited	December	30-Oct-22
97	Lighthouse Capital Limited	December	30-Oct-22
98	Magnartis Finance and Investment Limited	December	30-Oct-22
99	Mainstreet Bank Securities Limited	December	30-Oct-22
100	Marimpex Finance and Investment Company Limited	December	30-Oct-22
101	Maxifund Investment and Securities Plc	December	30-Oct-22
102	MBC Securities Limited	December	30-Oct-22
103	Mega Equities Limited	December	30-Oct-22
104	Meristem Stockbrokers Limited	December	30-Oct-22
105	Milestone Capital Management Limited	December	30-Oct-22
106	Mission Securities Limited	December	30-Oct-22
107	Molten Trust Limited	December	30-Oct-22
108	Morgan Capital Securities Limited	December	30-Oct-22
109	Mountain Investment and Securities Limited	December	30-Oct-22
110	Network Capital Limited	December	30-Oct-22
111	Networth Securities and Finance Limited	December	30-Oct-22
112	Newdevco Investments and Securities Limited	December	30-Oct-22
113	Nigerian Stockbrokers Limited	December	30-Oct-22
114	Norrenberger Securities Limited	December	30-Oct-22
115	Nova Finance and Securities Limited	December	30-Oct-22
116	NOVAMBL Securities Limited	December	30-Oct-22
117	Osborne Capital Markets Limited	December	30-Oct-22
118	PAC Securities Limited	December	30-Oct-22
119	Parthian Securities Limited	December	30-Oct-22
120	Peace Capital Markets Ltd	December	30-Oct-22
121	Pilot Securities Limited	December	30-Oct-22
122	Pinefields Investment Services Limited	December	30-Oct-22
123	PIPC Securities Limited	December	30-Oct-22
124	Pivot Capital Limited	December	30-Oct-22
125	Planet Capital Limited	December	30-Oct-22
126	Portfolio Advisers Limited	December	30-Oct-22
127	Premium Capital and Stockbrokers Limited	December	30-Oct-22
128	Prominent Securities Limited	December	30-Oct-22
129	PSI Securities Limited	December	30-Oct-22
130	Pyramid Securities Limited	December	30-Oct-22
131	Qualinvest Capital Limited	December	30-Oct-22

132	Quantum Zenith Securities & Investments Limited	December	30-Oct-22
133	Rainbow Securities and Investment Company Limited	December	30-Oct-22
134	Readings Investment Limited	December	30-Oct-22
135	Regency Asset Management Limited	December	30-Oct-22
136	Rencap Securities (Nig) Limited	December	30-Oct-22
137	Resort Securities and Trust Limited	December	30-Oct-22
138	Reward Investment and Service Limited	December	30-Oct-22
139	RMB Nigeria Stockbrokers Limited	December	30-Oct-22
140	Rostrum Investment and Sec Limited	December	30-Oct-22
141	Rowet Capital Mgt Limited	December	30-Oct-22
142	Royal Crest Finance Limited	December	30-Oct-22
143	Royal Guaranty and Trust Limited	December	30-Oct-22
144	Sankore Securities Limited	December	30-Oct-22
145	Santrust Securities Limited	December	30-Oct-22
146	Securities Africa Financial Limited	December	30-Oct-22
147	Securities and Capital Management Company Limited	December	30-Oct-22
148	Security Swaps Limited	December	30-Oct-22
149	Shalom Investment and Financial Services Limited	December	30-Oct-22
150	Shelong Investments Limited	December	30-Oct-22
151	Sigma Securities Limited	December	30-Oct-22
152	Signet Investment and Securities Limited	December	30-Oct-22
153	Skyview Capital Ltd	December	30-Oct-22
154	Smadac Securities Limited	December	30-Oct-22
155	Solid Rock Securities and Investment Plc	December	30-Oct-22
156	Spring Trust and Securities Limited	December	30-Oct-22
157	Springboard Trust and Investment Limited	December	30-Oct-22
158	Stanbic IBTC Stockbrokers Limited	December	30-Oct-22
159	Standard Union Securities Limited	December	30-Oct-22
160	StoneX Financial Nigeria Limited	December	30-Oct-22
161	The Bridge Securities Limited	December	30-Oct-22
162	Tiddo Securities Limited	December	30-Oct-22
163	Tomil Trust Limited	December	30-Oct-22
164	Topmost Securities Limited	December	30-Oct-22
165	Tower Securities and Investment Company Limited	December	30-Oct-22
166	Trade Link Securities Limited	December	30-Oct-22
167	Traders Trust and Investment Co. Limited	December	30-Oct-22
168	Transworld Investment Limited	December	30-Oct-22
169	Trust Yield Securities Limited	December	30-Oct-22
170	TrustBanc Capital Management Limited	December	30-Oct-22
171	Trusthouse Investment Limited	December	30-Oct-22
172	TRW Stockbrokers Limited	December	30-Oct-22
173	Tyndale Securities Limited	December	30-Oct-22
174	UCML Capital Limited	December	30-Oct-22
175	UIDC Securities Limited	December	30-Oct-22
176	Unex Capital Limited	December	30-Oct-22
177	United Capital Securities Limited	December	30-Oct-22
178	Valmon Securities Limited	December	30-Oct-22

179	Valueline Securities and Investment Limited	December	30-Oct-22
180	Vetiva Securities Limited	December	30-Oct-22
181	WCM Capital Limited	December	30-Oct-22
182	WSTC Securities Limited	December	30-Oct-22
183	Zion Stockbrokers and Securities Limited	December	30-Oct-22

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	30-Oct-22
2	Investment Shark and Asset Mgt Ltd	December	30-Oct-22
3	Maven Asset Management Limited	December	30-Oct-22
4	Midas Stockbrokers Limited	December	30-Oct-22

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
8	Nigerian International Securities Ltd	December	30-Oct-22

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Oct-22
2	Adonai Stockbrokers Limited	December	30-Oct-22
3	Amyn Investment Limited	December	30-Oct-22
4	Cashcraft Securities Limited	December	30-Oct-22
5	CEB Securities Limited	December	30-Oct-22
6	Clearview Investments Company Limited	December	30-Oct-22
7	CLG Stockbrokers Limited	December	30-Oct-22
8	ECL Asset Management Limited	December	30-Oct-22
9	Elixir Securities Limited	December	30-Oct-22
10	Enterprise Stockbrokers Limited	December	30-Oct-22
11	First Stockbrokers Limited	December	30-Oct-22
12	GMT Securities and Asset Mangement Limited	December	30-Oct-22
13	Gombe Securities Limited	December	30-Oct-22
14	Horizon Stockbrokers Limited	December	30-Oct-22
15	ITIS Securities Limited	December	30-Oct-22
16	Mayfield Investment Limited	December	30-Oct-22
17	Midpoint Capital Limited	December	30-Oct-22
18	ML Securities Limited	December	30-Oct-22
19	Mutual Alliance Investment and Securities Limited	December	30-Oct-22

20	Primewealth Capital Limited	December	30-Oct-22
21	Quantum Securities Limited	December	30-Oct-22
22	Royal Trust Securities Limited	December	30-Oct-22
23	Standard Alliance Capital and Asset Management Limited	December	30-Oct-22
24	Supra Commercial Trust Limited	December	30-Oct-22

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2022

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Jan-23
2	Afrinvest Securities Limited	December	30-Jan-23
3	Anchoria Investment and Securities Limited	December	30-Jan-23
4	Apel Asset Limited	December	30-Jan-23
5	Apt Securities and Funds Limited	December	30-Jan-23
6	ARM Securities Limited	December	30-Jan-23
7	Arthur Stevens Asset Management Limited	December	30-Jan-23
8	Associated Asset Managers Limited	December	30-Jan-23
9	Atlass Portfolio Limited	December	30-Jan-23
10	AVA Securities Limited	December	30-Jan-23
11	Bancorp Securities Limited	December	30-Jan-23
12	Bauchi Investment Corp. Sec Limited	December	30-Jan-23
13	Belfry Investment and Securities Limited	December	30-Jan-23
14	Bestworth Assets and Trust Limited	December	30-Jan-23
15	Calyx Securities Limited	December	30-Jan-23
16	Camry Securities Limited	December	30-Jan-23
17	Capital Asset Limited	December	30-Jan-23
18	Capital Express Securities Limited	December	30-Jan-23
19	Capital Trust Brokers Limited	December	30-Jan-23
20	Cardinal Stone Securities Ltd	December	30-Jan-23
21	Cashville Investment and Securities Limited	December	30-Jan-23
22	CDL Capital Markets Limited	December	30-Jan-23
23	Centre Point Investment Limited	December	30-Jan-23
24	Century Securities Limited	December	30-Jan-23
25	Chapel Hill Denham Securities Limited	December	30-Jan-23
26	Chartwell Securities Limited	December	30-Jan-23
27	Citi Investment Capital Limited	December	30-Jan-23
28	City Code Trust and Investment Company Limited	December	30-Jan-23
29	Compass Investment and Securities Limited	December	30-Jan-23
30	Cordros Securities Limited	December	30-Jan-23
31	Core Securities Limited	December	30-Jan-23
32	Coronation Securities Limited	December	30-Jan-23
33	Cowry Securities Limited	December	30-Jan-23
34	Crossworld Securities Limited	December	30-Jan-23
35	Crown Capital Limited	December	30-Jan-23
36	CSL Stockbrokers Limited	December	30-Jan-23
37	Deep Trust Investment Limited	December	30-Jan-23

38	Delords Securities Limited	December	30-Jan-23
39	DLM Securities Limited	December	30-Jan-23
40	Dominion Trust Limited	December	30-Jan-23
41	DSU Brokerage Services Limited	December	30-Jan-23
42	Dunbell Securities Limited	December	30-Jan-23
43	Dynamic Portfolio Limited	December	30-Jan-23
44	EDC Securities Limited	December	30-Jan-23
45	Edgefield Capital Management Limited	December	30-Jan-23
46	EFG Hermes Nigeria Limited	December	30-Jan-23
47	El-Elyon Alliance and Securities Ltd	December	30-Jan-23
48	Equity Capital Solutions Limited	December	30-Jan-23
49	Eurocomm Securities Limited	December	30-Jan-23
50	Express Portfolio Services Limited	December	30-Jan-23
51	Falcon Securities Limited	December	30-Jan-23
52	FBC Trust and Securities Limited	December	30-Jan-23
53	FBNQuest Securities Limited	December	30-Jan-23
54	FCSL Asset Management Company Limited	December	30-Jan-23
55	Fidelity Finance Company Limited	December	30-Jan-23
56	Financial and Analytics Capital Limited	December	30-Jan-23
57	Financial Trust Company Nigeria Limited	December	30-Jan-23
58	Finmal Finance Services Limited	December	30-Jan-23
59	First Integrated Capital Manangement Limited	December	30-Jan-23
60	FIS Securities Limited	December	30-Jan-23
61	Foresight Securities and Investment Limited	December	30-Jan-23
62	Forte Financial Limited	December	30-Jan-23
63	Forthright Securities and Investments Limited	December	30-Jan-23
64	Fortress Capital Limited	December	30-Jan-23
65	FSDH Capital Limited	December	30-Jan-23
66	FSL Securities Limited	December	30-Jan-23
67	Funds Matrix and Assets Mgt Limited	December	30-Jan-23
68	Fundvine Capital and Securities Limited	December	30-Jan-23
69	Futureview Securities Limited	December	30-Jan-23
70	Gem Assets Management Limited	December	30-Jan-23
71	Gidauniya Investment and Securities Limited	December	30-Jan-23
72	Global Asset Management (Nig) Limited	December	30-Jan-23
73	GlobalView Capital Limited	December	30-Jan-23
74	Golden Securities Limited	December	30-Jan-23
75	Greenwich Securities Limited	December	30-Jan-23
76	Growth & Development Asset Management Limited	December	30-Jan-23
77	Gruene Capital Limited	December	30-Jan-23
78	GTI Securities Limited	December	30-Jan-23
79	Harmony Securities Limited	December	30-Jan-23
80	Heartbeat Investment Limited	December	30-Jan-23
81	Hedge Securities and Investments Company Limited	December	30-Jan-23
82	Heritage Capital Markets Limited	December	30-Jan-23
83	ICMG securities Limited	December	30-Jan-23
84	Icon Stockbrokers Limited	December	30-Jan-23

85	Imperial Asset Managers Limited	December	30-Jan-23
86	Integrated Trust and Investment Limited	December	30-Jan-23
87	Interstate Securities Limited	December	30-Jan-23
88	Investment One Stockbrokers International Limited	December	30-Jan-23
89	Investors and Trust Company Limited	December	30-Jan-23
90	Kapital Care Trust and Securities Limited	December	30-Jan-23
91	Kedari Capital Limited	December	30-Jan-23
92	Kinley Securities Limited	December	30-Jan-23
93	Kofana Securities and Investment Limited	December	30-Jan-23
94	Kundila Finance Services Limited	December	30-Jan-23
95	Lambeth Capital Limited	December	30-Jan-23
96	Lead Securities and Investment Limited	December	30-Jan-23
97	Lighthouse Capital Limited	December	30-Jan-23
98	Magnartis Finance and Investment Limited	December	30-Jan-23
99	Mainstreet Bank Securities Limited	December	30-Jan-23
100	Marimpex Finance and Investment Company Limited	December	30-Jan-23
101	Maxifund Investment and Securities Plc	December	30-Jan-23
102	MBC Securities Limited	December	30-Jan-23
103	Mega Equities Limited	December	30-Jan-23
104	Meristem Stockbrokers Limited	December	30-Jan-23
105	Milestone Capital Management Limited	December	30-Jan-23
106	Mission Securities Limited	December	30-Jan-23
107	Molten Trust Limited	December	30-Jan-23
108	Morgan Capital Securities Limited	December	30-Jan-23
109	Mountain Investment and Securities Limited	December	30-Jan-23
110	Network Capital Limited	December	30-Jan-23
111	Networth Securities and Finance Limited	December	30-Jan-23
112	Newdevco Investments and Securities Limited	December	30-Jan-23
113	Nigerian Stockbrokers Limited	December	30-Jan-23
114	Norrenberger Securities Limited	December	30-Jan-23
115	Nova Finance and Securities Limited	December	30-Jan-23
116	NOVAMBL Securities Limited	December	30-Jan-23
117	Osborne Capital Markets Limited	December	30-Jan-23
118	PAC Securities Limited	December	30-Jan-23
119	Parthian Securities Limited	December	30-Jan-23
120	Peace Capital Markets Ltd	December	30-Jan-23
121	Pilot Securities Limited	December	30-Jan-23
122	Pinefields Investment Services Limited	December	30-Jan-23
123	PIPC Securities Limited	December	30-Jan-23
124	Pivot Capital Limited	December	30-Jan-23
125	Planet Capital Limited	December	30-Jan-23
126	Portfolio Advisers Limited	December	30-Jan-23
127	Premium Capital and Stockbrokers Limited	December	30-Jan-23
128	Prominent Securities Limited	December	30-Jan-23
129	PSI Securities Limited	December	30-Jan-23
130	Pyramid Securities Limited	December	30-Jan-23
131	Qualinvest Capital Limited	December	30-Jan-23

132	Quantum Zenith Securities & Investments Limited	December	30-Jan-23
133	Rainbow Securities and Investment Company Limited	December	30-Jan-23
134	Readings Investment Limited	December	30-Jan-23
135	Regency Asset Management Limited	December	30-Jan-23
136	Rencap Securities (Nig) Limited	December	30-Jan-23
137	Resort Securities and Trust Limited	December	30-Jan-23
138	Reward Investment and Service Limited	December	30-Jan-23
139	RMB Nigeria Stockbrokers Limited	December	30-Jan-23
140	Rostrum Investment and Sec Limited	December	30-Jan-23
141	Rowet Capital Mgt Limited	December	30-Jan-23
142	Royal Crest Finance Limited	December	30-Jan-23
143	Royal Guaranty and Trust Limited	December	30-Jan-23
144	Sankore Securities Limited	December	30-Jan-23
145	Santrust Securities Limited	December	30-Jan-23
146	Securities Africa Financial Limited	December	30-Jan-23
147	Securities and Capital Management Company Limited	December	30-Jan-23
148	Security Swaps Limited	December	30-Jan-23
149	Shalom Investment and Financial Services Limited	December	30-Jan-23
150	Shelong Investments Limited	December	30-Jan-23
151	Sigma Securities Limited	December	30-Jan-23
152	Signet Investment and Securities Limited	December	30-Jan-23
153	Skyview Capital Ltd	December	30-Jan-23
154	Smadac Securities Limited	December	30-Jan-23
155	Solid Rock Securities and Investment Plc	December	30-Jan-23
156	Spring Trust and Securities Limited	December	30-Jan-23
157	Springboard Trust and Investment Limited	December	30-Jan-23
158	Stanbic IBTC Stockbrokers Limited	December	30-Jan-23
159	Standard Union Securities Limited	December	30-Jan-23
160	StoneX Financial Nigeria Limited	December	30-Jan-23
161	The Bridge Securities Limited	December	30-Jan-23
162	Tiddo Securities Limited	December	30-Jan-23
163	Tomil Trust Limited	December	30-Jan-23
164	Topmost Securities Limited	December	30-Jan-23
165	Tower Securities and Investment Company Limited	December	30-Jan-23
166	Trade Link Securities Limited	December	30-Jan-23
167	Traders Trust and Investment Co. Limited	December	30-Jan-23
168	Transworld Investment Limited	December	30-Jan-23
169	Trust Yield Securities Limited	December	30-Jan-23
170	TrustBanc Capital Management Limited	December	30-Jan-23
171	Trusthouse Investment Limited	December	30-Jan-23
172	TRW Stockbrokers Limited	December	30-Jan-23
173	Tyndale Securities Limited	December	30-Jan-23
174	UCML Capital Limited	December	30-Jan-23
175	UIDC Securities Limited	December	30-Jan-23
176	Unex Capital Limited	December	30-Jan-23
177	United Capital Securities Limited	December	30-Jan-23
178	Valmon Securities Limited	December	30-Jan-23

179	Valueline Securities and Investment Limited	December	30-Jan-23
180	Vetiva Securities Limited	December	30-Jan-23
181	WCM Capital Limited	December	30-Jan-23
182	WSTC Securities Limited	December	30-Jan-23
183	Zion Stockbrokers and Securities Limited	December	30-Jan-23

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	30-Jan-23
2	Investment Shark and Asset Mgt Ltd	December	30-Jan-23
3	Maven Asset Management Limited	December	30-Jan-23
4	Midas Stockbrokers Limited	December	30-Jan-23

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jan-23
2	Adonai Stockbrokers Limited	December	30-Jan-23
3	Amyn Investment Limited	December	30-Jan-23
4	Cashcraft Securities Limited	December	30-Jan-23
5	CEB Securities Limited	December	30-Jan-23
6	Clearview Investments Company Limited	December	30-Jan-23
7	CLG Stockbrokers Limited	December	30-Jan-23
8	ECL Asset Management Limited	December	30-Jan-23
9	Elixir Securities Limited	December	30-Jan-23
10	Enterprise Stockbrokers Limited	December	30-Jan-23
11	First Stockbrokers Limited	December	30-Jan-23
12	GMT Securities and Asset Mangement Limited	December	30-Jan-23
13	Gombe Securities Limited	December	30-Jan-23
14	Horizon Stockbrokers Limited	December	30-Jan-23
15	ITIS Securities Limited	December	30-Jan-23
16	Mayfield Investment Limited	December	30-Jan-23
17	Midpoint Capital Limited	December	30-Jan-23
18	ML Securities Limited	December	30-Jan-23

19	Mutual Alliance Investment and Securities Limited	December	30-Jan-23
20	Nigerian International Securities Ltd	December	30-Jan-23
21	Primewealth Capital Limited	December	30-Jan-23
22	Quantum Securities Limited	December	30-Jan-23
23	Royal Trust Securities Limited	December	30-Jan-23
24	Standard Alliance Capital and Asset Management Limited	December	30-Jan-23
25	Supra Commercial Trust Limited	December	30-Jan-23

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2023

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Apr-23
2	Afrinvest Securities Limited	December	30-Apr-23
3	Anchoria Investment and Securities Limited	December	30-Apr-23
4	Apel Asset Limited	December	30-Apr-23
5	Apt Securities and Funds Limited	December	30-Apr-23
6	ARM Securities Limited	December	30-Apr-23
7	Arthur Stevens Asset Management Limited	December	30-Apr-23
8	Associated Asset Managers Limited	December	30-Apr-23
9	Atlass Portfolio Limited	December	30-Apr-23
10	AVA Securities Limited	December	30-Apr-23
11	Bancorp Securities Limited	December	30-Apr-23
12	Bauchi Investment Corp. Sec Limited	December	30-Apr-23
13	Belfry Investment and Securities Limited	December	30-Apr-23
14	Bestworth Assets and Trust Limited	December	30-Apr-23
15	Calyx Securities Limited	December	30-Apr-23
16	Camry Securities Limited	December	30-Apr-23
17	Capital Asset Limited	December	30-Apr-23
18	Capital Express Securities Limited	December	30-Apr-23
19	Capital Trust Brokers Limited	December	30-Apr-23
20	Cardinal Stone Securities Ltd	December	30-Apr-23
21	Cashville Investment and Securities Limited	December	30-Apr-23
22	CDL Capital Markets Limited	December	30-Apr-23
23	Centre Point Investment Limited	December	30-Apr-23
24	Century Securities Limited	December	30-Apr-23
25	Chapel Hill Denham Securities Limited	December	30-Apr-23
26	Chartwell Securities Limited	December	30-Apr-23
27	Citi Investment Capital Limited	December	30-Apr-23
28	City Code Trust and Investment Company Limited	December	30-Apr-23
29	Compass Investment and Securities Limited	December	30-Apr-23
30	Cordros Securities Limited	December	30-Apr-23
31	Coronation Securities Limited	December	30-Apr-23
32	Cowry Securities Limited	December	30-Apr-23
33	Crossworld Securities Limited	December	30-Apr-23
34	Crown Capital Limited	December	30-Apr-23
35	CSL Stockbrokers Limited	December	30-Apr-23
36	Deep Trust Investment Limited	December	30-Apr-23
37	Delords Securities Limited	December	30-Apr-23

38	DLM Securities Limited	December	30-Apr-23
39	Dominion Trust Limited	December	30-Apr-23
40	DSU Brokerage Services Limited	December	30-Apr-23
41	Dunbell Securities Limited	December	30-Apr-23
42	Dynamic Portfolio Limited	December	30-Apr-23
43	EDC Securities Limited	December	30-Apr-23
44	Edgefield Capital Management Limited	December	30-Apr-23
45	EFG Hermes Nigeria Limited	December	30-Apr-23
46	El-Elyon Alliance and Securities Ltd	December	30-Apr-23
47	Equity Capital Solutions Limited	December	30-Apr-23
48	Eurocomm Securities Limited	December	30-Apr-23
49	Express Portfolio Services Limited	December	30-Apr-23
50	Falcon Securities Limited	December	30-Apr-23
51	FBC Trust and Securities Limited	December	30-Apr-23
52	FBNQuest Securities Limited	December	30-Apr-23
53	FCSL Asset Management Company Limited	December	30-Apr-23
54	Fidelity Finance Company Limited	December	30-Apr-23
55	Financial and Analytics Capital Limited	December	30-Apr-23
56	Financial Trust Company Nigeria Limited	December	30-Apr-23
57	Finmal Finance Services Limited	December	30-Apr-23
58	First Integrated Capital Manangement Limited	December	30-Apr-23
59	FIS Securities Limited	December	30-Apr-23
60	Foresight Securities and Investment Limited	December	30-Apr-23
61	Forte Financial Limited	December	30-Apr-23
62	Forthright Securities and Investments Limited	December	30-Apr-23
63	Fortress Capital Limited	December	30-Apr-23
64	FSDH Capital Limited	December	30-Apr-23
65	FSL Securities Limited	December	30-Apr-23
66	Funds Matrix and Assets Mgt Limited	December	30-Apr-23
67	Fundvine Capital and Securities Limited	December	30-Apr-23
68	Futureview Securities Limited	December	30-Apr-23
69	Gem Assets Management Limited	December	30-Apr-23
70	Gidauniya Investment and Securities Limited	December	30-Apr-23
71	Global Asset Management (Nig) Limited	December	30-Apr-23
72	GlobalView Capital Limited	December	30-Apr-23
73	Golden Securities Limited	December	30-Apr-23
74	Greenwich Securities Limited	December	30-Apr-23
75	Growth & Development Asset Management Limited	December	30-Apr-23
76	Gruene Capital Limited	December	30-Apr-23
77	GTI Securities Limited	December	30-Apr-23
78	Harmony Securities Limited	December	30-Apr-23
79	Heartbeat Investment Limited	December	30-Apr-23
80	Hedge Securities and Investments Company Limited	December	30-Apr-23
81	Heritage Capital Markets Limited	December	30-Apr-23
82	ICMG securities Limited	December	30-Apr-23
83	Icon Stockbrokers Limited	December	30-Apr-23
84	Imperial Asset Managers Limited	December	30-Apr-23

85	Integrated Trust and Investment Limited	December	30-Apr-23
86	Interstate Securities Limited	December	30-Apr-23
87	Investment One Stockbrokers International Limited	December	30-Apr-23
88	Investors and Trust Company Limited	December	30-Apr-23
89	Kapital Care Trust and Securities Limited	December	30-Apr-23
90	Kedari Capital Limited	December	30-Apr-23
91	Kinley Securities Limited	December	30-Apr-23
92	Kofana Securities and Investment Limited	December	30-Apr-23
93	Kundila Finance Services Limited	December	30-Apr-23
94	Lambeth Capital Limited	December	30-Apr-23
95	Lead Securities and Investment Limited	December	30-Apr-23
96	Lighthouse Capital Limited	December	30-Apr-23
97	Magnartis Finance and Investment Limited	December	30-Apr-23
98	Mainstreet Bank Securities Limited	December	30-Apr-23
99	Marimpex Finance and Investment Company Limited	December	30-Apr-23
100	Maxifund Investment and Securities Plc	December	30-Apr-23
101	MBC Securities Limited	December	30-Apr-23
102	Mega Equities Limited	December	30-Apr-23
103	Meristem Stockbrokers Limited	December	30-Apr-23
104	Milestone Capital Management Limited	December	30-Apr-23
105	Mission Securities Limited	December	30-Apr-23
106	Molten Trust Limited	December	30-Apr-23
107	Morgan Capital Securities Limited	December	30-Apr-23
108	Mountain Investment and Securities Limited	December	30-Apr-23
109	Network Capital Limited	December	30-Apr-23
110	Networth Securities and Finance Limited	December	30-Apr-23
111	Newdevco Investments and Securities Limited	December	30-Apr-23
112	Nigerian Stockbrokers Limited	December	30-Apr-23
113	Norrenberger Securities Limited	December	30-Apr-23
114	Nova Finance and Securities Limited	December	30-Apr-23
115	NOVAMBL Securities Limited	December	30-Apr-23
116	Osborne Capital Markets Limited	December	30-Apr-23
117	PAC Securities Limited	December	30-Apr-23
118	Parthian Securities Limited	December	30-Apr-23
119	Peace Capital Markets Ltd	December	30-Apr-23
120	Pilot Securities Limited	December	30-Apr-23
121	Pinefields Investment Services Limited	December	30-Apr-23
122	PIPC Securities Limited	December	30-Apr-23
123	Pivot Capital Limited	December	30-Apr-23
124	Planet Capital Limited	December	30-Apr-23
125	Portfolio Advisers Limited	December	30-Apr-23
126	Premium Capital and Stockbrokers Limited	December	30-Apr-23
127	Prominent Securities Limited	December	30-Apr-23
128	PSI Securities Limited	December	30-Apr-23
129	Pyramid Securities Limited	December	30-Apr-23
130	Qualinvest Capital Limited	December	30-Apr-23
131	Quantum Zenith Securities & Investments Limited	December	30-Apr-23

132	Rainbow Securities and Investment Company Limited	December	30-Apr-23
133	Readings Investment Limited	December	30-Apr-23
134	Regency Asset Management Limited	December	30-Apr-23
135	Rencap Securities (Nig) Limited	December	30-Apr-23
136	Resort Securities and Trust Limited	December	30-Apr-23
137	Reward Investment and Service Limited	December	30-Apr-23
138	RMB Nigeria Stockbrokers Limited	December	30-Apr-23
139	Rostrum Investment and Sec Limited	December	30-Apr-23
140	Rowet Capital Mgt Limited	December	30-Apr-23
141	Royal Crest Finance Limited	December	30-Apr-23
142	Royal Guaranty and Trust Limited	December	30-Apr-23
143	Sankore Securities Limited	December	30-Apr-23
144	Santrust Securities Limited	December	30-Apr-23
145	Securities Africa Financial Limited	December	30-Apr-23
146	Securities and Capital Management Company Limited	December	30-Apr-23
147	Security Swaps Limited	December	30-Apr-23
148	Shalom Investment and Financial Services Limited	December	30-Apr-23
149	Shelong Investments Limited	December	30-Apr-23
150	Sigma Securities Limited	December	30-Apr-23
151	Signet Investment and Securities Limited	December	30-Apr-23
152	Skyview Capital Ltd	December	30-Apr-23
153	Smadac Securities Limited	December	30-Apr-23
154	Solid Rock Securities and Investment Plc	December	30-Apr-23
155	Spring Trust and Securities Limited	December	30-Apr-23
156	Springboard Trust and Investment Limited	December	30-Apr-23
157	Stanbic IBTC Stockbrokers Limited	December	30-Apr-23
158	Standard Union Securities Limited	December	30-Apr-23
159	StoneX Financial Nigeria Limited	December	30-Apr-23
160	The Bridge Securities Limited	December	30-Apr-23
161	Tiddo Securities Limited	December	30-Apr-23
162	Tomil Trust Limited	December	30-Apr-23
163	Topmost Securities Limited	December	30-Apr-23
164	Tower Securities and Investment Company Limited	December	30-Apr-23
165	Trade Link Securities Limited	December	30-Apr-23
166	Traders Trust and Investment Co. Limited	December	30-Apr-23
167	Transworld Investment Limited	December	30-Apr-23
168	Trust Yield Securities Limited	December	30-Apr-23
169	TrustBanc Capital Management Limited	December	30-Apr-23
170	Trusthouse Investment Limited	December	30-Apr-23
171	TRW Stockbrokers Limited	December	30-Apr-23
172	Tyndale Securities Limited	December	30-Apr-23
173	UCML Capital Limited	December	30-Apr-23
174	UIDC Securities Limited	December	30-Apr-23
175	Unex Capital Limited	December	30-Apr-23
176	United Capital Securities Limited	December	30-Apr-23
177	Valmon Securities Limited	December	30-Apr-23
178	Valueline Securities and Investment Limited	December	30-Apr-23

179	Vetiva Securities Limited	December	30-Apr-23
180	WCM Capital Limited	December	30-Apr-23
181	WSTC Securities Limited	December	30-Apr-23
182	Zion Stockbrokers and Securities Limited	December	30-Apr-23

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Investment Shark and Asset Mgt Ltd	December	30-Apr-23
2	Maven Asset Management Limited	December	30-Apr-23
3	Midas Stockbrokers Limited	December	30-Apr-23
4	Midpoint Capital Limited	December	30-Apr-23
5	Primewealth Capital Limited	December	30-Apr-23

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Core Securities Limited	December	30-Apr-23

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Apr-23
2	Adonai Stockbrokers Limited	December	30-Apr-23
3	Amyn Investment Limited	December	30-Apr-23
4	Cashcraft Securities Limited	December	30-Apr-23
5	CEB Securities Limited	December	30-Apr-23
6	Clearview Investments Company Limited	December	30-Apr-23
7	CLG Stockbrokers Limited	December	30-Apr-23
8	Crane Securities Limited	December	30-Apr-23
9	ECL Asset Management Limited	December	30-Apr-23
10	Elixir Securities Limited	December	30-Apr-23
11	Enterprise Stockbrokers Limited	December	30-Apr-23
12	First Stockbrokers Limited	December	30-Apr-23
13	GMT Securities and Asset Mangement Limited	December	30-Apr-23
14	Gombe Securities Limited	December	30-Apr-23
15	Horizon Stockbrokers Limited	December	30-Apr-23
16	ITIS Securities Limited	December	30-Apr-23
17	Mayfield Investment Limited	December	30-Apr-23
18	ML Securities Limited	December	30-Apr-23

19	Mutual Alliance Investment and Securities Limited	December	30-Apr-23
20	Nigerian International Securities Ltd	December	30-Apr-23
21	Quantum Securities Limited	December	30-Apr-23
22	Royal Trust Securities Limited	December	30-Apr-23
23	Standard Alliance Capital and Asset Management Limited	December	30-Apr-23
24	Supra Commercial Trust Limited	December	30-Apr-23

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2023

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Jul-23
2	Afrinvest Securities Limited	December	30-Jul-23
3	Anchoria Investment and Securities Limited	December	30-Jul-23
4	Apel Asset Limited	December	30-Jul-23
5	Apt Securities and Funds Limited	December	30-Jul-23
6	ARM Securities Limited	December	30-Jul-23
7	Arthur Stevens Asset Management Limited	December	30-Jul-23
8	Associated Asset Managers Limited	December	30-Jul-23
9	Atlass Portfolio Limited	December	30-Jul-23
10	AVA Securities Limited	December	30-Jul-23
11	Bancorp Securities Limited	December	30-Jul-23
12	Bauchi Investment Corp. Sec Limited	December	30-Jul-23
13	Belfry Investment and Securities Limited	December	30-Jul-23
14	Bestworth Assets and Trust Limited	December	30-Jul-23
15	Calyx Securities Limited	December	30-Jul-23
16	Camry Securities Limited	December	30-Jul-23
17	Capital Asset Limited	December	30-Jul-23
18	Capital Express Securities Limited	December	30-Jul-23
19	Capital Trust Brokers Limited	December	30-Jul-23
20	Cardinal Stone Securities Ltd	December	30-Jul-23
21	Cashville Investment and Securities Limited	December	30-Jul-23
22	CDL Capital Markets Limited	December	30-Jul-23
23	Centre Point Investment Limited	December	30-Jul-23
24	Century Securities Limited	December	30-Jul-23
25	Chapel Hill Denham Securities Limited	December	30-Jul-23
26	Chartwell Securities Limited	December	30-Jul-23
27	Citi Investment Capital Limited	December	30-Jul-23
28	City Code Trust and Investment Company Limited	December	30-Jul-23
29	Compass Investment and Securities Limited	December	30-Jul-23
30	Cordros Securities Limited	December	30-Jul-23
31	Coronation Securities Limited	December	30-Jul-23
32	Cowry Securities Limited	December	30-Jul-23
33	Crossworld Securities Limited	December	30-Jul-23
34	Crown Capital Limited	December	30-Jul-23
35	CSL Stockbrokers Limited	December	30-Jul-23
36	Deep Trust Investment Limited	December	30-Jul-23
37	Delords Securities Limited	December	30-Jul-23

38	DLM Securities Limited	December	30-Jul-23
39	Dominion Trust Limited	December	30-Jul-23
40	DSU Brokerage Services Limited	December	30-Jul-23
41	Dunbell Securities Limited	December	30-Jul-23
42	Dynamic Portfolio Limited	December	30-Jul-23
43	EDC Securities Limited	December	30-Jul-23
44	Edgefield Capital Management Limited	December	30-Jul-23
45	EFG Hermes Nigeria Limited	December	30-Jul-23
46	El-Elyon Alliance and Securities Ltd	December	30-Jul-23
47	Equity Capital Solutions Limited	December	30-Jul-23
48	Eurocomm Securities Limited	December	30-Jul-23
49	Express Portfolio Services Limited	December	30-Jul-23
50	Falcon Securities Limited	December	30-Jul-23
51	FBC Trust and Securities Limited	December	30-Jul-23
52	FBNQuest Securities Limited	December	30-Jul-23
53	FCSL Asset Management Company Limited	December	30-Jul-23
54	Fidelity Finance Company Limited	December	30-Jul-23
55	Financial and Analytics Capital Limited	December	30-Jul-23
56	Financial Trust Company Nigeria Limited	December	30-Jul-23
57	Finmal Finance Services Limited	December	30-Jul-23
58	First Integrated Capital Manangement Limited	December	30-Jul-23
59	FIS Securities Limited	December	30-Jul-23
60	Foresight Securities and Investment Limited	December	30-Jul-23
61	Forthright Securities and Investments Limited	December	30-Jul-23
62	Fortress Capital Limited	December	30-Jul-23
63	FSDH Capital Limited	December	30-Jul-23
64	FSL Securities Limited	December	30-Jul-23
65	Funds Matrix and Assets Mgt Limited	December	30-Jul-23
66	Fundvine Capital and Securities Limited	December	30-Jul-23
67	Futureview Securities Limited	December	30-Jul-23
68	Gem Assets Management Limited	December	30-Jul-23
69	Gidauniya Investment and Securities Limited	December	30-Jul-23
70	Global Asset Management (Nig) Limited	December	30-Jul-23
71	GlobalView Capital Limited	December	30-Jul-23
72	Golden Securities Limited	December	30-Jul-23
73	Greenwich Securities Limited	December	30-Jul-23
74	Growth & Development Asset Management Limited	December	30-Jul-23
75	Gruene Capital Limited	December	30-Jul-23
76	GTI Securities Limited	December	30-Jul-23
77	Harmony Securities Limited	December	30-Jul-23
78	Heartbeat Investment Limited	December	30-Jul-23
79	Hedge Securities and Investments Company Limited	December	30-Jul-23
80	Heritage Capital Markets Limited	December	30-Jul-23
81	ICMG securities Limited	December	30-Jul-23
82	Icon Stockbrokers Limited	December	30-Jul-23
83	Imperial Asset Managers Limited	December	30-Jul-23
84	Integrated Trust and Investment Limited	December	30-Jul-23

85	Interstate Securities Limited	December	30-Jul-23
86	Investment One Stockbrokers International Limited	December	30-Jul-23
87	Investors and Trust Company Limited	December	30-Jul-23
88	Kapital Care Trust and Securities Limited	December	30-Jul-23
89	Kedari Capital Limited	December	30-Jul-23
90	Kinley Securities Limited	December	30-Jul-23
91	Kofana Securities and Investment Limited	December	30-Jul-23
92	Kundila Finance Services Limited	December	30-Jul-23
93	Lambeth Capital Limited	December	30-Jul-23
94	Lead Securities and Investment Limited	December	30-Jul-23
95	Lighthouse Capital Limited	December	30-Jul-23
96	Magnartis Finance and Investment Limited	December	30-Jul-23
97	Mainstreet Bank Securities Limited	December	30-Jul-23
98	Marimpex Finance and Investment Company Limited	December	30-Jul-23
99	Maxifund Investment and Securities Plc	December	30-Jul-23
100	MBC Securities Limited	December	30-Jul-23
101	Mega Equities Limited	December	30-Jul-23
102	Meristem Stockbrokers Limited	December	30-Jul-23
103	Milestone Capital Management Limited	December	30-Jul-23
104	Mission Securities Limited	December	30-Jul-23
105	Molten Trust Limited	December	30-Jul-23
106	Morgan Capital Securities Limited	December	30-Jul-23
107	Mountain Investment and Securities Limited	December	30-Jul-23
108	Network Capital Limited	December	30-Jul-23
109	Networth Securities and Finance Limited	December	30-Jul-23
110	Newdevco Investments and Securities Limited	December	30-Jul-23
111	Nigerian Stockbrokers Limited	December	30-Jul-23
112	Norrenberger Securities Limited	December	30-Jul-23
113	Nova Finance and Securities Limited	December	30-Jul-23
114	NOVAMBL Securities Limited	December	30-Jul-23
115	Osborne Capital Markets Limited	December	30-Jul-23
116	PAC Securities Limited	December	30-Jul-23
117	Parthian Securities Limited	December	30-Jul-23
118	Peace Capital Markets Ltd	December	30-Jul-23
119	Pilot Securities Limited	December	30-Jul-23
120	Pinefields Investment Services Limited	December	30-Jul-23
121	PIPC Securities Limited	December	30-Jul-23
122	Pivot Capital Limited	December	30-Jul-23
123	Planet Capital Limited	December	30-Jul-23
124	Portfolio Advisers Limited	December	30-Jul-23
125	Premium Capital and Stockbrokers Limited	December	30-Jul-23
126	Primewealth Capital Limited	December	30-Jul-23
127	Prominent Securities Limited	December	30-Jul-23
128	PSI Securities Limited	December	30-Jul-23
129	Pyramid Securities Limited	December	30-Jul-23
130	Qualinvest Capital Limited	December	30-Jul-23
131	Quantum Zenith Securities & Investments Limited	December	30-Jul-23

132	Rainbow Securities and Investment Company Limited	December	30-Jul-23
133	Readings Investment Limited	December	30-Jul-23
134	Regency Asset Management Limited	December	30-Jul-23
135	Rencap Securities (Nig) Limited	December	30-Jul-23
136	Resort Securities and Trust Limited	December	30-Jul-23
137	Reward Investment and Service Limited	December	30-Jul-23
138	RMB Nigeria Stockbrokers Limited	December	30-Jul-23
139	Rostrum Investment and Sec Limited	December	30-Jul-23
140	Rowet Capital Mgt Limited	December	30-Jul-23
141	Royal Crest Finance Limited	December	30-Jul-23
142	Royal Guaranty and Trust Limited	December	30-Jul-23
143	Sankore Securities Limited	December	30-Jul-23
144	Santrust Securities Limited	December	30-Jul-23
145	Securities Africa Financial Limited	December	30-Jul-23
146	Securities and Capital Management Company Limited	December	30-Jul-23
147	Security Swaps Limited	December	30-Jul-23
148	Shalom Investment and Financial Services Limited	December	30-Jul-23
149	Shelong Investments Limited	December	30-Jul-23
150	Sigma Securities Limited	December	30-Jul-23
151	Signet Investment and Securities Limited	December	30-Jul-23
152	Skyview Capital Ltd	December	30-Jul-23
153	Smadac Securities Limited	December	30-Jul-23
154	Solid Rock Securities and Investment Plc	December	30-Jul-23
155	Spring Trust and Securities Limited	December	30-Jul-23
156	Springboard Trust and Investment Limited	December	30-Jul-23
157	Stanbic IBTC Stockbrokers Limited	December	30-Jul-23
158	Standard Union Securities Limited	December	30-Jul-23
159	StoneX Financial Nigeria Limited	December	30-Jul-23
160	The Bridge Securities Limited	December	30-Jul-23
161	Tiddo Securities Limited	December	30-Jul-23
162	Tomil Trust Limited	December	30-Jul-23
163	Topmost Securities Limited	December	30-Jul-23
164	Tower Securities and Investment Company Limited	December	30-Jul-23
165	Trade Link Securities Limited	December	30-Jul-23
166	Traders Trust and Investment Co. Limited	December	30-Jul-23
167	Transworld Investment Limited	December	30-Jul-23
168	Trust Yield Securities Limited	December	30-Jul-23
169	TrustBanc Capital Management Limited	December	30-Jul-23
170	Trusthouse Investment Limited	December	30-Jul-23
171	TRW Stockbrokers Limited	December	30-Jul-23
172	Tyndale Securities Limited	December	30-Jul-23
173	UCML Capital Limited	December	30-Jul-23
174	UIDC Securities Limited	December	30-Jul-23
175	Unex Capital Limited	December	30-Jul-23
176	United Capital Securities Limited	December	30-Jul-23
177	Valmon Securities Limited	December	30-Jul-23
178	Valueline Securities and Investment Limited	December	30-Jul-23

179	Vetiva Securities Limited	December	30-Jul-23
180	WCM Capital Limited	December	30-Jul-23
181	WSTC Securities Limited	December	30-Jul-23
182	Zion Stockbrokers and Securities Limited	December	30-Jul-23

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Investment Shark and Asset Mgt Ltd	December	30-Jul-23
2	Maven Asset Management Limited	December	30-Jul-23
3	Midas Stockbrokers Limited	December	30-Jul-23
4	Midpoint Capital Limited	December	30-Jul-23

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**
1	Forte Financial Limited	December	30-Jul-23

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jul-23
2	Adonai Stockbrokers Limited	December	30-Jul-23
3	Amyn Investment Limited	December	30-Jul-23
4	Cashcraft Securities Limited	December	30-Jul-23
5	CEB Securities Limited	December	30-Jul-23
6	Clearview Investments Company Limited	December	30-Jul-23
7	CLG Stockbrokers Limited	December	30-Jul-23
8	Core Securities Limited	December	30-Jul-23
9	Crane Securities Limited	December	30-Jul-23
10	ECL Asset Management Limited	December	30-Jul-23
11	Elixir Securities Limited	December	30-Jul-23
12	Enterprise Stockbrokers Limited	December	30-Jul-23
13	First Stockbrokers Limited	December	30-Jul-23
14	GMT Securities and Asset Mangement Limited	December	30-Jul-23
15	Gombe Securities Limited	December	30-Jul-23
16	Horizon Stockbrokers Limited	December	30-Jul-23
17	ITIS Securities Limited	December	30-Jul-23
18	Mayfield Investment Limited	December	30-Jul-23
19	ML Securities Limited	December	30-Jul-23

20	Mutual Alliance Investment and Securities Limited	December	30-Jul-23
21	Nigerian International Securities Ltd	December	30-Jul-23
22	Quantum Securities Limited	December	30-Jul-23
23	Royal Trust Securities Limited	December	30-Jul-23
24	Standard Alliance Capital and Asset Management Limited	December	30-Jul-23
25	Supra Commercial Trust Limited	December	30-Jul-23

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED SEPTEMBER 2023

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Oct-23
2	Afrinvest Securities Limited	December	30-Oct-23
3	Anchoria Investment and Securities Limited	December	30-Oct-23
4	Apel Asset Limited	December	30-Oct-23
5	Apt Securities and Funds Limited	December	30-Oct-23
6	ARM Securities Limited	December	30-Oct-23
7	Arthur Stevens Asset Management Limited	December	30-Oct-23
8	Associated Asset Managers Limited	December	30-Oct-23
9	Atlass Portfolio Limited	December	30-Oct-23
10	AVA Securities Limited	December	30-Oct-23
11	Bancorp Securities Limited	December	30-Oct-23
12	Bauchi Investment Corp. Sec Limited	December	30-Oct-23
13	Belfry Investment and Securities Limited	December	30-Oct-23
14	Bestworth Assets and Trust Limited	December	30-Oct-23
15	Calyx Securities Limited	December	30-Oct-23
16	Camry Securities Limited	December	30-Oct-23
17	Capital Asset Limited	December	30-Oct-23
18	Capital Express Securities Limited	December	30-Oct-23
19	Capital Trust Brokers Limited	December	30-Oct-23
20	Cardinal Stone Securities Ltd	December	30-Oct-23
21	Cashville Investment and Securities Limited	December	30-Oct-23
22	CDL Capital Markets Limited	December	30-Oct-23
23	Centre Point Investment Limited	December	30-Oct-23
24	Century Securities Limited	December	30-Oct-23
25	Chapel Hill Denham Securities Limited	December	30-Oct-23
26	Chartwell Securities Limited	December	30-Oct-23
27	Citi Investment Capital Limited	December	30-Oct-23
28	City Code Trust and Investment Company Limited	December	30-Oct-23
29	Compass Investment and Securities Limited	December	30-Oct-23
30	Cordros Securities Limited	December	30-Oct-23
31	Coronation Securities Limited	December	30-Oct-23
32	Cowry Securities Limited	December	30-Oct-23
33	Crossworld Securities Limited	December	30-Oct-23
34	Crown Capital Limited	December	30-Oct-23
35	CSL Stockbrokers Limited	December	30-Oct-23
36	Deep Trust Investment Limited	December	30-Oct-23
37	Delords Securities Limited	December	30-Oct-23

38	DLM Securities Limited	December	30-Oct-23
39	Dominion Trust Limited	December	30-Oct-23
40	DSU Brokerage Services Limited	December	30-Oct-23
41	Dunbell Securities Limited	December	30-Oct-23
42	Dynamic Portfolio Limited	December	30-Oct-23
43	EDC Securities Limited	December	30-Oct-23
44	Edgefield Capital Management Limited	December	30-Oct-23
45	EFG Hermes Nigeria Limited	December	30-Oct-23
46	El-Elyon Alliance and Securities Ltd	December	30-Oct-23
47	Equity Capital Solutions Limited	December	30-Oct-23
48	Eurocomm Securities Limited	December	30-Oct-23
49	Express Portfolio Services Limited	December	30-Oct-23
50	Falcon Securities Limited	December	30-Oct-23
51	FBC Trust and Securities Limited	December	30-Oct-23
52	FBNQuest Securities Limited	December	30-Oct-23
53	FCSL Asset Management Company Limited	December	30-Oct-23
54	Fidelity Finance Company Limited	December	30-Oct-23
55	Financial and Analytics Capital Limited	December	30-Oct-23
56	Financial Trust Company Nigeria Limited	December	30-Oct-23
57	Finmal Finance Services Limited	December	30-Oct-23
58	First Integrated Capital Manangement Limited	December	30-Oct-23
59	FIS Securities Limited	December	30-Oct-23
60	Foresight Securities and Investment Limited	December	30-Oct-23
61	Forte Financial Limited	December	30-Oct-23
62	Forthright Securities and Investments Limited	December	30-Oct-23
63	Fortress Capital Limited	December	30-Oct-23
64	FSDH Capital Limited	December	30-Oct-23
65	FSL Securities Limited	December	30-Oct-23
66	Funds Matrix and Assets Mgt Limited	December	30-Oct-23
67	Fundvine Capital and Securities Limited	December	30-Oct-23
68	Futureview Securities Limited	December	30-Oct-23
69	Gem Assets Management Limited	December	30-Oct-23
70	Gidauniya Investment and Securities Limited	December	30-Oct-23
71	Global Asset Management (Nig) Limited	December	30-Oct-23
72	GlobalView Capital Limited	December	30-Oct-23
73	Golden Securities Limited	December	30-Oct-23
74	Greenwich Securities Limited	December	30-Oct-23
75	Growth & Development Asset Management Limited	December	30-Oct-23
76	Gruene Capital Limited	December	30-Oct-23
77	GTI Securities Limited	December	30-Oct-23
78	Harmony Securities Limited	December	30-Oct-23
79	Heartbeat Investment Limited	December	30-Oct-23
80	Hedge Securities and Investments Company Limited	December	30-Oct-23
81	Heritage Capital Markets Limited	December	30-Oct-23
82	ICMG securities Limited	December	30-Oct-23
83	Icon Stockbrokers Limited	December	30-Oct-23
84	Imperial Asset Managers Limited	December	30-Oct-23

85	Integrated Trust and Investment Limited	December	30-Oct-23
86	Interstate Securities Limited	December	30-Oct-23
87	Investment One Stockbrokers International Limited	December	30-Oct-23
88	Investors and Trust Company Limited	December	30-Oct-23
89	Kapital Care Trust and Securities Limited	December	30-Oct-23
90	Kedari Capital Limited	December	30-Oct-23
91	Kinley Securities Limited	December	30-Oct-23
92	Kofana Securities and Investment Limited	December	30-Oct-23
93	Kundila Finance Services Limited	December	30-Oct-23
94	Lambeth Capital Limited	December	30-Oct-23
95	Lead Securities and Investment Limited	December	30-Oct-23
96	Lighthouse Capital Limited	December	30-Oct-23
97	Magnartis Finance and Investment Limited	December	30-Oct-23
98	Mainstreet Bank Securities Limited	December	30-Oct-23
99	Marimpex Finance and Investment Company Limited	December	30-Oct-23
100	MBC Securities Limited	December	30-Oct-23
101	Mega Equities Limited	December	30-Oct-23
102	Meristem Stockbrokers Limited	December	30-Oct-23
103	Midas Stockbrokers Limited	December	30-Oct-23
104	Milestone Capital Management Limited	December	30-Oct-23
105	Mission Securities Limited	December	30-Oct-23
106	Molten Trust Limited	December	30-Oct-23
107	Morgan Capital Securities Limited	December	30-Oct-23
108	Mountain Investment and Securities Limited	December	30-Oct-23
109	Network Capital Limited	December	30-Oct-23
110	Networth Securities and Finance Limited	December	30-Oct-23
111	Newdevco Investments and Securities Limited	December	30-Oct-23
112	Nigerian Stockbrokers Limited	December	30-Oct-23
113	Norrenberger Securities Limited	December	30-Oct-23
114	Nova Finance and Securities Limited	December	30-Oct-23
115	NOVAMBL Securities Limited	December	30-Oct-23
116	Osborne Capital Markets Limited	December	30-Oct-23
117	PAC Securities Limited	December	30-Oct-23
118	Parthian Securities Limited	December	30-Oct-23
119	Pilot Securities Limited	December	30-Oct-23
120	Pinefields Investment Services Limited	December	30-Oct-23
121	PIPC Securities Limited	December	30-Oct-23
122	Pivot Capital Limited	December	30-Oct-23
123	Planet Capital Limited	December	30-Oct-23
124	Portfolio Advisers Limited	December	30-Oct-23
125	Premium Capital and Stockbrokers Limited	December	30-Oct-23
126	Primewealth Capital Limited	December	30-Oct-23
127	Prominent Securities Limited	December	30-Oct-23
128	PSI Securities Limited	December	30-Oct-23
129	Pyramid Securities Limited	December	30-Oct-23
130	Qualinvest Capital Limited	December	30-Oct-23
131	Quantum Zenith Securities & Investments Limited	December	30-Oct-23

132	Rainbow Securities and Investment Company Limited	December	30-Oct-23
133	Readings Investment Limited	December	30-Oct-23
134	Regency Asset Management Limited	December	30-Oct-23
135	Rencap Securities (Nig) Limited	December	30-Oct-23
136	Resort Securities and Trust Limited	December	30-Oct-23
137	Reward Investment and Service Limited	December	30-Oct-23
138	RMB Nigeria Stockbrokers Limited	December	30-Oct-23
139	Rostrum Investment and Sec Limited	December	30-Oct-23
140	Rowet Capital Mgt Limited	December	30-Oct-23
141	Royal Crest Finance Limited	December	30-Oct-23
142	Royal Guaranty and Trust Limited	December	30-Oct-23
143	Sankore Securities Limited	December	30-Oct-23
144	Santrust Securities Limited	December	30-Oct-23
145	Securities Africa Financial Limited	December	30-Oct-23
146	Securities and Capital Management Company Limited	December	30-Oct-23
147	Security Swaps Limited	December	30-Oct-23
148	Shalom Investment and Financial Services Limited	December	30-Oct-23
149	Shelong Investments Limited	December	30-Oct-23
150	Sigma Securities Limited	December	30-Oct-23
151	Signet Investment and Securities Limited	December	30-Oct-23
152	Skyview Capital Ltd	December	30-Oct-23
153	Smadac Securities Limited	December	30-Oct-23
154	Solid Rock Securities and Investment Plc	December	30-Oct-23
155	Spring Trust and Securities Limited	December	30-Oct-23
156	Springboard Trust and Investment Limited	December	30-Oct-23
157	Stanbic IBTC Stockbrokers Limited	December	30-Oct-23
158	Standard Union Securities Limited	December	30-Oct-23
159	StoneX Financial Nigeria Limited	December	30-Oct-23
160	The Bridge Securities Limited	December	30-Oct-23
161	Tiddo Securities Limited	December	30-Oct-23
162	Tomil Trust Limited	December	30-Oct-23
163	Topmost Securities Limited	December	30-Oct-23
164	Tower Securities and Investment Company Limited	December	30-Oct-23
165	Trade Link Securities Limited	December	30-Oct-23
166	Traders Trust and Investment Co. Limited	December	30-Oct-23
167	Transworld Investment Limited	December	30-Oct-23
168	TrustBanc Capital Management Limited	December	30-Oct-23
169	Trusthouse Investment Limited	December	30-Oct-23
170	TRW Stockbrokers Limited	December	30-Oct-23
171	Tyndale Securities Limited	December	30-Oct-23
172	UCML Capital Limited	December	30-Oct-23
173	UIDC Securities Limited	December	30-Oct-23
174	Unex Capital Limited	December	30-Oct-23
175	United Capital Securities Limited	December	30-Oct-23
176	Valmon Securities Limited	December	30-Oct-23
177	Valueline Securities and Investment Limited	December	30-Oct-23
178	Vetiva Securities Limited	December	30-Oct-23

179	WCM Capital Limited	December	30-Oct-23
180	WSTC Securities Limited	December	30-Oct-23
181	Zion Stockbrokers and Securities Limited	December	30-Oct-23

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Investment Shark and Asset Mgt Ltd	December	30-Oct-23
2	Maven Asset Management Limited	December	30-Oct-23
3	Midpoint Capital Limited	December	30-Oct-23
4	Peace Capital Markets Ltd	December	30-Oct-23
5	Trust Yield Securities Limited	December	30-Oct-23

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Oct-23
2	Adonai Stockbrokers Limited	December	30-Oct-23
3	Amyn Investment Limited	December	30-Oct-23
4	Cashcraft Securities Limited	December	30-Oct-23
5	CEB Securities Limited	December	30-Oct-23
6	Clearview Investments Company Limited	December	30-Oct-23
7	CLG Stockbrokers Limited	December	30-Oct-23
8	Core Securities Limited	December	30-Oct-23
9	Crane Securities Limited	December	30-Oct-23
10	ECL Asset Management Limited	December	30-Oct-23
11	Elixir Securities Limited	December	30-Oct-23
12	Enterprise Stockbrokers Limited	December	30-Oct-23
13	First Stockbrokers Limited	December	30-Oct-23
14	GMT Securities and Asset Mangement Limited	December	30-Oct-23
15	Gombe Securities Limited	December	30-Oct-23
16	Horizon Stockbrokers Limited	December	30-Oct-23
17	ITIS Securities Limited	December	30-Oct-23
18	Maxifund Investment and Securities Plc	December	30-Oct-23
19	Mayfield Investment Limited	December	30-Oct-23

20	ML Securities Limited	December	30-Oct-23
21	Mutual Alliance Investment and Securities Limited	December	30-Oct-23
22	Nigerian International Securities Ltd	December	30-Oct-23
23	Quantum Securities Limited	December	30-Oct-23
24	Royal Trust Securities Limited	December	30-Oct-23
25	Standard Alliance Capital and Asset Management Limited	December	30-Oct-23
26	Supra Commercial Trust Limited	December	30-Oct-23

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED DECEMBER 2023

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Jan-24
2	Afrinvest Securities Limited	December	30-Jan-24
3	Anchoria Investment and Securities Limited	December	30-Jan-24
4	Apel Asset Limited	December	30-Jan-24
5	Apt Securities and Funds Limited	December	30-Jan-24
6	ARM Securities Limited	December	30-Jan-24
7	Arthur Stevens Asset Management Limited	December	30-Jan-24
8	Associated Asset Managers Limited	December	30-Jan-24
9	Atlass Portfolio Limited	December	30-Jan-24
10	AVA Securities Limited	December	30-Jan-24
11	Bancorp Securities Limited	December	30-Jan-24
12	Bauchi Investment Corp. Sec Limited	December	30-Jan-24
13	Belfry Investment and Securities Limited	December	30-Jan-24
14	Bestworth Assets and Trust Limited	December	30-Jan-24
15	Calyx Securities Limited	December	30-Jan-24
16	Camry Securities Limited	December	30-Jan-24
17	Capital Asset Limited	December	30-Jan-24
18	Capital Express Securities Limited	December	30-Jan-24
19	Capital Trust Brokers Limited	December	30-Jan-24
20	Cardinal Stone Securities Ltd	December	30-Jan-24
21	Cashville Investment and Securities Limited	December	30-Jan-24
22	CDL Capital Markets Limited	December	30-Jan-24
23	Centre Point Investment Limited	December	30-Jan-24
24	Century Securities Limited	December	30-Jan-24
25	Chapel Hill Denham Securities Limited	December	30-Jan-24
26	Chartwell Securities Limited	December	30-Jan-24
27	Citi Investment Capital Limited	December	30-Jan-24
28	City Code Trust and Investment Company Limited	December	30-Jan-24
29	Compass Investment and Securities Limited	December	30-Jan-24
30	Cordros Securities Limited	December	30-Jan-24
31	Coronation Securities Limited	December	30-Jan-24
32	Cowry Securities Limited	December	30-Jan-24
33	Crossworld Securities Limited	December	30-Jan-24
34	Crown Capital Limited	December	30-Jan-24
35	CSL Stockbrokers Limited	December	30-Jan-24
36	Deep Trust Investment Limited	December	30-Jan-24
37	Delords Securities Limited	December	30-Jan-24

38	DLM Securities Limited	December	30-Jan-24
39	Dominion Trust Limited	December	30-Jan-24
40	DSU Brokerage Services Limited	December	30-Jan-24
41	Dunbell Securities Limited	December	30-Jan-24
42	Dynamic Portfolio Limited	December	30-Jan-24
43	EDC Securities Limited	December	30-Jan-24
44	Edgefield Capital Management Limited	December	30-Jan-24
45	EFG Hermes Nigeria Limited	December	30-Jan-24
46	El-Elyon Alliance and Securities Ltd	December	30-Jan-24
47	Equity Capital Solutions Limited	December	30-Jan-24
48	Eurocomm Securities Limited	December	30-Jan-24
49	Express Portfolio Services Limited	December	30-Jan-24
50	Falcon Securities Limited	December	30-Jan-24
51	FBC Trust and Securities Limited	December	30-Jan-24
52	FBNQuest Securities Limited	December	30-Jan-24
53	FCSL Asset Management Company Limited	December	30-Jan-24
54	Fidelity Finance Company Limited	December	30-Jan-24
55	Financial and Analytics Capital Limited	December	30-Jan-24
56	Financial Trust Company Nigeria Limited	December	30-Jan-24
57	Finmal Finance Services Limited	December	30-Jan-24
58	First Integrated Capital Manangement Limited	December	30-Jan-24
59	FIS Securities Limited	December	30-Jan-24
60	Foresight Securities and Investment Limited	December	30-Jan-24
61	Forte Financial Limited	December	30-Jan-24
62	Forthright Securities and Investments Limited	December	30-Jan-24
63	Fortress Capital Limited	December	30-Jan-24
64	FSDH Capital Limited	December	30-Jan-24
65	FSL Securities Limited	December	30-Jan-24
66	Funds Matrix and Assets Mgt Limited	December	30-Jan-24
67	Fundvine Capital and Securities Limited	December	30-Jan-24
68	Futureview Securities Limited	December	30-Jan-24
69	Gem Assets Management Limited	December	30-Jan-24
70	Gidauniya Investment and Securities Limited	December	30-Jan-24
71	Global Asset Management (Nig) Limited	December	30-Jan-24
72	GlobalView Capital Limited	December	30-Jan-24
73	Golden Securities Limited	December	30-Jan-24
74	Greenwich Securities Limited	December	30-Jan-24
75	Growth & Development Asset Management Limited	December	30-Jan-24
76	Gruene Capital Limited	December	30-Jan-24
77	GTI Securities Limited	December	30-Jan-24
78	Harmony Securities Limited	December	30-Jan-24
79	Heartbeat Investment Limited	December	30-Jan-24
80	Hedge Securities and Investments Company Limited	December	30-Jan-24
81	Heritage Capital Markets Limited	December	30-Jan-24
82	ICMG securities Limited	December	30-Jan-24
83	Icon Stockbrokers Limited	December	30-Jan-24
84	Imperial Asset Managers Limited	December	30-Jan-24

85	Integrated Trust and Investment Limited	December	30-Jan-24
86	Interstate Securities Limited	December	30-Jan-24
87	Investment One Stockbrokers International Limited	December	30-Jan-24
88	Investors and Trust Company Limited	December	30-Jan-24
89	ITIS Securities Limited	December	30-Jan-24
90	Kapital Care Trust and Securities Limited	December	30-Jan-24
91	Kedari Capital Limited	December	30-Jan-24
92	Kinley Securities Limited	December	30-Jan-24
93	Kofana Securities and Investment Limited	December	30-Jan-24
94	Kundila Finance Services Limited	December	30-Jan-24
95	Lambeth Capital Limited	December	30-Jan-24
96	Lead Securities and Investment Limited	December	30-Jan-24
97	Lighthouse Capital Limited	December	30-Jan-24
98	Magnartis Finance and Investment Limited	December	30-Jan-24
99	Mainstreet Bank Securities Limited	December	30-Jan-24
100	Marimpex Finance and Investment Company Limited	December	30-Jan-24
101	MBC Securities Limited	December	30-Jan-24
102	Mega Equities Limited	December	30-Jan-24
103	Meristem Stockbrokers Limited	December	30-Jan-24
104	Midas Stockbrokers Limited	December	30-Jan-24
105	Milestone Capital Management Limited	December	30-Jan-24
106	Mission Securities Limited	December	30-Jan-24
107	Molten Trust Limited	December	30-Jan-24
108	Morgan Capital Securities Limited	December	30-Jan-24
109	Mountain Investment and Securities Limited	December	30-Jan-24
110	Network Capital Limited	December	30-Jan-24
111	Networth Securities and Finance Limited	December	30-Jan-24
112	Newdevco Investments and Securities Limited	December	30-Jan-24
113	Nigerian Stockbrokers Limited	December	30-Jan-24
114	Norrenberger Securities Limited	December	30-Jan-24
115	Nova Finance and Securities Limited	December	30-Jan-24
116	NOVAMBL Securities Limited	December	30-Jan-24
117	Osborne Capital Markets Limited	December	30-Jan-24
118	PAC Securities Limited	December	30-Jan-24
119	Parthian Securities Limited	December	30-Jan-24
120	Pilot Securities Limited	December	30-Jan-24
121	Pinefields Investment Services Limited	December	30-Jan-24
122	PIPC Securities Limited	December	30-Jan-24
123	Pivot Capital Limited	December	30-Jan-24
124	Planet Capital Limited	December	30-Jan-24
125	Portfolio Advisers Limited	December	30-Jan-24
126	Premium Capital and Stockbrokers Limited	December	30-Jan-24
127	Primewealth Capital Limited	December	30-Jan-24
128	Prominent Securities Limited	December	30-Jan-24
129	PSI Securities Limited	December	30-Jan-24
130	Pyramid Securities Limited	December	30-Jan-24
131	Qualinvest Capital Limited	December	30-Jan-24

132	Quantum Zenith Securities & Investments Limited	December	30-Jan-24
133	Rainbow Securities and Investment Company Limited	December	30-Jan-24
134	Readings Investment Limited	December	30-Jan-24
135	Regency Asset Management Limited	December	30-Jan-24
136	Rencap Securities (Nig) Limited	December	30-Jan-24
137	Resort Securities and Trust Limited	December	30-Jan-24
138	Reward Investment and Service Limited	December	30-Jan-24
139	RMB Nigeria Stockbrokers Limited	December	30-Jan-24
140	Rostrum Investment and Sec Limited	December	30-Jan-24
141	Rowet Capital Mgt Limited	December	30-Jan-24
142	Royal Crest Finance Limited	December	30-Jan-24
143	Royal Guaranty and Trust Limited	December	30-Jan-24
144	Sankore Securities Limited	December	30-Jan-24
145	Santrust Securities Limited	December	30-Jan-24
146	Securities Africa Financial Limited	December	30-Jan-24
147	Securities and Capital Management Company Limited	December	30-Jan-24
148	Security Swaps Limited	December	30-Jan-24
149	Shalom Investment and Financial Services Limited	December	30-Jan-24
150	Shelong Investments Limited	December	30-Jan-24
151	Sigma Securities Limited	December	30-Jan-24
152	Signet Investment and Securities Limited	December	30-Jan-24
153	Skyview Capital Ltd	December	30-Jan-24
154	Smadac Securities Limited	December	30-Jan-24
155	Solid Rock Securities and Investment Plc	December	30-Jan-24
156	Spring Trust and Securities Limited	December	30-Jan-24
157	Springboard Trust and Investment Limited	December	30-Jan-24
158	Stanbic IBTC Stockbrokers Limited	December	30-Jan-24
159	Standard Union Securities Limited	December	30-Jan-24
160	StoneX Financial Nigeria Limited	December	30-Jan-24
161	The Bridge Securities Limited	December	30-Jan-24
162	Tiddo Securities Limited	December	30-Jan-24
163	Tomil Trust Limited	December	30-Jan-24
164	Topmost Securities Limited	December	30-Jan-24
165	Tower Securities and Investment Company Limited	December	30-Jan-24
166	Trade Link Securities Limited	December	30-Jan-24
167	Traders Trust and Investment Co. Limited	December	30-Jan-24
168	Transworld Investment Limited	December	30-Jan-24
169	TrustBanc Capital Management Limited	December	30-Jan-24
170	Trusthouse Investment Limited	December	30-Jan-24
171	TRW Stockbrokers Limited	December	30-Jan-24
172	Tyndale Securities Limited	December	30-Jan-24
173	UCML Capital Limited	December	30-Jan-24
174	UIDC Securities Limited	December	30-Jan-24
175	Unex Capital Limited	December	30-Jan-24
176	United Capital Securities Limited	December	30-Jan-24
177	Valmon Securities Limited	December	30-Jan-24
178	Valueline Securities and Investment Limited	December	30-Jan-24

179	Vetiva Securities Limited	December	30-Jan-24
180	WCM Capital Limited	December	30-Jan-24
181	WSTC Securities Limited	December	30-Jan-24
182	Zion Stockbrokers and Securities Limited	December	30-Jan-24

AND SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Crane Securities Limited	December	30-Jan-24
2	Investment Shark and Asset Mgt Ltd	December	30-Jan-24
3	Maven Asset Management Limited	December	30-Jan-24
4	Maxifund Investment and Securities Plc	December	30-Jan-24
5	Midpoint Capital Limited	December	30-Jan-24
6	Peace Capital Markets Ltd	December	30-Jan-24
7	Trust Yield Securities Limited	December	30-Jan-24

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Year End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Year End**	**Due Date**
1	Adamawa Securities Limited	December	30-Jan-24
2	Adonai Stockbrokers Limited	December	30-Jan-24
3	Amyn Investment Limited	December	30-Jan-24
4	Cashcraft Securities Limited	December	30-Jan-24
5	CEB Securities Limited	December	30-Jan-24
6	Clearview Investments Company Limited	December	30-Jan-24
7	CLG Stockbrokers Limited	December	30-Jan-24
8	Core Securities Limited	December	30-Jan-24
9	ECL Asset Management Limited	December	30-Jan-24
10	Elixir Securities Limited	December	30-Jan-24
11	Enterprise Stockbrokers Limited	December	30-Jan-24
12	First Stockbrokers Limited	December	30-Jan-24
13	GMT Securities and Asset Mangement Limited	December	30-Jan-24
14	Gombe Securities Limited	December	30-Jan-24
15	Horizon Stockbrokers Limited	December	30-Jan-24
16	Mayfield Investment Limited	December	30-Jan-24

17	ML Securities Limited	December	30-Jan-24
18	Mutual Alliance Investment and Securities Limited	December	30-Jan-24
19	Nigerian International Securities Ltd	December	30-Jan-24
20	Quantum Securities Limited	December	30-Jan-24
21	Royal Trust Securities Limited	December	30-Jan-24
22	Standard Alliance Capital and Asset Management Limited	December	30-Jan-24
23	Supra Commercial Trust Limited	December	30-Jan-24

NGX Regulation

Broker TraX

Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2024

ACTIVE AND SUBMITTED

S/N	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	31-Mar-24	30-Apr-24
2	Afrinvest Securities Limited	31-Mar-24	30-Apr-24
3	Anchoria Investment and Securities Limited	31-Mar-24	30-Apr-24
4	Apel Asset Limited	31-Mar-24	30-Apr-24
5	Apt Securities and Funds Limited	31-Mar-24	30-Apr-24
6	ARM Securities Limited	31-Mar-24	30-Apr-24
7	Arthur Stevens Asset Management Limited	31-Mar-24	30-Apr-24
8	Associated Asset Managers Limited	31-Mar-24	30-Apr-24
9	Atlass Portfolio Limited	31-Mar-24	30-Apr-24
10	AVA Securities Limited	31-Mar-24	30-Apr-24
11	Bancorp Securities Limited	31-Mar-24	30-Apr-24
12	Bauchi Investment Corp. Sec Limited	31-Mar-24	30-Apr-24
13	Belfry Investment and Securities Limited	31-Mar-24	30-Apr-24
14	Bestworth Assets and Trust Limited	31-Mar-24	30-Apr-24
15	Calyx Securities Limited	31-Mar-24	30-Apr-24
16	Camry Securities Limited	31-Mar-24	30-Apr-24
17	Capital Asset Limited	31-Mar-24	30-Apr-24
18	Capital Express Securities Limited	31-Mar-24	30-Apr-24
19	Capital Trust Brokers Limited	31-Mar-24	30-Apr-24
20	Cardinal Stone Securities Ltd	31-Mar-24	30-Apr-24
21	Cashville Investment and Securities Limited	31-Mar-24	30-Apr-24
22	CDL Capital Markets Limited	31-Mar-24	30-Apr-24
23	Centre Point Investment Limited	31-Mar-24	30-Apr-24
24	Century Securities Limited	31-Mar-24	30-Apr-24
25	Chapel Hill Denham Securities Limited	31-Mar-24	30-Apr-24
26	Chartwell Securities Limited	31-Mar-24	30-Apr-24
27	Citi Investment Capital Limited	31-Mar-24	30-Apr-24
28	City Code Trust and Investment Company Limited	31-Mar-24	30-Apr-24
29	Compass Investment and Securities Limited	31-Mar-24	30-Apr-24
30	Cordros Securities Limited	31-Mar-24	30-Apr-24
31	Coronation Securities Limited	31-Mar-24	30-Apr-24
32	Cowry Securities Limited	31-Mar-24	30-Apr-24
33	Crossworld Securities Limited	31-Mar-24	30-Apr-24
34	Crown Capital Limited	31-Mar-24	30-Apr-24
35	CSL Stockbrokers Limited	31-Mar-24	30-Apr-24
36	Deep Trust Investment Limited	31-Mar-24	30-Apr-24
37	Delords Securities Limited	31-Mar-24	30-Apr-24
38	DLM Securities Limited	31-Mar-24	30-Apr-24
39	Dominion Trust Limited	31-Mar-24	30-Apr-24
40	DSU Brokerage Services Limited	31-Mar-24	30-Apr-24
41	Dunbell Securities Limited	31-Mar-24	30-Apr-24
42	Dynamic Portfolio Limited	31-Mar-24	30-Apr-24
43	EDC Securities Limited	31-Mar-24	30-Apr-24

44	Edgefield Capital Management Limited	31-Mar-24	30-Apr-24
45	EFG Hermes Nigeria Limited	31-Mar-24	30-Apr-24
46	El-Elyon Alliance and Securities Ltd	31-Mar-24	30-Apr-24
47	Equity Capital Solutions Limited	31-Mar-24	30-Apr-24
48	Eurocomm Securities Limited	31-Mar-24	30-Apr-24
49	Express Portfolio Services Limited	31-Mar-24	30-Apr-24
50	Falcon Securities Limited	31-Mar-24	30-Apr-24
51	FBC Trust and Securities Limited	31-Mar-24	30-Apr-24
52	FBNQuest Securities Limited	31-Mar-24	30-Apr-24
53	FCSL Asset Management Company Limited	31-Mar-24	30-Apr-24
54	Fidelity Finance Company Limited	31-Mar-24	30-Apr-24
55	Financial and Analytics Capital Limited	31-Mar-24	30-Apr-24
56	Financial Trust Company Nigeria Limited	31-Mar-24	30-Apr-24
57	Finmal Finance Services Limited	31-Mar-24	30-Apr-24
58	First Integrated Capital Manangement Limited	31-Mar-24	30-Apr-24
59	FIS Securities Limited	31-Mar-24	30-Apr-24
60	Foresight Securities and Investment Limited	31-Mar-24	30-Apr-24
61	Forte Financial Limited	31-Mar-24	30-Apr-24
62	Forthright Securities and Investments Limited	31-Mar-24	30-Apr-24
63	Fortress Capital Limited	31-Mar-24	30-Apr-24
64	FSDH Capital Limited	31-Mar-24	30-Apr-24
65	FSL Securities Limited	31-Mar-24	30-Apr-24
66	Funds Matrix and Assets Mgt Limited	31-Mar-24	30-Apr-24
67	Fundvine Capital and Securities Limited	31-Mar-24	30-Apr-24
68	Futureview Securities Limited	31-Mar-24	30-Apr-24
69	GDL Stockbrokers Limited	31-Mar-24	30-Apr-24
70	Gem Assets Management Limited	31-Mar-24	30-Apr-24
71	Gidauniya Investment and Securities Limited	31-Mar-24	30-Apr-24
72	Global Asset Management (Nig) Limited	31-Mar-24	30-Apr-24
73	GlobalView Capital Limited	31-Mar-24	30-Apr-24
74	Golden Securities Limited	31-Mar-24	30-Apr-24
75	Greenwich Securities Limited	31-Mar-24	30-Apr-24
76	Gruene Capital Limited	31-Mar-24	30-Apr-24
77	GTI Securities Limited	31-Mar-24	30-Apr-24
78	Harmony Securities Limited	31-Mar-24	30-Apr-24
79	Heartbeat Investment Limited	31-Mar-24	30-Apr-24
80	Hedge Securities and Investment Limited	31-Mar-24	30-Apr-24
81	Heritage Capital Markets Limited	31-Mar-24	30-Apr-24
82	ICMG Securities Limited	31-Mar-24	30-Apr-24
83	Icon Stockbrokers Limited	31-Mar-24	30-Apr-24
84	Imperial Asset Managers Limited	31-Mar-24	30-Apr-24
85	Integrated Trust and Investment Limited	31-Mar-24	30-Apr-24
86	Interstate Securities Limited	31-Mar-24	30-Apr-24
87	Investment One Stockbrokers International Ltd	31-Mar-24	30-Apr-24
88	Investors and Trust Co. Ltd	31-Mar-24	30-Apr-24
89	ITIS Securities Limited	31-Mar-24	30-Apr-24
90	Kapital Care Trust and Securities Limited	31-Mar-24	30-Apr-24
91	Kedari Capital Limited	31-Mar-24	30-Apr-24
92	Kinley Securities Limited	31-Mar-24	30-Apr-24
93	Kofana Securities and Investment Limited	31-Mar-24	30-Apr-24
94	Kundila Finance Services Limited	31-Mar-24	30-Apr-24
95	Lambeth Capital Limited	31-Mar-24	30-Apr-24

96	Lead Securities and Investment Limited	31-Mar-24	30-Apr-24
97	Lighthouse Capital Limited	31-Mar-24	30-Apr-24
98	Magnartis Finance and Investment Limited	31-Mar-24	30-Apr-24
99	Mainstreet Bank Securities Limited	31-Mar-24	30-Apr-24
100	Marimpex Finance and Investment Company Limited	31-Mar-24	30-Apr-24
101	MBC Securities Limited	31-Mar-24	30-Apr-24
102	Mega Equities Limited	31-Mar-24	30-Apr-24
103	Meristem Stockbrokers Limited	31-Mar-24	30-Apr-24
104	Midas Stockbrokers Limited	31-Mar-24	30-Apr-24
105	Midpoint Capital Limited	31-Mar-24	30-Apr-24
106	Milestone Capital Management Limited	31-Mar-24	30-Apr-24
107	Mission Securities Limited	31-Mar-24	30-Apr-24
108	Molten Trust Limited	31-Mar-24	30-Apr-24
109	Morgan Capital Securities Limited	31-Mar-24	30-Apr-24
110	Mountain Investment and Securities Limited	31-Mar-24	30-Apr-24
111	Network Capital Limited	31-Mar-24	30-Apr-24
112	Networth Securities and Finance Limited	31-Mar-24	30-Apr-24
113	Newdevco Investments and Securities Limited	31-Mar-24	30-Apr-24
114	Nigerian Stockbrokers Limited	31-Mar-24	30-Apr-24
115	Norrenberger Securities Limited	31-Mar-24	30-Apr-24
116	Nova Finance and Securities Limited	31-Mar-24	30-Apr-24
117	NOVAMBL Securities Limited	31-Mar-24	30-Apr-24
118	Osborne Capital Markets Limited	31-Mar-24	30-Apr-24
119	PAC Securities Limited	31-Mar-24	30-Apr-24
120	Parthian Securities Limited	31-Mar-24	30-Apr-24
121	Pilot Securities Limited	31-Mar-24	30-Apr-24
122	Pinefields Investment Services Limited	31-Mar-24	30-Apr-24
123	PIPC Securities Limited	31-Mar-24	30-Apr-24
124	Pivot Capital Limited	31-Mar-24	30-Apr-24
125	Planet Capital Limited	31-Mar-24	30-Apr-24
126	Portfolio Advisers Limited	31-Mar-24	30-Apr-24
127	Premium Capital and Stockbrokers Limited	31-Mar-24	30-Apr-24
128	Primewealth Capital Limited	31-Mar-24	30-Apr-24
129	Prominent Securities Limited	31-Mar-24	30-Apr-24
130	PSI Securities Limited	31-Mar-24	30-Apr-24
131	Pyramid Securities Limited	31-Mar-24	30-Apr-24
132	Qualinvest Capital Limited	31-Mar-24	30-Apr-24
133	Quantum Zenith Securities & Investments Limited	31-Mar-24	30-Apr-24
134	Rainbow Securities & Investment Company Limited	31-Mar-24	30-Apr-24
135	Readings Investment Limited	31-Mar-24	30-Apr-24
136	Regency Asset Management Limited	31-Mar-24	30-Apr-24
137	Rencap Securities (Nig) Limited	31-Mar-24	30-Apr-24
138	Resort Securities and Trust Limited	31-Mar-24	30-Apr-24
139	Reward Investment and Service Limited	31-Mar-24	30-Apr-24
140	RMB Nigeria Stockbrokers Limited	31-Mar-24	30-Apr-24
141	Rostrum Investment and Sec Limited	31-Mar-24	30-Apr-24
142	Rowet Capital Mgt Limited	31-Mar-24	30-Apr-24
143	Royal Crest Finance Limited	31-Mar-24	30-Apr-24
144	Royal Guaranty and Trust Limited	31-Mar-24	30-Apr-24
145	Sankore Securities Limited	31-Mar-24	30-Apr-24
146	Santrust Securities Limited	31-Mar-24	30-Apr-24
147	Securities Africa Financial Limited	31-Mar-24	30-Apr-24

148	Securities and Capital Management Company Limited	31-Mar-24	30-Apr-24
149	Security Swaps Limited	31-Mar-24	30-Apr-24
150	Shalom Investment and Financial Services Limited	31-Mar-24	30-Apr-24
151	Shelong Investments Limited	31-Mar-24	30-Apr-24
152	Sigma Securities Limited	31-Mar-24	30-Apr-24
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154	Skyview Capital Ltd	31-Mar-24	30-Apr-24
155	Smadac Securities Limited	31-Mar-24	30-Apr-24
156	Solid Rock Securities and Investment Plc	31-Mar-24	30-Apr-24
157	Spring Trust and Securities Limited	31-Mar-24	30-Apr-24
158	Springboard Trust and Investment Limited	31-Mar-24	30-Apr-24
159	Stanbic IBTC Stockbrokers Limited	31-Mar-24	30-Apr-24
160	Standard Union Securities Limited	31-Mar-24	30-Apr-24
161	StoneX Financial Nigeria Limited	31-Mar-24	30-Apr-24
162	The Bridge Securities Limited	31-Mar-24	30-Apr-24
163	Tiddo Securities Limited	31-Mar-24	30-Apr-24
164	Tomil Trust Limited	31-Mar-24	30-Apr-24
165	Topmost Securities Limited	31-Mar-24	30-Apr-24
166	Tower Securities and Investment Company Limited	31-Mar-24	30-Apr-24
167	Trade Link Securities Limited	31-Mar-24	30-Apr-24
168	Traders Trust and Investment Co. Limited	31-Mar-24	30-Apr-24
169	Transworld Investment Limited	31-Mar-24	30-Apr-24
170	TrustBanc Capital Management Limited	31-Mar-24	30-Apr-24
171	Trusthouse Investment Limited	31-Mar-24	30-Apr-24
172	TRW Stockbrokers Limited	31-Mar-24	30-Apr-24
173	Tyndale Securities Limited	31-Mar-24	30-Apr-24
174	UCML Securities Limited	31-Mar-24	30-Apr-24
175	UIDC Securities Limited	31-Mar-24	30-Apr-24
176	Unex Capital Limited	31-Mar-24	30-Apr-24
177	United Capital Securities Limited	31-Mar-24	30-Apr-24
178	Valmon Securities Limited	31-Mar-24	30-Apr-24
179	Valueline Securities and Investment Limited	31-Mar-24	30-Apr-24
180	Vetiva Securities Limited	31-Mar-24	30-Apr-24
181	WCM Capital Limited	31-Mar-24	30-Apr-24
182	WSTC Securities Limited	31-Mar-24	30-Apr-24
183	Zion Stockbrokers and Securities Limited	31-Mar-24	30-Apr-24

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Investment Shark and Asset Mgt Ltd	31-Mar-24	30-Apr-24
2	Maven Asset Management Limited	31-Mar-24	30-Apr-24
3	Peace Capital Markets Ltd	31-Mar-24	30-Apr-24
4	Maxifund Investment and Securities Plc	31-Mar-24	30-Apr-24

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	31-Mar-24	30-Apr-24
2	Adonai Stockbrokers Limited	31-Mar-24	30-Apr-24
3	Amyn Investment Limited	31-Mar-24	30-Apr-24
4	Cashcraft Securities Limited	31-Mar-24	30-Apr-24
5	CEB Securities Limited	31-Mar-24	30-Apr-24
6	Clearview Investments Company Limited	31-Mar-24	30-Apr-24
7	CLG Stockbrokers Limited	31-Mar-24	30-Apr-24
8	Core Securities Limited	31-Mar-24	30-Apr-24
9	Crane Securities Limited	31-Mar-24	30-Apr-24
10	ECL Asset Management Limited	31-Mar-24	30-Apr-24
11	Elixir Securities Limited	31-Mar-24	30-Apr-24
12	Enterprise Stockbrokers Limited	31-Mar-24	30-Apr-24
13	First Stockbrokers Limited	31-Mar-24	30-Apr-24
14	GMT Securities and Asset Mangement Limited	31-Mar-24	30-Apr-24
15	Gombe Securities Limited	31-Mar-24	30-Apr-24
16	Horizon Stockbrokers Limited	31-Mar-24	30-Apr-24
17	Mayfield Investment Limited	31-Mar-24	30-Apr-24
18	ML Securities Limited	31-Mar-24	30-Apr-24
19	Mutual Alliance Investment and Securities Limited	31-Mar-24	30-Apr-24
20	Nigerian International Securities Ltd	31-Mar-24	30-Apr-24
21	Quantum Securities Limited	31-Mar-24	30-Apr-24
22	Royal Trust Securities Limited	31-Mar-24	30-Apr-24
23	Standard Alliance Capital and Asset Management Limited	31-Mar-24	30-Apr-24
24	Supra Commercial Trust Company Limited	31-Mar-24	30-Apr-24
25	Trust Yield Securities Limited	31-Mar-24	30-Apr-24

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2024

ACTIVE AND SUBMITTED

S/N	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	30-Jun-24	30-Jul-24
2	Afrinvest Securities Limited	30-Jun-24	30-Jul-24
3	Anchoria Investment and Securities Limited	30-Jun-24	30-Jul-24
4	Apel Asset Limited	30-Jun-24	30-Jul-24
5	Apt Securities and Funds Limited	30-Jun-24	30-Jul-24
6	ARM Securities Limited	30-Jun-24	30-Jul-24
7	Arthur Stevens Asset Management Limited	30-Jun-24	30-Jul-24
8	Associated Asset Managers Limited	30-Jun-24	30-Jul-24
9	Atlass Portfolio Limited	30-Jun-24	30-Jul-24
10	AVA Securities Limited	30-Jun-24	30-Jul-24
11	Bancorp Securities Limited	30-Jun-24	30-Jul-24
12	Bauchi Investment Corp. Sec Limited	30-Jun-24	30-Jul-24
13	Belfry Investment and Securities Limited	30-Jun-24	30-Jul-24
14	Bestworth Assets and Trust Limited	30-Jun-24	30-Jul-24
15	Calyx Securities Limited	30-Jun-24	30-Jul-24
16	Camry Securities Limited	30-Jun-24	30-Jul-24
17	Capital Asset Limited	30-Jun-24	30-Jul-24
18	Capital Express Securities Limited	30-Jun-24	30-Jul-24
19	Capital Trust Brokers Limited	30-Jun-24	30-Jul-24
20	Cardinal Stone Securities Ltd	30-Jun-24	30-Jul-24
21	Cashville Investment and Securities Limited	30-Jun-24	30-Jul-24
22	CDL Capital Markets Limited	30-Jun-24	30-Jul-24
23	Centre Point Investment Limited	30-Jun-24	30-Jul-24
24	Century Securities Limited	30-Jun-24	30-Jul-24
25	Chapel Hill Denham Securities Limited	30-Jun-24	30-Jul-24
26	Chartwell Securities Limited	30-Jun-24	30-Jul-24
27	Citi Investment Capital Limited	30-Jun-24	30-Jul-24
28	City Code Trust and Investment Company Limited	30-Jun-24	30-Jul-24
29	Compass Investment and Securities Limited	30-Jun-24	30-Jul-24
30	Cordros Securities Limited	30-Jun-24	30-Jul-24
31	Coronation Securities Limited	30-Jun-24	30-Jul-24
32	Cowry Securities Limited	30-Jun-24	30-Jul-24
33	Crossworld Securities Limited	30-Jun-24	30-Jul-24
34	Crown Capital Limited	30-Jun-24	30-Jul-24
35	CSL Stockbrokers Limited	30-Jun-24	30-Jul-24
36	Deep Trust Investment Limited	30-Jun-24	30-Jul-24
37	Delords Securities Limited	30-Jun-24	30-Jul-24
38	DLM Securities Limited	30-Jun-24	30-Jul-24
39	Dominion Trust Limited	30-Jun-24	30-Jul-24
40	DSU Brokerage Services Limited	30-Jun-24	30-Jul-24

41	Dunbell Securities Limited	30-Jun-24	30-Jul-24
42	Dynamic Portfolio Limited	30-Jun-24	30-Jul-24
43	EDC Securities Limited	30-Jun-24	30-Jul-24
44	Edgefield Capital Management Limited	30-Jun-24	30-Jul-24
45	EFG Hermes Nigeria Limited	30-Jun-24	30-Jul-24
46	El-Elyon Alliance and Securities Ltd	30-Jun-24	30-Jul-24
47	Equity Capital Solutions Limited	30-Jun-24	30-Jul-24
48	Eurocomm Securities Limited	30-Jun-24	30-Jul-24
49	Express Portfolio Services Limited	30-Jun-24	30-Jul-24
50	Falcon Securities Limited	30-Jun-24	30-Jul-24
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53	FCSL Asset Management Company Limited	30-Jun-24	30-Jul-24
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55	Financial and Analytics Capital Limited	30-Jun-24	30-Jul-24
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60	Foresight Securities and Investment Limited	30-Jun-24	30-Jul-24
61	Forte Financial Limited	30-Jun-24	30-Jul-24
62	Forthright Securities and Investments Limited	30-Jun-24	30-Jul-24
63	Fortress Capital Limited	30-Jun-24	30-Jul-24
64	FSDH Capital Limited	30-Jun-24	30-Jul-24
65	FSL Securities Limited	30-Jun-24	30-Jul-24
66	Funds Matrix and Assets Mgt Limited	30-Jun-24	30-Jul-24
67	Fundvine Capital and Securities Limited	30-Jun-24	30-Jul-24
68	Futureview Securities Limited	30-Jun-24	30-Jul-24
69	GDL Stockbrokers Limited	30-Jun-24	30-Jul-24
70	Gem Assets Management Limited	30-Jun-24	30-Jul-24
71	Gidauniya Investment and Securities Limited	30-Jun-24	30-Jul-24
72	Global Asset Management (Nig) Limited	30-Jun-24	30-Jul-24
73	GlobalView Capital Limited	30-Jun-24	30-Jul-24
74	Golden Securities Limited	30-Jun-24	30-Jul-24
75	Greenwich Securities Limited	30-Jun-24	30-Jul-24
76	Gruene Capital Limited	30-Jun-24	30-Jul-24
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78	Harmony Securities Limited	30-Jun-24	30-Jul-24
79	Heartbeat Investment Limited	30-Jun-24	30-Jul-24
80	Hedge Securities and Investment Limited	30-Jun-24	30-Jul-24
81	Heritage Capital Markets Limited	30-Jun-24	30-Jul-24
82	ICMG Securities Limited	30-Jun-24	30-Jul-24
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85	Integrated Trust and Investment Limited	30-Jun-24	30-Jul-24
86	Interstate Securities Limited	30-Jun-24	30-Jul-24
87	Investment One Stockbrokers International Ltd	30-Jun-24	30-Jul-24
88	Investors and Trust Co. Ltd	30-Jun-24	30-Jul-24
89	ITIS Securities Limited	30-Jun-24	30-Jul-24

90	Kapital Care Trust and Securities Limited	30-Jun-24	30-Jul-24
91	Kedari Capital Limited	30-Jun-24	30-Jul-24
92	Kinley Securities Limited	30-Jun-24	30-Jul-24
93	Kofana Securities and Investment Limited	30-Jun-24	30-Jul-24
94	Kundila Finance Services Limited	30-Jun-24	30-Jul-24
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103	Mega Equities Limited	30-Jun-24	30-Jul-24
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105	Midas Stockbrokers Limited	30-Jun-24	30-Jul-24
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116	Norrenberger Securities Limited	30-Jun-24	30-Jul-24
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118	NOVAMBL Securities Limited	30-Jun-24	30-Jul-24
119	Osborne Capital Markets Limited	30-Jun-24	30-Jul-24
120	PAC Securities Limited	30-Jun-24	30-Jul-24
121	Parthian Securities Limited	30-Jun-24	30-Jul-24
122	Pilot Securities Limited	30-Jun-24	30-Jul-24
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124	PIPC Securities Limited	30-Jun-24	30-Jul-24
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132	Pyramid Securities Limited	30-Jun-24	30-Jul-24
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134	Quantum Zenith Securities & Investments Limited	30-Jun-24	30-Jul-24
135	Rainbow Securities & Investment Company Limited	30-Jun-24	30-Jul-24
136	Readings Investment Limited	30-Jun-24	30-Jul-24
137	Regency Asset Management Limited	30-Jun-24	30-Jul-24
138	Rencap Securities (Nig) Limited	30-Jun-24	30-Jul-24

139	Resort Securities and Trust Limited	30-Jun-24	30-Jul-24
140	Reward Investment and Service Limited	30-Jun-24	30-Jul-24
141	RMB Nigeria Stockbrokers Limited	30-Jun-24	30-Jul-24
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181	Vetiva Securities Limited	30-Jun-24	30-Jul-24
182	WCM Capital Limited	30-Jun-24	30-Jul-24
183	WSTC Securities Limited	30-Jun-24	30-Jul-24
184	Zion Stockbrokers and Securities Limited	30-Jun-24	30-Jul-24

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Crane Securities Limited	30-Jun-24	30-Jul-24
2	Investment Shark and Asset Mgt Ltd	30-Jun-24	30-Jul-24
3	Maven Asset Management Limited	30-Jun-24	30-Jul-24
4	Peace Capital Markets Ltd	30-Jun-24	30-Jul-24
5	Supra Commercial Trust Company Limited	30-Jun-24	30-Jul-24
6	Trust Yield Securities Limited	30-Jun-24	30-Jul-24

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	30-Jun-24	30-Jul-24
2	Adonai Stockbrokers Limited	30-Jun-24	30-Jul-24
3	Amyn Investment Limited	30-Jun-24	30-Jul-24
4	Cashcraft Securities Limited	30-Jun-24	30-Jul-24
5	CEB Securities Limited	30-Jun-24	30-Jul-24
6	Clearview Investments Company Limited	30-Jun-24	30-Jul-24
7	CLG Stockbrokers Limited	30-Jun-24	30-Jul-24
8	Core Securities Limited	30-Jun-24	30-Jul-24
9	Elixir Securities Limited	30-Jun-24	30-Jul-24
10	GMT Securities and Asset Mangement Limited	30-Jun-24	30-Jul-24
11	Gombe Securities Limited	30-Jun-24	30-Jul-24
12	Mayfield Investment Limited	30-Jun-24	30-Jul-24
13	ML Securities Limited	30-Jun-24	30-Jul-24
14	Mutual Alliance Investment and Securities Limited	30-Jun-24	30-Jul-24
15	Nigerian International Securities Ltd	30-Jun-24	30-Jul-24
16	Quantum Securities Limited	30-Jun-24	30-Jul-24
17	Royal Trust Securities Limited	30-Jun-24	30-Jul-24
18	Standard Alliance Capital and Asset Management Limited	30-Jun-24	30-Jul-24

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2024

ACTIVE AND SUBMITTED

S/N	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	30-Sep-24	30-Oct-24
2	Afrinvest Securities Limited	30-Sep-24	30-Oct-24
3	Anchoria Securities Limited	30-Sep-24	30-Oct-24
4	Apel Asset Limited	30-Sep-24	30-Oct-24
5	Apt Securities and Funds Limited	30-Sep-24	30-Oct-24
6	ARM Securities Limited	30-Sep-24	30-Oct-24
7	Arthur Stevens Asset Management Limited	30-Sep-24	30-Oct-24
8	Associated Asset Managers Limited	30-Sep-24	30-Oct-24
9	Atlass Portfolio Limited	30-Sep-24	30-Oct-24
10	AVA Securities Limited	30-Sep-24	30-Oct-24
11	Bancorp Securities Limited	30-Sep-24	30-Oct-24
12	Bauchi Investment Corp. Sec Limited	30-Sep-24	30-Oct-24
13	Belfry Investment and Securities Limited	30-Sep-24	30-Oct-24
14	Bestworth Assets and Trust Limited	30-Sep-24	30-Oct-24
15	Calyx Securities Limited	30-Sep-24	30-Oct-24
16	Camry Securities Limited	30-Sep-24	30-Oct-24
17	Capital Asset Limited	30-Sep-24	30-Oct-24
18	Capital Express Securities Limited	30-Sep-24	30-Oct-24
19	Capital Trust Brokers Limited	30-Sep-24	30-Oct-24
20	Cardinal Stone Securities Ltd	30-Sep-24	30-Oct-24
21	Cashville Investment and Securities Limited	30-Sep-24	30-Oct-24
22	CDL Capital Markets Limited	30-Sep-24	30-Oct-24
23	Centre Point Investment Limited	30-Sep-24	30-Oct-24
24	Century Securities Limited	30-Sep-24	30-Oct-24
25	Chapel Hill Denham Securities Limited	30-Sep-24	30-Oct-24
26	Chartwell Securities Limited	30-Sep-24	30-Oct-24
27	Citi Investment Capital Limited	30-Sep-24	30-Oct-24
28	City Code Trust and Investment Company Limited	30-Sep-24	30-Oct-24
29	Compass Investment and Securities Limited	30-Sep-24	30-Oct-24
30	Cordros Securities Limited	30-Sep-24	30-Oct-24
31	Coronation Securities Limited	30-Sep-24	30-Oct-24
32	Cowry Securities Limited	30-Sep-24	30-Oct-24
33	Crossworld Securities Limited	30-Sep-24	30-Oct-24
34	Crown Capital Limited	30-Sep-24	30-Oct-24
35	CSL Stockbrokers Limited	30-Sep-24	30-Oct-24
36	Delords Securities Limited	30-Sep-24	30-Oct-24
37	DLM Securities Limited	30-Sep-24	30-Oct-24
38	Dominion Trust Limited	30-Sep-24	30-Oct-24
39	DSU Brokerage Services Limited	30-Sep-24	30-Oct-24
40	Dunbell Securities Limited	30-Sep-24	30-Oct-24

41	Dynamic Portfolio Limited	30-Sep-24	30-Oct-24
42	EDC Securities Limited	30-Sep-24	30-Oct-24
43	Edgefield Capital Management Limited	30-Sep-24	30-Oct-24
44	EFG Hermes Nigeria Limited	30-Sep-24	30-Oct-24
45	El-Elyon Alliance and Securities Ltd	30-Sep-24	30-Oct-24
46	Equity Capital Solutions Limited	30-Sep-24	30-Oct-24
47	Eurocomm Securities Limited	30-Sep-24	30-Oct-24
48	Express Portfolio Services Limited	30-Sep-24	30-Oct-24
49	Falcon Securities Limited	30-Sep-24	30-Oct-24
50	FBC Trust and Securities Limited	30-Sep-24	30-Oct-24
51	FBNQuest Securities Limited	30-Sep-24	30-Oct-24
52	FCSL Asset Management Company Limited	30-Sep-24	30-Oct-24
53	Fidelity Finance Company Limited	30-Sep-24	30-Oct-24
54	Financial and Analytics Capital Limited	30-Sep-24	30-Oct-24
55	Financial Trust Company Nigeria Limited	30-Sep-24	30-Oct-24
56	Finmal Finance Services Limited	30-Sep-24	30-Oct-24
57	First Integrated Capital Manangement Limited	30-Sep-24	30-Oct-24
58	FIS Securities Limited	30-Sep-24	30-Oct-24
59	Foresight Securities and Investment Limited	30-Sep-24	30-Oct-24
60	Forte Financial Limited	30-Sep-24	30-Oct-24
61	Forthright Securities and Investments Limited	30-Sep-24	30-Oct-24
62	Fortress Capital Limited	30-Sep-24	30-Oct-24
63	FSDH Capital Limited	30-Sep-24	30-Oct-24
64	FSL Securities Limited	30-Sep-24	30-Oct-24
65	Funds Matrix and Assets Mgt Limited	30-Sep-24	30-Oct-24
66	Fundvine Capital and Securities Limited	30-Sep-24	30-Oct-24
67	Futureview Securities Limited	30-Sep-24	30-Oct-24
68	GDL Stockbrokers Limited	30-Sep-24	30-Oct-24
69	Gem Assets Management Limited	30-Sep-24	30-Oct-24
70	Gidauniya Investment and Securities Limited	30-Sep-24	30-Oct-24
71	Global Asset Management (Nig) Limited	30-Sep-24	30-Oct-24
72	GlobalView Capital Limited	30-Sep-24	30-Oct-24
73	Golden Securities Limited	30-Sep-24	30-Oct-24
74	Greenwich Securities Limited	30-Sep-24	30-Oct-24
75	Gruene Capital Limited	30-Sep-24	30-Oct-24
76	GTI Securities Limited	30-Sep-24	30-Oct-24
77	Harmony Securities Limited	30-Sep-24	30-Oct-24
78	Heartbeat Investment Limited	30-Sep-24	30-Oct-24
79	Hedge Securities and Investment Limited	30-Sep-24	30-Oct-24
80	Heritage Capital Markets Limited	30-Sep-24	30-Oct-24
81	ICMG Securities Limited	30-Sep-24	30-Oct-24
82	Icon Stockbrokers Limited	30-Sep-24	30-Oct-24
83	Imperial Asset Managers Limited	30-Sep-24	30-Oct-24
84	Integrated Trust and Investment Limited	30-Sep-24	30-Oct-24
85	Interstate Securities Limited	30-Sep-24	30-Oct-24
86	Investment One Stockbrokers International Ltd	30-Sep-24	30-Oct-24
87	Investment Shark and Asset Mgt Ltd	30-Sep-24	30-Oct-24
88	Investors and Trust Co. Ltd	30-Sep-24	30-Oct-24
89	ITIS Securities Limited	30-Sep-24	30-Oct-24

90	Kapital Care Trust and Securities Limited	30-Sep-24	30-Oct-24
91	Kedari Capital Limited	30-Sep-24	30-Oct-24
92	Kinley Securities Limited	30-Sep-24	30-Oct-24
93	Kofana Securities and Investment Limited	30-Sep-24	30-Oct-24

94	Kundila Finance Services Limited	30-Sep-24	30-Oct-24
95	Lambeth Capital Limited	30-Sep-24	30-Oct-24
96	Lead Securities and Investment Limited	30-Sep-24	30-Oct-24
97	Lighthouse Capital Limited	30-Sep-24	30-Oct-24
98	Magnartis Finance and Investment Limited	30-Sep-24	30-Oct-24
99	Mainstreet Bank Securities Limited	30-Sep-24	30-Oct-24
100	Marimpex Finance and Investment Company Limited	30-Sep-24	30-Oct-24
101	Maxifund Investment and Securities Plc	30-Sep-24	30-Oct-24
102	MBC Securities Limited	30-Sep-24	30-Oct-24
103	Mega Equities Limited	30-Sep-24	30-Oct-24
104	Meristem Stockbrokers Limited	30-Sep-24	30-Oct-24
105	Midas Stockbrokers Limited	30-Sep-24	30-Oct-24
106	Midpoint Capital Limited	30-Sep-24	30-Oct-24
107	Milestone Capital Management Limited	30-Sep-24	30-Oct-24
108	Mission Securities Limited	30-Sep-24	30-Oct-24
109	Molten Trust Limited	30-Sep-24	30-Oct-24
110	Morgan Capital Securities Limited	30-Sep-24	30-Oct-24
111	Mountain Investment and Securities Limited	30-Sep-24	30-Oct-24
112	Network Capital Limited	30-Sep-24	30-Oct-24
113	Networth Securities and Finance Limited	30-Sep-24	30-Oct-24
114	Newdevco Investments and Securities Limited	30-Sep-24	30-Oct-24
115	Nigerian Stockbrokers Limited	30-Sep-24	30-Oct-24
116	Norrenberger Securities Limited	30-Sep-24	30-Oct-24
117	Nova Finance and Securities Limited	30-Sep-24	30-Oct-24
118	NOVAMBL Securities Limited	30-Sep-24	30-Oct-24
119	Osborne Capital Markets Limited	30-Sep-24	30-Oct-24
120	PAC Securities Limited	30-Sep-24	30-Oct-24
121	Parthian Securities Limited	30-Sep-24	30-Oct-24
122	Pilot Securities Limited	30-Sep-24	30-Oct-24
123	Pinefields Investment Services Limited	30-Sep-24	30-Oct-24
124	PIPC Securities Limited	30-Sep-24	30-Oct-24
125	Pivot Capital Limited	30-Sep-24	30-Oct-24
126	Planet Capital Limited	30-Sep-24	30-Oct-24
127	Portfolio Advisers Limited	30-Sep-24	30-Oct-24
128	Premium Capital and Stockbrokers Limited	30-Sep-24	30-Oct-24
129	Primewealth Capital Limited	30-Sep-24	30-Oct-24
130	Prominent Securities Limited	30-Sep-24	30-Oct-24
131	PSI Securities Limited	30-Sep-24	30-Oct-24
132	Pyramid Securities Limited	30-Sep-24	30-Oct-24
133	Qualinvest Capital Limited	30-Sep-24	30-Oct-24
134	Quantum Zenith Securities & Investments Limited	30-Sep-24	30-Oct-24
135	Rainbow Securities & Investment Company Limited	30-Sep-24	30-Oct-24
136	Readings Investment Limited	30-Sep-24	30-Oct-24
137	Regency Asset Management Limited	30-Sep-24	30-Oct-24
138	Rencap Securities (Nig) Limited	30-Sep-24	30-Oct-24
139	Resort Securities and Trust Limited	30-Sep-24	30-Oct-24
140	Reward Investment and Service Limited	30-Sep-24	30-Oct-24
141	RMB Nigeria Stockbrokers Limited	30-Sep-24	30-Oct-24
142	Rostrum Investment and Sec Limited	30-Sep-24	30-Oct-24

143	Rowet Capital Mgt Limited	30-Sep-24	30-Oct-24
144	Royal Crest Finance Limited	30-Sep-24	30-Oct-24
145	Royal Guaranty and Trust Limited	30-Sep-24	30-Oct-24
146	Sankore Securities Limited	30-Sep-24	30-Oct-24
147	Santrust Securities Limited	30-Sep-24	30-Oct-24
148	Securities Africa Financial Limited	30-Sep-24	30-Oct-24
149	Securities and Capital Management Company Limited	30-Sep-24	30-Oct-24
150	Security Swaps Limited	30-Sep-24	30-Oct-24
151	Shalom Investment and Financial Services Limited	30-Sep-24	30-Oct-24
152	Shelong Investments Limited	30-Sep-24	30-Oct-24
153	Sigma Securities Limited	30-Sep-24	30-Oct-24
154	Signet Investment and Securities Limited	30-Sep-24	30-Oct-24
155	Skyview Capital Ltd	30-Sep-24	30-Oct-24
156	Smadac Securities Limited	30-Sep-24	30-Oct-24
157	Solid Rock Securities and Investment Plc	30-Sep-24	30-Oct-24
158	Spring Trust and Securities Limited	30-Sep-24	30-Oct-24
159	Springboard Trust and Investment Limited	30-Sep-24	30-Oct-24
160	Stanbic IBTC Stockbrokers Limited	30-Sep-24	30-Oct-24
161	Standard Union Securities Limited	30-Sep-24	30-Oct-24
162	StoneX Financial Nigeria Limited	30-Sep-24	30-Oct-24
163	The Bridge Securities Limited	30-Sep-24	30-Oct-24
164	Tiddo Securities Limited	30-Sep-24	30-Oct-24
165	Tomil Trust Limited	30-Sep-24	30-Oct-24
166	Topmost Securities Limited	30-Sep-24	30-Oct-24
167	Tower Securities and Investment Company Limited	30-Sep-24	30-Oct-24
168	Trade Link Securities Limited	30-Sep-24	30-Oct-24
169	Traders Trust and Investment Co. Limited	30-Sep-24	30-Oct-24
170	Transworld Investment Limited	30-Sep-24	30-Oct-24
171	Trinity Securities Limited	30-Sep-24	30-Oct-24
172	TrustBanc Capital Management Limited	30-Sep-24	30-Oct-24
173	Trusthouse Investment Limited	30-Sep-24	30-Oct-24
174	TRW Stockbrokers Limited	30-Sep-24	30-Oct-24
175	Tyndale Securities Limited	30-Sep-24	30-Oct-24
176	UCML Securities Limited	30-Sep-24	30-Oct-24
177	UIDC Securities Limited	30-Sep-24	30-Oct-24
178	Unex Capital Limited	30-Sep-24	30-Oct-24
179	United Capital Securities Limited	30-Sep-24	30-Oct-24
180	Valmon Securities Limited	30-Sep-24	30-Oct-24
181	Valueline Securities and Investment Limited	30-Sep-24	30-Oct-24
182	Vetiva Securities Limited	30-Sep-24	30-Oct-24
183	WCM Capital Limited	30-Sep-24	30-Oct-24
184	WSTC Securities Limited	30-Sep-24	30-Oct-24
185	Zion Stockbrokers and Securities Limited	30-Sep-24	30-Oct-24

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Crane Securities Limited	30-Sep-24	30-Oct-24

2	Maven Asset Management Limited	30-Sep-24	30-Oct-24
3	Peace Capital Markets Ltd	30-Sep-24	30-Oct-24
4	Supra Commercial Trust Company Limited	30-Sep-24	30-Oct-24
5	Royal Trust Securities Limited	30-Sep-24	30-Oct-24

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	30-Sep-24	30-Oct-24
2	Amyn Investment Limited	30-Sep-24	30-Oct-24
3	Cashcraft Securities Limited	30-Sep-24	30-Oct-24
4	CEB Securities Limited	30-Sep-24	30-Oct-24
5	Clearview Investments Company Limited	30-Sep-24	30-Oct-24
6	CLG Stockbrokers Limited	30-Sep-24	30-Oct-24
7	Core Securities Limited	30-Sep-24	30-Oct-24
8	Elixir Securities Limited	30-Sep-24	30-Oct-24
9	GMT Securities and Asset Mangement Limited	30-Sep-24	30-Oct-24
10	Gombe Securities Limited	30-Sep-24	30-Oct-24
11	ML Securities Limited	30-Sep-24	30-Oct-24
12	Mutual Alliance Investment and Securities Limited	30-Sep-24	30-Oct-24
13	Nigerian International Securities Ltd	30-Sep-24	30-Oct-24
14	Quantum Securities Limited	30-Sep-24	30-Oct-24
15	Standard Alliance Capital and Asset Management Limited	30-Sep-24	30-Oct-24
16	Trust Yield Securities Limited	30-Sep-24	30-Oct-24

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2024

ACTIVE AND SUBMITTED

S/N	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	31-Dec-24	30-Jan-25
2	Afrinvest Securities Limited	31-Dec-24	30-Jan-25
3	Anchoria Securities Limited	31-Dec-24	30-Jan-25
4	Apel Asset Limited	31-Dec-24	30-Jan-25
5	Apt Securities and Funds Limited	31-Dec-24	30-Jan-25
6	ARM Securities Limited	31-Dec-24	30-Jan-25
7	Arthur Stevens Asset Management Limited	31-Dec-24	30-Jan-25
8	Associated Asset Managers Limited	31-Dec-24	30-Jan-25
9	Atlass Portfolio Limited	31-Dec-24	30-Jan-25
10	AVA Securities Limited	31-Dec-24	30-Jan-25
11	Bancorp Securities Limited	31-Dec-24	30-Jan-25
12	Bauchi Investment Corp. Sec Limited	31-Dec-24	30-Jan-25
13	Belfry Investment and Securities Limited	31-Dec-24	30-Jan-25
14	Bestworth Assets and Trust Limited	31-Dec-24	30-Jan-25
15	Calyx Securities Limited	31-Dec-24	30-Jan-25
16	Camry Securities Limited	31-Dec-24	30-Jan-25
17	Capital Asset Limited	31-Dec-24	30-Jan-25
18	Capital Express Securities Limited	31-Dec-24	30-Jan-25
19	Capital Trust Brokers Limited	31-Dec-24	30-Jan-25
20	Cardinal Stone Securities Ltd	31-Dec-24	30-Jan-25
21	Cashville Investment and Securities Limited	31-Dec-24	30-Jan-25
22	CDL Capital Markets Limited	31-Dec-24	30-Jan-25
23	Centre Point Investment Limited	31-Dec-24	30-Jan-25
24	Century Securities Limited	31-Dec-24	30-Jan-25
25	Chapel Hill Denham Securities Limited	31-Dec-24	30-Jan-25
26	Chartwell Securities Limited	31-Dec-24	30-Jan-25
27	Citi Investment Capital Limited	31-Dec-24	30-Jan-25
28	City Code Trust and Investment Company Limited	31-Dec-24	30-Jan-25
29	Compass Investment and Securities Limited	31-Dec-24	30-Jan-25
30	Cordros Securities Limited	31-Dec-24	30-Jan-25
31	Coronation Securities Limited	31-Dec-24	30-Jan-25
32	Cowry Securities Limited	31-Dec-24	30-Jan-25
33	Crossworld Securities Limited	31-Dec-24	30-Jan-25
34	Crown Capital Limited	31-Dec-24	30-Jan-25
35	CSL Stockbrokers Limited	31-Dec-24	30-Jan-25
36	Delords Securities Limited	31-Dec-24	30-Jan-25
37	DLM Securities Limited	31-Dec-24	30-Jan-25
38	Dominion Trust Limited	31-Dec-24	30-Jan-25
39	DSU Brokerage Services Limited	31-Dec-24	30-Jan-25
40	Dunbell Securities Limited	31-Dec-24	30-Jan-25

41	Dynamic Portfolio Limited	31-Dec-24	30-Jan-25
42	EDC Securities Limited	31-Dec-24	30-Jan-25
43	Edgefield Capital Management Limited	31-Dec-24	30-Jan-25
44	EFG Hermes Nigeria Limited	31-Dec-24	30-Jan-25
45	El-Elyon Alliance and Securities Ltd	31-Dec-24	30-Jan-25
46	Equity Capital Solutions Limited	31-Dec-24	30-Jan-25
47	Eurocomm Securities Limited	31-Dec-24	30-Jan-25
48	Express Portfolio Services Limited	31-Dec-24	30-Jan-25
49	Falcon Securities Limited	31-Dec-24	30-Jan-25
50	FBC Trust and Securities Limited	31-Dec-24	30-Jan-25
51	FBNQuest Securities Limited	31-Dec-24	30-Jan-25
52	FCSL Asset Management Company Limited	31-Dec-24	30-Jan-25
53	Fidelity Finance Company Limited	31-Dec-24	30-Jan-25
54	Financial and Analytics Capital Limited	31-Dec-24	30-Jan-25
55	Financial Trust Company Nigeria Limited	31-Dec-24	30-Jan-25
56	Finmal Finance Services Limited	31-Dec-24	30-Jan-25
57	First Integrated Capital Manangement Limited	31-Dec-24	30-Jan-25
58	FIS Securities Limited	31-Dec-24	30-Jan-25
59	Foresight Securities and Investment Limited	31-Dec-24	30-Jan-25
60	Forte Financial Limited	31-Dec-24	30-Jan-25
61	Forthright Securities and Investments Limited	31-Dec-24	30-Jan-25
62	Fortress Capital Limited	31-Dec-24	30-Jan-25
63	FSDH Capital Limited	31-Dec-24	30-Jan-25
64	FSL Securities Limited	31-Dec-24	30-Jan-25
65	Funds Matrix and Assets Mgt Limited	31-Dec-24	30-Jan-25
66	Fundvine Capital and Securities Limited	31-Dec-24	30-Jan-25
67	Futureview Securities Limited	31-Dec-24	30-Jan-25
68	GDL Stockbrokers Limited	31-Dec-24	30-Jan-25
69	Gem Assets Management Limited	31-Dec-24	30-Jan-25

70	Gidauniya Investment and Securities Limited	31-Dec-24	30-Jan-25
71	Global Asset Management (Nig) Limited	31-Dec-24	30-Jan-25
72	GlobalView Capital Limited	31-Dec-24	30-Jan-25
73	Golden Securities Limited	31-Dec-24	30-Jan-25
74	Greenwich Securities Limited	31-Dec-24	30-Jan-25
75	Gruene Capital Limited	31-Dec-24	30-Jan-25
76	GTI Securities Limited	31-Dec-24	30-Jan-25
77	Harmony Securities Limited	31-Dec-24	30-Jan-25
78	Heartbeat Investment Limited	31-Dec-24	30-Jan-25
79	Hedge Securities and Investment Limited	31-Dec-24	30-Jan-25
80	Heritage Capital Markets Limited	31-Dec-24	30-Jan-25
81	ICMG Securities Limited	31-Dec-24	30-Jan-25
82	Icon Stockbrokers Limited	31-Dec-24	30-Jan-25
83	Imperial Asset Managers Limited	31-Dec-24	30-Jan-25
84	Integrated Trust and Investment Limited	31-Dec-24	30-Jan-25
85	Interstate Securities Limited	31-Dec-24	30-Jan-25
86	Investment One Stockbrokers International Ltd	31-Dec-24	30-Jan-25
87	Investment Shark and Asset Mgt Ltd	31-Dec-24	30-Jan-25
88	Investors and Trust Co. Ltd	31-Dec-24	30-Jan-25
89	ITIS Securities Limited	31-Dec-24	30-Jan-25
90	Kapital Care Trust and Securities Limited	31-Dec-24	30-Jan-25
91	Kedari Capital Limited	31-Dec-24	30-Jan-25
92	Kinley Securities Limited	31-Dec-24	30-Jan-25
93	Kofana Securities and Investment Limited	31-Dec-24	30-Jan-25
94	Kundila Finance Services Limited	31-Dec-24	30-Jan-25
95	Lambeth Capital Limited	31-Dec-24	30-Jan-25
96	Lead Securities and Investment Limited	31-Dec-24	30-Jan-25
97	Lighthouse Capital Limited	31-Dec-24	30-Jan-25
98	Magnartis Finance and Investment Limited	31-Dec-24	30-Jan-25
99	Mainstreet Bank Securities Limited	31-Dec-24	30-Jan-25
100	Marimpex Finance and Investment Company Limited	31-Dec-24	30-Jan-25
101	Maxifund Investment and Securities Plc	31-Dec-24	30-Jan-25
102	MBC Securities Limited	31-Dec-24	30-Jan-25
103	Mega Equities Limited	31-Dec-24	30-Jan-25
104	Meristem Stockbrokers Limited	31-Dec-24	30-Jan-25
105	Midas Stockbrokers Limited	31-Dec-24	30-Jan-25
106	Midpoint Capital Limited	31-Dec-24	30-Jan-25
107	Milestone Capital Management Limited	31-Dec-24	30-Jan-25
108	Mission Securities Limited	31-Dec-24	30-Jan-25
109	Molten Trust Limited	31-Dec-24	30-Jan-25
110	Morgan Capital Securities Limited	31-Dec-24	30-Jan-25
111	Mountain Investment and Securities Limited	31-Dec-24	30-Jan-25
112	Network Capital Limited	31-Dec-24	30-Jan-25
113	Networth Securities and Finance Limited	31-Dec-24	30-Jan-25
114	Newdevco Investments and Securities Limited	31-Dec-24	30-Jan-25
115	Nigerian Stockbrokers Limited	31-Dec-24	30-Jan-25
116	Norrenberger Securities Limited	31-Dec-24	30-Jan-25
117	Nova Finance and Securities Limited	31-Dec-24	30-Jan-25
118	NOVAMBL Securities Limited	31-Dec-24	30-Jan-25

119	Osborne Capital Markets Limited	31-Dec-24	30-Jan-25
120	PAC Securities Limited	31-Dec-24	30-Jan-25
121	Parthian Securities Limited	31-Dec-24	30-Jan-25
122	Peace Capital Markets Ltd	31-Dec-24	30-Jan-25
123	Pilot Securities Limited	31-Dec-24	30-Jan-25
124	Pinefields Investment Services Limited	31-Dec-24	30-Jan-25
125	PIPC Securities Limited	31-Dec-24	30-Jan-25
126	Pivot Capital Limited	31-Dec-24	30-Jan-25
127	Planet Capital Limited	31-Dec-24	30-Jan-25
128	Portfolio Advisers Limited	31-Dec-24	30-Jan-25
129	Premium Capital and Stockbrokers Limited	31-Dec-24	30-Jan-25
130	Primewealth Capital Limited	31-Dec-24	30-Jan-25
131	Prominent Securities Limited	31-Dec-24	30-Jan-25
132	PSI Securities Limited	31-Dec-24	30-Jan-25
133	Pyramid Securities Limited	31-Dec-24	30-Jan-25
134	Qualinvest Capital Limited	31-Dec-24	30-Jan-25
135	Quantum Zenith Securities & Investments Limited	31-Dec-24	30-Jan-25
136	Rainbow Securities & Investment Company Limited	31-Dec-24	30-Jan-25
137	Readings Investment Limited	31-Dec-24	30-Jan-25
138	Regency Asset Management Limited	31-Dec-24	30-Jan-25
139	Rencap Securities (Nig) Limited	31-Dec-24	30-Jan-25
140	Resort Securities and Trust Limited	31-Dec-24	30-Jan-25
141	Reward Investment and Service Limited	31-Dec-24	30-Jan-25
142	Rostrum Investment and Sec Limited	31-Dec-24	30-Jan-25
143	Rowet Capital Mgt Limited	31-Dec-24	30-Jan-25
144	Royal Crest Finance Limited	31-Dec-24	30-Jan-25
145	Royal Guaranty and Trust Limited	31-Dec-24	30-Jan-25
146	Sankore Securities Limited	31-Dec-24	30-Jan-25
147	Santrust Securities Limited	31-Dec-24	30-Jan-25
148	Securities Africa Financial Limited	31-Dec-24	30-Jan-25
149	Securities and Capital Management Company Limited	31-Dec-24	30-Jan-25
150	Security Swaps Limited	31-Dec-24	30-Jan-25
151	Shalom Investment and Financial Services Limited	31-Dec-24	30-Jan-25
152	Shelong Investments Limited	31-Dec-24	30-Jan-25
153	Sigma Securities Limited	31-Dec-24	30-Jan-25
154	Signet Investment and Securities Limited	31-Dec-24	30-Jan-25
155	Skyview Capital Ltd	31-Dec-24	30-Jan-25
156	Smadac Securities Limited	31-Dec-24	30-Jan-25
157	Solid Rock Securities and Investment Plc	31-Dec-24	30-Jan-25
158	Spring Trust and Securities Limited	31-Dec-24	30-Jan-25
159	Springboard Trust and Investment Limited	31-Dec-24	30-Jan-25
160	Stanbic IBTC Stockbrokers Limited	31-Dec-24	30-Jan-25
161	Standard Union Securities Limited	31-Dec-24	30-Jan-25
162	StoneX Financial Nigeria Limited	31-Dec-24	30-Jan-25
163	The Bridge Securities Limited	31-Dec-24	30-Jan-25
164	Tiddo Securities Limited	31-Dec-24	30-Jan-25
165	Tomil Trust Limited	31-Dec-24	30-Jan-25
166	Topmost Securities Limited	31-Dec-24	30-Jan-25
167	Tower Securities and Investment Company Limited	31-Dec-24	30-Jan-25

168	Trade Link Securities Limited	31-Dec-24	30-Jan-25
169	Traders Trust and Investment Co. Limited	31-Dec-24	30-Jan-25
170	Transworld Investment Limited	31-Dec-24	30-Jan-25
171	Trinity Securities Limited	31-Dec-24	30-Jan-25
172	TrustBanc Capital Management Limited	31-Dec-24	30-Jan-25
173	Trusthouse Investment Limited	31-Dec-24	30-Jan-25
174	TRW Stockbrokers Limited	31-Dec-24	30-Jan-25
175	Tyndale Securities Limited	31-Dec-24	30-Jan-25
176	UCML Securities Limited	31-Dec-24	30-Jan-25
177	UIDC Securities Limited	31-Dec-24	30-Jan-25
178	Unex Capital Limited	31-Dec-24	30-Jan-25
179	United Capital Securities Limited	31-Dec-24	30-Jan-25
180	Valmon Securities Limited	31-Dec-24	30-Jan-25
181	Valueline Securities and Investment Limited	31-Dec-24	30-Jan-25
182	Vetiva Securities Limited	31-Dec-24	30-Jan-25
183	WCM Capital Limited	31-Dec-24	30-Jan-25
184	WSTC Securities Limited	31-Dec-24	30-Jan-25
185	Zedcrest Securities Limited (RMB Nigeria Stockbrokers Limited	31-Dec-24	30-Jan-25
186	Zion Stockbrokers and Securities Limited	31-Dec-24	30-Jan-25

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Crane Securities Limited	31-Dec-24	30-Jan-25
2	Maven Asset Management Limited	31-Dec-24	30-Jan-25
3	Royal Trust Securities Limited	31-Dec-24	30-Jan-25
4	Supra Commercial Trust Company Limited	31-Dec-24	30-Jan-25

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	31-Dec-24	30-Jan-25
2	Amyn Investment Limited	31-Dec-24	30-Jan-25
3	Cashcraft Securities Limited	31-Dec-24	30-Jan-25
4	CEB Securities Limited	31-Dec-24	30-Jan-25
5	Clearview Investments Company Limited	31-Dec-24	30-Jan-25
6	CLG Stockbrokers Limited	31-Dec-24	30-Jan-25
7	Core Securities Limited	31-Dec-24	30-Jan-25

8	Elixir Securities Limited	31-Dec-24	30-Jan-25
9	GMT Securities and Asset Mangement Limited	31-Dec-24	30-Jan-25
10	Gombe Securities Limited	31-Dec-24	30-Jan-25
11	ML Securities Limited	31-Dec-24	30-Jan-25
12	Mutual Alliance Investment and Securities Limited	31-Dec-24	30-Jan-25
13	Nigerian International Securities Ltd	31-Dec-24	30-Jan-25
14	Quantum Securities Limited	31-Dec-24	30-Jan-25
15	Standard Alliance Capital and Asset Management Limited	31-Dec-24	30-Jan-25
16	Trust Yield Securities Limited	31-Dec-24	30-Jan-25

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2025

ACTIVE AND SUBMITTED

S/N	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	31-Mar-25	30-Apr-25
2	Afrinvest Securities Limited	31-Mar-25	30-Apr-25
3	Anchoria Securities Limited	31-Mar-25	30-Apr-25
4	Apel Asset Limited	31-Mar-25	30-Apr-25
5	Apt Securities and Funds Limited	31-Mar-25	30-Apr-25
6	ARM Securities Limited	31-Mar-25	30-Apr-25
7	Arthur Stevens Asset Management Limited	31-Mar-25	30-Apr-25
8	Associated Asset Managers Limited	31-Mar-25	30-Apr-25
9	Atlass Portfolio Limited	31-Mar-25	30-Apr-25
10	AVA Securities Limited	31-Mar-25	30-Apr-25
11	Bancorp Securities Limited	31-Mar-25	30-Apr-25
12	Bauchi Investment Corp. Sec Limited	31-Mar-25	30-Apr-25
13	Belfry Investment and Securities Limited	31-Mar-25	30-Apr-25
14	Bestworth Assets and Trust Limited	31-Mar-25	30-Apr-25
15	Calyx Securities Limited	31-Mar-25	30-Apr-25
16	Camry Securities Limited	31-Mar-25	30-Apr-25
17	Capital Asset Limited	31-Mar-25	30-Apr-25
18	Capital Express Securities Limited	31-Mar-25	30-Apr-25
19	Capital Trust Brokers Limited	31-Mar-25	30-Apr-25
20	Cardinal Stone Securities Ltd	31-Mar-25	30-Apr-25
21	Cashville Investment and Securities Limited	31-Mar-25	30-Apr-25
22	CDL Capital Markets Limited	31-Mar-25	30-Apr-25
23	Centre Point Investment Limited	31-Mar-25	30-Apr-25
24	Century Securities Limited	31-Mar-25	30-Apr-25
25	Chapel Hill Denham Securities Limited	31-Mar-25	30-Apr-25
26	Chartwell Securities Limited	31-Mar-25	30-Apr-25
27	Citi Investment Capital Limited	31-Mar-25	30-Apr-25
28	City Code Trust and Investment Company Limited	31-Mar-25	30-Apr-25
29	Compass Investment and Securities Limited	31-Mar-25	30-Apr-25
30	Cordros Securities Limited	31-Mar-25	30-Apr-25
31	Coronation Securities Limited	31-Mar-25	30-Apr-25
32	Cowry Securities Limited	31-Mar-25	30-Apr-25
33	Crane Securities Limited	31-Mar-25	30-Apr-25
34	Crossworld Securities Limited	31-Mar-25	30-Apr-25
35	Crown Capital Limited	31-Mar-25	30-Apr-25
36	CSL Stockbrokers Limited	31-Mar-25	30-Apr-25
37	Delords Securities Limited	31-Mar-25	30-Apr-25
38	DLM Securities Limited	31-Mar-25	30-Apr-25
39	Dominion Trust Limited	31-Mar-25	30-Apr-25
40	DSU Brokerage Services Limited	31-Mar-25	30-Apr-25

41	Dunbell Securities Limited	31-Mar-25	30-Apr-25
42	Dynamic Portfolio Limited	31-Mar-25	30-Apr-25
43	EDC Securities Limited	31-Mar-25	30-Apr-25
44	Edgefield Capital Management Limited	31-Mar-25	30-Apr-25
45	EFG Hermes Nigeria Limited	31-Mar-25	30-Apr-25
46	El-Elyon Alliance and Securities Ltd	31-Mar-25	30-Apr-25
47	Equity Capital Solutions Limited	31-Mar-25	30-Apr-25
48	Eurocomm Securities Limited	31-Mar-25	30-Apr-25
49	Express Portfolio Services Limited	31-Mar-25	30-Apr-25
50	Falcon Securities Limited	31-Mar-25	30-Apr-25
51	FBC Trust and Securities Limited	31-Mar-25	30-Apr-25
52	FCSL Asset Management Company Limited	31-Mar-25	30-Apr-25
53	Fidelity Finance Company Limited	31-Mar-25	30-Apr-25
54	Financial and Analytics Capital Limited	31-Mar-25	30-Apr-25

55	Financial Trust Company Nigeria Limited	31-Mar-25	30-Apr-25
56	Finmal Finance Services Limited	31-Mar-25	30-Apr-25
57	First Integrated Capital Manangement Limited	31-Mar-25	30-Apr-25
58	First Securities Brokers Limited	31-Mar-25	30-Apr-25
59	FIS Securities Limited	31-Mar-25	30-Apr-25
60	Foresight Securities and Investment Limited	31-Mar-25	30-Apr-25
61	Forte Financial Limited	31-Mar-25	30-Apr-25
62	Forthright Securities and Investments Limited	31-Mar-25	30-Apr-25
63	Fortress Capital Limited	31-Mar-25	30-Apr-25
64	FSDH Capital Limited	31-Mar-25	30-Apr-25
65	FSL Securities Limited	31-Mar-25	30-Apr-25
66	Funds Matrix and Assets Mgt Limited	31-Mar-25	30-Apr-25
67	Fundvine Capital and Securities Limited	31-Mar-25	30-Apr-25
68	Futureview Securities Limited	31-Mar-25	30-Apr-25
69	GDL Stockbrokers Limited	31-Mar-25	30-Apr-25
70	Gem Assets Management Limited	31-Mar-25	30-Apr-25
71	Gidauniya Investment and Securities Limited	31-Mar-25	30-Apr-25
72	Global Asset Management (Nig) Limited	31-Mar-25	30-Apr-25
73	GlobalView Capital Limited	31-Mar-25	30-Apr-25
74	Golden Securities Limited	31-Mar-25	30-Apr-25
75	Greenwich Securities Limited	31-Mar-25	30-Apr-25
76	Gruene Capital Limited	31-Mar-25	30-Apr-25
77	GTI Securities Limited	31-Mar-25	30-Apr-25
78	Harmony Securities Limited	31-Mar-25	30-Apr-25
79	Heartbeat Investment Limited	31-Mar-25	30-Apr-25
80	Hedge Securities and Investment Limited	31-Mar-25	30-Apr-25
81	Heritage Capital Markets Limited	31-Mar-25	30-Apr-25
82	ICMG Securities Limited	31-Mar-25	30-Apr-25
83	Icon Stockbrokers Limited	31-Mar-25	30-Apr-25
84	Imperial Asset Managers Limited	31-Mar-25	30-Apr-25
85	Integrated Trust and Investment Limited	31-Mar-25	30-Apr-25
86	Interstate Securities Limited	31-Mar-25	30-Apr-25
87	Investment One Stockbrokers International Ltd	31-Mar-25	30-Apr-25
88	Investment Shark and Asset Mgt Ltd	31-Mar-25	30-Apr-25
89	Investors and Trust Co. Ltd	31-Mar-25	30-Apr-25
90	ITIS Securities Limited	31-Mar-25	30-Apr-25
91	Kapital Care Trust and Securities Limited	31-Mar-25	30-Apr-25
92	Kedari Capital Limited	31-Mar-25	30-Apr-25
93	Kinley Securities Limited	31-Mar-25	30-Apr-25
94	Kofana Securities and Investment Limited	31-Mar-25	30-Apr-25
95	Kundila Finance Services Limited	31-Mar-25	30-Apr-25
96	Lambeth Capital Limited	31-Mar-25	30-Apr-25
97	Lead Securities and Investment Limited	31-Mar-25	30-Apr-25
98	Lighthouse Capital Limited	31-Mar-25	30-Apr-25
99	Magnartis Finance and Investment Limited	31-Mar-25	30-Apr-25
100	Mainstreet Bank Securities Limited	31-Mar-25	30-Apr-25
101	Marimpex Finance and Investment Company Limited	31-Mar-25	30-Apr-25
102	Maxifund Investment and Securities Plc	31-Mar-25	30-Apr-25
103	MBC Securities Limited	31-Mar-25	30-Apr-25

104	Mega Equities Limited	31-Mar-25	30-Apr-25
105	Meristem Stockbrokers Limited	31-Mar-25	30-Apr-25
106	Midas Stockbrokers Limited	31-Mar-25	30-Apr-25
107	Midpoint Capital Limited	31-Mar-25	30-Apr-25
108	Milestone Capital Management Limited	31-Mar-25	30-Apr-25
109	Mission Securities Limited	31-Mar-25	30-Apr-25
110	Molten Trust Limited	31-Mar-25	30-Apr-25
111	Morgan Capital Securities Limited	31-Mar-25	30-Apr-25
112	Mountain Investment and Securities Limited	31-Mar-25	30-Apr-25
113	Network Capital Limited	31-Mar-25	30-Apr-25
114	Networth Securities and Finance Limited	31-Mar-25	30-Apr-25
115	Newdevco Investments and Securities Limited	31-Mar-25	30-Apr-25
116	Nigerian Stockbrokers Limited	31-Mar-25	30-Apr-25
117	Norrenberger Securities Limited	31-Mar-25	30-Apr-25
118	Nova Finance and Securities Limited	31-Mar-25	30-Apr-25
119	NOVAMBL Securities Limited	31-Mar-25	30-Apr-25
120	Osborne Capital Markets Limited	31-Mar-25	30-Apr-25
121	PAC Securities Limited	31-Mar-25	30-Apr-25
122	Parthian Securities Limited	31-Mar-25	30-Apr-25
123	Peace Capital Markets Ltd	31-Mar-25	30-Apr-25
124	Pilot Securities Limited	31-Mar-25	30-Apr-25
125	Pinefields Investment Services Limited	31-Mar-25	30-Apr-25
126	PIPC Securities Limited	31-Mar-25	30-Apr-25
127	Pivot Capital Limited	31-Mar-25	30-Apr-25
128	Planet Capital Limited	31-Mar-25	30-Apr-25
129	Portfolio Advisers Limited	31-Mar-25	30-Apr-25
130	Premium Capital and Stockbrokers Limited	31-Mar-25	30-Apr-25
131	Primewealth Capital Limited	31-Mar-25	30-Apr-25
132	Prominent Securities Limited	31-Mar-25	30-Apr-25
133	PSI Securities Limited	31-Mar-25	30-Apr-25
134	Pyramid Securities Limited	31-Mar-25	30-Apr-25
135	Qualinvest Capital Limited	31-Mar-25	30-Apr-25
136	Quantum Zenith Securities & Investments Limited	31-Mar-25	30-Apr-25
137	Rainbow Securities & Investment Company Limited	31-Mar-25	30-Apr-25
138	Readings Investment Limited	31-Mar-25	30-Apr-25
139	Regency Asset Management Limited	31-Mar-25	30-Apr-25
140	Rencap Securities (Nig) Limited	31-Mar-25	30-Apr-25
141	Resort Securities and Trust Limited	31-Mar-25	30-Apr-25
142	Reward Investment and Service Limited	31-Mar-25	30-Apr-25
143	Rostrum Investment and Sec Limited	31-Mar-25	30-Apr-25
144	Rowet Capital Mgt Limited	31-Mar-25	30-Apr-25
145	Royal Crest Finance Limited	31-Mar-25	30-Apr-25
146	Royal Guaranty and Trust Limited	31-Mar-25	30-Apr-25
147	Sankore Securities Limited	31-Mar-25	30-Apr-25
148	Santrust Securities Limited	31-Mar-25	30-Apr-25
149	Securities Africa Financial Limited	31-Mar-25	30-Apr-25
150	Securities and Capital Management Company Limited	31-Mar-25	30-Apr-25
151	Security Swaps Limited	31-Mar-25	30-Apr-25
152	Shalom Investment and Financial Services Limited	31-Mar-25	30-Apr-25

153	Shelong Investments Limited	31-Mar-25	30-Apr-25
154	Sigma Securities Limited	31-Mar-25	30-Apr-25
155	Signet Investment and Securities Limited	31-Mar-25	30-Apr-25
156	Skyview Capital Ltd	31-Mar-25	30-Apr-25
157	Smadac Securities Limited	31-Mar-25	30-Apr-25
158	Solid Rock Securities and Investment Plc	31-Mar-25	30-Apr-25
159	Spring Trust and Securities Limited	31-Mar-25	30-Apr-25
160	Springboard Trust and Investment Limited	31-Mar-25	30-Apr-25
161	Stanbic IBTC Stockbrokers Limited	31-Mar-25	30-Apr-25
162	Standard Union Securities Limited	31-Mar-25	30-Apr-25
163	StoneX Financial Nigeria Limited	31-Mar-25	30-Apr-25
164	The Bridge Securities Limited	31-Mar-25	30-Apr-25
165	Tiddo Securities Limited	31-Mar-25	30-Apr-25
166	Tomil Trust Limited	31-Mar-25	30-Apr-25
167	Topmost Securities Limited	31-Mar-25	30-Apr-25
168	Tower Securities and Investment Company Limited	31-Mar-25	30-Apr-25
169	Trade Link Securities Limited	31-Mar-25	30-Apr-25
170	Traders Trust and Investment Co. Limited	31-Mar-25	30-Apr-25
171	Transworld Investment Limited	31-Mar-25	30-Apr-25
172	Trinity Securities Limited	31-Mar-25	30-Apr-25
173	TrustBanc Capital Management Limited	31-Mar-25	30-Apr-25
174	Trusthouse Investment Limited	31-Mar-25	30-Apr-25
175	TRW Stockbrokers Limited	31-Mar-25	30-Apr-25
176	Tyndale Securities Limited	31-Mar-25	30-Apr-25
177	UCML Securities Limited	31-Mar-25	30-Apr-25
178	UIDC Securities Limited	31-Mar-25	30-Apr-25
179	Unex Capital Limited	31-Mar-25	30-Apr-25
180	United Capital Securities Limited	31-Mar-25	30-Apr-25
181	Valmon Securities Limited	31-Mar-25	30-Apr-25
182	Valueline Securities and Investment Limited	31-Mar-25	30-Apr-25
183	Vetiva Securities Limited	31-Mar-25	30-Apr-25
184	WCM Capital Limited	31-Mar-25	30-Apr-25
185	WSTC Securities Limited	31-Mar-25	30-Apr-25
186	Zedcrest Securities Limited (RMB Nigeria Stockbrokers Limited	31-Mar-25	30-Apr-25
187	Zion Stockbrokers and Securities Limited	31-Mar-25	30-Apr-25

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Maven Asset Management Limited	31-Mar-25	30-Apr-25
2	Royal Trust Securities Limited	31-Mar-25	30-Apr-25
3	Supra Commercial Trust Company Limited	31-Mar-25	30-Apr-25

ACTIVE AND NOT SUBMITTED

S/N	Firms	Quarter End	Due Date

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	31-Mar-25	30-Apr-25
2	Amyn Investment Limited	31-Mar-25	30-Apr-25
3	Cashcraft Securities Limited	31-Mar-25	30-Apr-25
4	CEB Securities Limited	31-Mar-25	30-Apr-25
5	Clearview Investments Company Limited	31-Mar-25	30-Apr-25
6	CLG Stockbrokers Limited	31-Mar-25	30-Apr-25
7	Core Securities Limited	31-Mar-25	30-Apr-25
8	Elixir Securities Limited	31-Mar-25	30-Apr-25
9	GMT Securities and Asset Mangement Limited	31-Mar-25	30-Apr-25
10	Gombe Securities Limited	31-Mar-25	30-Apr-25
11	ML Securities Limited	31-Mar-25	30-Apr-25
12	Mutual Alliance Investment and Securities Limited	31-Mar-25	30-Apr-25
13	Nigerian International Securities Ltd	31-Mar-25	30-Apr-25
14	Quantum Securities Limited	31-Mar-25	30-Apr-25
15	Standard Alliance Capital and Asset Management Limited	31-Mar-25	30-Apr-25
16	Trust Yield Securities Limited	31-Mar-25	30-Apr-25

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2025

ACTIVE AND SUBMITTED

S/N	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	30-Jun-25	30-Jul-25
2	Afrinvest Securities Limited	30-Jun-25	30-Jul-25
3	Anchoria Securities Limited	30-Jun-25	30-Jul-25
4	Apel Asset Limited	30-Jun-25	30-Jul-25
5	Apt Securities and Funds Limited	30-Jun-25	30-Jul-25
6	ARM Securities Limited	30-Jun-25	30-Jul-25
7	Arthur Stevens Asset Management Limited	30-Jun-25	30-Jul-25
8	Associated Asset Managers Limited	30-Jun-25	30-Jul-25
9	Atlass Portfolio Limited	30-Jun-25	30-Jul-25
10	AVA Securities Limited	30-Jun-25	30-Jul-25
11	Bancorp Securities Limited	30-Jun-25	30-Jul-25
12	Bauchi Investment Corp. Sec Limited	30-Jun-25	30-Jul-25
13	Belfry Investment and Securities Limited	30-Jun-25	30-Jul-25
14	Bestworth Assets and Trust Limited	30-Jun-25	30-Jul-25
15	BGL Securities Limited	30-Jun-25	30-Jul-25
16	Calyx Securities Limited	30-Jun-25	30-Jul-25
17	Camry Securities Limited	30-Jun-25	30-Jul-25
18	Capital Asset Limited	30-Jun-25	30-Jul-25
19	Capital Express Securities Limited	30-Jun-25	30-Jul-25
20	Capital Trust Brokers Limited	30-Jun-25	30-Jul-25
21	Cardinal Stone Securities Ltd	30-Jun-25	30-Jul-25
22	Cashville Investment and Securities Limited	30-Jun-25	30-Jul-25
23	CDL Capital Markets Limited	30-Jun-25	30-Jul-25
24	Centre Point Investment Limited	30-Jun-25	30-Jul-25
25	Century Securities Limited	30-Jun-25	30-Jul-25
26	Chapel Hill Denham Securities Limited	30-Jun-25	30-Jul-25
27	Chartwell Securities Limited	30-Jun-25	30-Jul-25
28	Citi Investment Capital Limited	30-Jun-25	30-Jul-25
29	City Code Trust and Investment Company Limited	30-Jun-25	30-Jul-25
30	Compass Investment and Securities Limited	30-Jun-25	30-Jul-25
31	Cordros Securities Limited	30-Jun-25	30-Jul-25
32	Coronation Securities Limited	30-Jun-25	30-Jul-25
33	Cowry Securities Limited	30-Jun-25	30-Jul-25
34	Crane Securities Limited	30-Jun-25	30-Jul-25
35	Crossworld Securities Limited	30-Jun-25	30-Jul-25
36	Crown Capital Limited	30-Jun-25	30-Jul-25
37	CSL Stockbrokers Limited	30-Jun-25	30-Jul-25
38	Delords Securities Limited	30-Jun-25	30-Jul-25
39	DLM Securities Limited	30-Jun-25	30-Jul-25
40	Dominion Trust Limited	30-Jun-25	30-Jul-25

41	DSU Brokerage Services Limited	30-Jun-25	30-Jul-25
42	Dunbell Securities Limited	30-Jun-25	30-Jul-25
43	Dynamic Portfolio Limited	30-Jun-25	30-Jul-25
44	EDC Securities Limited	30-Jun-25	30-Jul-25
45	Edgefield Capital Management Limited	30-Jun-25	30-Jul-25
46	EFG Hermes Nigeria Limited	30-Jun-25	30-Jul-25
47	El-Elyon Alliance and Securities Ltd	30-Jun-25	30-Jul-25
48	Equity Capital Solutions Limited	30-Jun-25	30-Jul-25
49	Eurocomm Securities Limited	30-Jun-25	30-Jul-25
50	Express Portfolio Services Limited	30-Jun-25	30-Jul-25
51	Falcon Securities Limited	30-Jun-25	30-Jul-25
52	FBC Trust and Securities Limited	30-Jun-25	30-Jul-25
53	FCSL Asset Management Company Limited	30-Jun-25	30-Jul-25
54	Fidelity Finance Company Limited	30-Jun-25	30-Jul-25
55	Financial and Analytics Capital Limited	30-Jun-25	30-Jul-25
56	Financial Trust Company Nigeria Limited	30-Jun-25	30-Jul-25
57	Finmal Finance Services Limited	30-Jun-25	30-Jul-25
58	First Integrated Capital Manangement Limited	30-Jun-25	30-Jul-25
59	First Securities Brokers Limited	30-Jun-25	30-Jul-25
60	FIS Securities Limited	30-Jun-25	30-Jul-25
61	Foresight Securities and Investment Limited	30-Jun-25	30-Jul-25
62	Forte Financial Limited	30-Jun-25	30-Jul-25
63	Forthright Securities and Investments Limited	30-Jun-25	30-Jul-25
64	Fortress Capital Limited	30-Jun-25	30-Jul-25
65	FSDH Capital Limited	30-Jun-25	30-Jul-25
66	FSL Securities Limited	30-Jun-25	30-Jul-25
67	Funds Matrix and Assets Mgt Limited	30-Jun-25	30-Jul-25
68	Fundvine Capital and Securities Limited	30-Jun-25	30-Jul-25
69	Futureview Securities Limited	30-Jun-25	30-Jul-25
70	GDL Stockbrokers Limited	30-Jun-25	30-Jul-25
71	Gem Assets Management Limited	30-Jun-25	30-Jul-25
72	Gidauniya Investment and Securities Limited	30-Jun-25	30-Jul-25
73	Global Asset Management (Nig) Limited	30-Jun-25	30-Jul-25
74	GlobalView Capital Limited	30-Jun-25	30-Jul-25
75	Golden Securities Limited	30-Jun-25	30-Jul-25
76	Greenwich Securities Limited	30-Jun-25	30-Jul-25
77	Gruene Capital Limited	30-Jun-25	30-Jul-25
78	GTI Securities Limited	30-Jun-25	30-Jul-25
79	Harmony Securities Limited	30-Jun-25	30-Jul-25
80	Heartbeat Investment Limited	30-Jun-25	30-Jul-25
81	Hedge Securities and Investment Limited	30-Jun-25	30-Jul-25
82	Heritage Capital Markets Limited	30-Jun-25	30-Jul-25
83	ICMG Securities Limited	30-Jun-25	30-Jul-25
84	Icon Stockbrokers Limited	30-Jun-25	30-Jul-25
85	Imperial Asset Managers Limited	30-Jun-25	30-Jul-25
86	Integrated Trust and Investment Limited	30-Jun-25	30-Jul-25
87	Interstate Securities Limited	30-Jun-25	30-Jul-25
88	Investment One Stockbrokers International Ltd	30-Jun-25	30-Jul-25
89	Investment Shark and Asset Mgt Ltd	30-Jun-25	30-Jul-25

90	Investors and Trust Co. Ltd	30-Jun-25	30-Jul-25
91	ITIS Securities Limited	30-Jun-25	30-Jul-25
92	Kapital Care Trust and Securities Limited	30-Jun-25	30-Jul-25
93	Kedari Capital Limited	30-Jun-25	30-Jul-25
94	Kinley Securities Limited	30-Jun-25	30-Jul-25
95	Kofana Securities and Investment Limited	30-Jun-25	30-Jul-25
96	Kundila Finance Services Limited	30-Jun-25	30-Jul-25
97	Lambeth Capital Limited	30-Jun-25	30-Jul-25
98	Lead Securities and Investment Limited	30-Jun-25	30-Jul-25
99	Lighthouse Capital Limited	30-Jun-25	30-Jul-25
100	Magnartis Finance and Investment Limited	30-Jun-25	30-Jul-25
101	Mainstreet Bank Securities Limited	30-Jun-25	30-Jul-25
102	Marimpex Finance and Investment Company Limited	30-Jun-25	30-Jul-25
103	Maven Asset Management Limited	30-Jun-25	30-Jul-25
104	Maxifund Investment and Securities Plc	30-Jun-25	30-Jul-25
105	MBC Securities Limited	30-Jun-25	30-Jul-25
106	Mega Equities Limited	30-Jun-25	30-Jul-25
107	Meristem Stockbrokers Limited	30-Jun-25	30-Jul-25
108	Midas Stockbrokers Limited	30-Jun-25	30-Jul-25
109	Midpoint Capital Limited	30-Jun-25	30-Jul-25
110	Milestone Capital Management Limited	30-Jun-25	30-Jul-25
111	Mission Securities Limited	30-Jun-25	30-Jul-25
112	Molten Trust Limited	30-Jun-25	30-Jul-25
113	Morgan Capital Securities Limited	30-Jun-25	30-Jul-25
114	Mountain Investment and Securities Limited	30-Jun-25	30-Jul-25
115	Network Capital Limited	30-Jun-25	30-Jul-25
116	Networth Securities and Finance Limited	30-Jun-25	30-Jul-25
117	Newdevco Investments and Securities Company Limited	30-Jun-25	30-Jul-25
118	Nigerian Stockbrokers Limited	30-Jun-25	30-Jul-25
119	Norrenberger Securities Limited	30-Jun-25	30-Jul-25
120	Nova Finance and Securities Limited	30-Jun-25	30-Jul-25
121	NOVAMBL Securities Limited	30-Jun-25	30-Jul-25
122	Osborne Capital Markets Limited	30-Jun-25	30-Jul-25
123	PAC Securities Limited	30-Jun-25	30-Jul-25
124	Parthian Securities Limited	30-Jun-25	30-Jul-25
125	Peace Capital Markets Ltd	30-Jun-25	30-Jul-25
126	Pilot Securities Limited	30-Jun-25	30-Jul-25
127	Pinefields Investment Services Limited	30-Jun-25	30-Jul-25
128	PIPC Securities Limited	30-Jun-25	30-Jul-25
129	Pivot Capital Limited	30-Jun-25	30-Jul-25
130	Planet Capital Limited	30-Jun-25	30-Jul-25
131	Portfolio Advisers Limited	30-Jun-25	30-Jul-25
132	Premium Capital and Stockbrokers Limited	30-Jun-25	30-Jul-25
133	Primewealth Capital Limited	30-Jun-25	30-Jul-25
134	Prominent Securities Limited	30-Jun-25	30-Jul-25
135	PSI Securities Limited	30-Jun-25	30-Jul-25
136	Pyramid Securities Limited	30-Jun-25	30-Jul-25
137	Qualinvest Capital Limited	30-Jun-25	30-Jul-25
138	Quantum Zenith Securities & Investments Limited	30-Jun-25	30-Jul-25

139	Rainbow Securities & Investment Company Limited	30-Jun-25	30-Jul-25
140	Readings Investment Limited	30-Jun-25	30-Jul-25
141	Regency Asset Management Limited	30-Jun-25	30-Jul-25
142	Rencap Securities (Nig) Limited	30-Jun-25	30-Jul-25
143	Resort Securities and Trust Limited	30-Jun-25	30-Jul-25
144	Reward Investment and Service Limited	30-Jun-25	30-Jul-25
145	Rostrum Investment and Sec Limited	30-Jun-25	30-Jul-25
146	Rowet Capital Mgt Limited	30-Jun-25	30-Jul-25
147	Royal Crest Finance Limited	30-Jun-25	30-Jul-25
148	Royal Guaranty and Trust Limited	30-Jun-25	30-Jul-25
149	Sankore Securities Limited	30-Jun-25	30-Jul-25
150	Santrust Securities Limited	30-Jun-25	30-Jul-25
151	Securities Africa Financial Limited	30-Jun-25	30-Jul-25
152	Securities and Capital Management Company Limited	30-Jun-25	30-Jul-25
153	Security Swaps Limited	30-Jun-25	30-Jul-25
154	Shalom Investment and Financial Services Limited	30-Jun-25	30-Jul-25
155	Shelong Investments Limited	30-Jun-25	30-Jul-25
156	Sigma Securities Limited	30-Jun-25	30-Jul-25
157	Signet Investment and Securities Limited	30-Jun-25	30-Jul-25
158	Skyview Capital Ltd	30-Jun-25	30-Jul-25
159	Smadac Securities Limited	30-Jun-25	30-Jul-25
160	Solid Rock Securities and Investment Plc	30-Jun-25	30-Jul-25
161	Spring Trust and Securities Limited	30-Jun-25	30-Jul-25
162	Springboard Trust and Investment Limited	30-Jun-25	30-Jul-25
163	Stanbic IBTC Stockbrokers Limited	30-Jun-25	30-Jul-25
164	Standard Union Securities Limited	30-Jun-25	30-Jul-25
165	StoneX Financial Nigeria Limited	30-Jun-25	30-Jul-25
166	The Bridge Securities Limited	30-Jun-25	30-Jul-25
167	Tiddo Securities Limited	30-Jun-25	30-Jul-25
168	Tomil Trust Limited	30-Jun-25	30-Jul-25
169	Topmost Securities Limited	30-Jun-25	30-Jul-25
170	Tower Securities and Investment Company Limited	30-Jun-25	30-Jul-25
171	Trade Link Securities Limited	30-Jun-25	30-Jul-25
172	Traders Trust and Investment Co. Limited	30-Jun-25	30-Jul-25
173	Transworld Investment Limited	30-Jun-25	30-Jul-25
174	Trinity Securities Limited	30-Jun-25	30-Jul-25
175	TrustBanc Capital Management Limited	30-Jun-25	30-Jul-25
176	Trusthouse Investment Limited	30-Jun-25	30-Jul-25
177	TRW Stockbrokers Limited	30-Jun-25	30-Jul-25
178	Tyndale Securities Limited	30-Jun-25	30-Jul-25
179	UCML Securities Limited	30-Jun-25	30-Jul-25
180	UIDC Securities Limited	30-Jun-25	30-Jul-25
181	Unex Capital Limited	30-Jun-25	30-Jul-25
182	United Capital Securities Limited	30-Jun-25	30-Jul-25
183	Valmon Securities Limited	30-Jun-25	30-Jul-25
184	Valueline Securities and Investment Limited	30-Jun-25	30-Jul-25
185	Vetiva Securities Limited	30-Jun-25	30-Jul-25
186	WCM Capital Limited	30-Jun-25	30-Jul-25
187	WSTC Securities Limited	30-Jun-25	30-Jul-25

188	Zedcrest Securities Limited (RMB Nigeria Stockbrokers Limited	30-Jun-25	30-Jul-25
189	Zion Stockbrokers and Securities Limited	30-Jun-25	30-Jul-25

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Royal Trust Securities Limited	30-Jun-25	30-Jul-25
2	Standard Alliance Capital and Asset Management Limited	30-Jun-25	30-Jul-25
3	Supra Commercial Trust Company Limited	30-Jun-25	30-Jul-25

ACTIVE AND NOT SUBMITTED

S/N	Firms	Quarter End	Due Date

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	30-Jun-25	30-Jul-25
2	Amyn Investment Limited	30-Jun-25	30-Jul-25
3	Cashcraft Securities Limited	30-Jun-25	30-Jul-25
4	CEB Securities Limited	30-Jun-25	30-Jul-25
5	Clearview Investments Company Limited	30-Jun-25	30-Jul-25
6	CLG Stockbrokers Limited	30-Jun-25	30-Jul-25
7	Core Securities Limited	30-Jun-25	30-Jul-25
8	Elixir Securities Limited	30-Jun-25	30-Jul-25
9	GMT Securities and Asset Mangement Limited	30-Jun-25	30-Jul-25
10	Gombe Securities Limited	30-Jun-25	30-Jul-25
11	ML Securities Limited	30-Jun-25	30-Jul-25
12	Mutual Alliance Investment and Securities Limited	30-Jun-25	30-Jul-25
13	Nigerian International Securities Ltd	30-Jun-25	30-Jul-25
14	Quantum Securities Limited	30-Jun-25	30-Jul-25
15	Trust Yield Securities Limited	30-Jun-25	30-Jul-25

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2025

ACTIVE AND SUBMITTED

	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	30-Sep-25	30-Oct-25
2	Afrinvest Securities Limited	30-Sep-25	30-Oct-25
3	Anchoria Securities Limited	30-Sep-25	30-Oct-25
4	Apel Asset Limited	30-Sep-25	30-Oct-25
5	Apt Securities and Funds Limited	30-Sep-25	30-Oct-25
6	ARM Securities Limited	30-Sep-25	30-Oct-25
7	Arthur Stevens Asset Management Limited	30-Sep-25	30-Oct-25
8	Associated Asset Managers Limited	30-Sep-25	30-Oct-25
9	Atlass Portfolio Limited	30-Sep-25	30-Oct-25
10	AVA Securities Limited	30-Sep-25	30-Oct-25
11	Bancorp Securities Limited	30-Sep-25	30-Oct-25
12	Bauchi Investment Corp. Sec Limited	30-Sep-25	30-Oct-25
13	Belfry Investment and Securities Limited	30-Sep-25	30-Oct-25
14	Bestworth Assets and Trust Limited	30-Sep-25	30-Oct-25
15	BGL Securities Limited	30-Sep-25	30-Oct-25
16	Calyx Securities Limited	30-Sep-25	30-Oct-25
17	Camry Securities Limited	30-Sep-25	30-Oct-25
18	Capital Asset Limited	30-Sep-25	30-Oct-25
19	Capital Express Securities Limited	30-Sep-25	30-Oct-25
20	Capital Trust Brokers Limited	30-Sep-25	30-Oct-25
21	Cardinal Stone Securities Ltd	30-Sep-25	30-Oct-25
22	Cashville Investment and Securities Limited	30-Sep-25	30-Oct-25
23	CDL Capital Markets Limited	30-Sep-25	30-Oct-25
24	Centre Point Investment Limited	30-Sep-25	30-Oct-25
25	Century Securities Limited	30-Sep-25	30-Oct-25
26	Chapel Hill Denham Securities Limited	30-Sep-25	30-Oct-25
27	Chartwell Securities Limited	30-Sep-25	30-Oct-25
28	Citi Investment Capital Limited	30-Sep-25	30-Oct-25
29	City Code Trust and Investment Company Limited	30-Sep-25	30-Oct-25
30	Compass Investment and Securities Limited	30-Sep-25	30-Oct-25
31	Cordros Securities Limited	30-Sep-25	30-Oct-25
32	Coronation Securities Limited	30-Sep-25	30-Oct-25
33	Cowry Securities Limited	30-Sep-25	30-Oct-25
34	Crane Securities Limited	30-Sep-25	30-Oct-25
35	Crossworld Securities Limited	30-Sep-25	30-Oct-25
36	Crown Capital Limited	30-Sep-25	30-Oct-25
37	CSL Stockbrokers Limited	30-Sep-25	30-Oct-25
38	Delords Securities Limited	30-Sep-25	30-Oct-25
39	DLM Securities Limited	30-Sep-25	30-Oct-25
40	Dominion Trust Limited	30-Sep-25	30-Oct-25

41	DSU Brokerage Services Limited	30-Sep-25	30-Oct-25
42	Dunbell Securities Limited	30-Sep-25	30-Oct-25
43	Dynamic Portfolio Limited	30-Sep-25	30-Oct-25
44	EDC Securities Limited	30-Sep-25	30-Oct-25
45	Edgefield Capital Management Limited	30-Sep-25	30-Oct-25
46	EFG Hermes Nigeria Limited	30-Sep-25	30-Oct-25
47	El-Elyon Alliance and Securities Ltd	30-Sep-25	30-Oct-25
48	Equity Capital Solutions Limited	30-Sep-25	30-Oct-25
49	Eurocomm Securities Limited	30-Sep-25	30-Oct-25
50	Express Portfolio Services Limited	30-Sep-25	30-Oct-25
51	Falcon Securities Limited	30-Sep-25	30-Oct-25
52	FBC Trust and Securities Limited	30-Sep-25	30-Oct-25
53	FCSL Asset Management Company Limited	30-Sep-25	30-Oct-25
54	Fidelity Finance Company Limited	30-Sep-25	30-Oct-25
55	Financial and Analytics Capital Limited	30-Sep-25	30-Oct-25
56	Financial Trust Company Nigeria Limited	30-Sep-25	30-Oct-25
57	Finmal Finance Services Limited	30-Sep-25	30-Oct-25
58	First Integrated Capital Manangement Limited	30-Sep-25	30-Oct-25
59	First Securities Brokers Limited	30-Sep-25	30-Oct-25
60	FIS Securities Limited	30-Sep-25	30-Oct-25
61	Foresight Securities and Investment Limited	30-Sep-25	30-Oct-25
62	Forte Financial Limited	30-Sep-25	30-Oct-25
63	Forthright Securities and Investments Limited	30-Sep-25	30-Oct-25
64	Fortress Capital Limited	30-Sep-25	30-Oct-25
65	FSDH Capital Limited	30-Sep-25	30-Oct-25
66	FSL Securities Limited	30-Sep-25	30-Oct-25
67	Fundvine Capital and Securities Limited	30-Sep-25	30-Oct-25
68	Futureview Securities Limited	30-Sep-25	30-Oct-25
69	GDL Stockbrokers Limited	30-Sep-25	30-Oct-25
70	Gem Assets Management Limited	30-Sep-25	30-Oct-25
71	Gidauniya Investment and Securities Limited	30-Sep-25	30-Oct-25
72	Global Asset Management (Nig) Limited	30-Sep-25	30-Oct-25
73	GlobalView Capital Limited	30-Sep-25	30-Oct-25
74	Golden Securities Limited	30-Sep-25	30-Oct-25
75	Greenwich Securities Limited	30-Sep-25	30-Oct-25
76	Gruene Capital Limited	30-Sep-25	30-Oct-25
77	GTI Securities Limited	30-Sep-25	30-Oct-25
78	Harmony Securities Limited	30-Sep-25	30-Oct-25
79	Heartbeat Investment Limited	30-Sep-25	30-Oct-25
80	Hedge Securities and Investment Limited	30-Sep-25	30-Oct-25
81	Heritage Capital Markets Limited	30-Sep-25	30-Oct-25
82	ICMG Securities Limited	30-Sep-25	30-Oct-25
83	Icon Stockbrokers Limited	30-Sep-25	30-Oct-25
84	Imperial Asset Managers Limited	30-Sep-25	30-Oct-25
85	Integrated Trust and Investment Limited	30-Sep-25	30-Oct-25
86	Interstate Securities Limited	30-Sep-25	30-Oct-25
87	Investment One Stockbrokers International Ltd	30-Sep-25	30-Oct-25
88	Investment Shark and Asset Mgt Ltd	30-Sep-25	30-Oct-25
89	Investors and Trust Co. Ltd	30-Sep-25	30-Oct-25

90	ITIS Securities Limited	30-Sep-25	30-Oct-25
91	Kapital Care Trust and Securities Limited	30-Sep-25	30-Oct-25
92	Kedari Capital Limited	30-Sep-25	30-Oct-25
93	Kinley Securities Limited	30-Sep-25	30-Oct-25
94	Kofana Securities and Investment Limited	30-Sep-25	30-Oct-25
95	Kundila Finance Services Limited	30-Sep-25	30-Oct-25
96	Lambeth Capital Limited	30-Sep-25	30-Oct-25
97	Lead Securities and Investment Limited	30-Sep-25	30-Oct-25
98	Lighthouse Capital Limited	30-Sep-25	30-Oct-25
99	Magnartis Finance and Investment Limited	30-Sep-25	30-Oct-25
100	Mainstreet Bank Securities Limited	30-Sep-25	30-Oct-25
101	Maven Asset Management Limited	30-Sep-25	30-Oct-25
102	MBC Securities Limited	30-Sep-25	30-Oct-25
103	Mega Equities Limited	30-Sep-25	30-Oct-25
104	Meristem Stockbrokers Limited	30-Sep-25	30-Oct-25
105	Midas Stockbrokers Limited	30-Sep-25	30-Oct-25
106	Midpoint Capital Limited	30-Sep-25	30-Oct-25
107	Milestone Capital Management Limited	30-Sep-25	30-Oct-25
108	Mission Securities Limited	30-Sep-25	30-Oct-25
109	Molten Trust Limited	30-Sep-25	30-Oct-25
110	Morgan Capital Securities Limited	30-Sep-25	30-Oct-25
111	Mountain Investment and Securities Limited	30-Sep-25	30-Oct-25
112	Network Capital Limited	30-Sep-25	30-Oct-25
113	Networth Securities and Finance Limited	30-Sep-25	30-Oct-25
114	Newdevco Investments and Securities Company Limited	30-Sep-25	30-Oct-25
115	Nigerian Stockbrokers Limited	30-Sep-25	30-Oct-25
116	Norrenberger Securities Limited	30-Sep-25	30-Oct-25
117	Nova Finance and Securities Limited	30-Sep-25	30-Oct-25
118	NOVAMBL Securities Limited	30-Sep-25	30-Oct-25
119	Osborne Capital Markets Limited	30-Sep-25	30-Oct-25
120	PAC Securities Limited	30-Sep-25	30-Oct-25
121	Parthian Securities Limited	30-Sep-25	30-Oct-25
122	Peace Capital Markets Ltd	30-Sep-25	30-Oct-25
123	Pilot Securities Limited	30-Sep-25	30-Oct-25
124	Pinefields Investment Services Limited	30-Sep-25	30-Oct-25
125	PIPC Securities Limited	30-Sep-25	30-Oct-25
126	Pivot Capital Limited	30-Sep-25	30-Oct-25
127	Planet Capital Limited	30-Sep-25	30-Oct-25
128	Portfolio Advisers Limited	30-Sep-25	30-Oct-25
129	Premium Capital and Stockbrokers Limited	30-Sep-25	30-Oct-25
130	Primewealth Capital Limited	30-Sep-25	30-Oct-25
131	Prominent Securities Limited	30-Sep-25	30-Oct-25
132	PSI Securities Limited	30-Sep-25	30-Oct-25
133	Pyramid Securities Limited	30-Sep-25	30-Oct-25
134	QCapital Limited	30-Sep-25	30-Oct-25
135	Quantum Zenith Securities & Investments Limited	30-Sep-25	30-Oct-25
136	Rainbow Securities & Investment Company Limited	30-Sep-25	30-Oct-25
137	Readings Investment Limited	30-Sep-25	30-Oct-25
138	Regency Asset Management Limited	30-Sep-25	30-Oct-25

139	Rencap Securities (Nig) Limited	30-Sep-25	30-Oct-25
140	Resort Securities and Trust Limited	30-Sep-25	30-Oct-25
141	Reward Investment and Service Limited	30-Sep-25	30-Oct-25
142	Rostrum Investment and Sec Limited	30-Sep-25	30-Oct-25
143	Rowet Capital Mgt Limited	30-Sep-25	30-Oct-25
144	Royal Crest Finance Limited	30-Sep-25	30-Oct-25
145	Royal Guaranty and Trust Limited	30-Sep-25	30-Oct-25
146	Sankore Securities Limited	30-Sep-25	30-Oct-25
147	Santrust Securities Limited	30-Sep-25	30-Oct-25
148	Securities Africa Financial Limited	30-Sep-25	30-Oct-25
149	Securities and Capital Management Company Limited	30-Sep-25	30-Oct-25
150	Security Swaps Limited	30-Sep-25	30-Oct-25
151	Shalom Investment and Financial Services Limited	30-Sep-25	30-Oct-25
152	Shelong Investments Limited	30-Sep-25	30-Oct-25
153	Sigma Securities Limited	30-Sep-25	30-Oct-25
154	Signet Investment and Securities Limited	30-Sep-25	30-Oct-25
155	Skyview Capital Ltd	30-Sep-25	30-Oct-25
156	Smadac Securities Limited	30-Sep-25	30-Oct-25
157	Solid Rock Securities and Investment Plc	30-Sep-25	30-Oct-25
158	Spring Trust and Securities Limited	30-Sep-25	30-Oct-25
159	Springboard Trust and Investment Limited	30-Sep-25	30-Oct-25
160	Stanbic IBTC Stockbrokers Limited	30-Sep-25	30-Oct-25
161	Standard Union Securities Limited	30-Sep-25	30-Oct-25
162	StoneX Financial Nigeria Limited	30-Sep-25	30-Oct-25
163	The Bridge Securities Limited	30-Sep-25	30-Oct-25
164	The Brook Securities Limited	30-Sep-25	30-Oct-25
165	Tiddo Securities Limited	30-Sep-25	30-Oct-25
166	Tomil Trust Limited	30-Sep-25	30-Oct-25
167	Topmost Securities Limited	30-Sep-25	30-Oct-25
168	Tower Securities and Investment Company Limited	30-Sep-25	30-Oct-25
169	Trade Link Securities Limited	30-Sep-25	30-Oct-25
170	Traders Trust and Investment Co. Limited	30-Sep-25	30-Oct-25
171	Transworld Investment Limited	30-Sep-25	30-Oct-25
172	Trinity Securities Limited	30-Sep-25	30-Oct-25
173	TrustBanc Capital Management Limited	30-Sep-25	30-Oct-25
174	Trusthouse Investment Limited	30-Sep-25	30-Oct-25
175	TRW Stockbrokers Limited	30-Sep-25	30-Oct-25
176	Tyndale Securities Limited	30-Sep-25	30-Oct-25
177	UCML Securities Limited	30-Sep-25	30-Oct-25
178	UIDC Securities Limited	30-Sep-25	30-Oct-25
179	Unex Capital Limited	30-Sep-25	30-Oct-25
180	United Capital Securities Limited	30-Sep-25	30-Oct-25
181	Valmon Securities Limited	30-Sep-25	30-Oct-25
182	Valueline Securities and Investment Limited	30-Sep-25	30-Oct-25
183	Vetiva Securities Limited	30-Sep-25	30-Oct-25
184	WCM Capital Limited	30-Sep-25	30-Oct-25
185	WSTC Securities Limited	30-Sep-25	30-Oct-25
186	Zedcrest Securities Limited (RMB Nigeria Stockbrokers Limited	30-Sep-25	30-Oct-25
187	Zion Stockbrokers and Securities Limited	30-Sep-25	30-Oct-25

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Funds Matrix and Assets Mgt Limited	30-Sep-25	30-Oct-25
2	Maxifund Investment and Securities Plc	30-Sep-25	30-Oct-25
3	Royal Trust Securities Limited	30-Sep-25	30-Oct-25
4	Standard Alliance Capital and Asset Management Limited	30-Sep-25	30-Oct-25
5	Supra Commercial Trust Company Limited	30-Sep-25	30-Oct-25

ACTIVE AND NOT SUBMITTED			
S/N	**Firms**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	30-Sep-25	30-Oct-25
2	Amyn Investment Limited	30-Sep-25	30-Oct-25
3	Cashcraft Securities Limited	30-Sep-25	30-Oct-25
4	CEB Securities Limited	30-Sep-25	30-Oct-25
5	Clearview Investments Company Limited	30-Sep-25	30-Oct-25
6	CLG Stockbrokers Limited	30-Sep-25	30-Oct-25
7	Core Securities Limited	30-Sep-25	30-Oct-25
8	ECL Asset Management Limited	30-Sep-25	30-Oct-25
9	Elixir Securities Limited	30-Sep-25	30-Oct-25
10	GMT Securities and Asset Mangement Limited	30-Sep-25	30-Oct-25
11	Gombe Securities Limited	30-Sep-25	30-Oct-25
12	ML Securities Limited	30-Sep-25	30-Oct-25
13	Mutual Alliance Investment and Securities Limited	30-Sep-25	30-Oct-25
14	Nigerian International Securities Ltd	30-Sep-25	30-Oct-25
15	Quantum Securities Limited	30-Sep-25	30-Oct-25
16	Trust Yield Securities Limited	30-Sep-25	30-Oct-25

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2025

ACTIVE AND SUBMITTED

	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	31-Dec-25	30-Jan-26
2	Afrinvest Securities Limited	31-Dec-25	30-Jan-26
3	Anchoria Securities Limited	31-Dec-25	30-Jan-26
4	Apel Asset Limited	31-Dec-25	30-Jan-26
5	Apt Securities and Funds Limited	31-Dec-25	30-Jan-26
6	ARM Securities Limited	31-Dec-25	30-Jan-26
7	Arthur Stevens Asset Management Limited	31-Dec-25	30-Jan-26
8	Associated Asset Managers Limited	31-Dec-25	30-Jan-26
9	Atlass Portfolio Limited	31-Dec-25	30-Jan-26
10	AVA Securities Limited	31-Dec-25	30-Jan-26
11	Bancorp Securities Limited	31-Dec-25	30-Jan-26
12	Bauchi Investment Corp. Sec Limited	31-Dec-25	30-Jan-26
13	Belfry Investment and Securities Limited	31-Dec-25	30-Jan-26
14	Bestworth Assets and Trust Limited	31-Dec-25	30-Jan-26
15	BGL Securities Limited	31-Dec-25	30-Jan-26
16	Calyx Securities Limited	31-Dec-25	30-Jan-26
17	Camry Securities Limited	31-Dec-25	30-Jan-26
18	Capital Asset Limited	31-Dec-25	30-Jan-26
19	Capital Express Securities Limited	31-Dec-25	30-Jan-26
20	Capital Trust Brokers Limited	31-Dec-25	30-Jan-26
21	Cardinal Stone Securities Ltd	31-Dec-25	30-Jan-26
22	Cashville Investment and Securities Limited	31-Dec-25	30-Jan-26
23	CDL Capital Markets Limited	31-Dec-25	30-Jan-26
24	Centre Point Investment Limited	31-Dec-25	30-Jan-26
25	Century Securities Limited	31-Dec-25	30-Jan-26
26	Chapel Hill Denham Securities Limited	31-Dec-25	30-Jan-26
27	Chartwell Securities Limited	31-Dec-25	30-Jan-26
28	Citi Investment Capital Limited	31-Dec-25	30-Jan-26
29	City Code Trust and Investment Company Limited	31-Dec-25	30-Jan-26
30	Compass Investment and Securities Limited	31-Dec-25	30-Jan-26
31	Cordros Securities Limited	31-Dec-25	30-Jan-26
32	Coronation Securities Limited	31-Dec-25	30-Jan-26
33	Cowry Securities Limited	31-Dec-25	30-Jan-26
34	Crane Securities Limited	31-Dec-25	30-Jan-26
35	Crossworld Securities Limited	31-Dec-25	30-Jan-26
36	Crown Capital Limited	31-Dec-25	30-Jan-26
37	CSL Stockbrokers Limited	31-Dec-25	30-Jan-26
38	Delords Securities Limited	31-Dec-25	30-Jan-26
39	DLM Securities Limited	31-Dec-25	30-Jan-26
40	Dominion Trust Limited	31-Dec-25	30-Jan-26

41	DSU Brokerage Services Limited	31-Dec-25	30-Jan-26
42	Dunbell Securities Limited	31-Dec-25	30-Jan-26
43	Dynamic Portfolio Limited	31-Dec-25	30-Jan-26
44	ECL Asset Management Limited	31-Dec-25	30-Jan-26
45	EDC Securities Limited	31-Dec-25	30-Jan-26
46	Edgefield Capital Management Limited	31-Dec-25	30-Jan-26
47	EFG Hermes Nigeria Limited	31-Dec-25	30-Jan-26
48	El-Elyon Alliance and Securities Ltd	31-Dec-25	30-Jan-26
49	Equity Capital Solutions Limited	31-Dec-25	30-Jan-26
50	Eurocomm Securities Limited	31-Dec-25	30-Jan-26
51	Express Portfolio Services Limited	31-Dec-25	30-Jan-26
52	Falcon Securities Limited	31-Dec-25	30-Jan-26
53	FBC Trust and Securities Limited	31-Dec-25	30-Jan-26
54	FCSL Asset Management Company Limited	31-Dec-25	30-Jan-26
55	Fidelity Finance Company Limited	31-Dec-25	30-Jan-26
56	Financial and Analytics Capital Limited	31-Dec-25	30-Jan-26
57	Financial Trust Company Nigeria Limited	31-Dec-25	30-Jan-26
58	Finmal Finance Services Limited	31-Dec-25	30-Jan-26
59	First Integrated Capital Manangement Limited	31-Dec-25	30-Jan-26
60	First Securities Brokers Limited	31-Dec-25	30-Jan-26
61	FIS Securities Limited	31-Dec-25	30-Jan-26
62	Foresight Securities and Investment Limited	31-Dec-25	30-Jan-26
63	Forte Financial Limited	31-Dec-25	30-Jan-26
64	Forthright Securities and Investments Limited	31-Dec-25	30-Jan-26
65	Fortress Capital Limited	31-Dec-25	30-Jan-26
66	FSDH Capital Limited	31-Dec-25	30-Jan-26
67	FSL Securities Limited	31-Dec-25	30-Jan-26
68	Fundvine Capital and Securities Limited	31-Dec-25	30-Jan-26
69	Futureview Securities Limited	31-Dec-25	30-Jan-26
70	GDL Stockbrokers Limited	31-Dec-25	30-Jan-26
71	Gem Assets Management Limited	31-Dec-25	30-Jan-26
72	Gidauniya Investment and Securities Limited	31-Dec-25	30-Jan-26
73	Global Asset Management (Nig) Limited	31-Dec-25	30-Jan-26
74	GlobalView Capital Limited	31-Dec-25	30-Jan-26
75	Goldenbridge Stockbrokers Limited	31-Dec-25	30-Jan-26
76	Golden Securities Limited	31-Dec-25	30-Jan-26
77	Greenwich Securities Limited	31-Dec-25	30-Jan-26
78	Gruene Capital Limited	31-Dec-25	30-Jan-26
79	GTI Securities Limited	31-Dec-25	30-Jan-26
80	Harmony Securities Limited	31-Dec-25	30-Jan-26
81	Heartbeat Investment Limited	31-Dec-25	30-Jan-26
82	Hedge Securities and Investment Limited	31-Dec-25	30-Jan-26
83	Heritage Capital Markets Limited	31-Dec-25	30-Jan-26
84	ICMG Securities Limited	31-Dec-25	30-Jan-26
85	Icon Stockbrokers Limited	31-Dec-25	30-Jan-26
86	Imperial Asset Managers Limited	31-Dec-25	30-Jan-26
87	Innova Securities Limited	31-Dec-25	30-Jan-26
88	Integrated Trust and Investment Limited	31-Dec-25	30-Jan-26
89	Interstate Securities Limited	31-Dec-25	30-Jan-26

90	Investment One Stockbrokers International Ltd	31-Dec-25	30-Jan-26
91	Investment Shark and Asset Mgt Ltd	31-Dec-25	30-Jan-26
92	Investors and Trust Co. Ltd	31-Dec-25	30-Jan-26
93	ITIS Securities Limited	31-Dec-25	30-Jan-26
94	Kapital Care Trust and Securities Limited	31-Dec-25	30-Jan-26
95	Kedari Capital Limited	31-Dec-25	30-Jan-26
96	Kinley Securities Limited	31-Dec-25	30-Jan-26
97	Kofana Securities and Investment Limited	31-Dec-25	30-Jan-26
98	Kundila Finance Services Limited	31-Dec-25	30-Jan-26
99	Lambeth Capital Limited	31-Dec-25	30-Jan-26
100	Lead Securities and Investment Limited	31-Dec-25	30-Jan-26
101	Lighthouse Capital Limited	31-Dec-25	30-Jan-26
102	Magnartis Finance and Investment Limited	31-Dec-25	30-Jan-26
103	Maven Asset Management Limited	31-Dec-25	30-Jan-26
104	MBC Securities Limited	31-Dec-25	30-Jan-26
105	Mega Equities Limited	31-Dec-25	30-Jan-26
106	Meristem Stockbrokers Limited	31-Dec-25	30-Jan-26
107	Midas Stockbrokers Limited	31-Dec-25	30-Jan-26
108	Midpoint Capital Limited	31-Dec-25	30-Jan-26
109	Milestone Capital Management Limited	31-Dec-25	30-Jan-26
110	Mission Securities Limited	31-Dec-25	30-Jan-26
111	Molten Trust Limited	31-Dec-25	30-Jan-26
112	Mainstreet Bank Securities Limited	31-Dec-25	30-Jan-26
113	Morgan Capital Securities Limited	31-Dec-25	30-Jan-26
114	Mountain Investment and Securities Limited	31-Dec-25	30-Jan-26
115	Network Capital Limited	31-Dec-25	30-Jan-26
116	Networth Securities and Finance Limited	31-Dec-25	30-Jan-26
117	Newdevco Investments and Securities Company Limited	31-Dec-25	30-Jan-26
118	Nigerian Stockbrokers Limited	31-Dec-25	30-Jan-26
119	Norrenberger Securities Limited	31-Dec-25	30-Jan-26
120	NOVAMBL Securities Limited	31-Dec-25	30-Jan-26
121	Nova Finance and Securities Limited	31-Dec-25	30-Jan-26
122	Osborne Capital Markets Limited	31-Dec-25	30-Jan-26
123	PAC Securities Limited	31-Dec-25	30-Jan-26
124	Parthian Securities Limited	31-Dec-25	30-Jan-26
125	Pilot Securities Limited	31-Dec-25	30-Jan-26
126	Pinefields Investment Services Limited	31-Dec-25	30-Jan-26
127	PIPC Securities Limited	31-Dec-25	30-Jan-26
128	Pivot Capital Limited	31-Dec-25	30-Jan-26
129	Planet Capital Limited	31-Dec-25	30-Jan-26
130	Portfolio Advisers Limited	31-Dec-25	30-Jan-26
131	Premium Capital and Stockbrokers Limited	31-Dec-25	30-Jan-26
132	Primewealth Capital Limited	31-Dec-25	30-Jan-26
133	Prominent Securities Limited	31-Dec-25	30-Jan-26
134	PSI Securities Limited	31-Dec-25	30-Jan-26
135	Pyramid Securities Limited	31-Dec-25	30-Jan-26
136	QCapital Limited	31-Dec-25	30-Jan-26
137	Quantum Zenith Securities & Investments Limited	31-Dec-25	30-Jan-26
138	Rainbow Securities & Investment Company Limited	31-Dec-25	30-Jan-26

139	Readings Investment Limited	31-Dec-25	30-Jan-26
140	Regency Asset Management Limited	31-Dec-25	30-Jan-26
141	Rencap Securities (Nig) Limited	31-Dec-25	30-Jan-26
142	Resort Securities and Trust Limited	31-Dec-25	30-Jan-26
143	Reward Investment and Service Limited	31-Dec-25	30-Jan-26
144	Rostrum Investment and Sec Limited	31-Dec-25	30-Jan-26
145	Rowet Capital Mgt Limited	31-Dec-25	30-Jan-26
146	Royal Crest Finance Limited	31-Dec-25	30-Jan-26
147	Royal Guaranty and Trust Limited	31-Dec-25	30-Jan-26
148	Sankore Securities Limited	31-Dec-25	30-Jan-26
149	Santrust Securities Limited	31-Dec-25	30-Jan-26
150	Securities Africa Financial Limited	31-Dec-25	30-Jan-26
151	Securities and Capital Management Company Limited	31-Dec-25	30-Jan-26
152	Security Swaps Limited	31-Dec-25	30-Jan-26
153	Shalom Investment and Financial Services Limited	31-Dec-25	30-Jan-26
154	Shelong Investments Limited	31-Dec-25	30-Jan-26
155	Sigma Securities Limited	31-Dec-25	30-Jan-26
156	Signet Investment and Securities Limited	31-Dec-25	30-Jan-26
157	Skyview Capital Ltd	31-Dec-25	30-Jan-26
158	Smadac Securities Limited	31-Dec-25	30-Jan-26
159	Solid Rock Securities and Investment Plc	31-Dec-25	30-Jan-26
160	Spring Trust and Securities Limited	31-Dec-25	30-Jan-26
161	Springboard Trust and Investment Limited	31-Dec-25	30-Jan-26
162	Stanbic IBTC Stockbrokers Limited	31-Dec-25	30-Jan-26
163	Standard Union Securities Limited	31-Dec-25	30-Jan-26
164	StoneX Financial Nigeria Limited	31-Dec-25	30-Jan-26
165	Supra Commercial Trust Company Limited	31-Dec-25	30-Jan-26
166	The Bridge Securities Limited	31-Dec-25	30-Jan-26
167	The Brook Securities Limited	31-Dec-25	30-Jan-26
168	Tiddo Securities Limited	31-Dec-25	30-Jan-26
169	Tomil Trust Limited	31-Dec-25	30-Jan-26
170	Topmost Securities Limited	31-Dec-25	30-Jan-26
171	Tower Securities and Investment Company Limited	31-Dec-25	30-Jan-26
172	Trade Link Securities Limited	31-Dec-25	30-Jan-26
173	Traders Trust and Investment Co. Limited	31-Dec-25	30-Jan-26
174	Transworld Investment Limited	31-Dec-25	30-Jan-26
175	Trinity Securities Limited	31-Dec-25	30-Jan-26
176	TrustBanc Capital Management Limited	31-Dec-25	30-Jan-26
177	Trusthouse Investment Limited	31-Dec-25	30-Jan-26
178	TRW Stockbrokers Limited	31-Dec-25	30-Jan-26
179	Tyndale Securities Limited	31-Dec-25	30-Jan-26
180	UIDC Securities Limited	31-Dec-25	30-Jan-26
181	Unex Capital Limited	31-Dec-25	30-Jan-26
182	United Capital Securities Limited	31-Dec-25	30-Jan-26
183	Valmon Securities Limited	31-Dec-25	30-Jan-26
184	Valueline Securities and Investment Limited	31-Dec-25	30-Jan-26
185	Vetiva Securities Limited	31-Dec-25	30-Jan-26
186	WCM Capital Limited	31-Dec-25	30-Jan-26
187	WSTC Securities Limited	31-Dec-25	30-Jan-26

188	Zedcrest Securities Limited (RMB Nigeria Stockbrokers Limited	31-Dec-25	30-Jan-26
189	Zion Stockbrokers and Securities Limited	31-Dec-25	30-Jan-26

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Funds Matrix and Assets Mgt Limited	31-Dec-25	30-Jan-26
2	Maxifund Investment and Securities Plc	31-Dec-25	30-Jan-26
3	ML Securities Limited	31-Dec-25	30-Jan-26
4	Royal Trust Securities Limited	31-Dec-25	30-Jan-26
5	Standard Alliance Capital and Asset Management Limited	31-Dec-25	30-Jan-26

ACTIVE AND NOT SUBMITTED			
S/N	**Firm**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	31-Dec-25	30-Jan-26
2	Amyn Investment Limited	31-Dec-25	30-Jan-26
3	Cashcraft Securities Limited	31-Dec-25	30-Jan-26
4	CEB Securities Limited	31-Dec-25	30-Jan-26
5	Clearview Investments Company Limited	31-Dec-25	30-Jan-26
6	CLG Stockbrokers Limited	31-Dec-25	30-Jan-26
7	Core Securities Limited	31-Dec-25	30-Jan-26
8	Elixir Securities Limited	31-Dec-25	30-Jan-26
9	GMT Securities and Asset Mangement Limited	31-Dec-25	30-Jan-26
10	Gombe Securities Limited	31-Dec-25	30-Jan-26
11	Mutual Alliance Investment and Securities Limited	31-Dec-25	30-Jan-26
12	Nigerian International Securities Ltd	31-Dec-25	30-Jan-26
13	Quantum Securities Limited	31-Dec-25	30-Jan-26
14	Trust Yield Securities Limited	31-Dec-25	30-Jan-26

NGX Regulation

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2026

ACTIVE AND SUBMITTED

	FIRMS	Quarter End	Due date
1	Absa Securities Nigeria Limited	31-Mar-26	30-Apr-26
2	Afrinvest Securities Limited	31-Mar-26	30-Apr-26
3	Anchoria Securities Limited	31-Mar-26	30-Apr-26
4	Apel Asset Limited	31-Mar-26	30-Apr-26
5	Apt Securities and Funds Limited	31-Mar-26	30-Apr-26
6	ARM Securities Limited	31-Mar-26	30-Apr-26
7	Arthur Stevens Asset Management Limited	31-Mar-26	30-Apr-26
8	Associated Asset Managers Limited	31-Mar-26	30-Apr-26
9	Atlass Portfolio Limited	31-Mar-26	30-Apr-26
10	AVA Securities Limited	31-Mar-26	30-Apr-26
11	Bancorp Securities Limited	31-Mar-26	30-Apr-26
12	Bauchi Investment Corp. Sec Limited	31-Mar-26	30-Apr-26
13	Belfry Investment and Securities Limited	31-Mar-26	30-Apr-26
14	Bestworth Assets and Trust Limited	31-Mar-26	30-Apr-26
15	BGL Securities Limited	31-Mar-26	30-Apr-26
16	Calyx Securities Limited	31-Mar-26	30-Apr-26
17	Camry Securities Limited	31-Mar-26	30-Apr-26
18	Capital Asset Limited	31-Mar-26	30-Apr-26
19	Capital Express Securities Limited	31-Mar-26	30-Apr-26
20	Capital Trust Brokers Limited	31-Mar-26	30-Apr-26
21	Cardinal Stone Securities Ltd	31-Mar-26	30-Apr-26
22	Cashville Investment and Securities Limited	31-Mar-26	30-Apr-26
23	CDL Capital Markets Limited	31-Mar-26	30-Apr-26
24	Centre Point Investment Limited	31-Mar-26	30-Apr-26
25	Century Securities Limited	31-Mar-26	30-Apr-26
26	Chapel Hill Denham Securities Limited	31-Mar-26	30-Apr-26
27	Chartwell Securities Limited	31-Mar-26	30-Apr-26
28	Citi Investment Capital Limited	31-Mar-26	30-Apr-26
29	City Code Trust and Investment Company Limited	31-Mar-26	30-Apr-26
30	Compass Investment and Securities Limited	31-Mar-26	30-Apr-26
31	Cordros Securities Limited	31-Mar-26	30-Apr-26
32	Coronation Securities Limited	31-Mar-26	30-Apr-26
33	Cowry Securities Limited	31-Mar-26	30-Apr-26
34	Crane Securities Limited	31-Mar-26	30-Apr-26
35	Crossworld Securities Limited	31-Mar-26	30-Apr-26
36	Crown Capital Limited	31-Mar-26	30-Apr-26
37	CSL Stockbrokers Limited	31-Mar-26	30-Apr-26
38	Delords Securities Limited	31-Mar-26	30-Apr-26

39	DLM Securities Limited	31-Mar-26	30-Apr-26
40	Dominion Trust Limited	31-Mar-26	30-Apr-26
41	DSU Brokerage Services Limited	31-Mar-26	30-Apr-26
42	Dunbell Securities Limited	31-Mar-26	30-Apr-26
43	Dynamic Portfolio Limited	31-Mar-26	30-Apr-26
44	ECL Asset Management Limited	31-Mar-26	30-Apr-26
45	EDC Securities Limited	31-Mar-26	30-Apr-26
46	Edgefield Capital Management Limited	31-Mar-26	30-Apr-26
47	EFG Hermes Nigeria Limited	31-Mar-26	30-Apr-26
48	El-Elyon Alliance and Securities Ltd	31-Mar-26	30-Apr-26
49	Equity Capital Solutions Limited	31-Mar-26	30-Apr-26
50	Eurocomm Securities Limited	31-Mar-26	30-Apr-26
51	Express Portfolio Services Limited	31-Mar-26	30-Apr-26
52	Falcon Securities Limited	31-Mar-26	30-Apr-26
53	FBC Trust and Securities Limited	31-Mar-26	30-Apr-26
54	FCSL Asset Management Company Limited	31-Mar-26	30-Apr-26
55	Fidelity Finance Company Limited	31-Mar-26	30-Apr-26
56	Financial and Analytics Capital Limited	31-Mar-26	30-Apr-26
57	Financial Trust Company Nigeria Limited	31-Mar-26	30-Apr-26
58	Finmal Finance Services Limited	31-Mar-26	30-Apr-26
59	First Integrated Capital Manangement Limited	31-Mar-26	30-Apr-26
60	First Securities Brokers Limited	31-Mar-26	30-Apr-26
61	FIS Securities Limited	31-Mar-26	30-Apr-26
62	Foresight Securities and Investment Limited	31-Mar-26	30-Apr-26
63	Forte Financial Limited	31-Mar-26	30-Apr-26
64	Forthright Securities and Investments Limited	31-Mar-26	30-Apr-26
65	Fortress Capital Limited	31-Mar-26	30-Apr-26
66	FSDH Capital Limited	31-Mar-26	30-Apr-26
67	FSL Securities Limited	31-Mar-26	30-Apr-26
68	Fundvine Capital and Securities Limited	31-Mar-26	30-Apr-26
69	Futureview Securities Limited	31-Mar-26	30-Apr-26
70	GDL Stockbrokers Limited	31-Mar-26	30-Apr-26
71	Gem Assets Management Limited	31-Mar-26	30-Apr-26
72	Gidauniya Investment and Securities Limited	31-Mar-26	30-Apr-26
73	Global Asset Management (Nig) Limited	31-Mar-26	30-Apr-26
74	GlobalView Capital Limited	31-Mar-26	30-Apr-26
75	Golden Securities Limited	31-Mar-26	30-Apr-26
76	Goldenbridge Stockbrokers Limited	31-Mar-26	30-Apr-26
77	Gravitas Stockbrokers Limited	31-Mar-26	30-Apr-26
78	Greenwich Securities Limited	31-Mar-26	30-Apr-26
79	Gruene Capital Limited	31-Mar-26	30-Apr-26
80	GTI Securities Limited	31-Mar-26	30-Apr-26
81	Harmony Securities Limited	31-Mar-26	30-Apr-26
82	Heartbeat Investment Limited	31-Mar-26	30-Apr-26
83	Hedge Securities and Investment Limited	31-Mar-26	30-Apr-26
84	Heritage Capital Markets Limited	31-Mar-26	30-Apr-26
85	ICMG Securities Limited	31-Mar-26	30-Apr-26

86	Icon Stockbrokers Limited	31-Mar-26	30-Apr-26
87	Imperial Asset Managers Limited	31-Mar-26	30-Apr-26
88	Innova Securities Limited	31-Mar-26	30-Apr-26
89	Integrated Trust and Investment Limited	31-Mar-26	30-Apr-26
90	Interstate Securities Limited	31-Mar-26	30-Apr-26
91	Investment One Stockbrokers International Ltd	31-Mar-26	30-Apr-26
92	ISAM Securities Limited	31-Mar-26	30-Apr-26
93	Investors and Trust Co. Ltd	31-Mar-26	30-Apr-26
94	ITIS Securities Limited	31-Mar-26	30-Apr-26
95	Kapital Care Trust and Securities Limited	31-Mar-26	30-Apr-26
96	Kedari Capital Limited	31-Mar-26	30-Apr-26
97	Kinley Securities Limited	31-Mar-26	30-Apr-26
98	Kofana Securities and Investment Limited	31-Mar-26	30-Apr-26
99	Kundila Finance Services Limited	31-Mar-26	30-Apr-26
100	Lambeth Capital Limited	31-Mar-26	30-Apr-26
101	Lead Securities and Investment Limited	31-Mar-26	30-Apr-26
102	Lighthouse Capital Limited	31-Mar-26	30-Apr-26
103	Magnartis Finance and Investment Limited	31-Mar-26	30-Apr-26
104	Mainstreet Bank Securities Limited	31-Mar-26	30-Apr-26
105	Maven Asset Management Limited	31-Mar-26	30-Apr-26
106	MBC Securities Limited	31-Mar-26	30-Apr-26
107	Mega Equities Limited	31-Mar-26	30-Apr-26
108	Meristem Stockbrokers Limited	31-Mar-26	30-Apr-26
109	Midas Stockbrokers Limited	31-Mar-26	30-Apr-26
110	Midpoint Capital Limited	31-Mar-26	30-Apr-26
111	Milestone Capital Management Limited	31-Mar-26	30-Apr-26
112	Mission Securities Limited	31-Mar-26	30-Apr-26
113	Molten Trust Limited	31-Mar-26	30-Apr-26
114	Morgan Capital Securities Limited	31-Mar-26	30-Apr-26
115	Mountain Investment and Securities Limited	31-Mar-26	30-Apr-26
116	Network Capital Limited	31-Mar-26	30-Apr-26
117	Networth Securities and Finance Limited	31-Mar-26	30-Apr-26
118	Newdevco Investments and Securities Company Limited	31-Mar-26	30-Apr-26
119	Nigerian Stockbrokers Limited	31-Mar-26	30-Apr-26
120	Norrenberger Securities Limited	31-Mar-26	30-Apr-26
121	Nova Finance and Securities Limited	31-Mar-26	30-Apr-26
122	Osborne Capital Markets Limited	31-Mar-26	30-Apr-26
123	PAC Securities Limited	31-Mar-26	30-Apr-26
124	Parthian Securities Limited	31-Mar-26	30-Apr-26
125	Pilot Securities Limited	31-Mar-26	30-Apr-26
126	Pinefields Investment Services Limited	31-Mar-26	30-Apr-26
127	PIPC Securities Limited	31-Mar-26	30-Apr-26
128	Pivot Capital Limited	31-Mar-26	30-Apr-26
129	Planet Capital Limited	31-Mar-26	30-Apr-26
130	Portfolio Advisers Limited	31-Mar-26	30-Apr-26
131	Primewealth Capital Limited	31-Mar-26	30-Apr-26
132	Prominent Securities Limited	31-Mar-26	30-Apr-26

133	PSI Securities Limited	31-Mar-26	30-Apr-26
134	Pyramid Securities Limited	31-Mar-26	30-Apr-26
135	QCapital Limited	31-Mar-26	30-Apr-26
136	Quantum Zenith Securities & Investments Limited	31-Mar-26	30-Apr-26
137	Rainbow Securities & Investment Company Limited	31-Mar-26	30-Apr-26
138	Readings Investment Limited	31-Mar-26	30-Apr-26
139	Regency Asset Management Limited	31-Mar-26	30-Apr-26
140	Rencap Securities (Nig) Limited	31-Mar-26	30-Apr-26
141	Resort Securities and Trust Limited	31-Mar-26	30-Apr-26
142	Reward Investment and Service Limited	31-Mar-26	30-Apr-26
143	Rostrum Investment and Sec Limited	31-Mar-26	30-Apr-26
144	Rowet Capital Mgt Limited	31-Mar-26	30-Apr-26
145	Royal Crest Finance Limited	31-Mar-26	30-Apr-26
146	Royal Guaranty and Trust Limited	31-Mar-26	30-Apr-26
147	Sankore Securities Limited	31-Mar-26	30-Apr-26
148	Santrust Securities Limited	31-Mar-26	30-Apr-26
149	Securities Africa Financial Limited	31-Mar-26	30-Apr-26
150	Securities and Capital Management Company Limited	31-Mar-26	30-Apr-26
151	Security Swaps Limited	31-Mar-26	30-Apr-26
152	Shalom Investment and Financial Services Limited	31-Mar-26	30-Apr-26
153	Shelong Investments Limited	31-Mar-26	30-Apr-26
154	Sigma Securities Limited	31-Mar-26	30-Apr-26
155	Signet Investment and Securities Limited	31-Mar-26	30-Apr-26
156	Skyview Capital Ltd	31-Mar-26	30-Apr-26
157	Smadac Securities Limited	31-Mar-26	30-Apr-26
158	Solid Rock Securities and Investment Plc	31-Mar-26	30-Apr-26
159	Spring Trust and Securities Limited	31-Mar-26	30-Apr-26
160	Springboard Trust and Investment Limited	31-Mar-26	30-Apr-26
161	Stanbic IBTC Stockbrokers Limited	31-Mar-26	30-Apr-26
162	Standard Union Securities Limited	31-Mar-26	30-Apr-26
163	StoneX Financial Nigeria Limited	31-Mar-26	30-Apr-26
164	Supra Commercial Trust Company Limited	31-Mar-26	30-Apr-26
165	The Bridge Securities Limited	31-Mar-26	30-Apr-26
166	The Brook Securities Limited	31-Mar-26	30-Apr-26
167	Tiddo Securities Limited	31-Mar-26	30-Apr-26
168	Tomil Trust Limited	31-Mar-26	30-Apr-26
169	Topmost Securities Limited	31-Mar-26	30-Apr-26
170	Tower Securities and Investment Company Limited	31-Mar-26	30-Apr-26
171	Trade Link Securities Limited	31-Mar-26	30-Apr-26
172	Traders Trust and Investment Co. Limited	31-Mar-26	30-Apr-26
173	Transworld Investment Limited	31-Mar-26	30-Apr-26
174	Trinity Securities Limited	31-Mar-26	30-Apr-26
175	TrustBanc Capital Management Limited	31-Mar-26	30-Apr-26
176	Trusthouse Investment Limited	31-Mar-26	30-Apr-26
177	TRW Stockbrokers Limited	31-Mar-26	30-Apr-26
178	Tyndale Securities Limited	31-Mar-26	30-Apr-26
179	UIDC Securities Limited	31-Mar-26	30-Apr-26

180	Unex Capital Limited	31-Mar-26	30-Apr-26
181	United Capital Securities Limited	31-Mar-26	30-Apr-26
182	Valmon Securities Limited	31-Mar-26	30-Apr-26
183	Valueline Securities and Investment Limited	31-Mar-26	30-Apr-26
184	Vetiva Securities Limited	31-Mar-26	30-Apr-26
185	WCM Capital Limited	31-Mar-26	30-Apr-26
186	WSTC Securities Limited	31-Mar-26	30-Apr-26
187	Zedcrest Securities Limited	31-Mar-26	30-Apr-26
188	Zion Stockbrokers and Securities Limited	31-Mar-26	30-Apr-26

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Funds Matrix and Assets Mgt Limited	31-Mar-26	30-Apr-26
2	Maxifund Investment and Securities Plc	31-Mar-26	30-Apr-26
3	Royal Trust Securities Limited	31-Mar-26	30-Apr-26
4	Standard Alliance Capital and Asset Management Limited	31-Mar-26	30-Apr-26

ACTIVE AND NOT SUBMITTED			
S/N	**Firm**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	31-Mar-26	30-Apr-26
2	Amyn Investment Limited	31-Mar-26	30-Apr-26
3	Cashcraft Securities Limited	31-Mar-26	30-Apr-26
4	CEB Securities Limited	31-Mar-26	30-Apr-26
5	Clearview Investments Company Limited	31-Mar-26	30-Apr-26
6	CLG Stockbrokers Limited	31-Mar-26	30-Apr-26
7	Core Securities Limited	31-Mar-26	30-Apr-26
8	Elixir Securities Limited	31-Mar-26	30-Apr-26
9	GMT Securities and Asset Mangement Limited	31-Mar-26	30-Apr-26
10	Gombe Securities Limited	31-Mar-26	30-Apr-26
11	Mutual Alliance Investment and Securities Limited	31-Mar-26	30-Apr-26
12	ML Securities Limited	31-Mar-26	30-Apr-26
13	Nigerian International Securities Ltd	31-Mar-26	30-Apr-26
14	Quantum Securities Limited	31-Mar-26	30-Apr-26
15	Trust Yield Securities Limited	31-Mar-26	30-Apr-26

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Quarterly Returns

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2026

ACTIVE AND SUBMITTED			
	FIRMS	**Quarter End**	**Due date**
1	Absa Securities Nigeria Limited	30-Jun-26	30-Jul-26
2	Afrinvest Securities Limited	30-Jun-26	30-Jul-26
3	Alpha 10 Global Market	30-Jun-26	30-Jul-26
4	Anchoria Securities Limited	30-Jun-26	30-Jul-26
5	Apel Asset Limited	30-Jun-26	30-Jul-26
6	Apt Securities and Funds Limited	30-Jun-26	30-Jul-26
7	ARM Securities Limited	30-Jun-26	30-Jul-26
8	Arthur Stevens Asset Management Limited	30-Jun-26	30-Jul-26
9	Associated Asset Managers Limited	30-Jun-26	30-Jul-26
10	Atlass Portfolio Limited	30-Jun-26	30-Jul-26
11	AVA Securities Limited	30-Jun-26	30-Jul-26
12	Bancorp Securities Limited	30-Jun-26	30-Jul-26
13	Bauchi Investment Corp. Sec Limited	30-Jun-26	30-Jul-26
14	Belfry Investment and Securities Limited	30-Jun-26	30-Jul-26
15	Bestworth Assets and Trust Limited	30-Jun-26	30-Jul-26
16	BGL Securities Limited	30-Jun-26	30-Jul-26
17	Calyx Securities Limited	30-Jun-26	30-Jul-26
18	Camry Securities Limited	30-Jun-26	30-Jul-26
19	Capital Asset Limited	30-Jun-26	30-Jul-26
20	Capital Express Securities Limited	30-Jun-26	30-Jul-26
21	Capital Trust Brokers Limited	30-Jun-26	30-Jul-26
22	Cardinal Stone Securities Ltd	30-Jun-26	30-Jul-26
23	Cashville Investment and Securities Limited	30-Jun-26	30-Jul-26
24	CDL Capital Markets Limited	30-Jun-26	30-Jul-26
25	Centre Point Investment Limited	30-Jun-26	30-Jul-26
26	Century Securities Limited	30-Jun-26	30-Jul-26
27	Chapel Hill Denham Securities Limited	30-Jun-26	30-Jul-26
28	Chartwell Securities Limited	30-Jun-26	30-Jul-26
29	Citi Investment Capital Limited	30-Jun-26	30-Jul-26
30	City Code Trust and Investment Company Limited	30-Jun-26	30-Jul-26
31	Compass Investment and Securities Limited	30-Jun-26	30-Jul-26
32	Cordros Securities Limited	30-Jun-26	30-Jul-26
33	Coronation Securities Limited	30-Jun-26	30-Jul-26
34	Cowry Securities Limited	30-Jun-26	30-Jul-26
35	Crane Securities Limited	30-Jun-26	30-Jul-26
36	Crossworld Securities Limited	30-Jun-26	30-Jul-26
37	Crown Capital Limited	30-Jun-26	30-Jul-26
38	CSL Stockbrokers Limited	30-Jun-26	30-Jul-26

39	Delords Securities Limited	30-Jun-26	30-Jul-26
40	DLM Securities Limited	30-Jun-26	30-Jul-26
41	Dominion Trust Limited	30-Jun-26	30-Jul-26
42	DSU Brokerage Services Limited	30-Jun-26	30-Jul-26
43	Dunbell Securities Limited	30-Jun-26	30-Jul-26
44	Dynamic Portfolio Limited	30-Jun-26	30-Jul-26
45	ECL Asset Management Limited	30-Jun-26	30-Jul-26
46	EDC Securities Limited	30-Jun-26	30-Jul-26
47	Edgefield Capital Management Limited	30-Jun-26	30-Jul-26
48	EFG Hermes Nigeria Limited	30-Jun-26	30-Jul-26
49	El-Elyon Alliance and Securities Ltd	30-Jun-26	30-Jul-26
50	Equity Capital Solutions Limited	30-Jun-26	30-Jul-26
51	Eurocomm Securities Limited	30-Jun-26	30-Jul-26
52	Express Portfolio Services Limited	30-Jun-26	30-Jul-26
53	Falcon Securities Limited	30-Jun-26	30-Jul-26
54	FBC Trust and Securities Limited	30-Jun-26	30-Jul-26
55	FCSL Asset Management Company Limited	30-Jun-26	30-Jul-26
56	Fidelity Finance Company Limited	30-Jun-26	30-Jul-26
57	Financial and Analytics Capital Limited	30-Jun-26	30-Jul-26
58	Financial Trust Company Nigeria Limited	30-Jun-26	30-Jul-26
59	Finmal Finance Services Limited	30-Jun-26	30-Jul-26
60	First Integrated Capital Manangement Limited	30-Jun-26	30-Jul-26
61	First Securities Brokers Limited	30-Jun-26	30-Jul-26
62	FIS Securities Limited	30-Jun-26	30-Jul-26
63	Foresight Securities and Investment Limited	30-Jun-26	30-Jul-26
64	Forte Financial Limited	30-Jun-26	30-Jul-26
65	Forthright Securities and Investments Limited	30-Jun-26	30-Jul-26
66	Fortress Capital Limited	30-Jun-26	30-Jul-26
67	FSDH Capital Limited	30-Jun-26	30-Jul-26
68	FSL Securities Limited	30-Jun-26	30-Jul-26
69	Fundvine Capital and Securities Limited	30-Jun-26	30-Jul-26
70	Futureview Securities Limited	30-Jun-26	30-Jul-26
71	GDL Stockbrokers Limited	30-Jun-26	30-Jul-26
72	Gem Assets Management Limited	30-Jun-26	30-Jul-26
73	Gidauniya Investment and Securities Limited	30-Jun-26	30-Jul-26
74	Global Asset Management (Nig) Limited	30-Jun-26	30-Jul-26
75	GlobalView Capital Limited	30-Jun-26	30-Jul-26
76	Golden Securities Limited	30-Jun-26	30-Jul-26
77	Goldenbridge Stockbrokers Limited	30-Jun-26	30-Jul-26
78	Gravitas Stockbrokers Limited	30-Jun-26	30-Jul-26
79	Greenwich Securities Limited	30-Jun-26	30-Jul-26
80	Gruene Capital Limited	30-Jun-26	30-Jul-26
81	GTI Securities Limited	30-Jun-26	30-Jul-26
82	Harmony Securities Limited	30-Jun-26	30-Jul-26
83	Heartbeat Investment Limited	30-Jun-26	30-Jul-26
84	Hedge Securities and Investment Limited	30-Jun-26	30-Jul-26
85	Heritage Capital Markets Limited	30-Jun-26	30-Jul-26

86	ICMG Securities Limited	30-Jun-26	30-Jul-26
87	Icon Stockbrokers Limited	30-Jun-26	30-Jul-26
88	Imperial Asset Managers Limited	30-Jun-26	30-Jul-26
89	Innova Securities Limited	30-Jun-26	30-Jul-26
90	Integrated Trust and Investment Limited	30-Jun-26	30-Jul-26
91	Interstate Securities Limited	30-Jun-26	30-Jul-26
92	Investment One Stockbrokers International Ltd	30-Jun-26	30-Jul-26
93	ISAM Securities Limited	30-Jun-26	30-Jul-26
94	Investors and Trust Co. Ltd	30-Jun-26	30-Jul-26
95	ITIS Securities Limited	30-Jun-26	30-Jul-26
96	Kapital Care Trust and Securities Limited	30-Jun-26	30-Jul-26
97	Kedari Capital Limited	30-Jun-26	30-Jul-26
98	Kinley Securities Limited	30-Jun-26	30-Jul-26
99	Kofana Securities and Investment Limited	30-Jun-26	30-Jul-26
100	Kundila Finance Services Limited	30-Jun-26	30-Jul-26
101	Lambeth Capital Limited	30-Jun-26	30-Jul-26
102	Lead Securities and Investment Limited	30-Jun-26	30-Jul-26
103	Lighthouse Capital Limited	30-Jun-26	30-Jul-26
104	Magnartis Finance and Investment Limited	30-Jun-26	30-Jul-26
105	Mainstreet Bank Securities Limited	30-Jun-26	30-Jul-26
106	Maven Asset Management Limited	30-Jun-26	30-Jul-26
107	MBC Securities Limited	30-Jun-26	30-Jul-26
108	Mega Equities Limited	30-Jun-26	30-Jul-26
109	Meristem Stockbrokers Limited	30-Jun-26	30-Jul-26
110	Midas Stockbrokers Limited	30-Jun-26	30-Jul-26
111	Midpoint Capital Limited	30-Jun-26	30-Jul-26
112	Milestone Capital Management Limited	30-Jun-26	30-Jul-26
113	Mission Securities Limited	30-Jun-26	30-Jul-26
114	Molten Trust Limited	30-Jun-26	30-Jul-26
115	Morgan Capital Securities Limited	30-Jun-26	30-Jul-26
116	Mountain Investment and Securities Limited	30-Jun-26	30-Jul-26
117	Network Capital Limited	30-Jun-26	30-Jul-26
118	Networth Securities and Finance Limited	30-Jun-26	30-Jul-26
119	Newdevco Investments and Securities Company Limited	30-Jun-26	30-Jul-26
120	Nigerian Stockbrokers Limited	30-Jun-26	30-Jul-26
121	Norrenberger Securities Limited	30-Jun-26	30-Jul-26
122	Nova Finance and Securities Limited	30-Jun-26	30-Jul-26
123	Osborne Capital Markets Limited	30-Jun-26	30-Jul-26
124	PAC Securities Limited	30-Jun-26	30-Jul-26
125	Parthian Securities Limited	30-Jun-26	30-Jul-26
126	Pilot Securities Limited	30-Jun-26	30-Jul-26
127	Pinefields Investment Services Limited	30-Jun-26	30-Jul-26
128	PIPC Securities Limited	30-Jun-26	30-Jul-26
129	Pivot Capital Limited	30-Jun-26	30-Jul-26
130	Planet Capital Limited	30-Jun-26	30-Jul-26
131	Portfolio Advisers Limited	30-Jun-26	30-Jul-26
132	Primewealth Capital Limited	30-Jun-26	30-Jul-26

133	Prominent Securities Limited	30-Jun-26	30-Jul-26
134	PSI Securities Limited	30-Jun-26	30-Jul-26
135	Pyramid Securities Limited	30-Jun-26	30-Jul-26
136	QCapital Limited	30-Jun-26	30-Jul-26
137	Quantum Zenith Securities & Investments Limited	30-Jun-26	30-Jul-26
138	Rainbow Securities & Investment Company Limited	30-Jun-26	30-Jul-26
139	Readings Investment Limited	30-Jun-26	30-Jul-26
140	Regency Asset Management Limited	30-Jun-26	30-Jul-26
141	Rencap Securities (Nig) Limited	30-Jun-26	30-Jul-26
142	Resort Securities and Trust Limited	30-Jun-26	30-Jul-26
143	Reward Investment and Service Limited	30-Jun-26	30-Jul-26
144	Rostrum Investment and Sec Limited	30-Jun-26	30-Jul-26
145	Rowet Capital Mgt Limited	30-Jun-26	30-Jul-26
146	Royal Crest Finance Limited	30-Jun-26	30-Jul-26
147	Royal Guaranty and Trust Limited	30-Jun-26	30-Jul-26
148	Sankore Securities Limited	30-Jun-26	30-Jul-26
149	Santrust Securities Limited	30-Jun-26	30-Jul-26
150	Securities Africa Financial Limited	30-Jun-26	30-Jul-26
151	Securities and Capital Management Company Limited	30-Jun-26	30-Jul-26
152	Security Swaps Limited	30-Jun-26	30-Jul-26
153	Shalom Investment and Financial Services Limited	30-Jun-26	30-Jul-26
154	Shelong Investments Limited	30-Jun-26	30-Jul-26
155	Sigma Securities Limited	30-Jun-26	30-Jul-26
156	Signet Investment and Securities Limited	30-Jun-26	30-Jul-26
157	Skyview Capital Ltd	30-Jun-26	30-Jul-26
158	Smadac Securities Limited	30-Jun-26	30-Jul-26
159	Solid Rock Securities and Investment Plc	30-Jun-26	30-Jul-26
160	Spring Trust and Securities Limited	30-Jun-26	30-Jul-26
161	Springboard Trust and Investment Limited	30-Jun-26	30-Jul-26
162	Stanbic IBTC Stockbrokers Limited	30-Jun-26	30-Jul-26
163	Standard Union Securities Limited	30-Jun-26	30-Jul-26
164	StoneX Financial Nigeria Limited	30-Jun-26	30-Jul-26
165	The Bridge Securities Limited	30-Jun-26	30-Jul-26
166	The Brook Securities Limited	30-Jun-26	30-Jul-26
167	Tiddo Securities Limited	30-Jun-26	30-Jul-26
168	Tomil Trust Limited	30-Jun-26	30-Jul-26
169	Topmost Securities Limited	30-Jun-26	30-Jul-26
170	Tower Securities and Investment Company Limited	30-Jun-26	30-Jul-26
171	Trade Link Securities Limited	30-Jun-26	30-Jul-26
172	Traders Trust and Investment Co. Limited	30-Jun-26	30-Jul-26
173	Transworld Investment Limited	30-Jun-26	30-Jul-26
174	Trinity Securities Limited	30-Jun-26	30-Jul-26
175	TrustBanc Capital Management Limited	30-Jun-26	30-Jul-26
176	Trusthouse Investment Limited	30-Jun-26	30-Jul-26
177	TRW Stockbrokers Limited	30-Jun-26	30-Jul-26
178	Tyndale Securities Limited	30-Jun-26	30-Jul-26
179	UIDC Securities Limited	30-Jun-26	30-Jul-26

180	Unex Capital Limited	30-Jun-26	30-Jul-26
181	United Capital Securities Limited	30-Jun-26	30-Jul-26
182	Valmon Securities Limited	30-Jun-26	30-Jul-26
183	Valueline Securities and Investment Limited	30-Jun-26	30-Jul-26
184	Vetiva Securities Limited	30-Jun-26	30-Jul-26
185	WCM Capital Limited	30-Jun-26	30-Jul-26
186	WSTC Securities Limited	30-Jun-26	30-Jul-26
187	Zedcrest Securities Limited	30-Jun-26	30-Jul-26
188	Zion Stockbrokers and Securities Limited	30-Jun-26	30-Jul-26

INACTIVE AND SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Funds Matrix and Assets Mgt Limited	30-Jun-26	30-Jul-26
2	Maxifund Investment and Securities Plc	30-Jun-26	30-Jul-26
3	Royal Trust Securities Limited	30-Jun-26	30-Jul-26
4	Standard Alliance Capital and Asset Management Limited	30-Jun-26	30-Jul-26

ACTIVE AND NOT SUBMITTED			
S/N	**Firm**	**Quarter End**	**Due Date**

INACTIVE AND NOT SUBMITTED			
S/N	**FIRM**	**Quarter End**	**Due Date**
1	Adamawa Securities Limited	30-Jun-26	30-Jul-26
2	Amyn Investment Limited	30-Jun-26	30-Jul-26
3	Cashcraft Securities Limited	30-Jun-26	30-Jul-26
4	CEB Securities Limited	30-Jun-26	30-Jul-26
5	Clearview Investments Company Limited	30-Jun-26	30-Jul-26
6	CLG Stockbrokers Limited	30-Jun-26	30-Jul-26
7	Core Securities Limited	30-Jun-26	30-Jul-26
8	Elixir Securities Limited	30-Jun-26	30-Jul-26
9	GMT Securities and Asset Mangement Limited	30-Jun-26	30-Jul-26
10	Gombe Securities Limited	30-Jun-26	30-Jul-26
11	Mayfield Investment Limited	30-Jun-26	30-Jul-26
12	ML Securities Limited	30-Jun-26	30-Jul-26
13	Mutual Alliance Investment and Securities Limited	30-Jun-26	30-Jul-26
14	Nigerian International Securities Ltd	30-Jun-26	30-Jul-26
15	Quantum Securities Limited	30-Jun-26	30-Jul-26
16	Trust Yield Securities Limited	30-Jun-26	30-Jul-26