NGX Regulation

Broker TraX

Audited Accounts

TRADING LICENSE HOLDERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2025

ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	31-Mar-26
2	Afrinvest Securities Limited	December	31-Mar-26
3	Anchoria Investment and Securities Limited	December	31-Mar-26
4	Apel Asset Limited	December	31-Mar-26
5	Apt Securities and Funds Limited	December	31-Mar-26
6	ARM Securities Limited	December	31-Mar-26
7	Arthur Stevens Asset Management Limited	December	31-Mar-26
8	Associated Asset Managers Limited	December	31-Mar-26
9	Atlass Portfolio Limited	December	31-Mar-26
10	AVA Securities Limited	December	31-Mar-26
11	Bancorp Securities Limited	December	31-Mar-26
12	Bauchi Investment Corp. Sec Limited	December	31-Mar-26
13	Belfry Investment and Securities Limited	December	31-Mar-26
14	Bestworth Assets and Trust Limited	December	31-Mar-26
15	BGL Securities Limited	December	31-Mar-26
16	Blue Marina Securities Limited	December	31-Mar-26
17	Calyx Securities Limited	December	31-Jan-00
18	Camry Securities Limited	December	31-Mar-26
19	Capital Asset Limited	December	31-Mar-26
20	Capital Express Securities Limited	December	31-Mar-26
21	Capital Trust Brokers Limited	December	31-Mar-26
22	Cardinal Stone Securities Ltd	December	31-Mar-26
23	Cashville Investment and Securities Limited	December	31-Mar-26
24	CDL Capital Markets Limited	December	31-Mar-26
25	Centre Point Investment Limited	December	31-Mar-26
26	Century Securities Limited	December	31-Mar-26
27	Chapel Hill Denham Securities Limited	December	31-Mar-26
28	Chartwell Securities Limited	December	31-Mar-26
29	Citi Investment Capital Limited	December	31-Mar-26
30	City Code Trust and Investment Company Limited	December	31-Mar-26
31	Compass Investment and Securities Limited	December	31-Mar-26
32	Cordros Securities Limited	December	31-Mar-26
33	Coronation Securities Limited	December	31-Mar-26
34	Cowry Securities Limited	December	31-Mar-26
35	Crane Securities Limited	December	31-Mar-26
36	Crossworld Securities Limited	December	31-Mar-26
37	Crown Capital Limited	December	31-Mar-26
38	CSL Stockbrokers Limited	December	31-Mar-26
39	Delords Securities Limited	December	31-Mar-26
40	DLM Securities Limited	December	31-Mar-26
41	Dominion Trust Limited	December	31-Mar-26
42	DSU Brokerage Services Limited	December	31-Mar-26
43	Dunbell Securities Limited	December	31-Mar-26
44	Dynamic Portfolio Limited	December	31-Mar-26
45	ECL Asset Management Limited	December	31-Mar-26
46	EDC Securities Limited	December	31-Mar-26
47	Edgefield Capital Management Limited	December	31-Mar-26
48	EFG Hermes Nigeria Limited	December	31-Mar-26
49	El-Elyon Alliance and Securities Ltd	December	31-Mar-26

50	Equity Capital Solutions Limited	December	31-Mar-26
51	Eurocomm Securities Limited	December	31-Mar-26
52	Express Portfolio Services Limited	December	31-Mar-26
53	Falcon Securities Limited	December	31-Mar-26
54	FBC Trust and Securities Limited	December	31-Mar-26
55	FCSL Asset Management Company Limited	December	31-Mar-26
56	Fidelity Finance Company Limited	December	31-Mar-26
57	Financial and Analytics Capital Limited	December	31-Mar-26
58	Financial Trust Company Nigeria Limited	December	31-Mar-26
59	Finmal Finance Services Limited	December	31-Mar-26
60	First Integrated Capital Manangement Limited	December	31-Mar-26
61	First Securities Brokers Limited	December	31-Mar-26
62	FIS Securities Limited	December	31-Mar-26
63	Foresight Securities and Investment Limited	December	31-Mar-26
64	Forte Financial Limited	December	31-Mar-26
65	Forthright Securities and Investments Limited	December	31-Mar-26
66	Fortress Capital Limited	December	31-Mar-26
67	FSDH Capital Limited	December	31-Mar-26
68	FSL Capital Limited	December	31-Mar-26
69	Fundvine Capital and Securities Limited	December	31-Mar-26
70	Futureview Securities Limited	December	31-Mar-26
71	GDL Stockbrokers Limited	December	31-Mar-26
72	Gem Assets Management Limited	December	31-Mar-26
73	Gidauniya Investment and Securities Limited	December	31-Mar-26
74	Global Asset Management (Nig) Limited	December	31-Mar-26
75	GlobalView Capital Limited	December	31-Mar-26
76	Golden Securities Limited	December	31-Mar-26
77	Goldenbridge Stockbrokers Limited	December	31-Mar-26
78	Gravitas Stockbrokers Limited	December	31-Mar-26
79	Greenwich Securities Limited	December	31-Mar-26
80	Gruene Capital Limited	December	31-Mar-26
81	GTI Securities Limited	December	31-Mar-26
82	Harmony Securities Limited	December	31-Mar-26
83	Heartbeat Investment Limited	December	31-Mar-26
84	Hedge Securities and Investment Limited	December	31-Mar-26
85	Heritage Capital Markets Limited	December	31-Mar-26
86	ICMG Securities Limited	December	31-Mar-26
87	Icon Stockbrokers Limited	December	31-Mar-26
88	Imperial Asset Managers Limited	December	31-Mar-26
89	Innova Securities Limited	December	31-Mar-26
90	Integrated Trust and Investment Limited	December	31-Mar-26
91	Interstate Securities Limited	December	31-Mar-26
92	Investment One Stockbrokers International Ltd	December	31-Mar-26
94	Investors and Trust Co. Ltd	December	31-Mar-26
93	ISAM Securities Limited	December	31-Mar-26
95	ITIS Securities Limited	December	31-Mar-26
96	Kapital Care Trust and Securities Limited	December	31-Mar-26
97	Kedari Capital Limited	December	31-Mar-26
98	Kinley Securities Limited	December	31-Mar-26
99	Kofana Securities and Investment Limited	December	31-Mar-26
100	Kundila Finance Services Limited	December	31-Mar-26
101	Lambeth Capital Limited	December	31-Mar-26
102	Lead Securities and Investment Limited	December	31-Mar-26
103	Lighthouse Capital Limited	December	31-Mar-26
104	Magnartis Finance and Investment Limited	December	31-Mar-26
105	Mainstreet Bank Securities Limited	December	31-Mar-26
106	Maven Asset Management Limited	December	31-Mar-26
107	MBC Securities Limited	December	31-Mar-26
108	Mega Equities Limited	December	31-Mar-26

109	Meristem Stockbrokers Limited	December	31-Mar-26
110	Midas Stockbrokers Limited	December	31-Mar-26
111	Milestone Capital Management Limited	December	31-Mar-26
112	Mission Securities Limited	December	31-Mar-26
113	Molten Trust Limited	December	31-Mar-26
114	Morgan Capital Securities Limited	December	31-Mar-26
115	Mountain Investment and Securities Limited	December	31-Mar-26
116	Network Capital Limited	December	31-Mar-26
117	Networth Securities and Finance Limited	December	31-Mar-26
118	Newdevco Investments and Securities Limited	December	31-Mar-26
119	Nigerian Stockbrokers Limited	December	31-Mar-26
120	Norrenberger Securities Limited	December	31-Mar-26
121	Nova Finance and Securities Limited	December	31-Mar-26
122	Osborne Capital Markets Limited	December	31-Mar-26
123	PAC Securities Limited	December	31-Mar-26
124	Parthian Securities Limited	December	31-Mar-26
125	Pilot Securities Limited	December	31-Mar-26
126	Pinefields Investment Services Limited	December	31-Mar-26
127	PIPC Securities Limited	December	31-Mar-26
128	Pivot Capital Limited	December	31-Mar-26
129	Planet Capital Limited	December	31-Mar-26
130	Portfolio Advisers Limited	December	31-Mar-26
131	Primewealth Capital Limited	December	31-Mar-26
132	Prominent Securities Limited	December	31-Mar-26
133	PSI Securities Limited	December	31-Mar-26
134	Pyramid Securities Limited	December	31-Mar-26
135	Qualinvest Capital Limited	December	31-Mar-26
136	Quantum Zenith Securities & Investments Limited	December	31-Mar-26
137	Rainbow Securities & Investment Company Limited	December	31-Mar-26
138	Readings Investment Limited	December	31-Mar-26
139	Regency Asset Management Limited	December	31-Mar-26
140	Rencap Securities (Nig) Limited	December	31-Mar-26
141	Resort Securities and Trust Limited	December	31-Mar-26
142	Reward Investment and Service Limited	December	31-Mar-26
143	Rostrum Investment and Sec Limited	December	31-Mar-26
144	Rowet Capital Mgt Limited	December	31-Mar-26
145	Royal Crest Finance Limited	December	31-Mar-26
146	Royal Guaranty and Trust Limited	December	31-Mar-26
147	Sankore Securities Limited	December	31-Mar-26
148	Santrust Securities Limited	December	31-Mar-26
149	Securities Africa Financial Limited	December	31-Mar-26
150	Securities and Capital Management Company Limited	December	31-Mar-26
151	Security Swaps Limited	December	31-Mar-26
152	Shalom Investment and Financial Services Limited	December	31-Mar-26
153	Shelong Investments Limited	December	31-Mar-26
154	Sigma Securities Limited	December	31-Mar-26
155	Signet Investment and Securities Limited	December	31-Mar-26
156	Skyview Capital Ltd	December	31-Mar-26
157	Smadac Securities Limited	December	31-Mar-26
158	Solid Rock Securities and Investment Plc	December	31-Mar-26
159	Spring Trust and Securities Limited	December	31-Mar-26
160	Springboard Trust and Investment Limited	December	31-Mar-26
161	Stanbic IBTC Stockbrokers Limited	December	31-Mar-26
162	Standard Union Securities Limited	December	31-Mar-26
163	StoneX Financial Nigeria Limited	December	31-Mar-26
164	Supra Commercial Trust Company Limited	December	31-Mar-26
165	The Bridge Securities Limited	December	31-Mar-26
166	The Brook Securities Limited	December	31-Mar-26
167	Tiddo Securities Limited	December	31-Mar-26

168	Tomil Trust Limited	December	31-Mar-26
169	Topmost Securities Limited	December	31-Mar-26
170	Tower Securities and Investment Company Limited	December	31-Mar-26
171	Trade Link Securities Limited	December	31-Mar-26
172	Traders Trust and Investment Co. Limited	December	31-Mar-26
173	Transworld Investment Limited	December	31-Mar-26
174	Trinity Securities Limited	December	31-Mar-26
175	TrustBanc Capital Management Limited	December	31-Mar-26
176	Trusthouse Investment Limited	December	31-Mar-26
177	TRW Stockbrokers Limited	December	31-Mar-26
178	Tyndale Securities Limited	December	31-Mar-26
179	UIDC Securities Limited	December	31-Mar-26
180	Unex Capital Limited	December	31-Mar-26
181	United Capital Securities Limited	December	31-Mar-26
182	Valmon Securities Limited	December	31-Mar-26
183	Valueline Securities and Investment Limited	December	31-Mar-26
184	Vetiva Securities Limited	December	31-Mar-26
185	WCM Capital Limited	December	31-Mar-26
186	WSTC Securities Limited	December	31-Mar-26
187	Zedcrest Securities Limited	December	31-Mar-26
188	Zion Stockbrokers and Securities Limited	December	31-Mar-26

INACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Funds Matrix and Assets Mgt Limited	December	31-Mar-26
2	Maxifund Investment and Securities Plc	December	31-Mar-26
3	Standard Alliance Capital and Asset Management Limited	December	31-Mar-26

ACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date

INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	31-Mar-26
2	Amyn Investment Limited	December	31-Mar-26
3	Cashcraft Securities Limited	December	31-Mar-26
4	CEB Securities Limited	December	31-Mar-26
5	Clearview Investments Company Limited	December	31-Mar-26
6	CLG Stockbrokers Limited	December	31-Mar-26
7	Core Securities Limited	December	31-Mar-26
8	Elixir Securities Limited	December	31-Mar-26
9	GMT Securities and Asset Mangement Limited	December	31-Mar-26
10	Gombe Securities Limited	December	31-Mar-26
11	ML Securities Limited	December	31-Mar-26
12	Mutual Alliance Investment and Securities Limited	December	31-Mar-26
13	Nigerian International Securities Limited	December	31-Mar-26
14	Quantum Securities Limited	December	31-Mar-26
15	Royal Trust Securities Limited	December	31-Mar-26
16	Trust Yield Securities Limited	December	31-Mar-26