

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST DECEMBER 2013

ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Adamawa Securities Limited	31-Dec	31-Mar-14	Submitted
2	Adonai Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
3	Abyn Investment Limited	31-Dec	31-Mar-14	Submitted
4	African Alliance Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
5	ARM Securities Limited	31-Dec	31-Mar-14	Submitted
6	Alangrange Securities Limited	31-Dec	31-Mar-14	Submitted
7	Altrade Securities Limited	31-Dec	31-Mar-14	Submitted
8	Abyn Investments Limited	31-Dec	31-Mar-14	Submitted
9	Anchorage Securities & Finance Limited	31-Dec	31-Mar-14	Submitted
10	Anchoria Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
11	Apel Asset Limited	31-Dec	31-Mar-14	Submitted
12	APT Securities & Funds Limited	31-Dec	31-Mar-14	Submitted
13	Arthur Steven Asset Management Limited	31-Dec	31-Mar-14	Submitted
14	Associated Asset Managers Limited	31-Dec	31-Mar-14	Submitted
15	Atlass Portfolio Limited	31-Dec	31-Mar-14	Submitted
16	Bestlink Investment Limited	31-Dec	31-Mar-14	Submitted
17	Bestworth Assets & Trust Limited	31-Dec	31-Mar-14	Submitted
18	BGL Securities Limited	31-Dec	31-Mar-14	Submitted
19	Bytofel Trust & Securities Limited	31-Dec	31-Mar-14	Submitted
20	Cadington Securities Limited	31-Dec	31-Mar-14	Submitted
21	Calyx Securities Limited	31-Dec	31-Mar-14	Submitted
22	Camry Securities Limited	31-Dec	31-Mar-14	Submitted
23	Capital Bancorp Limited	31-Dec	31-Mar-14	Submitted
24	Capital Express Securities Limited	31-Dec	31-Mar-14	Submitted
25	Capital Trust Brokers Limited	31-Dec	31-Mar-14	Submitted
26	Cashcraft Asset Management Limited	31-Dec	31-Mar-14	Submitted
27	Cashville Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
28	CDL Capital Markets Limited	31-Dec	31-Mar-14	Submitted
29	Chapel Hill Denham Securities Limited	31-Dec	31-Mar-14	Submitted
30	City Code Trust & Invest Company Limited	31-Dec	31-Mar-14	Submitted
31	Compass Investments & Securities Limited	31-Dec	31-Mar-14	Submitted
32	Cordros Capital Limited	31-Dec	31-Mar-14	Submitted
33	Core Trust & Investment Limited	31-Dec	31-Mar-14	Submitted
34	Crossworld Securities Limited	31-Dec	31-Mar-14	Submitted
35	Covenant Securities & Investment Management Limited	31-Dec	31-Mar-14	Submitted
36	Cowry Securities Limited	31-Dec	31-Mar-14	Submitted
37	Cradle Trust Finance & Securities Limited	31-Dec	31-Mar-14	Submitted
38	Crane Securities Limited	31-Dec	31-Mar-14	Submitted
39	Crossworld Securities Limited	31-Dec	31-Mar-14	Submitted
40	Crown Capital Limited	31-Dec	31-Mar-14	Submitted
41	CSL Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
42	Deep Trust & Investment Limited	31-Dec	31-Mar-14	Submitted

43	Delords Securities Limited	31-Dec	31-Mar-14	Submitted
44	Dependable Securities Limited	31-Dec	31-Mar-14	Submitted
45	Diamond Securities Limited	31-Dec	31-Mar-14	Submitted
46	Dominion Trust Limited	31-Dec	31-Mar-14	Submitted
47	DSU Brokerage Services Ltimited	31-Dec	31-Mar-14	Submitted
48	Dunbell Securities Limited	31-Dec	31-Mar-14	Submitted
49	Dunn Loren Merrifield Securities Limited	31-Dec	31-Mar-14	Submitted
50	Express Discount Asset Management Limited	31-Dec	31-Mar-14	Submitted
51	ECL Asset Management Limited	31-Dec	31-Mar-14	Submitted
52	EDC Securities Limited	31-Dec	31-Mar-14	Submitted
53	Emerging Capital Limited	31-Dec	31-Mar-14	Submitted
54	Enterprise Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
55	Eurocomm Securities Limited	31-Dec	31-Mar-14	Submitted
56	Excel Securities Limited	31-Dec	31-Mar-14	Submitted
57	Express Discount Asset Mgt Ltd	31-Dec	31-Mar-14	Submitted
58	Express Portfolio Services Limited	31-Dec	31-Mar-14	Submitted
59	FBC Trust & Securities Limited	31-Dec	31-Mar-14	Submitted
60	Foresight Securities Limited	31-Dec	31-Mar-14	Submitted
61	FBN Securities limited	31-Dec	31-Mar-14	Submitted
62	FCSL Assets Mgt Coy Limited	31-Dec	31-Mar-14	Submitted
63	Fidelity Finance Company Limited	31-Dec	31-Mar-14	Submitted
64	Fidelity Securities Limited	31-Dec	31-Mar-14	Submitted
65	Financial Trust Company Limited	31-Dec	31-Mar-14	Submitted
66	Finbank Securities & Assets Management Limited	31-Dec	31-Mar-14	Submitted
67	First Integrated Capital management Limited	31-Dec	31-Mar-14	Submitted
68	First Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
69	FIS Securities Limited	31-Dec	31-Mar-14	Submitted
70	Fortress Capital Limited	31-Dec	31-Mar-14	Submitted
71	FSDH Securities Limited	31-Dec	31-Mar-14	Submitted
72	FundsMatrix & Assets management Limited	31-Dec	31-Mar-14	Submitted
73	Gem Assets Management Limited	31-Dec	31-Mar-14	Submitted
74	Gidauniya Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
75	Global Asset Management (Nig) Limited	31-Dec	31-Mar-14	Submitted
76	Global View Consult & Investment Limited	31-Dec	31-Mar-14	Submitted
77	GMT Securities & Asset Mgt Limited	31-Dec	31-Mar-14	Submitted
78	Golden Securities Limited	31-Dec	31-Mar-14	Submitted
79	Greenwich Securities Limited	31-Dec	31-Mar-14	Submitted
80	GTI Securities Limited	31-Dec	31-Mar-14	Submitted
81	Harmony Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
82	Heartbeat Invstment Limited	31-Dec	31-Mar-14	Submitted
83	Hedge Securities & Investment Limited	31-Dec	31-Mar-14	Submitted
84	Heritage Capital Markets Limited	31-Dec	31-Mar-14	Submitted
85	Icon Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
86	IMTL Securities Limited	31-Dec	31-Mar-14	Submitted
87	Kinley Securities Limited	31-Dec	31-Mar-14	Submitted
88	Independent Securities Limited	31-Dec	31-Mar-14	Submitted
89	Integrated Trust & Investments Limited	31-Dec	31-Mar-14	Submitted
90	Interstate Securities Limited	31-Dec	31-Mar-14	Submitted
91	Investment Centre Limited	31-Dec	31-Mar-14	Submitted
92	Investment One Stockbrokers Int'l Limited	31-Dec	31-Mar-14	Submitted
93	Investment Shark & Asset Management Limited	31-Dec	31-Mar-14	Submitted
94	Investors & Trust Company Limited	31-Dec	31-Mar-14	Submitted
95	Kedari Capital Limited	31-Dec	31-Mar-14	Submitted

96	Kinley Finance Service Limited	31-Dec	31-Mar-14	Submitted
97	Kofana Securities Limited	31-Dec	31-Mar-14	Submitted
98	Kundila Finance Services Limited	31-Dec	31-Mar-14	Submitted
99	Lead Securities & Investment Limited	31-Dec	31-Mar-14	Submitted
100	Lighthouse Asset Management Limited	31-Dec	31-Mar-14	Submitted
101	Longterm Global Capital Limited	31-Dec	31-Mar-14	Submitted
102	Magnartis Finace & Investment Limited	31-Dec	31-Mar-14	Submitted
103	Mainland Trust Limitd	31-Dec	31-Mar-14	Submitted
104	Marimpex Finance & Investment Company Limited	31-Dec	31-Mar-14	Submitted
105	Marina Securities Stockbroking Services Limited	31-Dec	31-Mar-14	Submitted
106	Marriot Securities Limited	31-Dec	31-Mar-14	Submitted
107	Maven Asset Management Limited	31-Dec	31-Mar-14	Submitted
108	Maxifund Investment & Securities Plc	31-Dec	31-Mar-14	Submitted
109	Mayfield Investment Limited	31-Dec	31-Mar-14	Submitted
110	MBL Financial Services Limited	31-Dec	31-Mar-14	Submitted
111	Mc-Finenco Investment Limited	31-Dec	31-Mar-14	Submitted
112	Mercov Securities Limited	31-Dec	31-Mar-14	Submitted
113	Midas Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
114	Morgan Capital Securities Limited	31-Dec	31-Mar-14	Submitted
115	Mountain Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
116	Mutual Alliance Invest & Securities Limited	31-Dec	31-Mar-14	Submitted
117	Network Capital Limited	31-Dec	31-Mar-14	Submitted
118	Network Securities & Finance Limited	31-Dec	31-Mar-14	Submitted
119	Newdevco Investments & Securities Company Limited	31-Dec	31-Mar-14	Submitted
120	Nigerian International Securities Limited	31-Dec	31-Mar-14	Submitted
121	Nigerian Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
122	Nova Finance & Securities Limited	31-Dec	31-Mar-14	Submitted
123	Options Securities Limited	31-Dec	31-Mar-14	Submitted
124	Partnership Securities Limited	31-Dec	31-Mar-14	Submitted
125	Peace Capital Market Limited	31-Dec	31-Mar-14	Submitted
126	Perfecta Investment & Trust Limited	31-Dec	31-Mar-14	Submitted
127	Phronesis Securities Limited	31-Dec	31-Mar-14	Submitted
128	Pilot Securities Limited	31-Dec	31-Mar-14	Submitted
129	PIPC Securities Limited	31-Dec	31-Mar-14	Submitted
130	Portfolio Advisers Limited	31-Dec	31-Mar-14	Submitted
131	Primera Africa Securities Limited	31-Dec	31-Mar-14	Submitted
132	Primewealth Capital Limited	31-Dec	31-Mar-14	Submitted
133	PAC Securities Limited	31-Dec	31-Mar-14	Submitted
134	Prominent Securities Limited	31-Dec	31-Mar-14	Submitted
135	PSI Securities Limited	31-Dec	31-Mar-14	Submitted
136	Pyramid Securities Limited	31-Dec	31-Mar-14	Submitted
137	Quantum Securities Limited	31-Dec	31-Mar-14	Submitted
138	Rainbow Securities Limited	31-Dec	31-Mar-14	Submitted
139	Readings Investment Limited	31-Dec	31-Mar-14	Submitted
140	Redasel Investment Limited	31-Dec	31-Mar-14	Submitted
141	Rencap Securities (Nig) Limited	31-Dec	31-Mar-14	Submitted
142	Resano Securities Limited	31-Dec	31-Mar-14	Submitted
143	Reward Investment & Services Limited	31-Dec	31-Mar-14	Submitted
144	Rostrum Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
145	Rowet Capital Mgt Limited	31-Dec	31-Mar-14	Submitted
146	Royal Crest Finance Limited	31-Dec	31-Mar-14	Submitted
147	Shalom Investment and Financial Services Limited	31-Dec	31-Mar-14	Submitted
148	Royal Trust Securities Limited	31-Dec	31-Mar-14	Submitted

149	Securities Africa Financials Limited	31-Dec	31-Mar-14	Submitted
150	Securities and Capital Management Company Limited	31-Dec	31-Mar-14	Submitted
151	SFC Securities Limited	31-Dec	31-Mar-14	Submitted
152	Shalom Investment & Financial Services Limited	31-Dec	31-Mar-14	Submitted
153	Shelong Investment Limited	31-Dec	31-Mar-14	Submitted
154	Sigma Securities Limited	31-Dec	31-Mar-14	Submitted
155	Signet Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
156	Skyview Capital Limited	31-Dec	31-Mar-14	Submitted
157	Smadac Securities Limited	31-Dec	31-Mar-14	Submitted
158	Spring Trust & Securities Limited	31-Dec	31-Mar-14	Submitted
159	Springboard Trust & Investment Limited	31-Dec	31-Mar-14	Submitted
160	Stanbic IBTC Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
161	Standard Alliance Capital & Asset Limited	31-Dec	31-Mar-14	Submitted
162	Standard Union Securities Ltd	31-Dec	31-Mar-14	Submitted
163	Stanwal Securities Limited	31-Dec	31-Mar-14	Submitted
164	Strategy & Arbitrage Limited	31-Dec	31-Mar-14	Submitted
165	Summa Guaranty & Trust Company Limited	31-Dec	31-Mar-14	Submitted
166	Supra Commercial Trust Company Limited	31-Dec	31-Mar-14	Submitted
167	TFS Securities & Investment Company Limited	31-Dec	31-Mar-14	Submitted
168	Tiddo Securities Limited	31-Dec	31-Mar-14	Submitted
169	Topmost Securities Limited	31-Dec	31-Mar-14	Submitted
170	Tower Assets Management Limited	31-Dec	31-Mar-14	Submitted
171	Tower Securities & Investment Company Limited	31-Dec	31-Mar-14	Submitted
172	Trade Link Securities Limited	31-Dec	31-Mar-14	Submitted
173	Traders Trust and Investment Company Limited	31-Dec	31-Mar-14	Submitted
174	Transafrika Securities Limited	31-Dec	31-Mar-14	Submitted
175	Trust Yields Securities Limited	31-Dec	31-Mar-14	Submitted
176	Trusthouse Investment Limited	31-Dec	31-Mar-14	Submitted
177	TRW Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
178	UBA Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
179	UIDC Securities Limited	31-Dec	31-Mar-14	Submitted
180	UNEX Capital Limited	31-Dec	31-Mar-14	Submitted
181	Union Capital Markets Limited	31-Dec	31-Mar-14	Submitted
182	Valmon Securities Limited	31-Dec	31-Mar-14	Submitted
183	Valueline Securities & Investments Limited	31-Dec	31-Mar-14	Submitted
184	Woodland Capital Markets Plc	31-Dec	31-Mar-14	Submitted
185	Yuderb Investments & Securities Limited	31-Dec	31-Mar-14	Submitted
186	WSTC Financial Services Limited	31-Dec	31-Mar-14	Submitted
187	Yobe Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
188	Yuderb Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
189	Zenith Securities Limited	31-Dec	31-Mar-14	Submitted

INACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Arian Capital Management Limited	31-Dec	31-Mar-14	Submitted
2	ITIS Securities Limited	31-Dec	31-Mar-14	Submitted
3	LB Securities Limited	31-Dec	31-Mar-14	Submitted
4	Mainstreet Securities Limited	31-Dec	31-Mar-14	Submitted

INACTIVE AND NOT SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Davandy Finance Limited	31-Dec	31-Mar-14	Not submitted
2	Empire Securities Limited	31-Dec	31-Mar-14	Not submitted
3	Falcon Securities Limited	31-Dec	31-Mar-14	Not submitted
4	First Alstate Securities Limited	31-Dec	31-Mar-14	Not submitted
5	Mact Securities Limited	31-Dec	31-Mar-14	Not submitted
6	Midpoint Capital Limited	31-Dec	31-Mar-14	Not submitted
7	Omas Investment & Trust Company Limited	31-Dec	31-Mar-14	Not submitted
8	Peninsula Asset Mgt & Invest Company Limited	31-Dec	31-Mar-14	Not submitted
9	Profund Securities Limited	31-Dec	31-Mar-14	Not submitted
10	Securities Trading & Investment Company Limited	31-Dec	31-Mar-14	Not submitted
11	Surport Services Limited	31-Dec	31-Mar-14	Not Submitted
12	Wizetrade Capital & Assets Mgt Limited	31-Dec	31-Mar-14	Not submitted
13	WT Securities Limited	31-Dec	31-Mar-14	Not submitted



Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 28TH FEBRUARY 2014

ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	ARM Securities Limited	FEBRUARY	30-May-14	Submitted
2	Lambeth Trust & Investment Co. Ltd	FEBRUARY	30-May-14	Submitted

ACTIVE AND NOT SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Waila Securities and Funds Limited	FEBRUARY	30-May-14	Not Submitted

Audited Accounts

ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Afrinvest West Africa Limited	MARCH	30-Jun-14	Submitted
2	Cardinal Stone Securities Limited	MARCH	30-Jun-14	Submitted
3	Centre Point Investment Limited	MARCH	30-Jun-14	Submitted
4	Citi Investment Capital Ltd	MARCH	30-Jun-14	Submitted
5	DBSL Securities Limited	MARCH	30-Jun-14	Submitted
6	Dynamic Portfolio Limited	MARCH	30-Jun-14	Submitted
7	ARM Securities Limited	MARCH	30-Jun-14	Submitted
8	Forthright Securities & Investment Limited	MARCH	30-Jun-14	Submitted
9	ICMG Securities Limited	MARCH	30-Jun-14	Submitted
10	Imperial Assets Managers Limited	MARCH	30-Jun-14	Submitted
11	Kapital Care Trust & Securities Limited	MARCH	30-Jun-14	Submitted
12	MBC Securities Limited	MARCH	30-Jun-14	Submitted
13	Mega Equities Ltd	MARCH	30-Jun-14	Submitted
14	Mission Securities Limited	MARCH	30-Jun-14	Submitted
15	Molten Trust Limited	MARCH	30-Jun-14	Submitted
16	Pinefields Investment Services Limited	MARCH	30-Jun-14	Submitted
17	Pivot Trust & Investment Co. Limited	MARCH	30-Jun-14	Submitted
18	Regency Assets Management Ltd	MARCH	30-Jun-14	Submitted
19	Santrust Securities Limited	MARCH	30-Jun-14	Submitted
20	Solid Rock Securities & Investment Plc	MARCH	30-Jun-14	Submitted
21	Tomil Trust Limited	MARCH	30-Jun-14	Submitted
22	Vetiva Securities Limited	MARCH	30-Jun-14	Submitted

INACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Monument Sec & Finance Ltd	MARCH	30-Jun-14	Submitted

INACTIVE AND NOT SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Decanon Investment Limited	MARCH	30-Jun-14	Not submitted
2	Maninvest Asset Management Plc	MARCH	30-Jun-14	Not submitted
3	Prudential Securities Limited	MARCH	30-Jun-14	Not submitted
4	Securities Solutions Limited	MARCH	30-Jun-14	Not submitted



Broker TraX

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST MAY 2014

ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	PAC Securities Limited	April	31-Jul-14	Submitted



Broker TraX

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST MAY, 2014

ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Chartwell Securities Limited	MAY	31-Aug-14	Submitted



Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 30TH JUNE 2014

ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Emi Capital resources Limited	June	30-Sep-14	Submitted
2	Security Swaps Limited	June	30-Sep-14	Submitted
3	Amyr Investment Limited	June	30-Sep-14	Submitted

INACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Vision Trust & Investment Limited	June	30-Sep-14	Submitted

INACTIVE AND NOT SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Allbond Investment Limited	June	30-Sep-14	Not Submitted
2	Consolidated Investments Limited	June	30-Sep-14	Not Submitted
3	Lion Stockbrokers Limited	June	30-Sep-14	Not Submitted
4	Professional Stockbrokers Ltd	June	30-Sep-14	Not Submitted



Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST JULY 2014

ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Finmal Services Limited	July	31-Oct-14	Submitted

INACTIVE AND NOT SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Zuma Securities Limited	July	31-Oct-14	Not Submitted

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2014
ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Adonai Stockbrokers Limited	December	31-Mar-15	Submitted
2	Adamawa Securities Limited	December	31-Mar-15	Submitted
3	Amyr Investment Limited	December	31-Mar-15	Submitted
4	African Alliance Stockbrokers Limited	December	31-Mar-15	Submitted
5	ARM Securities Limited	December	31-Mar-15	Submitted
6	Alangrange Securities Limited	December	31-Mar-15	Submitted
7	Altrade Securities Limited	December	31-Mar-15	Submitted
8	Amyr Investment Limited	December	31-Mar-15	Submitted
9	Anchorage Securities & Finance Limited	December	31-Mar-15	Submitted
10	Anchoria Investment & Securities Limited	December	31-Mar-15	Submitted
11	Apel Asset Limited	December	31-Mar-15	Submitted
12	Apt Securities & Funds Limited	December	31-Mar-15	Submitted
13	ARM Securities Limited	December	31-Mar-15	Submitted
14	Arthur Stevens Asset Management Limited	December	31-Mar-15	Submitted
15	Associated Asset Managers Limited	December	31-Mar-15	Submitted
16	Atlass Portfolio Limited	December	31-Mar-15	Submitted
17	Belfry Investment & Securities Limited	December	31-Mar-15	Submitted
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30	CDL Capital Markets Limited	December	31-Mar-15	Submitted
31	Centre Point Investment Limited	December	31-Mar-15	Submitted
32	Century Securities Limited	December	31-Mar-15	Submitted
33	Chapel Hill Denham Securities Limited	December	31-Mar-15	Submitted
34	Crossworld Securities Limited	December	31-Mar-15	Submitted
35	Chartwell Securities Limited	December	31-Mar-15	Submitted
36	Citi Investment Capital Limited	December	31-Mar-15	Submitted
37	City Code Trust & Investment Company Limited	December	31-Mar-15	Submitted
38	Clearview Investment & Securities Limited	December	31-Mar-15	Submitted
39	Compass Investment & Securities Limited	December	31-Mar-15	Submitted
40	Cordros Capital Limited	December	31-Mar-15	Submitted
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42	Covenant Securities & Asset Management Limited	December	31-Mar-15	Submitted
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47	Crown Capital Limited	December	31-Mar-15	Submitted
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63	Edgefield Capital Management Limited	December	31-Mar-15	Submitted
64	EFCP Limited	December	31-Mar-15	Submitted
65	Elixir Securities Limited	December	31-Mar-15	Submitted
66	Enterprise Stockbrokers Limited	December	31-Mar-15	Submitted
67	Equity Capital Solutions Limited	December	31-Mar-15	Submitted
68	Eurocomm Securities Limited	December	31-Mar-15	Submitted
69	Excel Securities Limited	December	31-Mar-15	Submitted
70	Express Discount Asset Management Limited	December	31-Mar-15	Submitted
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74	FCSL Asset Management Company Limited	December	31-Mar-15	Submitted
75	Fidelity Finance Company Limited	December	31-Mar-15	Submitted
76	Fidelity Securities Limited	December	31-Mar-15	Submitted
77	Financial Trust Company Limited	December	31-Mar-15	Submitted
78	Finbank Securities & Assets Management Limited	December	31-Mar-15	Submitted
79	Finmal Finance Services Limited	December	31-Mar-15	Submitted
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86	FSDH Securities Limited	December	31-Mar-15	Submitted
87	Kinley Securities Limited	December	31-Mar-15	Submitted
88	Funds Matrix & Assets Mgt Limited	December	31-Mar-15	Submitted
89	Futureview Securities Limited	December	31-Mar-15	Submitted
90	Gem Assets Management Limited	December	31-Mar-15	Submitted
91	Gidauniya Investment & Securities Limited	December	31-Mar-15	Submitted
92	Global Asset Management (Nig) Limited	December	31-Mar-15	Submitted
93	Global View Consult & Investment Limited	December	31-Mar-15	Submitted
94	GMT Securities & Asset Mangement Limited	December	31-Mar-15	Submitted
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97	Gruene Capital Limited	December	31-Mar-15	Submitted
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111	Investment One Stockbrokers International Limited	December	31-Mar-15	Submitted
112	Investment Shark & Asset Mgt Ltd	December	31-Mar-15	Submitted
113	Investors & Trust Company Limited	December	31-Mar-15	Submitted
114	Kapital Care Trust & Securities Limited	December	31-Mar-15	Submitted
115	Kedari Capital Limited	December	31-Mar-15	Submitted
116	Kinley Securities Limited	December	31-Mar-15	Submitted
117	Kofana Securities & Investment Limited	December	31-Mar-15	Submitted
118	Kundila Finance Services Limited	December	31-Mar-15	Submitted
119	Lambeth Trust & Investment Company Limited	December	31-Mar-15	Submitted
120	Lead Securities & Investment Limited	December	31-Mar-15	Submitted
121	Lighthouse Asset Management Limited	December	31-Mar-15	Submitted
122	Long Term Global Capital Limited	December	31-Mar-15	Submitted
123	Magnartis Finance & Investment Limited	December	31-Mar-15	Submitted
124	Mainland Trust Limited	December	31-Mar-15	Submitted
125	Mainstreet Bank Securities Limited	December	31-Mar-15	Submitted
126	Marimpex Finance & Investment Company Limited	December	31-Mar-15	Submitted
127	Marina Securities Stockbroking Services Limited	December	31-Mar-15	Submitted
128	Marriot Securities Limited	December	31-Mar-15	Submitted
129	Maven Asset Management Limited	December	31-Mar-15	Submitted
130	Maxifund Investment & Securities Plc	December	31-Mar-15	Submitted
131	Mayfield Investment Limited	December	31-Mar-15	Submitted
132	MBC Securities Limited	December	31-Mar-15	Submitted
133	PAC Securities Limited	December	31-Mar-15	Submitted
134	MBL Financial Services Limited	December	31-Mar-15	Submitted
135	Mega Equities Limited	December	31-Mar-15	Submitted
136	Mega Equities Limited	December	31-Mar-15	Submitted
137	Mercov Securities Limited	December	31-Mar-15	Submitted
138	Meristem Stockbrokers Limited	December	31-Mar-15	Submitted
139	Midas Stockbrokers Limited	December	31-Mar-15	Submitted
140	Milestone Capital Management Limited	December	31-Mar-15	Submitted
141	Mission Securities Limited	December	31-Mar-15	Submitted
142	Molten Trust Limited	December	31-Mar-15	Submitted
143	Morgan Capital Securities Limited	December	31-Mar-15	Submitted
144	Mountain Investment & Securities Limited	December	31-Mar-15	Submitted
145	Mutual Alliance Investment & Securities Limited	December	31-Mar-15	Submitted
146	Network Capital Limited	December	31-Mar-15	Submitted
147	Shalom Investment and Financial Services Limited	December	31-Mar-15	Submitted
148	Newdevco Finance Services Company Limited	December	31-Mar-15	Submitted
149	Nigerian International Securities Ltd	December	31-Mar-15	Submitted
150	Nigerian Stockbrokers Limited	December	31-Mar-15	Submitted
151	Nova Finance & Securities Limited	December	31-Mar-15	Submitted
152	Options Securities Limited	December	31-Mar-15	Submitted
153	PAC Securities Limited	December	31-Mar-15	Submitted
154	Partnership Securities Limited	December	31-Mar-15	Submitted

155	Peace Capital Markets Ltd	December	31-Mar-15	Submitted
156	Perfecta Investment & Trust Limited	December	31-Mar-15	Submitted
157	Phronesis Securities Limited	December	31-Mar-15	Submitted
158	Pilot Securities Limited	December	31-Mar-15	Submitted
159	Pinefields Investment Services Limited	December	31-Mar-15	Submitted
160	PIPC Securities Limited	December	31-Mar-15	Submitted
161	Pivot Trust & Investment Co. Limited	December	31-Mar-15	Submitted
162	Planet Capital Limited	December	31-Mar-15	Submitted
163	Portfolio Advisers Limited	December	31-Mar-15	Submitted
164	Primewealth Capital Limited	December	31-Mar-15	Submitted
165	Primera Africa Securities Limited	December	31-Mar-15	Submitted
166	Prominent Securities Limited	December	31-Mar-15	Submitted
167	PSI Securities Limited	December	31-Mar-15	Submitted
168	SFC Securities Limited	December	31-Mar-15	Submitted
169	Shelong Investments Limited	December	31-Mar-15	Submitted
170	Standard Alliance Capital Asset Mgt Limited	December	31-Mar-15	Submitted
171	The Bridge Securities Limited	December	31-Mar-15	Submitted
172	Pyramid Securities Limited	December	31-Mar-15	Submitted
173	Quantum Securities Limited	December	31-Mar-15	Submitted
174	Rainbow Securities Limited	December	31-Mar-15	Submitted
175	Readings Investment Limited	December	31-Mar-15	Submitted
176	Redasel Investments Limited	December	31-Mar-15	Submitted
177	Redasel Investments Limited	December	31-Mar-15	Submitted
178	Regency Asset Management Limited	December	31-Mar-15	Submitted
179	Rencap Securities (Nig) Limited	December	31-Mar-15	Submitted
180	Resano Securities Limited	December	31-Mar-15	Submitted
181	Resort Securities Limited	December	31-Mar-15	Submitted
182	Reward Investment & Service Limited	December	31-Mar-15	Submitted
183	Rostrum Investment & Sec Limited	December	31-Mar-15	Submitted
184	Rowet Capital Mgt Limited	December	31-Mar-15	Submitted
185	Royal Crest Finance Limited	December	31-Mar-15	Submitted
186	Royal Guaranty & Trust Limited	December	31-Mar-15	Submitted
187	Royal Trust Securities Limited	December	31-Mar-15	Submitted
188	Santrust Securities Limited	December	31-Mar-15	Submitted
189	Yuderb Investments & Securities Limited	December	31-Mar-15	Submitted
190	Securities Africa Financial Limited	December	31-Mar-15	Submitted
191	Securities and Capital Management Company Limited	December	31-Mar-15	Submitted
192	Security Swaps Limited	December	31-Mar-15	Submitted
193	Shalom Investment & Financial Services Limited	December	31-Mar-15	Submitted
194	Vision Trust & Investment Limited	December	31-Mar-15	Submitted
195	Sigma Securities Limited	December	31-Mar-15	Submitted
196	Signet Investment & Securities Limited	December	31-Mar-15	Submitted
197	Skyview Capital Ltd	December	31-Mar-15	Submitted
198	Smadac Securities Limited	December	31-Mar-15	Submitted
199	Solid Rock Securities & Investment Plc	December	31-Mar-15	Submitted
200	Springboard Trust & Investment Limited	December	31-Mar-15	Submitted
201	Standard Union Securities Limited	December	31-Mar-15	Submitted
202	Stanwal Securities Limited	December	31-Mar-15	Submitted
203	TFS Securities & Investment Company Limited	December	31-Mar-15	Submitted
204	Tomil Trust Limited	December	31-Mar-15	Submitted
205	Topmost Finance & Investment Limited	December	31-Mar-15	Submitted
206	Tower Securities & Investment Company Limited	December	31-Mar-15	Submitted
207	Trade Link Securities Limited	December	31-Mar-15	Submitted
208	Traders Trust & Investment Co. Limited	December	31-Mar-15	Submitted

209	Transafrica Financial Services Limited	December	31-Mar-15	Submitted
210	Trust Yield Securities Limited	December	31-Mar-15	Submitted
211	TrustBanc Capital Management Limited	December	31-Mar-15	Submitted
212	Trusthouse Investment Limited	December	31-Mar-15	Submitted
213	TRW Stockbrokers Limited	December	31-Mar-15	Submitted
214	UIDC Securities Limited	December	31-Mar-15	Submitted
215	Unex Capital Limited	December	31-Mar-15	Submitted
216	Union Capital Markets Limited	December	31-Mar-15	Submitted
217	United Capital Securities Limited	December	31-Mar-15	Submitted
218	Valmon Securities Limited	December	31-Mar-15	Submitted
219	Valueline Securities & Investment Limited	December	31-Mar-15	Submitted
220	Vetiva Securities Limited	December	31-Mar-15	Submitted
221	WSTC Financial Services Limited	December	31-Mar-15	Submitted
222	Yobe Investment & Securities Limited	December	31-Mar-15	Submitted
223	Yuderb Investment & Securities Limited	December	31-Mar-15	Submitted
224	Zenith Securities Limited	December	31-Mar-15	Submitted

INACTIVE AND SUBMITTED				
1	Arian Capital Management Limited	December	31-Mar-15	Submitted
2	ITIS Securities Limited	December	31-Mar-15	Submitted
3	PML Securities & Company Limited	December	31-Mar-15	Submitted
4	Supra Commercial Trust Company Limited	December	31-Mar-15	Submitted
5	Vision Trust & Investment Limited	December	31-Mar-15	Submitted

NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS				
1	Bauchi Investment Corp. Sec Limited	DECEMBER	31-Mar-15	Not submitted

INACTIVE AND NOT SUBMITTED				
1	Aims Asset Management Limited	December	31-Mar-15	Not Submitted
2	Allbond Investment Limited	December	31-Mar-15	Not Submitted
3	BGL Securities Limited	December	31-Mar-15	Not Submitted
4	Bytofel Trust & Securities Limited	December	31-Mar-15	Not Submitted
5	CEB Securities Limited	December	31-Mar-15	Not Submitted
6	Consolidated Investment Limited	December	31-Mar-15	Not Submitted
7	Davandy Finance & Securities Limited	December	31-Mar-15	Not Submitted
8	Decanon Investment Limited	December	31-Mar-15	Not Submitted
9	Emi Capital Resources Limited	December	31-Mar-15	Not Submitted
10	Empire Securities Limited	December	31-Mar-15	Not Submitted
11	Falcon Securities Limited	December	31-Mar-15	Not Submitted
12	First Alstate Securities Limited	December	31-Mar-15	Not Submitted
13	Fittco Securities Limited	December	31-Mar-15	Not Submitted
14	Gombe Securities Limited	December	31-Mar-15	Not Submitted
15	HIP Asset Management Limited	December	31-Mar-15	Not Submitted
16	LB Securities Limited	December	31-Mar-15	Not Submitted
17	Lion Stockbrokers Limited	December	31-Mar-15	Not Submitted
18	LMB Stockbrokers Limited	December	31-Mar-15	Not Submitted
19	Mact Securities Limited	December	31-Mar-15	Not Submitted
20	Maninvest Asset Management Plc	December	31-Mar-15	Not Submitted
21	Metropolitan Trust Nigeria Limited	December	31-Mar-15	Not Submitted
22	Midpoint Capital Limited	December	31-Mar-15	Not Submitted
23	Monument Sec & Finance Limited	December	31-Mar-15	Not Submitted
24	Northbridge Investment & Trust Limited	December	31-Mar-15	Not Submitted
25	Omas Investment & Trust Company Limited	December	31-Mar-15	Not Submitted
26	Peninsula Asset Management & Investment Company Limited	December	31-Mar-15	Not Submitted
27	Platinum Capital Limited	December	31-Mar-15	Not Submitted
28	Professional Stockbrokers Limited	December	31-Mar-15	Not Submitted
29	Profund Securities Limited	December	31-Mar-15	Not Submitted
30	Prudential Securities Limited	December	31-Mar-15	Not Submitted
31	Regency Finance Limited	December	31-Mar-15	Not Submitted
32	Securities Solutions Limited	December	31-Mar-15	Not Submitted
33	Securities Trading & Investments Limited	December	31-Mar-15	Not Submitted
34	Surport Services Limited	December	31-Mar-15	Not Submitted
35	Waila Securities and Funds Limited	December	31-Mar-15	Not Submitted
36	WizeTrade Capital & Asset Management Limited	December	31-Mar-15	Not Submitted
37	WT Securities Limited	December	31-Mar-15	Not Submitted
38	Zuma Securities Limited	December	31-Mar-15	Not Submitted

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2015
ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE
1	Adonai Stockbrokers Limited	December	31-Mar-16
2	African Alliance Stockbrokers Limited	December	31-Mar-16
3	Afrinvest Securities Limited	December	31-Mar-16
4	Alangrange Securities Limited	December	31-Mar-16
5	Anchoria Investment & Securities Limited	December	31-Mar-16
6	Apel Asset Limited	December	31-Mar-16
7	Apt Securities & Funds Limited	December	31-Mar-16
8	ARM Securities Limited	December	31-Mar-16
9	ARM Securities Limited	December	31-Mar-16
10	Arthur Stevens Asset Management Limited	December	31-Mar-16
11	Associated Asset Managers Limited	December	31-Mar-16
12	Atlass Portfolio Limited	December	31-Mar-16
13	Belfry Investment & Securities Limited	December	31-Mar-16
14	Bestworth Assets & Trust Limited	December	31-Mar-16
15	Calyx Securities Limited	December	31-Mar-16
16	Camry Securities Limited	December	31-Mar-16
17	Capital Assets Limited	December	31-Mar-16
18	Capital Bancorp Plc	December	31-Mar-16
19	Capital Express Securities Limited	December	31-Mar-16
20	Capital Trust Brokers Limited	December	31-Mar-16
21	CardinalStone Securities Limited	December	31-Mar-16
22	Cashcraft Securities Limited	December	31-Mar-16
23	Cashville Investment & Securities Limited	December	31-Mar-16
24	CDL Capital Markets Limited	December	31-Mar-16
25	Centre Point Investment Limited	December	31-Mar-16
26	Century Securities Limited	December	31-Mar-16
27	Chapel Hill Denham Securities Limited	December	31-Mar-16
28	Chartwell Securities Limited	December	31-Mar-16
29	Citi Investment Capital Limited	December	31-Mar-16
30	City Code Trust & Investment Company Limited	December	31-Mar-16
31	Clearview Investments Company Limited	December	31-Mar-16
32	Compass Investment & Securities Limited	December	31-Mar-16
33	Cordros Capital Limited	December	31-Mar-16
34	Core Trust & Investment Limited	December	31-Mar-16
35	Coronation Securities Limited	December	31-Mar-16
36	Cowry Securities Limited	December	31-Mar-16
37	Crossworld Securities Limited	December	31-Mar-16
38	Crown Capital Limited	December	31-Mar-16
39	CSL Stockbrokers Limited	December	31-Mar-16

40	Deep Trust Investment Limited	December	31-Mar-16
41	Delords Securities Limited	December	31-Mar-16
42	Dependable Securities Limited	December	31-Mar-16
43	Diamond Securities Limited	December	31-Mar-16
44	Dominion Trust Limited	December	31-Mar-16
45	DSU Brokerage Services Limited	December	31-Mar-16
46	Dunbell Securities Limited	December	31-Mar-16
47	Dunn Loren Merrifield Securities Limited	December	31-Mar-16
48	Dynamic Portfolio Limited	December	31-Mar-16
49	EDC Securities Limited	December	31-Mar-16
50	Edgefield Capital Management Limited	December	31-Mar-16
51	EFCP Limited	December	31-Mar-16
52	Elixir Securities Limited	December	31-Mar-16
53	Enterprise Stockbrokers Limited	December	31-Mar-16
54	Equity Capital Solutions Limited	December	31-Mar-16
55	Eurocomm Securities Limited	December	31-Mar-16
56	Express Discount Asset Management Limited	December	31-Mar-16
57	Express Portfolio Services Limited	December	31-Mar-16
58	Falcon Securities Limited	December	31-Mar-16
59	FBN Securities Limited	December	31-Mar-16
60	FCSL Asset Management Company Limited	December	31-Mar-16
61	Fidelity Finance Company Limited	December	31-Mar-16
62	Fidelity Securities Limited	December	31-Mar-16
63	Financial & Analytics Capial Limited	December	31-Mar-16
64	Financial Trust Company Limited	December	31-Mar-16
65	Finmal Finance Services Limited	December	31-Mar-16
66	First Integrated Capital Management Limited	December	31-Mar-16
67	First Stockbrokers Limited	December	31-Mar-16
68	FIS Securities Limited	December	31-Mar-16
69	Foresight Securities Limited	December	31-Mar-16
70	Forte Financial Limited	December	31-Mar-16
71	Forthright Securities & Investments Limited	December	31-Mar-16
72	Fortress Capital Limited	December	31-Mar-16
73	FSDH Securities Limited	December	31-Mar-16
74	Funds Matrix & Assets Mgt Limited	December	31-Mar-16
75	Futureview Securities Limited	December	31-Mar-16
76	Gidauniya Investment & Securities Limited	December	31-Mar-16
77	Global Asset Management (Nig) Limited	December	31-Mar-16
78	Global View Consult & Investment Limited	December	31-Mar-16
79	Golden Securities Limited	December	31-Mar-16
80	Greenwich Securities Limited	December	31-Mar-16
81	Gruene Capital Limited	December	31-Mar-16
82	GTI Securities Limited	December	31-Mar-16
83	Harmony Securities Limited	December	31-Mar-16
84	Heartbeat Investment Limited	December	31-Mar-16
85	Hedge Securities & Investment Limited	December	31-Mar-16
86	Heritage Capital Markets Limited	December	31-Mar-16
87	ICMG securities Limited	December	31-Mar-16
88	Icon Stockbrokers Limited	December	31-Mar-16
89	Imperial Assets Managers Limited	December	31-Mar-16
90	Independent Securities Limited (now Qualinvest Capital Limited)	December	31-Mar-16
91	Integrated Trust & Investments Limited	December	31-Mar-16
92	Interstate Securities Limited	December	31-Mar-16
93	Investment Centre Limited	December	31-Mar-16
94	Investment One Stockbrokers International Limited	December	31-Mar-16
95	Investors & Trust Company Limited	December	31-Mar-16
96	Kapital Care Trust & Securities Limited	December	31-Mar-16
97	Kedari Capital Limited	December	31-Mar-16

98	Kinley Securities Limited	December	31-Mar-16
99	Kofana Securities & Investment Limited	December	31-Mar-16
100	Kundila Finance Services Limited	December	31-Mar-16
101	Lambeth Trust & Investment Company Limited	December	31-Mar-16
102	Lead Securities & Investment Limited	December	31-Mar-16
103	Lighthouse Asset Management Limited	December	31-Mar-16
104	Longterm Global Capital Limited	December	31-Mar-16
105	Magnartis Finance & Investment Limited	December	31-Mar-16
106	Mainstreet Bank Securities Limited	December	31-Mar-16
107	Marriot Securities & Investment Company Limited	December	31-Mar-16
108	Maxifund Investments & Securities Plc	December	31-Mar-16
109	Mayfield Investment Limited	December	31-Mar-16
110	MBC Securities Limited	December	31-Mar-16
111	MBL Financial Services Limited	December	31-Mar-16
112	Mega Equities Limited	December	31-Mar-16
113	Meristem Stockbrokers Limited	December	31-Mar-16
114	Midas Stockbrokers Limited	December	31-Mar-16
115	Milestone Capital Management Limited	December	31-Mar-16
116	Mission Securities Limited	December	31-Mar-16
117	Molten Trust Limited	December	31-Mar-16
118	Morgan Capital Securities Limited	December	31-Mar-16
119	Mountain Investment & Securities Limited	December	31-Mar-16
120	Mutual Alliance Investment & Securities Limited	December	31-Mar-16
121	Network Capital Limited	December	31-Mar-16
122	Networth Securities & Finance Limited	December	31-Mar-16
123	Newdevco Investment & Securities Company Limited	December	31-Mar-16
124	Nigerian International Securities Limited	December	31-Mar-16
125	Nigerian Stockbrokers Limited	December	31-Mar-16
126	Nova Finance & Securities Limited	December	31-Mar-16
127	PAC Securities Limited	December	31-Mar-16
128	Partnership Securities Limited	December	31-Mar-16
129	Peace Capital Market Ltd	December	31-Mar-16
130	Phronesis Securities Limited	December	31-Mar-16
131	Pilot Securities Limited	December	31-Mar-16
132	Pinefields Investment Services Limited	December	31-Mar-16
133	PIPC Securities Limited	December	31-Mar-16
134	Pivot Trust & Investment Co. Limited	December	31-Mar-16
135	Planet Capital Limited	December	31-Mar-16
136	Portfolio Advisers Limited	December	31-Mar-16
137	Primera Africa Securities Limited	December	31-Mar-16
138	Primewealth Capital Limited	December	31-Mar-16
139	Prominent Securities Limited	December	31-Mar-16
140	Pyramid Securities Limited	December	31-Mar-16
141	Quantum Securities Limited	December	31-Mar-16
142	Shalom Investment and Financial Services Limited	December	31-Mar-16
143	Readings Investment Limited	December	31-Mar-16
144	Regency Asset Management Limited	December	31-Mar-16
145	Rencap Securities (Nig) Limited	December	31-Mar-16
146	Reward Investments & Services Limited	December	31-Mar-16
147	Rostrum Investment & Sec Limited	December	31-Mar-16
148	Royal Guaranty & Trust Limited	December	31-Mar-16
149	Royal Trust Securities Limited	December	31-Mar-16
150	Santrust Securities Limited	December	31-Mar-16
151	Securities Africa Financial Limited	December	31-Mar-16
152	Securities and Capital Management Company Limited	December	31-Mar-16
153	Security Swaps Limited	December	31-Mar-16
154	SFC Securities Limited	December	31-Mar-16
155	Shelong Investments Limited	December	31-Mar-16

156	Sigma Securities Limited	December	31-Mar-16
157	Signet Investment & Securities Limited	December	31-Mar-16
158	Skyview Capital Limited	December	31-Mar-16
159	Smadac Securities Limited	December	31-Mar-16
160	Solid Rock Securities & Investment Plc	December	31-Mar-16
161	Spring Trust & Securities Limited	December	31-Mar-16
162	Springboard Trust & Investment Limited	December	31-Mar-16
163	Stanbic IBTC Stockbrokers Limited	December	31-Mar-16
164	Standard Alliance Capital and Asset Managment Limited	December	31-Mar-16
165	Standard Union Securities Limited	December	31-Mar-16
166	TFS Securities & Investment Company Limited	December	31-Mar-16
167	The Bridge Securities Limited	December	31-Mar-16
168	Tiddo Securities Limited	December	31-Mar-16
169	Tomil Trust Limited	December	31-Mar-16
170	Topmost Finance & Investment Limited	December	31-Mar-16
171	Tower Asset Management Limited	December	31-Mar-16
172	Tower Securities & Investment Company Limited	December	31-Mar-16
173	Trade Link Securities Limited	December	31-Mar-16
174	Traders Trust & Investment Co. Limited	December	31-Mar-16
175	Transworld Investment & Securities Limited	December	31-Mar-16
176	Trust Yield Securities Limited	December	31-Mar-16
177	Trustbanc Capital Management limited	December	31-Mar-16
178	Trusthouse Investment Limited	December	31-Mar-16
179	TRW Stockbrokers Limited	December	31-Mar-16
180	Tyndale Securities Limited	December	31-Mar-16
181	Unex Capital Limited	December	31-Mar-16
182	Union Capital Markets Limited	December	31-Mar-16
183	United Capital Securities Limited	December	31-Mar-16
184	Valmon Securities Limited	December	31-Mar-16
185	Valueline Securities & Investment Limited	December	31-Mar-16
186	Vetiva Securities Limited	December	31-Mar-16
187	Woodland Capital Markets Plc	December	31-Mar-16
188	WSTC Financial Services Limited	December	31-Mar-16
189	Zenith Securities Limited	December	31-Mar-16
190	Zion Stockbrokers Limited	December	31-Mar-16

INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Anchorage Securities & Finance Limited	December	31-Mar-16
2	Arian Capital Management Limited	December	31-Mar-16
3	Bestlink Investment Limited	December	31-Mar-16
4	Cradle Trust Finance & Securities Limited	December	31-Mar-16
5	Crane Securities Limited	December	31-Mar-16
6	ECL Asset Management Limited	December	31-Mar-16
7	FBC Trust & Securities Limited	December	31-Mar-16
8	Gem Assets Management Limited	December	31-Mar-16
9	Horizon Stockbrokers Limited	December	31-Mar-16
10	Investment Shark & Asset Mgt Ltd	December	31-Mar-16
11	Mainland Trust Limited	December	31-Mar-16
12	Marimpex Finance & Investment Company Limited	December	31-Mar-16
13	Maven Asset Management Limited	December	31-Mar-16
14	Options Securities Limited	December	31-Mar-16
15	Perfecta Investment & Trust Limited	December	31-Mar-16
16	PSI Securities Limited	December	31-Mar-16
17	Resort Securities & Trust Limited	December	31-Mar-16
18	Rowet Capital Mgt Limited	December	31-Mar-16
19	Royal Crest Finance Limited	December	31-Mar-16
20	Shalom Investment & Financial Services Limited	December	31-Mar-16
21	Summa Guaranty & Trust Company Limited	December	31-Mar-16
22	Supra Commercial Trust Company Limited	December	31-Mar-16
23	Transafrika Financial Services Limited	December	31-Mar-16
24	UIDC Securities Limited	December	31-Mar-16
25	Vision Trust & Investment Limited	December	31-Mar-16
26	Yobe Investment and Securities Limited	December	31-Mar-16
27	Yuderb Investments & Securities Limited	December	31-Mar-16

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	31-Mar-16
2	Aims Asset Management Limited	December	31-Mar-16
3	Bauchi Investment Corp. Sec Limited	December	31-Mar-16
4	Bytofel Securities and Investment Limited	December	31-Mar-16
5	Cadington Securities Limited	December	31-Mar-16
6	CEB Securities Limited	December	31-Mar-16
7	Covenant Securities & Asset Management Limited	December	31-Mar-16
8	Excel Securities Limited	December	31-Mar-16
9	Finbank Securities & Assets Management Limited	December	31-Mar-16
10	First Alstate Securities Limited	December	31-Mar-16
11	GMT Securities & Asset Mangement Limited	December	31-Mar-16
12	Gombe Securities Limited	December	31-Mar-16
13	International Standard Securities Limited	December	31-Mar-16
14	ITIS Securities Limited	December	31-Mar-16
15	Kakawa Asset Management Limited	December	31-Mar-16
16	LB Securities Limited	December	31-Mar-16
17	Lion Stockbrokers Limited	December	31-Mar-16
18	LMB Stockbrokers Limited	December	31-Mar-16
19	Mercov Securities Limited	December	31-Mar-16
20	Midpoint Capital Limited	December	31-Mar-16
21	ML Securities Limited	December	31-Mar-16
22	Monument Sec & Finance Limited	December	31-Mar-16
23	Northbridge Investment and Trust Limited	December	31-Mar-16
24	PML Securities and Company Limited	December	31-Mar-16

25	Professional Stockbrokers Limited	December	31-Mar-16
26	Profund Securities Limited	December	31-Mar-16
27	Redasel Investments Limited	December	31-Mar-16
28	Resano Securities Limited	December	31-Mar-16
29	Surport Services Limited	December	31-Mar-16
30	Stanwal Securities Limited	December	31-Mar-16
31	Waila Securities and Funds Limited	December	31-Mar-16
32	Wema Asset Management Limited	December	31-Mar-16

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2016
ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE
1	Adonai Stockbrokers Limited	December	31-Mar-17
2	African Alliance Stockbrokers Limited	December	31-Mar-17
3	Afrinvest Securities Limited	December	31-Mar-17
4	Amyr Investment Limited	December	31-Mar-17
5	Anchoria Investment & Securities Limited	December	31-Mar-17
6	Apel Asset Limited	December	31-Mar-17
7	Apt Securities & Funds Limited	December	31-Mar-17
8	ARM Securities Limited	December	31-Mar-17
9	Arthur Stevens Asset Management Limited	December	31-Mar-17
10	Associated Asset Managers Limited	December	31-Mar-17
11	Atlass Portfolio Limited	December	31-Mar-17
12	Belfry Investment & Securities Limited	December	31-Mar-17
13	Bestworth Assets & Trust Limited	December	31-Mar-17
14	Calyx Securities Limited	December	31-Mar-17
15	Camry Securities Limited	December	31-Mar-17
16	Capital Asset Limited	December	31-Mar-17
17	Capital Bancorp Plc	December	31-Mar-17
18	Capital Express Securities Limited	December	31-Mar-17
19	Capital Trust Brokers Limited	December	31-Mar-17
20	CardinalStone Securities Limited	December	31-Mar-17
21	Cashcraft Securities Limited	December	31-Mar-17
22	Cashville Investment & Securities Limited	December	31-Mar-17
23	CDL Capital Markets Limited	December	31-Mar-17
24	Centre Point Investment Limited	December	31-Mar-17
25	Century Securities Limited	December	31-Mar-17
26	Chapel Hill Denham Securities Limited	December	31-Mar-17
27	Chartwell Securities Limited	December	31-Mar-17
28	Citi Investment Capital Limited	December	31-Mar-17
29	City Code Trust & Investment Company Limited	December	31-Mar-17
30	CLG Stockbrokers Limited	December	31-Mar-17
31	Compass Investment & Securities Limited	December	31-Mar-17
32	Cordros Securities Limited	December	31-Mar-17
33	Core Trust & Investment Limited	December	31-Mar-17
34	Coronation Securities Limited	December	31-Mar-17
35	Cowry Securities Limited	December	31-Mar-17
36	Crane Securities Limited	December	31-Mar-17

37	Crossworld Securities Limited	December	31-Mar-17
38	Crown Capital Limited	December	31-Mar-17
39	CSL Stockbrokers Limited	December	31-Mar-17
40	Deep Trust Investment Limited	December	31-Mar-17
41	Delords Securities Limited	December	31-Mar-17
42	Diamond Securities Limited	December	31-Mar-17
43	Dominion Trust Limited	December	31-Mar-17
44	DSU Brokerage Services Limited	December	31-Mar-17
45	Dunbell Securities Limited	December	31-Mar-17
46	Dunn Loren Merrifield Securities Limited	December	31-Mar-17
47	Dynamic Portfolio Limited	December	31-Mar-17
48	EDC Securities Limited	December	31-Mar-17
49	Edgefield Capital Management Limited	December	31-Mar-17
50	EFCP Limited	December	31-Mar-17
51	El-Elyon Alliance and Securities Ltd	December	31-Mar-17
52	Elixir Securities Limited	December	31-Mar-17
53	Enterprise Stockbrokers Limited	December	31-Mar-17
54	Equity Capital Solutions Limited	December	31-Mar-17
55	Eurocomm Securities Limited	December	31-Mar-17
56	Express Portfolio Services Limited	December	31-Mar-17
57	Falcon Securities Limited	December	31-Mar-17
58	FBC Trust & Securities Limited	December	31-Mar-17
59	FBN Securities Limited	December	31-Mar-17
60	FCSL Asset Management Company Limited	December	31-Mar-17
61	Fidelity Finance Company Limited	December	31-Mar-17
62	Financial & Analytics Capital Limited	December	31-Mar-17
63	Finmal Finance Services Limited	December	31-Mar-17
64	First Integrated Capital Management Limited	December	31-Mar-17
65	First Stockbrokers Limited	December	31-Mar-17
66	FIS Securities Limited	December	31-Mar-17
67	Foresight Securities & Investment Limited	December	31-Mar-17
68	Forthright Securities & Investments Limited	December	31-Mar-17
69	Fortress Capital Limited	December	31-Mar-17
70	FSDH Securities Limited	December	31-Mar-17
71	FSL Securities Limited	December	31-Mar-17
72	Funds Matrix & Assets Mgt Limited	December	31-Mar-17
73	Futureview Securities Limited	December	31-Mar-17
74	Gidauniya Investment & Securities Limited	December	31-Mar-17
75	Global Asset Management (Nig) Limited	December	31-Mar-17
76	GlobalView Capital Limited	December	31-Mar-17
77	Greenwich Securities Limited	December	31-Mar-17
78	Growth & Development Asset Management Limited	December	31-Mar-17
79	Gruene Capital Limited	December	31-Mar-17
80	GTI Securities Limited	December	31-Mar-17

81	Harmony Securities Limited	December	31-Mar-17
82	Heartbeat Investment Limited	December	31-Mar-17
83	Hedge Securities & Investment Limited	December	31-Mar-17
84	Helix Securities Limited	December	31-Mar-17
85	Heritage Capital Markets Limited	December	31-Mar-17
86	ICMG securities Limited	December	31-Mar-17
87	Icon Stockbrokers Limited	December	31-Mar-17
88	Imperial Asset Managers Limited	December	31-Mar-17
89	Integrated Trust & Investment Limited	December	31-Mar-17
90	Interstate Securities Limited	December	31-Mar-17
91	Investment One Stockbrokers International Limited	December	31-Mar-17
92	Investors & Trust Company Limited	December	31-Mar-17
93	Kapital Care Trust & Securities Limited	December	31-Mar-17
94	Kedari Capital Limited	December	31-Mar-17
95	Kinley Securities Limited	December	31-Mar-17
96	Kofana Securities & Investment Limited	December	31-Mar-17
97	Kundila Finance Services Limited	December	31-Mar-17
98	Lambeth Trust & Investment Company Limited	December	31-Mar-17
99	Lead Securities & Investment Limited	December	31-Mar-17
100	Lighthouse Asset Management Limited	December	31-Mar-17
101	Longterm Global Capital Limited	December	31-Mar-17
102	Magnartis Finance & Investment Limited	December	31-Mar-17
103	Marriot Securities & Investment Co. Limited	December	31-Mar-17
104	Maxifund Investment & Securities Plc	December	31-Mar-17
105	Mayfield Investment Limited	December	31-Mar-17
106	MBC Securities Limited	December	31-Mar-17
107	Mega Equities Limited	December	31-Mar-17
108	Meristem Stockbrokers Limited	December	31-Mar-17
109	Milestone Capital Management Limited	December	31-Mar-17
110	Mission Securities Limited	December	31-Mar-17
111	Molten Trust Limited	December	31-Mar-17
112	Morgan Capital Securities Limited	December	31-Mar-17
113	Mountain Investment & Securities Limited	December	31-Mar-17
114	Network Capital Limited	December	31-Mar-17
115	Networth Securities & Finance Limited	December	31-Mar-17
116	Newdevco Investments & Securties Limited	December	31-Mar-17
117	Nigerian International Securities Ltd	December	31-Mar-17
118	Nigerian Stockbrokers Limited	December	31-Mar-17
119	Nova Finance & Securities Limited	December	31-Mar-17
120	PAC Securities Limited	December	31-Mar-17
121	Peace Capital Markets Ltd	December	31-Mar-17
122	Pilot Securities Limited	December	31-Mar-17
123	Pinefields Investment Services Limited	December	31-Mar-17
124	PIPC Securities Limited	December	31-Mar-17
125	Pivot Capital Limited	December	31-Mar-17
126	Planet Capital Limited	December	31-Mar-17
127	Portfolio Advisers Limited	December	31-Mar-17

128	Primera Africa Securities Limited	December	31-Mar-17
129	Primewealth Capital Limited	December	31-Mar-17
130	Prominent Securities Limited	December	31-Mar-17
131	PSI Securities Limited	December	31-Mar-17
132	Pyramid Securities Limited	December	31-Mar-17
133	Qualinvest Capital Limited	December	31-Mar-17
134	Quantum Securities Limited	December	31-Mar-17
135	Rainbow Securities & Investment Company Limited	December	31-Mar-17
136	Readings Investment Limited	December	31-Mar-17
137	Regency Asset Management Limited	December	31-Mar-17
138	Rencap Securities (Nig) Limited	December	31-Mar-17
139	Reward Investment & Service Limited	December	31-Mar-17
140	RMB Nigeria Stockbrokers Limited	December	31-Mar-17
141	Rostrum Investment & Sec Limited	December	31-Mar-17
142	Shalom Investment and Financial Services Limited	December	31-Mar-17
143	Royal Crest Finance Limited	December	31-Mar-17
144	Royal Guaranty & Trust Limited	December	31-Mar-17
145	Royal Trust Securities Limited	December	31-Mar-17
146	Santrust Securities Limited	December	31-Mar-17
147	Securities Africa Financial Limited	December	31-Mar-17
148	Securities and Capital Management Company Limited	December	31-Mar-17
149	Security Swaps Limited	December	31-Mar-17
150	SFC Securities Limited	December	31-Mar-17
151	Shelong Investments Limited	December	31-Mar-17
152	Sigma Securities Limited	December	31-Mar-17
153	Signet Investment & Securities Limited	December	31-Mar-17
154	Skyview Capital Ltd	December	31-Mar-17
155	Smadac Securities Limited	December	31-Mar-17
156	Solid Rock Securities & Investment Plc	December	31-Mar-17
157	Spring Trust & Securities Limited	December	31-Mar-17
158	Springboard Trust & Investment Limited	December	31-Mar-17
159	Stanbic IBTC Stockbrokers Limited	December	31-Mar-17
160	Standard Alliance Capital Asset Mgt Limited	December	31-Mar-17
161	Standard Union Securities Limited	December	31-Mar-17
162	TFS Securities & Investment Company Limited	December	31-Mar-17
163	The Bridge Securities Limited	December	31-Mar-17
164	Tiddo Securities Limited	December	31-Mar-17
165	Tomil Trust Limited	December	31-Mar-17
166	Topmost Securities Limited	December	31-Mar-17
167	Tower Securities & Investment Company Limited	December	31-Mar-17
168	Trade Link Securities Limited	December	31-Mar-17
169	Traders Trust & Investment Co. Limited	December	31-Mar-17
170	Trust Yield Securities Limited	December	31-Mar-17
171	TrustBanc Capital Management Limited	December	31-Mar-17
172	Trusthouse Investment Limited	December	31-Mar-17
173	TRW Stockbrokers Limited	December	31-Mar-17
174	Tyndale Securities Limited	December	31-Mar-17

175	Unex Capital Limited	December	31-Mar-17
176	Union Capital Markets Limited	December	31-Mar-17
177	United Capital Securities Limited	December	31-Mar-17
178	Valmon Securities Limited	December	31-Mar-17
179	Valueline Securities & Investment Limited	December	31-Mar-17
180	Vetiva Securities Limited	December	31-Mar-17
181	Woodland Capital Markets Plc	December	31-Mar-17
182	WSTC Financial Services Limited	December	31-Mar-17
183	Zenith Securities Limited	December	31-Mar-17
184	Zion Stockbrokers & Securities Limited	December	31-Mar-17
185	Financial Trust Company Limited	December	31-Mar-17
186	Forte Financial Limited	December	31-Mar-17
187	Golden Securities Limited	December	31-Mar-17
188	Mainstreet Bank Securities Limited	December	31-Mar-17
189	Midas Stockbrokers Limited	December	31-Mar-17
190	Transworld Investment Limited	December	31-Mar-17

INACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Gem Assets Management Limited	December	31-Mar-17
2	Horizon Stockbrokers Limited	December	31-Mar-17
3	Investment Shark & Asset Mgt Ltd	December	31-Mar-17
4	Marimpex Finance & Investment Company Limited	December	31-Mar-17
5	MBL Financial Services Limited	December	31-Mar-17
6	Supra Commercial Trust Company Limited	December	31-Mar-17
7	UIDC Securities Limited	December	31-Mar-17

NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS

S/N	FIRMS	Year End	Due date
1	Bauchi Investment Corp. Sec Limited	December	31-Mar-17
2	Fundvine Capital & Securities Limited	December	31-Mar-17

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Aims Asset Management Limited	December	31-Mar-17
2	Adamawa Securities Limited	December	31-Mar-17
3	Bytofel Trust & Securities Limited	December	31-Mar-17
4	Cadington Securities Limited	December	31-Mar-17
5	CEB Securities Limited	December	31-Mar-17
6	Clearview Investments Company Limited	December	31-Mar-17
7	ECL Asset Management Limited	December	31-Mar-17
8	Finbank Securities & Assets Management Limited	December	31-Mar-17
9	GMT Securities & Asset Mangement Limited	December	31-Mar-17
10	Gombe Securities Limited	December	31-Mar-17
11	International Standard Securities Limited	December	31-Mar-17
12	ITIS Securities Limited	December	31-Mar-17
13	Kakawa Asset Management Limited	December	31-Mar-17
14	Lion Stockbrokers Limited	December	31-Mar-17
15	LMB Stockbrokers Limited	December	31-Mar-17
16	Mact Securities Limited	December	31-Mar-17
17	Maven Asset Management Limited	December	31-Mar-17
18	Mercov Securities Limited	December	31-Mar-17
19	Midpoint Capital	December	31-Mar-17
20	ML Securities Limited	December	31-Mar-17
21	Monument Sec & Finance Limited	December	31-Mar-17
22	Mutual Alliance Investment & Securities Limited	December	31-Mar-17
23	Partnership Securities Limited	December	31-Mar-17
24	Profund Securities Limited	December	31-Mar-17
25	Resano Securities Limited	December	31-Mar-17
26	Resort Securities & Trust Limited	December	31-Mar-17
27	Stanwal Securities Limited	December	31-Mar-17
28	Tower Asset Management Limited	December	31-Mar-17
29	Transafrica Financial Services Limited	December	31-Mar-17
30	Vision Trust & Investment Limited	December	31-Mar-17
31	Wema Asset Management Limited	December	31-Mar-17

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2017

S/N	FIRMS	Year End	Due date
1	Afrinvest Securities Limited	December	31-Mar-18
2	Anchoria Investment & Securities Limited	December	31-Mar-18
3	Apel Asset Limited	December	31-Mar-18
4	Apt Securities & Funds Limited	December	31-Mar-18
5	ARM Securities Limited	December	31-Mar-18
6	Arthur Stevens Asset Management Limited	December	31-Mar-18
7	Associated Asset Managers Limited	December	31-Mar-18
8	Bauchi Investment Corp. Sec Limited	December	31-Mar-18
9	Belfry Investment & Securities Limited	December	31-Mar-18
10	Bestworth Assets & Trust Limited	December	31-Mar-18
11	Calyx Securities Limited	December	31-Mar-18
12	Camry Securities Limited	December	31-Mar-18
13	Capital Asset Limited	December	31-Mar-18
14	Capital Bancorp Plc	December	31-Mar-18
15	Capital Express Securities Limited	December	31-Mar-18
16	Capital Trust Brokers Limited	December	31-Mar-18
17	Cardinal Stone Securities Ltd	December	31-Mar-18
18	Cashcraft Securities Limited	December	31-Mar-18
19	Cashville Investment & Securities Limited	December	31-Mar-18
20	CDL Capital Markets Limited	December	31-Mar-18
21	Centre Point Investment Limited	December	31-Mar-18
22	Century Securities Limited	December	31-Mar-18
23	Chapel Hill Denham Securities Limited	December	31-Mar-18
24	Chartwell Securities Limited	December	31-Mar-18
25	Citi Investment Capital Limited	December	31-Mar-18
26	City Code Trust & Investment Company Limited	December	31-Mar-18
27	CLG Stockbrokers Limited	December	31-Mar-18
28	Compass Investment & Securities Limited	December	31-Mar-18
29	Cordros Securities Limited	December	31-Mar-18
30	Core Securities Limited	December	31-Mar-18
31	Coronation Securities Limited	December	31-Mar-18
32	Cowry Securities Limited	December	31-Mar-18
33	Crane Securities Limited	December	31-Mar-18
34	Crossworld Securities Limited	December	31-Mar-18
35	Crown Capital Limited	December	31-Mar-18
36	CSL Stockbrokers Limited	December	31-Mar-18
37	Deep Trust Investment Limited	December	31-Mar-18

38	Delords Securities Limited	December	31-Mar-18
39	Dominion Trust Limited	December	31-Mar-18
40	DSU Brokerage Services Limited	December	31-Mar-18
41	Dunbell Securities Limited	December	31-Mar-18
42	Dunn Loren Merrifield Securities Limited	December	31-Mar-18
43	Dynamic Portfolio Limited	December	31-Mar-18
44	EDC Securities Limited	December	31-Mar-18
45	Edgefield Capital Management Limited	December	31-Mar-18
46	EFCP Limited	December	31-Mar-18
47	El-Elyon Alliance and Securities Ltd	December	31-Mar-18
48	Elixir Securities Limited	December	31-Mar-18
49	Enterprise Stockbrokers Limited	December	31-Mar-18
50	Equity Capital Solutions Limited	December	31-Mar-18
51	Eurocomm Securities Limited	December	31-Mar-18
52	Express Portfolio Services Limited	December	31-Mar-18
53	FBC Trust & Securities Limited	December	31-Mar-18
54	FBNQuest Securities Limited	December	31-Mar-18
55	FCSL Asset Management Company Limited	December	31-Mar-18
56	Fidelity Finance Company Limited	December	31-Mar-18
57	Financial & Analytics Capital Limited	December	31-Mar-18
58	Financial Trust Company Limited	December	31-Mar-18
59	Finmal Finance Services Limited	December	31-Mar-18
60	First Integrated Capital Management Limited	December	31-Mar-18
61	First Stockbrokers Limited	December	31-Mar-18
62	FIS Securities Limited	December	31-Mar-18
63	Foresight Securities & Investment Limited	December	31-Mar-18
64	Forte Financial Limited	December	31-Mar-18
65	Forthright Securities & Investments Limited	December	31-Mar-18
66	Fortress Capital Limited	December	31-Mar-18
67	FSDH Securities Limited	December	31-Mar-18
68	FSL Securities Limited	December	31-Mar-18
69	Funds Matrix & Assets Mgt Limited	December	31-Mar-18
70	Fundvine Capital & Securities Limited	December	31-Mar-18
71	Futureview Securities Limited	December	31-Mar-18
72	Gidauniya Investment & Securities Limited	December	31-Mar-18
73	Global Asset Management (Nig) Limited	December	31-Mar-18
74	GlobalView Capital Limited	December	31-Mar-18
75	Golden Securities Limited	December	31-Mar-18
76	Greenwich Securities Limited	December	31-Mar-18
77	Growth & Development Asset Management Limited	December	31-Mar-18
78	Gruene Capital Limited	December	31-Mar-18
79	GTI Securities Limited	December	31-Mar-18
80	Harmony Securities Limited	December	31-Mar-18
81	Heartbeat Investment Limited	December	31-Mar-18
82	Hedge Securities & Investment Limited	December	31-Mar-18
83	Helix Securities Limited	December	31-Mar-18
84	Heritage Capital Markets Limited	December	31-Mar-18

85	ICMG Securities Limited	December	31-Mar-18
86	Icon Stockbrokers Limited	December	31-Mar-18
87	Imperial Asset Managers Limited	December	31-Mar-18
88	Integrated Trust & Investment Limited	December	31-Mar-18
89	Interstate Securities Limited	December	31-Mar-18
90	Investment One Stockbrokers International Limited	December	31-Mar-18
91	Investors & Trust Company Limited	December	31-Mar-18
92	Kapital Care Trust & Securities Limited	December	31-Mar-18
93	Kedari Capital Limited	December	31-Mar-18
94	Kundila Finance Services Limited	December	31-Mar-18
95	Lambeth Trust & Investment Company Limited	December	31-Mar-18
96	Lead Securities & Investment Limited	December	31-Mar-18
97	Lighthouse Asset Management Limited	December	31-Mar-18
98	Longterm Global Capital Limited	December	31-Mar-18
99	Magnartis Finance & Investment Limited	December	31-Mar-18
100	Marimpex Finance & Investment Company Limited	December	31-Mar-18
101	Marriot Securities & Investment Co. Limited	December	31-Mar-18
102	MBC Securities Limited	December	31-Mar-18
103	MBL Financial Services Limited	December	31-Mar-18
104	Mega Equities Limited	December	31-Mar-18
105	Meristem Stockbrokers Limited	December	31-Mar-18
106	Mission Securities Limited	December	31-Mar-18
107	Molten Trust Limited	December	31-Mar-18
108	Morgan Capital Securities Limited	December	31-Mar-18
109	Mountain Investment & Securities Limited	December	31-Mar-18
110	Network Capital Limited	December	31-Mar-18
111	Network Securities & Finance Limited	December	31-Mar-18
112	Newdevco Investments & Securities Limited	December	31-Mar-18
113	Nigerian International Securities Ltd	December	31-Mar-18
114	Nigerian Stockbrokers Limited	December	31-Mar-18
115	Peace Capital Markets Ltd	December	31-Mar-18
116	Pilot Securities Limited	December	31-Mar-18
117	Pinefields Investment Services Limited	December	31-Mar-18
118	Pivot Capital Limited	December	31-Mar-18
119	Planet Capital Limited	December	31-Mar-18
120	Portfolio Advisers Limited	December	31-Mar-18
121	Primera Africa Securities Limited	December	31-Mar-18
122	Primewealth Capital Limited	December	31-Mar-18
123	Prominent Securities Limited	December	31-Mar-18
124	PSI Securities Limited	December	31-Mar-18
125	Pyramid Securities Limited	December	31-Mar-18
126	Qualinvest Capital Limited	December	31-Mar-18
127	Rainbow Securities & Investment Company Limited	December	31-Mar-18
128	Readings Investment Limited	December	31-Mar-18
129	Regency Asset Management Limited	December	31-Mar-18
130	Rencap Securities (Nig) Limited	December	31-Mar-18

131	Resort Securities & Trust Limited	December	31-Mar-18
132	Reward Investment & Service Limited	December	31-Mar-18
133	RMB Nigeria Stockbrokers Limited	December	31-Mar-18
134	Rostrum Investment & Sec Limited	December	31-Mar-18
135	Royal Guaranty & Trust Limited	December	31-Mar-18
136	Royal Trust Securities Limited	December	31-Mar-18
137	Santrust Securities Limited	December	31-Mar-18
138	Securities Africa Financial Limited	December	31-Mar-18
139	Securities and Capital Management Company Limited	December	31-Mar-18
140	Security Swaps Limited	December	31-Mar-18
141	SFC Securities Limited	December	31-Mar-18
142	Shalom Investment and Financial Services Limited	December	31-Mar-18
143	Shalom Investment and Financial Services Limited	December	31-Mar-18
144	Signet Investment & Securities Limited	December	31-Mar-18
145	Smadac Securities Limited	December	31-Mar-18
146	Solid Rock Securities & Investment Plc	December	31-Mar-18
147	Springboard Trust & Investment Limited	December	31-Mar-18
148	Stanbic IBTC Stockbrokers Limited	December	31-Mar-18
149	Standard Alliance Capital Asset Mgt Limited	December	31-Mar-18
150	Standard Union Securities Limited	December	31-Mar-18
151	TFS Securities & Investment Company Limited	December	31-Mar-18
152	Tiddo Securities Limited	December	31-Mar-18
153	Topmost Securities Limited	December	31-Mar-18
154	Tower Securities & Investment Company Limited	December	31-Mar-18
155	Trade Link Securities Limited	December	31-Mar-18
156	Traders Trust & Investment Co. Limited	December	31-Mar-18
157	Transworld Investment Limited	December	31-Mar-18
158	Trust Yield Securities Limited	December	31-Mar-18
159	TrustBanc Capital Management Limited	December	31-Mar-18
160	Trusthouse Investment Limited	December	31-Mar-18
161	TRW Stockbrokers Limited	December	31-Mar-18
162	Tyndale Securities Limited	December	31-Mar-18
163	United Capital Securities Limited	December	31-Mar-18
164	Valmon Securities Limited	December	31-Mar-18
165	Valueline Securities & Investment Limited	December	31-Mar-18
166	Vetiva Securities Limited	December	31-Mar-18
167	WCM Capital Limited	December	31-Mar-18
168	WSTC Securities Limited	December	31-Mar-18
169	Zenith Securities Limited	December	31-Mar-18
170	Zion Stockbrokers & Securities Limited	December	31-Mar-18

INACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date
1	Clearview Investments Company Limited	December	31-Mar-18
2	Kofana Securities & Investment Limited	December	31-Mar-18
3	UIDC Securities Limited	December	31-Mar-18
4	Nova Finance & Securities Limited	December	31-Mar-18

ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adonai Stockbrokers Limited	December	31-Mar-18
2	Abyn Investment Limited	December	31-Mar-18
3	Atlass Portfolio Limited	December	31-Mar-18
4	Falcon Securities Limited	December	31-Mar-18
5	Kinley Securities Limited	December	31-Mar-18
6	Mainstreet Bank Securities Limited	December	31-Mar-18
7	PAC Securities Limited	December	31-Mar-18
8	PIPC Securities Limited	December	31-Mar-18
9	Rowet Capital Management Limited	December	31-Mar-18
10	Royal Crest Finance Limited	December	31-Mar-18
11	Sankore Securities Limited	December	31-Mar-18
12	Shelong Investments Limited	December	31-Mar-18
13	Skyview Capital Limited	December	31-Mar-18
14	The Bridge Securities Limited	December	31-Mar-18
15	Unex Capital Limited	December	31-Mar-18
16	Union Capital Markets Limited	December	31-Mar-18
17	Maxifund Investment & Securities Plc	December	31-Mar-18
18	Midas Stockbrokers Limited	December	31-Mar-18
19	Mayfield Investment Limited	December	31-Mar-18
20	Milestone Capital Management Limited	December	31-Mar-18
21	Spring Trust & Securities Limited	December	31-Mar-18
22	Tomil Trust Limited	December	31-Mar-18

NOT REQUIRED TO SUBMIT DUE TO INACTIVATED LICENSE			
S/N	FIRM	Year End	Due Date
1	Nonsuch Securities Limited formerly Wema Asset Manageme	December	15-May-18

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	31-Mar-18
2	Aims Asset Management Limited	December	31-Mar-18
3	Cadington Securities Limited	December	31-Mar-18

4	CEB Securities Limited	December	31-Mar-18
5	ECL Asset Management Limited	December	31-Mar-18
6	Finbank Securities & Assets Management Limited	December	31-Mar-18
7	Gem Assets Management Limited	December	31-Mar-18
8	GMT Securities & Asset Mangement Limited	December	31-Mar-18
9	Gombe Securities Limited	December	31-Mar-18
10	Horizon Stockbrokers Limited	December	31-Mar-18
11	International Standard Securities Limited	December	31-Mar-18
12	Investment Shark & Asset Mgt Ltd	December	31-Mar-18
13	ITIS Securities Limited	December	31-Mar-18
14	Kakawa Asset Management Limited	December	31-Mar-18
15	Lion Stockbrokers Limited	December	31-Mar-18
16	LMB Stockbrokers Limited	December	31-Mar-18
17	Mact Securities Limited	December	31-Mar-18
18	Maven Asset Management Limited	December	31-Mar-18
19	Mercov Securities Limited	December	31-Mar-18
20	Midpoint Capital Limited	December	31-Mar-18
21	ML Securities Limited	December	31-Mar-18
22	Monument Sec & Finance Limited	December	31-Mar-18
23	Mutual Alliance Investment & Securities Limited	December	31-Mar-18
24	Profund Securities Limited	December	31-Mar-18
25	Quantum Securities Limited	December	31-Mar-18
26	Resano Securities Limited	December	31-Mar-18
27	Supra Commercial Trust Company Limited	December	31-Mar-18
28	Transafrika Financial Services Limited	December	31-Mar-18

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2018

S/N	FIRMS	Year End	Due date
1	Afrinvest Securities Limited	December	1-Apr-19
2	Anchoria Investment & Securities Limited	December	1-Apr-19
3	Apel Asset Limited	December	1-Apr-19
4	Apt Securities & Funds Limited	December	1-Apr-19
5	ARM Securities Limited	December	1-Apr-19
6	Arthur Stevens Asset Management Limited	December	1-Apr-19
7	Associated Asset Managers Limited	December	1-Apr-19
8	Atlass Portfolio Limited	December	1-Apr-19
9	Barclays Stockbrokers Nigeria Limited	December	1-Apr-19
10	Bauchi Investment Corp. Sec Limited	December	1-Apr-19
11	Belfry Investment & Securities Limited	December	1-Apr-19
12	Bestworth Assets & Trust Limited	December	1-Apr-19
13	Calyx Securities Limited	December	1-Apr-19
14	Camry Securities Limited	December	1-Apr-19
15	Capital Asset Limited	December	1-Apr-19
16	Capital Bancorp Plc	December	1-Apr-19
17	Capital Express Securities Limited	December	1-Apr-19
18	Capital Trust Brokers Limited	December	1-Apr-19
19	Cardinal Stone Securities Ltd	December	1-Apr-19
20	Cashcraft Securities Limited	December	1-Apr-19
21	Cashville Investment & Securities Limited	December	1-Apr-19
22	CDL Capital Markets Limited	December	1-Apr-19
23	Centre Point Investment Limited	December	1-Apr-19
24	Century Securities Limited	December	1-Apr-19
25	Chapel Hill Denham Securities Limited	December	1-Apr-19
26	Chartwell Securities Limited	December	1-Apr-19
27	Citi Investment Capital Limited	December	1-Apr-19
28	City Code Trust & Investment Company Limited	December	1-Apr-19
29	Compass Investment & Securities Limited	December	1-Apr-19
30	Cordros Securities Limited	December	1-Apr-19
31	Core Securities Limited	December	1-Apr-19
32	Coronation Securities Limited	December	1-Apr-19
33	Cowry Securities Limited	December	1-Apr-19
34	Crane Securities Limited	December	1-Apr-19
35	Crossworld Securities Limited	December	1-Apr-19
36	Crown Capital Limited	December	1-Apr-19
37	CSL Stockbrokers Limited	December	1-Apr-19

38	Deep Trust Investment Limited	December	1-Apr-19
39	Delords Securities Limited	December	1-Apr-19
40	Dominion Trust Limited	December	1-Apr-19
41	DSU Brokerage Services Limited	December	1-Apr-19
42	Dunbell Securities Limited	December	1-Apr-19
43	Dunn Loren Merrifield Securities Limited	December	1-Apr-19
44	Dynamic Portfolio Limited	December	1-Apr-19
45	EDC Securities Limited	December	1-Apr-19
46	Edgefield Capital Management Limited	December	1-Apr-19
47	EFCP Limited	December	1-Apr-19
48	EFG Hermes Nigeria Limited	December	1-Apr-19
49	El-Elyon Alliance and Securities Ltd	December	1-Apr-19
50	Elixir Securities Limited	December	1-Apr-19
51	Enterprise Stockbrokers Limited	December	1-Apr-19
52	Equity Capital Solutions Limited	December	1-Apr-19
53	Eurocomm Securities Limited	December	1-Apr-19
54	Express Portfolio Services Limited	December	1-Apr-19
55	Falcon Securities Limited	December	1-Apr-19
56	FBC Trust & Securities Limited	December	1-Apr-19
57	FBNQuest Securities Limited	December	1-Apr-19
58	FCSL Asset Management Company Limited	December	1-Apr-19
59	Fidelity Finance Company Limited	December	1-Apr-19
60	Financial & Analytics Capital Limited	December	1-Apr-19
61	Financial Trust Company Limited	December	1-Apr-19
62	Finmal Finance Services Limited	December	1-Apr-19
63	First Integrated Capital Management Limited	December	1-Apr-19
64	First Stockbrokers Limited	December	1-Apr-19
65	FIS Securities Limited	December	1-Apr-19
66	Foresight Securities & Investment Limited	December	1-Apr-19
67	Forte Financial Limited	December	1-Apr-19
68	Forthright Securities & Investments Limited	December	1-Apr-19
69	Fortress Capital Limited	December	1-Apr-19
70	FSDH Securities Limited	December	1-Apr-19
71	FSL Securities Limited	December	1-Apr-19
72	Funds Matrix & Assets Mgt Limited	December	1-Apr-19
73	Fundvine Capital & Securities Limited	December	1-Apr-19
74	Futureview Securities Limited	December	1-Apr-19
75	Gidauniya Investment & Securities Limited	December	1-Apr-19
76	Global Asset Management (Nig) Limited	December	1-Apr-19
77	GlobalView Capital Limited	December	1-Apr-19
78	Golden Securities Limited	December	1-Apr-19
79	Greenwich Securities Limited	December	1-Apr-19
80	Growth & Development Asset Management Limited	December	1-Apr-19
81	Gruene Capital Limited	December	1-Apr-19
82	GTI Securities Limited	December	1-Apr-19
83	Harmony Securities Limited	December	1-Apr-19
84	Heartbeat Investment Limited	December	1-Apr-19

85	Hedge Securities & Investment Limited	December	1-Apr-19
86	Helix Securities Limited	December	1-Apr-19
87	Heritage Capital Markets Limited	December	1-Apr-19
88	ICMG Securities Limited	December	1-Apr-19
89	Icon Stockbrokers Limited	December	1-Apr-19
90	Imperial Asset Managers Limited	December	1-Apr-19
91	Integrated Trust & Investment Limited	December	1-Apr-19
92	Interstate Securities Limited	December	1-Apr-19
93	Investment One Stockbrokers International Limited	December	1-Apr-19
94	Investors & Trust Company Limited	December	1-Apr-19
95	Kapital Care Trust & Securities Limited	December	1-Apr-19
96	Kedari Capital Limited	December	1-Apr-19
97	Kinley Securities Limited	December	1-Apr-19
98	Kofana Securities & Investment Limited	December	1-Apr-19
99	Kundila Finance Services Limited	December	1-Apr-19
100	Lambeth Trust & Investment Company Limited	December	1-Apr-19
101	Lead Securities & Investment Limited	December	1-Apr-19
102	Lighthouse Asset Management Limited	December	1-Apr-19
103	Magnartis Finance & Investment Limited	December	1-Apr-19
104	Mainstreet Bank Securities Limited	December	1-Apr-19
105	Marimpex Finance & Investment Company Limited	December	1-Apr-19
106	Maxifund Investment & Securities Plc	December	1-Apr-19
107	Mayfield Investment Limited	December	1-Apr-19
108	MBC Securities Limited	December	1-Apr-19
109	MBL Financial Services Limited	December	1-Apr-19
110	Mega Equities Limited	December	1-Apr-19
111	Meristem Stockbrokers Limited	December	1-Apr-19
112	Midas Stockbrokers Limited	December	1-Apr-19
113	Milestone Capital Management Limited	December	1-Apr-19
114	Mission Securities Limited	December	1-Apr-19
115	Molten Trust Limited	December	1-Apr-19
116	Morgan Capital Securities Limited	December	1-Apr-19
117	Mountain Investment & Securities Limited	December	1-Apr-19
118	Network Capital Limited	December	1-Apr-19
119	Network Securities & Finance Limited	December	1-Apr-19
120	Newdevco Investments & Securities Limited	December	1-Apr-19
121	Nigerian International Securities Ltd	December	1-Apr-19
122	Nigerian Stockbrokers Limited	December	1-Apr-19
123	Nova Finance & Securities Limited	December	1-Apr-19
124	Osborne Capital Markets Limited (Formerly Longterm Global)	December	1-Apr-19
125	PAC Securities Limited	December	1-Apr-19
126	Peace Capital Markets Ltd	December	1-Apr-19
127	Pilot Securities Limited	December	1-Apr-19
128	Pinefields Investment Services Limited	December	1-Apr-19
129	PIPC Securities Limited	December	1-Apr-19
130	Pivot Capital Limited	December	1-Apr-19
131	Planet Capital Limited	December	1-Apr-19

132	Portfolio Advisers Limited	December	1-Apr-19
133	Premium Capital and Stockbrokers Limited	December	1-Apr-19
134	Primewealth Capital Limited	December	1-Apr-19
135	Prominent Securities Limited	December	1-Apr-19
136	PSI Securities Limited	December	1-Apr-19
137	Pyramid Securities Limited	December	1-Apr-19
138	Qualinvest Capital Limited	December	1-Apr-19
139	Quantum Zenith Securities & Investments Limited	December	1-Apr-19
140	Rainbow Securities & Investment Company Limited	December	1-Apr-19
141	Readings Investment Limited	December	1-Apr-19
142	Regency Asset Management Limited	December	1-Apr-19
143	Shalom Investment and Financial Services Limited	December	1-Apr-19
144	Resort Securities & Trust Limited	December	1-Apr-19
145	Reward Investment & Service Limited	December	1-Apr-19
146	RMB Nigeria Stockbrokers Limited	December	1-Apr-19
147	Rostrum Investment & Sec Limited	December	1-Apr-19
148	Rowet Capital Management Limited	December	1-Apr-19
149	Royal Crest Finance Limited	December	1-Apr-19
150	Royal Guaranty & Trust Limited	December	1-Apr-19
151	Royal Trust Securities Limited	December	1-Apr-19
152	Sankore Securities Limited	December	1-Apr-19
153	Santrust Securities Limited	December	1-Apr-19
154	Securities Africa Financial Limited	December	1-Apr-19
155	Securities and Capital Management Company Limited	December	1-Apr-19
156	Security Swaps Limited	December	1-Apr-19
157	Shalom Investment and Financial Services Limited	December	1-Apr-19
158	Shelong Investments Limited	December	1-Apr-19
159	Sigma Securities Limited	December	1-Apr-19
160	Signet Investment & Securities Limited	December	1-Apr-19
161	Skyview Capital Limited	December	1-Apr-19
162	Smadac Securities Limited	December	1-Apr-19
163	Solid Rock Securities & Investment Plc	December	1-Apr-19
164	Spring Trust & Securities Limited	December	1-Apr-19
165	Springboard Trust & Investment Limited	December	1-Apr-19
166	Stanbic IBTC Stockbrokers Limited	December	1-Apr-19
167	Standard Union Securities Limited	December	1-Apr-19
168	TFS Securities & Investment Company Limited	December	1-Apr-19
169	The Bridge Securities Limited	December	1-Apr-19
170	Tiddo Securities Limited	December	1-Apr-19
171	Tomil Trust Limited	December	1-Apr-19
172	Topmost Securities Limited	December	1-Apr-19
173	Tower Securities & Investment Company Limited	December	1-Apr-19
174	Trade Link Securities Limited	December	1-Apr-19
175	Traders Trust & Investment Co. Limited	December	1-Apr-19
176	Transworld Investment Limited	December	1-Apr-19
177	Trust Yield Securities Limited	December	1-Apr-19
178	TrustBanc Capital Management Limited	December	1-Apr-19

179	Trusthouse Investment Limited	December	1-Apr-19
180	TRW Stockbrokers Limited	December	1-Apr-19
181	Tyndale Securities Limited	December	1-Apr-19
182	UIDC Securities Limited	December	1-Apr-19
183	Unex Capital Limited	December	1-Apr-19
184	Union Capital Markets Limited	December	1-Apr-19
185	United Capital Securities Limited	December	1-Apr-19
186	Valmon Securities Limited	December	1-Apr-19
187	Valueline Securities & Investment Limited	December	1-Apr-19
188	Vetiva Securities Limited	December	1-Apr-19
189	WCM Capital Limited	December	1-Apr-19
190	WSTC Securities Limited	December	1-Apr-19
191	Zion Stockbrokers & Securities Limited	December	1-Apr-19

INACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date
1	Gem Assets Management Limited	December	1-Apr-19
2	Clearview Investments Company Limited	December	1-Apr-19
ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date

NOT REQUIRED TO SUBMIT DUE TO NEWLY-ACTIVATED LICENSE

S/N	FIRM	Year End	Due Date

INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	1-Apr-19
2	Adonai Stockbrokers Limited	December	1-Apr-19
3	Aims Asset Management Limited	December	1-Apr-19
4	Abyn Investment Limited	December	1-Apr-19
5	Cadington Securities Limited	December	1-Apr-19
6	CEB Securities Limited	December	1-Apr-19
7	CLG Stockbrokers Limited	December	1-Apr-19
8	ECL Asset Management Limited	December	1-Apr-19
9	Finbank Securities & Assets Management Limited	December	1-Apr-19
10	GMT Securities & Asset Mangement Limited	December	1-Apr-19
11	Gombe Securities Limited	December	1-Apr-19
12	Horizon Stockbrokers Limited	December	1-Apr-19
13	International Standard Securities Limited	December	1-Apr-19
14	Investment Shark & Asset Mgt Ltd	December	1-Apr-19
15	ITIS Securities Limited	December	1-Apr-19
16	Kakawa Asset Management Limited	December	1-Apr-19
17	Lion Stockbrokers Limited	December	1-Apr-19
18	LMB Stockbrokers Limited	December	1-Apr-19
19	Mact Securities Limited	December	1-Apr-19
20	Maven Asset Management Limited	December	1-Apr-19
21	Midpoint Capital Limited	December	1-Apr-19
22	ML Securities Limited	December	1-Apr-19
23	Monument Sec & Finance Limited	December	1-Apr-19
24	Mutual Alliance Investment & Securities Limited	December	1-Apr-19
25	Profund Securities Limited	December	1-Apr-19
26	Quantum Securities Limited	December	1-Apr-19
27	Standard Alliance Capital Asset Mgt Limited	December	1-Apr-19
28	Supra Commercial Trust Company Limited	December	1-Apr-19

Audited Accounts

DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2019

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	29-May-20
2	Afrinvest Securities Limited	December	29-May-20
3	Anchoria Investment and Securities Limited	December	29-May-20
4	Apel Asset Limited	December	29-May-20
5	Apt Securities and Funds Limited	December	29-May-20
6	ARM Securities Limited	December	29-May-20
7	Arthur Stevens Asset Management Limited	December	29-May-20
8	Associated Asset Managers Limited	December	29-May-20
9	Atlass Portfolio Limited	December	29-May-20
10	AVA Securities Limited	December	29-May-20
11	Belfry Investment and Securities Limited	December	29-May-20
12	Bestworth Assets and Trust Limited	December	29-May-20
13	Calyx Securities Limited	December	29-May-20
14	Camry Securities Limited	December	29-May-20
15	Capital Asset Limited	December	29-May-20
16	Capital Bancorp Plc	December	29-May-20
17	Capital Express Securities Limited	December	29-May-20
18	Capital Trust Brokers Limited	December	29-May-20
19	Cardinal Stone Securities Ltd	December	29-May-20
20	Cashcraft Securities Limited	December	29-May-20
21	Cashville Investment and Securities Limited	December	29-May-20
22	CDL Capital Markets Limited	December	29-May-20
23	Century Securities Limited	December	29-May-20
24	Chapel Hill Denham Securities Limited	December	29-May-20
25	Chartwell Securities Limited	December	29-May-20
26	Citi Investment Capital Limited	December	29-May-20
27	Compass Investment and Securities Limited	December	29-May-20
28	Cordros Securities Limited	December	29-May-20
29	Core Securities Limited	December	29-May-20
30	Coronation Securities Limited	December	29-May-20
31	Cowry Securities Limited	December	29-May-20
32	Crossworld Securities Limited	December	29-May-20
33	Crown Capital Limited	December	29-May-20
34	CSL Stockbrokers Limited	December	29-May-20
35	Deep Trust Investment Limited	December	29-May-20
36	Delords Securities Limited	December	29-May-20
37	Dominion Trust Limited	December	29-May-20
38	DSU Brokerage Services Limited	December	29-May-20

39	Dunbell Securities Limited	December	29-May-20
40	Dunn Loren Merrifield Securities Limited	December	29-May-20
41	Dynamic Portfolio Limited	December	29-May-20
42	EDC Securities Limited	December	29-May-20
43	Edgefield Capital Management Limited	December	29-May-20
44	EFG Hermes Nigeria Limited	December	29-May-20
45	El-Elyon Alliance and Securities Ltd	December	29-May-20
46	Elixir Securities Limited	December	29-May-20
47	Equity Capital Solutions Limited	December	29-May-20
48	Eurocomm Securities Limited	December	29-May-20
49	Express Portfolio Services Limited	December	29-May-20
50	Falcon Securities Limited	December	29-May-20
51	FBC Trust and Securities Limited	December	29-May-20
52	FBNQuest Securities Limited	December	29-May-20
53	FCSL Asset Management Company Limited	December	29-May-20
54	Fidelity Finance Company Limited	December	29-May-20
55	Financial Trust Company Limited	December	29-May-20
56	Finmal Finance Services Limited	December	29-May-20
57	First Integrated Capital Management Limited	December	29-May-20
58	FIS Securities Limited	December	29-May-20
59	Foresight Securities and Investment Limited	December	29-May-20
60	Forte Financial Limited	December	29-May-20
61	Forthright Securities and Investments Limited	December	29-May-20
62	Fortress Capital Limited	December	29-May-20
63	FSDH Securities Limited	December	29-May-20
64	FSL Securities Limited	December	29-May-20
65	Funds Matrix and Assets Mgt Limited	December	29-May-20
66	Fundvine Capital and Securities Limited	December	29-May-20
67	Futureview Securities Limited	December	29-May-20
68	Gem Assets Management Limited	December	29-May-20
69	Gidauniya Investment and Securities Limited	December	29-May-20
70	Global Asset Management (Nig) Limited	December	29-May-20
71	GlobalView Capital Limited	December	29-May-20
72	Golden Securities Limited	December	29-May-20
73	Greenwich Securities Limited	December	29-May-20
74	Growth & Development Asset Management Limited	December	29-May-20
75	Gruene Capital Limited	December	29-May-20
76	GTI Securities Limited	December	29-May-20
77	Harmony Securities Limited	December	29-May-20
78	Heartbeat Investment Limited	December	29-May-20
79	Hedge Securities and Investment Limited	December	29-May-20
80	Heritage Capital Markets Limited	December	29-May-20
81	ICMG securities Limited	December	29-May-20
82	Icon Stockbrokers Limited	December	29-May-20
83	Imperial Asset Managers Limited	December	29-May-20
84	Interstate Securities Limited	December	29-May-20
85	Investment One Stockbrokers International Limited	December	29-May-20

86	Investors and Trust Company Limited	December	29-May-20
87	Kapital Care Trust and Securities Limited	December	29-May-20
88	Kedari Capital Limited	December	29-May-20
89	Kinley Securities Limited	December	29-May-20
90	Kofana Securities and Investment Limited	December	29-May-20
91	Lambeth Capital Limited	December	29-May-20
92	Lead Securities and Investment Limited	December	29-May-20
93	Lighthouse Asset Management Limited	December	29-May-20
94	Magnartis Finance and Investment Limited	December	29-May-20
95	Mainstreet Bank Securities Limited	December	29-May-20
96	Maxifund Investment and Securities Plc	December	29-May-20
97	MBC Securities Limited	December	29-May-20
98	Mega Equities Limited	December	29-May-20
99	Meristem Stockbrokers Limited	December	29-May-20
100	Mission Securities Limited	December	29-May-20
101	Molten Trust Limited	December	29-May-20
102	Morgan Capital Securities Limited	December	29-May-20
103	Mountain Investment and Securities Limited	December	29-May-20
104	Network Capital Limited	December	29-May-20
105	Networth Securities and Finance Limited	December	29-May-20
106	Newdevco Investments and Securities Limited	December	29-May-20
107	Nigerian International Securities Ltd	December	29-May-20
108	Nigerian Stockbrokers Limited	December	29-May-20
109	Nova Finance and Securities Limited	December	29-May-20
110	Osborne Capital Markets Limited	December	29-May-20
111	PAC Securities Limited	December	29-May-20
112	Peace Capital Markets Ltd	December	29-May-20
113	Pilot Securities Limited	December	29-May-20
114	Pinefields Investment Services Limited	December	29-May-20
115	PIPC Securities Limited	December	29-May-20
116	Pivot Capital Limited	December	29-May-20
117	Planet Capital Limited	December	29-May-20
118	Portfolio Advisers Limited	December	29-May-20
119	Premium Capital and Stockbrokers Limited	December	29-May-20
120	Primewealth Capital Limited	December	29-May-20
121	Prominent Securities Limited	December	29-May-20
122	PSI Securities Limited	December	29-May-20
123	PSL Capital Limited	December	29-May-20
124	Pyramid Securities Limited	December	29-May-20
125	Qualinvest Capital Limited	December	29-May-20
126	Quantum Zenith Securities & Investments Limited	December	29-May-20
127	Rainbow Securities and Investment Company Limited	December	29-May-20
128	Readings Investment Limited	December	29-May-20
129	Regency Asset Management Limited	December	29-May-20
130	Rencap Securities (Nig) Limited	December	29-May-20
131	Resort Securities and Trust Limited	December	29-May-20
132	Reward Investment and Service Limited	December	29-May-20

133	RMB Nigeria Stockbrokers Limited	December	29-May-20
134	Rostrum Investment and Sec Limited	December	29-May-20
135	Rowet Capital Mgt Limited	December	29-May-20
136	Royal Crest Finance Limited	December	29-May-20
137	Royal Guaranty and Trust Limited	December	29-May-20
138	Sankore Securities Limited	December	29-May-20
139	Santrust Securities Limited	December	29-May-20
140	Securities Africa Financial Limited	December	29-May-20
141	Securities and Capital Management Company Limited	December	29-May-20
142	Security Swaps Limited	December	29-May-20
143	Shalom Investment and Financial Services Limited	December	29-May-20
144	Sigma Securities Limited	December	29-May-20
145	Signet Investment and Securities Limited	December	29-May-20
146	Skyview Capital Ltd	December	29-May-20
147	Smadac Securities Limited	December	29-May-20
148	Solid Rock Securities and Investment Plc	December	29-May-20
149	Spring Trust and Securities Limited	December	29-May-20
150	Springboard Trust and Investment Limited	December	29-May-20
151	Stanbic IBTC Stockbrokers Limited	December	29-May-20
152	Standard Union Securities Limited	December	29-May-20
153	Tellimer Capital Limited	December	29-May-20
154	The Bridge Securities Limited	December	29-May-20
155	Tiddo Securities Limited	December	29-May-20
156	Tomil Trust Limited	December	29-May-20
157	Topmost Securities Limited	December	29-May-20
158	Tower Securities and Investment Company Limited	December	29-May-20
159	Trade Link Securities Limited	December	29-May-20
160	Traders Trust and Investment Co. Limited	December	29-May-20
161	Transworld Investment Limited	December	29-May-20
162	Trust Yield Securities Limited	December	29-May-20
163	TrustBanc Capital Management Limited	December	29-May-20
164	Trusthouse Investment Limited	December	29-May-20
165	TRW Stockbrokers Limited	December	29-May-20
166	Tyndale Securities Limited	December	29-May-20
167	UIDC Securities Limited	December	29-May-20
168	Unex Capital Limited	December	29-May-20
169	Union Capital Markets Limited	December	29-May-20
170	United Capital Securities Limited	December	29-May-20
171	Valmon Securities Limited	December	29-May-20
172	Valueline Securities and Investment Limited	December	29-May-20
173	Vetiva Securities Limited	December	29-May-20
174	WCM Capital Limited	December	29-May-20
175	WSTC Securities Limited	December	29-May-20
176	Yuderb Investment and Securities Limited	December	29-May-20
177	Zion Stockbrokers and Securities Limited	December	29-May-20

INACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Crane Securities Limited	December	29-May-20
2	Financial and Analytics Capital Limited	December	29-May-20
3	Kundila Finance Services Limited	December	29-May-20
4	Marimpex Finance and Investment Company Limited	December	29-May-20
5	Milestone Capital Management Limited	December	29-May-20
6	TFS Securities and Investment Company Limited	December	29-May-20

ACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Bauchi Investment Corp. Sec Limited	December	29-May-20
2	Centre Point Investment Limited	December	29-May-20
3	City Code Trust and Investment Company Limited	December	29-May-20
4	Integrated Trust and Investment Limited	December	29-May-20
5	Midas Stockbrokers Limited	December	29-May-20
6	Shelong Investments Limited	December	29-May-20

INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	29-May-20
2	Adonai Stockbrokers Limited	December	29-May-20
3	Aims Asset Management Limited	December	29-May-20
4	Amyr Investment Limited	December	29-May-20
5	Cadington Securities Limited	December	29-May-20
6	CEB Securities Limited	December	29-May-20
7	Clearview Investments Company Limited	December	29-May-20
8	CLG Stockbrokers Limited	December	29-May-20
9	ECL Asset Management Limited	December	29-May-20
10	Enterprise Stockbrokers Limited	December	29-May-20
11	First Stockbrokers Limited	December	29-May-20
12	GMT Securities and Asset Mangement Limited	December	29-May-20
13	Gombe Securities Limited	December	29-May-20
14	Horizon Stockbrokers Limited	December	29-May-20
15	International Standard Securities Limited	December	29-May-20
16	Investment Shark and Asset Mgt Ltd	December	29-May-20
17	ITIS Securities Limited	December	29-May-20
18	Lion Stockbrokers Limited	December	29-May-20
19	LMB Stockbrokers Limited	December	29-May-20
20	Mact Securities Limited	December	29-May-20
21	Maven Asset Management Limited	December	29-May-20
22	Mayfield Investment Limited	December	29-May-20
23	MBL Financial Services Limited	December	29-May-20
24	Midpoint Capital Limited	December	29-May-20
25	ML Securities Limited	December	29-May-20

26	Monument Sec and Finance Limited	December	29-May-20
27	Mutual Alliance Investment and Securities Limited	December	29-May-20
28	Profund Securities Limited	December	29-May-20
29	Quantum Securities Limited	December	29-May-20
30	Royal Trust Securities Limited	December	29-May-20
31	Standard Alliance Capital and Asset Management Limited	December	29-May-20
32	Supra Commercial Trust Company Limited	December	29-May-20

Audited Accounts

TRADING LICENSE HOLDERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2020

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	31-Mar-21
2	Afrinvest Securities Limited	December	31-Mar-21
3	Anchoria Investment and Securities Limited	December	31-Mar-21
4	Apel Asset Limited	December	31-Mar-21
5	Apt Securities and Funds Limited	December	31-Mar-21
6	ARM Securities Limited	December	31-Mar-21
7	Arthur Stevens Asset Management Limited	December	31-Mar-21
8	Associated Asset Managers Limited	December	31-Mar-21
9	Atlass Portfolio Limited	December	31-Mar-21
10	AVA Securities Limited	December	31-Mar-21
11	Bauchi Investment Corp. Sec Limited	December	31-Mar-21
12	Belfry Investment and Securities Limited	December	31-Mar-21
13	Bestworth Assets and Trust Limited	December	31-Mar-21
14	Calyx Securities Limited	December	31-Mar-21
15	Camry Securities Limited	December	31-Mar-21
16	Capital Asset Limited	December	31-Mar-21
17	Capital Bancorp Plc	December	31-Mar-21
18	Capital Express Securities Limited	December	31-Mar-21
19	Capital Trust Brokers Limited	December	31-Mar-21
20	Cardinal Stone Securities Ltd	December	31-Mar-21
21	Cashcraft Securities Limited	December	31-Mar-21
22	Cashville Investment and Securities Limited	December	31-Mar-21
23	CDL Capital Markets Limited	December	31-Mar-21
24	Centre Point Investment Limited	December	31-Mar-21
25	Century Securities Limited	December	31-Mar-21
26	Chapel Hill Denham Securities Limited	December	31-Mar-21
27	Chartwell Securities Limited	December	31-Mar-21
28	Citi Investment Capital Limited	December	31-Mar-21
29	City Code Trust and Investment Company Limited	December	31-Mar-21
30	Compass Investment and Securities Limited	December	31-Mar-21
31	Cordros Securities Limited	December	31-Mar-21
32	Core Securities Limited	December	31-Mar-21
33	Coronation Securities Limited	December	31-Mar-21
34	Cowry Securities Limited	December	31-Mar-21
35	Crossworld Securities Limited	December	31-Mar-21
36	Crown Capital Limited	December	31-Mar-21
37	CSL Stockbrokers Limited	December	31-Mar-21
38	Deep Trust Investment Limited	December	31-Mar-21
39	Delords Securities Limited	December	31-Mar-21

40	DLM Securities Limited	December	31-Mar-21
41	Dominion Trust Limited	December	31-Mar-21
42	DSU Brokerage Services Limited	December	31-Mar-21
43	Dunbell Securities Limited	December	31-Mar-21
44	Dynamic Portfolio Limited	December	31-Mar-21
45	EDC Securities Limited	December	31-Mar-21
46	Edgefield Capital Management Limited	December	31-Mar-21
47	EFG Hermes Nigeria Limited	December	31-Mar-21
48	El-Elyon Alliance and Securities Ltd	December	31-Mar-21
49	Elixir Securities Limited	December	31-Mar-21
50	Equity Capital Solutions Limited	December	31-Mar-21
51	Eurocomm Securities Limited	December	31-Mar-21
52	Express Portfolio Services Limited	December	31-Mar-21
53	Falcon Securities Limited	December	31-Mar-21
54	FBC Trust and Securities Limited	December	31-Mar-21
55	FBNQuest Securities Limited	December	31-Mar-21
56	FCSL Asset Management Company Limited	December	31-Mar-21
57	Fidelity Finance Company Limited	December	31-Mar-21
58	Financial Trust Company Nigeria Limited	December	31-Mar-21
59	Finmal Finance Services Limited	December	31-Mar-21
60	First Integrated Capital Management Limited	December	31-Mar-21
61	FIS Securities Limited	December	31-Mar-21
62	Foresight Securities and Investment Limited	December	31-Mar-21
63	Forte Financial Limited	December	31-Mar-21
64	Forthright Securities and Investments Limited	December	31-Mar-21
65	Fortress Capital Limited	December	31-Mar-21
66	FSDH Capital Limited	December	31-Mar-21
67	FSL Securities Limited	December	31-Mar-21
68	Funds Matrix and Assets Mgt Limited	December	31-Mar-21
69	Fundvine Capital and Securities Limited	December	31-Mar-21
70	Futureview Securities Limited	December	31-Mar-21
71	Gem Assets Management Limited	December	31-Mar-21
72	Gidauniya Investment and Securities Limited	December	31-Mar-21
73	Global Asset Management (Nig) Limited	December	31-Mar-21
74	GlobalView Capital Limited	December	31-Mar-21
75	Golden Securities Limited	December	31-Mar-21
76	Greenwich Securities Limited	December	31-Mar-21
77	Growth & Development Asset Management Limited	December	31-Mar-21
78	Gruene Capital Limited	December	31-Mar-21
79	GTI Securities Limited	December	31-Mar-21
80	Harmony Securities Limited	December	31-Mar-21
81	Heartbeat Investment Limited	December	31-Mar-21
82	Hedge Securities and Investment Limited	December	31-Mar-21
83	Heritage Capital Markets Limited	December	31-Mar-21
84	ICMG securities Limited	December	31-Mar-21
85	Icon Stockbrokers Limited	December	31-Mar-21
86	Imperial Asset Managers Limited	December	31-Mar-21
87	Integrated Trust and Investment Limited	December	31-Mar-21

88	Interstate Securities Limited	December	31-Mar-21
89	Investment One Stockbrokers International Limited	December	31-Mar-21
90	Investors and Trust Company Limited	December	31-Mar-21
91	Kapital Care Trust and Securities Limited	December	31-Mar-21
92	Kedari Capital Limited	December	31-Mar-21
93	Kinley Securities Limited	December	31-Mar-21
94	Kofana Securities and Investment Limited	December	31-Mar-21
95	Lambeth Capital Limited	December	31-Mar-21
96	Lead Securities and Investment Limited	December	31-Mar-21
97	Lighthouse Asset Management Limited	December	31-Mar-21
98	Magnartis Finance and Investment Limited	December	31-Mar-21
99	Marimpex Finance and Investment Company Limited	December	31-Mar-21
100	Maxifund Investment and Securities Plc	December	31-Mar-21
101	MBC Securities Limited	December	31-Mar-21
102	Mega Equities Limited	December	31-Mar-21
103	Meristem Stockbrokers Limited	December	31-Mar-21
104	Mission Securities Limited	December	31-Mar-21
105	Molten Trust Limited	December	31-Mar-21
106	Morgan Capital Securities Limited	December	31-Mar-21
107	Mountain Investment and Securities Limited	December	31-Mar-21
108	Network Capital Limited	December	31-Mar-21
109	Networth Securities and Finance Limited	December	31-Mar-21
110	Newdevco Investments and Securities Limited	December	31-Mar-21
111	Nigerian International Securities Ltd	December	31-Mar-21
112	Nigerian Stockbrokers Limited	December	31-Mar-21
113	Nova Finance and Securities Limited	December	31-Mar-21
114	NOVAMBL Securities Limited	December	31-Mar-21
115	Osborne Capital Markets Limited	December	31-Mar-21
116	PAC Securities Limited	December	31-Mar-21
117	Peace Capital Markets Ltd	December	31-Mar-21
118	Pilot Securities Limited	December	31-Mar-21
119	Pinefields Investment Services Limited	December	31-Mar-21
120	PIPC Securities Limited	December	31-Mar-21
121	Pivot Capital Limited	December	31-Mar-21
122	Planet Capital Limited	December	31-Mar-21
123	Portfolio Advisers Limited	December	31-Mar-21
124	Premium Capital and Stockbrokers Limited	December	31-Mar-21
125	Primewealth Capital Limited	December	31-Mar-21
126	Prominent Securities Limited	December	31-Mar-21
127	PSI Securities Limited	December	31-Mar-21
128	PSL Capital Limited	December	31-Mar-21
129	Pyramid Securities Limited	December	31-Mar-21
130	Qualinvest Capital Limited	December	31-Mar-21
131	Quantum Zenith Securities & Investments Limited	December	31-Mar-21
132	Rainbow Securities and Investment Company Limited	December	31-Mar-21
133	Readings Investment Limited	December	31-Mar-21
134	Regency Asset Management Limited	December	31-Mar-21
135	Rencap Securities (Nig) Limited	December	31-Mar-21

136	Resort Securities and Trust Limited	December	31-Mar-21
137	Reward Investment and Service Limited	December	31-Mar-21
138	RMB Nigeria Stockbrokers Limited	December	31-Mar-21
139	Rostrum Investment and Sec Limited	December	31-Mar-21
140	Rowet Capital Mgt Limited	December	31-Mar-21
141	Royal Crest Finance Limited	December	31-Mar-21
142	Royal Guaranty and Trust Limited	December	31-Mar-21
143	Shalom Investment and Financial Services Limited	December	31-Mar-21
144	Santrust Securities Limited	December	31-Mar-21
145	Securities Africa Financial Limited	December	31-Mar-21
146	Securities and Capital Management Company Limited	December	31-Mar-21
147	Security Swaps Limited	December	31-Mar-21
148	Shalom Investment and Financial Services Limited	December	31-Mar-21
149	Shelong Investments Limited	December	31-Mar-21
150	Sigma Securities Limited	December	31-Mar-21
151	Signet Investment and Securities Limited	December	31-Mar-21
152	Skyview Capital Ltd	December	31-Mar-21
153	Smadac Securities Limited	December	31-Mar-21
154	Solid Rock Securities and Investment Plc	December	31-Mar-21
155	Spring Trust and Securities Limited	December	31-Mar-21
156	Springboard Trust and Investment Limited	December	31-Mar-21
157	Stanbic IBTC Stockbrokers Limited	December	31-Mar-21
158	Standard Union Securities Limited	December	31-Mar-21
159	StoneX Financial Nigeria Limited	December	31-Mar-21
160	TFS Securities and Investment Company Limited	December	31-Mar-21
161	The Bridge Securities Limited	December	31-Mar-21
162	Tiddo Securities Limited	December	31-Mar-21
163	Tomil Trust Limited	December	31-Mar-21
164	Topmost Securities Limited	December	31-Mar-21
165	Tower Securities and Investment Company Limited	December	31-Mar-21
166	Trade Link Securities Limited	December	31-Mar-21
167	Traders Trust and Investment Co. Limited	December	31-Mar-21
168	Transworld Investment Limited	December	31-Mar-21
169	Trust Yield Securities Limited	December	31-Mar-21
170	TrustBanc Capital Management Limited	December	31-Mar-21
171	Trusthouse Investment Limited	December	31-Mar-21
172	TRW Stockbrokers Limited	December	31-Mar-21
173	Tyndale Securities Limited	December	31-Mar-21
174	UCML Capital Limited	December	31-Mar-21
175	UIDC Securities Limited	December	31-Mar-21
176	Unex Capital Limited	December	31-Mar-21
177	United Capital Securities Limited	December	31-Mar-21
178	Valmon Securities Limited	December	31-Mar-21
179	Valueline Securities and Investment Limited	December	31-Mar-21
180	Vetiva Securities Limited	December	31-Mar-21
181	WCM Capital Limited	December	31-Mar-21
182	WSTC Securities Limited	December	31-Mar-21
183	Zion Stockbrokers and Securities Limited	December	31-Mar-21

INACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Crane Securities Limited	December	31-Mar-21
2	Financial and Analytics Capital Limited	December	31-Mar-21
3	Investment Shark and Asset Mgt Ltd	December	31-Mar-21
4	Kundila Finance Services Limited	December	31-Mar-21
5	Milestone Capital Management Limited	December	31-Mar-21

ACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Mainstreet Bank Securities Limited	December	31-Mar-21

INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	31-Mar-21
2	Adonai Stockbrokers Limited	December	31-Mar-21
3	Abyn Investment Limited	December	31-Mar-21
4	CEB Securities Limited	December	31-Mar-21
5	Clearview Investments Company Limited	December	31-Mar-21
6	CLG Stockbrokers Limited	December	31-Mar-21
7	ECL Asset Management Limited	December	31-Mar-21
8	Enterprise Stockbrokers Limited	December	31-Mar-21
9	First Stockbrokers Limited	December	31-Mar-21
10	GMT Securities and Asset Mangement Limited	December	31-Mar-21
11	Gombe Securities Limited	December	31-Mar-21
12	Horizon Stockbrokers Limited	December	31-Mar-21
13	ITIS Securities Limited	December	31-Mar-21
14	Maven Asset Management Limited	December	31-Mar-21
15	Mayfield Investment Limited	December	31-Mar-21
16	MBL Financial Services Limited	December	31-Mar-21
17	Midas Stockbrokers Limited	December	31-Mar-21
18	Midpoint Capital Limited	December	31-Mar-21
19	ML Securities Limited	December	31-Mar-21
20	Mutual Alliance Investment and Securities Limited	December	31-Mar-21
21	Quantum Securities Limited	December	31-Mar-21
22	Royal Trust Securities Limited	December	31-Mar-21
23	Standard Alliance Capital and Asset Management Limited	December	31-Mar-21
24	Supra Commercial Trust Company Limited	December	31-Mar-21

TRADING LICENSE HOLDERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2021

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	31-Mar-22
2	Afrinvest Securities Limited	December	31-Mar-22
3	Anchoria Investment and Securities Limited	December	31-Mar-22
4	Apel Asset Limited	December	31-Mar-22
5	Apt Securities and Funds Limited	December	31-Mar-22
6	ARM Securities Limited	December	31-Mar-22
7	Arthur Stevens Asset Management Limited	December	31-Mar-22
8	Associated Asset Managers Limited	December	31-Mar-22
9	Atlass Portfolio Limited	December	31-Mar-22
10	AVA Securities Limited	December	31-Mar-22
11	Bancorp Securities Limited	December	31-Mar-22
12	Bauchi Investment Corp. Sec Limited	December	31-Mar-22
13	Belfry Investment and Securities Limited	December	31-Mar-22
14	Bestworth Assets and Trust Limited	December	31-Mar-22
15	Calyx Securities Limited	December	31-Mar-22
16	Camry Securities Limited	December	31-Mar-22
17	Capital Asset Limited	December	31-Mar-22
18	Capital Express Securities Limited	December	31-Mar-22
19	Capital Trust Brokers Limited	December	31-Mar-22
20	Cardinal Stone Securities Ltd	December	31-Mar-22
21	Cashville Investment and Securities Limited	December	31-Mar-22
22	CDL Capital Markets Limited	December	31-Mar-22
23	Centre Point Investment Limited	December	31-Mar-22
24	Century Securities Limited	December	31-Mar-22
25	Chapel Hill Denham Securities Limited	December	31-Mar-22
26	Chartwell Securities Limited	December	31-Mar-22
27	Citi Investment Capital Limited	December	31-Mar-22
28	City Code Trust and Investment Company Limited	December	31-Mar-22
29	Compass Investment and Securities Limited	December	31-Mar-22
30	Cordros Securities Limited	December	31-Mar-22
31	Core Securities Limited	December	31-Mar-22
32	Coronation Securities Limited	December	31-Mar-22
33	Cowry Securities Limited	December	31-Mar-22
34	Crossworld Securities Limited	December	31-Mar-22
35	Crown Capital Limited	December	31-Mar-22
36	CSL Stockbrokers Limited	December	31-Mar-22
37	Deep Trust Investment Limited	December	31-Mar-22
38	Delords Securities Limited	December	31-Mar-22
39	DLM Securities Limited	December	31-Mar-22

40	Dominion Trust Limited	December	31-Mar-22
41	DSU Brokerage Services Limited	December	31-Mar-22
42	Dunbell Securities Limited	December	31-Mar-22
43	Dynamic Portfolio Limited	December	31-Mar-22
44	EDC Securities Limited	December	31-Mar-22
45	Edgefield Capital Management Limited	December	31-Mar-22
46	EFG Hermes Nigeria Limited	December	31-Mar-22
47	El-Elyon Alliance and Securities Ltd	December	31-Mar-22
48	Elixir Securities Limited	December	31-Mar-22
49	Equity Capital Solutions Limited	December	31-Mar-22
50	Eurocomm Securities Limited	December	31-Mar-22
51	Express Portfolio Services Limited	December	31-Mar-22
52	Falcon Securities Limited	December	31-Mar-22
53	FBC Trust and Securities Limited	December	31-Mar-22
54	FBNQuest Securities Limited	December	31-Mar-22
55	FCSL Asset Management Company Limited	December	31-Mar-22
56	Fidelity Finance Company Limited	December	31-Mar-22
57	Financial and Analytics Capital Limited	December	31-Mar-22
58	Financial Trust Company Nigeria Limited	December	31-Mar-22
59	Finmal Finance Services Limited	December	31-Mar-22
60	First Integrated Capital Management Limited	December	31-Mar-22
61	FIS Securities Limited	December	31-Mar-22
62	Foresight Securities and Investment Limited	December	31-Mar-22
63	Forte Financial Limited	December	31-Mar-22
64	Forthright Securities and Investments Limited	December	31-Mar-22
65	Fortress Capital Limited	December	31-Mar-22
66	FSDH Capital Limited	December	31-Mar-22
67	FSL Securities Limited	December	31-Mar-22
68	Funds Matrix and Assets Mgt Limited	December	31-Mar-22
69	Fundvine Capital and Securities Limited	December	31-Mar-22
70	Futureview Securities Limited	December	31-Mar-22
71	Gem Assets Management Limited	December	31-Mar-22
72	Gidauniya Investment and Securities Limited	December	31-Mar-22
73	Global Asset Management (Nig) Limited	December	31-Mar-22
74	GlobalView Capital Limited	December	31-Mar-22
75	Golden Securities Limited	December	31-Mar-22
76	Greenwich Securities Limited	December	31-Mar-22
77	Growth & Development Asset Management Limited	December	31-Mar-22
78	Gruene Capital Limited	December	31-Mar-22
79	GTI Securities Limited	December	31-Mar-22
80	Harmony Securities Limited	December	31-Mar-22
81	Heartbeat Investment Limited	December	31-Mar-22
82	Hedge Securities and Investments Company Limited	December	31-Mar-22
83	Heritage Capital Markets Limited	December	31-Mar-22
84	ICMG securities Limited	December	31-Mar-22
85	Icon Stockbrokers Limited	December	31-Mar-22
86	Imperial Asset Managers Limited	December	31-Mar-22
87	Integrated Trust and Investment Limited	December	31-Mar-22

88	Interstate Securities Limited	December	31-Mar-22
89	Investment One Stockbrokers International Limited	December	31-Mar-22
90	Investors and Trust Company Limited	December	31-Mar-22
91	Kapital Care Trust and Securities Limited	December	31-Mar-22
92	Kedari Capital Limited	December	31-Mar-22
93	Kinley Securities Limited	December	31-Mar-22
94	Kofana Securities and Investment Limited	December	31-Mar-22
95	Lambeth Capital Limited	December	31-Mar-22
96	Lead Securities and Investment Limited	December	31-Mar-22
97	Lighthouse Capital Limited	December	31-Mar-22
98	Magnartis Finance and Investment Limited	December	31-Mar-22
99	Mainstreet Bank Securities Limited	December	31-Mar-22
100	Marimpex Finance and Investment Company Limited	December	31-Mar-22
101	Maxifund Investment and Securities Plc	December	31-Mar-22
102	MBC Securities Limited	December	31-Mar-22
103	Mega Equities Limited	December	31-Mar-22
104	Meristem Stockbrokers Limited	December	31-Mar-22
105	Mission Securities Limited	December	31-Mar-22
106	Molten Trust Limited	December	31-Mar-22
107	Morgan Capital Securities Limited	December	31-Mar-22
108	Mountain Investment and Securities Limited	December	31-Mar-22
109	Network Capital Limited	December	31-Mar-22
110	Networth Securities and Finance Limited	December	31-Mar-22
111	Newdevco Investments and Securities Limited	December	31-Mar-22
112	Nigerian International Securities Ltd	December	31-Mar-22
113	Nigerian Stockbrokers Limited	December	31-Mar-22
114	Norrenberger Securities Limited	December	31-Mar-22
115	Nova Finance and Securities Limited	December	31-Mar-22
116	NOVAMBL Securities Limited	December	31-Mar-22
117	Osborne Capital Markets Limited	December	31-Mar-22
118	PAC Securities Limited	December	31-Mar-22
119	Parthian Securities Limited	December	31-Mar-22
120	Peace Capital Markets Ltd	December	31-Mar-22
121	Pilot Securities Limited	December	31-Mar-22
122	Pinefields Investment Services Limited	December	31-Mar-22
123	PIPC Securities Limited	December	31-Mar-22
124	Pivot Capital Limited	December	31-Mar-22
125	Planet Capital Limited	December	31-Mar-22
126	Portfolio Advisers Limited	December	31-Mar-22
127	Premium Capital and Stockbrokers Limited	December	31-Mar-22
128	Prominent Securities Limited	December	31-Mar-22
129	PSI Securities Limited	December	31-Mar-22
130	Pyramid Securities Limited	December	31-Mar-22
131	Qualinvest Capital Limited	December	31-Mar-22
132	Quantum Zenith Securities & Investments Limited	December	31-Mar-22
133	Rainbow Securities and Investment Company Limited	December	31-Mar-22
134	Readings Investment Limited	December	31-Mar-22
135	Regency Asset Management Limited	December	31-Mar-22

136	Rencap Securities (Nig) Limited	December	31-Mar-22
137	Resort Securities and Trust Limited	December	31-Mar-22
138	Reward Investment and Service Limited	December	31-Mar-22
139	RMB Nigeria Stockbrokers Limited	December	31-Mar-22
140	Rostrum Investment and Sec Limited	December	31-Mar-22
141	Rowet Capital Mgt Limited	December	31-Mar-22
142	Royal Crest Finance Limited	December	31-Mar-22
143	Royal Guaranty and Trust Limited	December	31-Mar-22
144	Sankore Securities Limited	December	31-Mar-22
145	Santrust Securities Limited	December	31-Mar-22
146	Securities Africa Financial Limited	December	31-Mar-22
147	Securities and Capital Management Company Limited	December	31-Mar-22
148	Security Swaps Limited	December	31-Mar-22
149	Shalom Investment and Financial Services Limited	December	31-Mar-22
150	Shelong Investments Limited	December	31-Mar-22
151	Sigma Securities Limited	December	31-Mar-22
152	Signet Investment and Securities Limited	December	31-Mar-22
153	Skyview Capital Ltd	December	31-Mar-22
154	Smadac Securities Limited	December	31-Mar-22
155	Solid Rock Securities and Investment Plc	December	31-Mar-22
156	Spring Trust and Securities Limited	December	31-Mar-22
157	Springboard Trust and Investment Limited	December	31-Mar-22
158	Stanbic IBTC Stockbrokers Limited	December	31-Mar-22
159	Standard Union Securities Limited	December	31-Mar-22
160	StoneX Financial Nigeria Limited	December	31-Mar-22
161	The Bridge Securities Limited	December	31-Mar-22
162	Tiddo Securities Limited	December	31-Mar-22
163	Tomil Trust Limited	December	31-Mar-22
164	Topmost Securities Limited	December	31-Mar-22
165	Tower Securities and Investment Company Limited	December	31-Mar-22
166	Trade Link Securities Limited	December	31-Mar-22
167	Traders Trust and Investment Co. Limited	December	31-Mar-22
168	Transworld Investment Limited	December	31-Mar-22
169	Trust Yield Securities Limited	December	31-Mar-22
170	TrustBanc Capital Management Limited	December	31-Mar-22
171	Trusthouse Investment Limited	December	31-Mar-22
172	TRW Stockbrokers Limited	December	31-Mar-22
173	Tyndale Securities Limited	December	31-Mar-22
174	UCML Capital Limited	December	31-Mar-22
175	UIDC Securities Limited	December	31-Mar-22
176	Unex Capital Limited	December	31-Mar-22
177	United Capital Securities Limited	December	31-Mar-22
178	Valmon Securities Limited	December	31-Mar-22
179	Valueline Securities and Investment Limited	December	31-Mar-22
180	Vetiva Securities Limited	December	31-Mar-22
181	WCM Capital Limited	December	31-Mar-22
182	WSTC Securities Limited	December	31-Mar-22
183	Zion Stockbrokers and Securities Limited	December	31-Mar-22

INACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	CLG Stockbrokers Limited	December	31-Mar-22
2	Crane Securities Limited	December	31-Mar-22
3	Enterprise Stockbrokers Limited	December	31-Mar-22
4	Investment Shark and Asset Mgt Ltd	December	31-Mar-22
5	Kundila Finance Services Limited	December	31-Mar-22
6	Maven Asset Management Limited	December	31-Mar-22
7	Midas Stockbrokers Limited	December	31-Mar-22
8	Milestone Capital Management Limited	December	31-Mar-22

ACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
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INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	31-Mar-22
2	Adonai Stockbrokers Limited	December	31-Mar-22
3	Abyn Investment Limited	December	31-Mar-22
4	Cashcraft Securities Limited	December	31-Mar-22
5	CEB Securities Limited	December	31-Mar-22
6	Clearview Investments Company Limited	December	31-Mar-22
7	ECL Asset Management Limited	December	31-Mar-22
8	First Stockbrokers Limited	December	31-Mar-22
9	GMT Securities and Asset Mangement Limited	December	31-Mar-22
10	Gombe Securities Limited	December	31-Mar-22
11	Horizon Stockbrokers Limited	December	31-Mar-22
12	ITIS Securities Limited	December	31-Mar-22
13	Mayfield Investment Limited	December	31-Mar-22
14	Midpoint Capital Limited	December	31-Mar-22
15	ML Securities Limited	December	31-Mar-22
16	Mutual Alliance Investment and Securities Limited	December	31-Mar-22
17	Primewealth Capital Limited	December	31-Mar-22
18	Quantum Securities Limited	December	31-Mar-22
19	Royal Trust Securities Limited	December	31-Mar-22
20	Standard Alliance Capital and Asset Management Limited	December	31-Mar-22
21	Supra Commercial Trust Limited	December	31-Mar-22

Audited Accounts

TRADING LICENSE HOLDERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2022
ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	31-Mar-23
2	Afrinvest Securities Limited	December	31-Mar-23
3	Anchoria Investment and Securities Limited	December	31-Mar-23
4	Apel Asset Limited	December	31-Mar-23
5	Apt Securities and Funds Limited	December	31-Mar-23
6	ARM Securities Limited	December	31-Mar-23
7	Arthur Stevens Asset Management Limited	December	31-Mar-23
8	Associated Asset Managers Limited	December	31-Mar-23
9	Atlass Portfolio Limited	December	31-Mar-23
10	AVA Securities Limited	December	31-Mar-23
11	Bancorp Securities Limited	December	31-Mar-23
12	Bauchi Investment Corp. Sec Limited	December	31-Mar-23
13	Belfry Investment and Securities Limited	December	31-Mar-23
14	Bestworth Assets and Trust Limited	December	31-Mar-23
15	Calyx Securities Limited	December	31-Mar-23
16	Camry Securities Limited	December	31-Mar-23
17	Capital Asset Limited	December	31-Mar-23
18	Capital Express Securities Limited	December	31-Mar-23
19	Capital Trust Brokers Limited	December	31-Mar-23
20	Cardinal Stone Securities Ltd	December	31-Mar-23
21	Cashville Investment and Securities Limited	December	31-Mar-23
22	CDL Capital Markets Limited	December	31-Mar-23
23	Centre Point Investment Limited	December	31-Mar-23
24	Century Securities Limited	December	31-Mar-23
25	Chapel Hill Denham Securities Limited	December	31-Mar-23
26	Chartwell Securities Limited	December	31-Mar-23
27	Citi Investment Capital Limited	December	31-Mar-23
28	City Code Trust and Investment Company Limited	December	31-Mar-23
29	Compass Investment and Securities Limited	December	31-Mar-23
30	Cordros Securities Limited	December	31-Mar-23
31	Coronation Securities Limited	December	31-Mar-23
32	Cowry Securities Limited	December	31-Mar-23
33	Crossworld Securities Limited	December	31-Mar-23
34	Crown Capital Limited	December	31-Mar-23
35	CSL Stockbrokers Limited	December	31-Mar-23
36	Deep Trust Investment Limited	December	31-Mar-23
37	Delords Securities Limited	December	31-Mar-23
38	DLM Securities Limited	December	31-Mar-23
39	Dominion Trust Limited	December	31-Mar-23
40	DSU Brokerage Services Limited	December	31-Mar-23

41	Dunbell Securities Limited	December	31-Mar-23
42	Dynamic Portfolio Limited	December	31-Mar-23
43	EDC Securities Limited	December	31-Mar-23
44	Edgefield Capital Management Limited	December	31-Mar-23
45	EFG Hermes Nigeria Limited	December	31-Mar-23
46	El-Elyon Alliance and Securities Ltd	December	31-Mar-23
47	Equity Capital Solutions Limited	December	31-Mar-23
48	Eurocomm Securities Limited	December	31-Mar-23
49	Express Portfolio Services Limited	December	31-Mar-23
50	Falcon Securities Limited	December	31-Mar-23
51	FBC Trust and Securities Limited	December	31-Mar-23
52	FBNQuest Securities Limited	December	31-Mar-23
53	FCSL Asset Management Company Limited	December	31-Mar-23
54	Fidelity Finance Company Limited	December	31-Mar-23
55	Financial and Analytics Capital Limited	December	31-Mar-23
56	Financial Trust Company Nigeria Limited	December	31-Mar-23
57	Finmal Finance Services Limited	December	31-Mar-23
58	First Integrated Capital Management Limited	December	31-Mar-23
59	FIS Securities Limited	December	31-Mar-23
60	Foresight Securities and Investment Limited	December	31-Mar-23
61	Forte Financial Limited	December	31-Mar-23
62	Forthright Securities and Investments Limited	December	31-Mar-23
63	Fortress Capital Limited	December	31-Mar-23
64	FSDH Capital Limited	December	31-Mar-23
65	FSL Securities Limited	December	31-Mar-23
66	Funds Matrix and Assets Mgt Limited	December	31-Mar-23
67	Fundvine Capital and Securities Limited	December	31-Mar-23
68	Futureview Securities Limited	December	31-Mar-23
69	Gem Assets Management Limited	December	31-Mar-23
70	Gidauniya Investment and Securities Limited	December	31-Mar-23
71	Global Asset Management (Nig) Limited	December	31-Mar-23
72	GlobalView Capital Limited	December	31-Mar-23
73	Golden Securities Limited	December	31-Mar-23
74	Greenwich Securities Limited	December	31-Mar-23
75	Growth & Development Asset Management Limited	December	31-Mar-23
76	Gruene Capital Limited	December	31-Mar-23
77	GTI Securities Limited	December	31-Mar-23
78	Harmony Securities Limited	December	31-Mar-23
79	Heartbeat Investment Limited	December	31-Mar-23
80	Hedge Securities and Investments Company Limited	December	31-Mar-23
81	Heritage Capital Markets Limited	December	31-Mar-23
82	ICMG securities Limited	December	31-Mar-23
83	Icon Stockbrokers Limited	December	31-Mar-23
84	Imperial Asset Managers Limited	December	31-Mar-23
85	Integrated Trust and Investment Limited	December	31-Mar-23
86	Interstate Securities Limited	December	31-Mar-23
87	Investment One Stockbrokers International Limited	December	31-Mar-23
88	Investors and Trust Company Limited	December	31-Mar-23
89	Kapital Care Trust and Securities Limited	December	31-Mar-23
90	Kedari Capital Limited	December	31-Mar-23

91	Kinley Securities Limited	December	31-Mar-23
92	Kofana Securities and Investment Limited	December	31-Mar-23
93	Kundila Finance Services Limited	December	31-Mar-23
94	Lambeth Capital Limited	December	31-Mar-23
95	Lead Securities and Investment Limited	December	31-Mar-23
96	Lighthouse Capital Limited	December	31-Mar-23
97	Magnartis Finance and Investment Limited	December	31-Mar-23
98	Mainstreet Bank Securities Limited	December	31-Mar-23
99	Marimpex Finance and Investment Company Limited	December	31-Mar-23
100	Maxifund Investment and Securities Plc	December	31-Mar-23
101	MBC Securities Limited	December	31-Mar-23
102	Mega Equities Limited	December	31-Mar-23
103	Meristem Stockbrokers Limited	December	31-Mar-23
104	Milestone Capital Management Limited	December	31-Mar-23
105	Mission Securities Limited	December	31-Mar-23
106	Molten Trust Limited	December	31-Mar-23
107	Morgan Capital Securities Limited	December	31-Mar-23
108	Mountain Investment and Securities Limited	December	31-Mar-23
109	Network Capital Limited	December	31-Mar-23
110	Networth Securities and Finance Limited	December	31-Mar-23
111	Newdevco Investments and Securities Limited	December	31-Mar-23
112	Nigerian Stockbrokers Limited	December	31-Mar-23
113	Norrenberger Securities Limited	December	31-Mar-23
114	Nova Finance and Securities Limited	December	31-Mar-23
115	NOVAMBL Securities Limited	December	31-Mar-23
116	Osborne Capital Markets Limited	December	31-Mar-23
117	PAC Securities Limited	December	31-Mar-23
118	Parthian Securities Limited	December	31-Mar-23
119	Peace Capital Markets Ltd	December	31-Mar-23
120	Pilot Securities Limited	December	31-Mar-23
121	Pinefields Investment Services Limited	December	31-Mar-23
122	PIPC Securities Limited	December	31-Mar-23
123	Pivot Capital Limited	December	31-Mar-23
124	Planet Capital Limited	December	31-Mar-23
125	Portfolio Advisers Limited	December	31-Mar-23
126	Premium Capital and Stockbrokers Limited	December	31-Mar-23
127	Prominent Securities Limited	December	31-Mar-23
128	PSI Securities Limited	December	31-Mar-23
129	Pyramid Securities Limited	December	31-Mar-23
130	Qualinvest Capital Limited	December	31-Mar-23
131	Quantum Zenith Securities & Investments Limited	December	31-Mar-23
132	Rainbow Securities and Investment Company Limited	December	31-Mar-23
133	Readings Investment Limited	December	31-Mar-23
134	Regency Asset Management Limited	December	31-Mar-23
135	Rencap Securities (Nig) Limited	December	31-Mar-23
136	Resort Securities and Trust Limited	December	31-Mar-23
137	Reward Investment and Service Limited	December	31-Mar-23
138	RMB Nigeria Stockbrokers Limited	December	31-Mar-23
139	Rostrum Investment and Sec Limited	December	31-Mar-23
140	Rowet Capital Mgt Limited	December	31-Mar-23

141	Royal Crest Finance Limited	December	31-Mar-23
142	Royal Guaranty and Trust Limited	December	31-Mar-23
143	Sankore Securities Limited	December	31-Mar-23
144	Santrust Securities Limited	December	31-Mar-23
145	Securities Africa Financial Limited	December	31-Mar-23
146	Securities and Capital Management Company Limited	December	31-Mar-23
147	Security Swaps Limited	December	31-Mar-23
148	Shalom Investment and Financial Services Limited	December	31-Mar-23
149	Shelong Investments Limited	December	31-Mar-23
150	Sigma Securities Limited	December	31-Mar-23
151	Signet Investment and Securities Limited	December	31-Mar-23
152	Skyview Capital Ltd	December	31-Mar-23
153	Smadac Securities Limited	December	31-Mar-23
154	Solid Rock Securities and Investment Plc	December	31-Mar-23
155	Spring Trust and Securities Limited	December	31-Mar-23
156	Springboard Trust and Investment Limited	December	31-Mar-23
157	Stanbic IBTC Stockbrokers Limited	December	31-Mar-23
158	Standard Union Securities Limited	December	31-Mar-23
159	StoneX Financial Nigeria Limited	December	31-Mar-23
160	The Bridge Securities Limited	December	31-Mar-23
161	Tiddo Securities Limited	December	31-Mar-23
162	Tomil Trust Limited	December	31-Mar-23
163	Topmost Securities Limited	December	31-Mar-23
164	Tower Securities and Investment Company Limited	December	31-Mar-23
165	Trade Link Securities Limited	December	31-Mar-23
166	Traders Trust and Investment Co. Limited	December	31-Mar-23
167	Transworld Investment Limited	December	31-Mar-23
168	Trust Yield Securities Limited	December	31-Mar-23
169	TrustBanc Capital Management Limited	December	31-Mar-23
170	Trusthouse Investment Limited	December	31-Mar-23
171	TRW Stockbrokers Limited	December	31-Mar-23
172	Tyndale Securities Limited	December	31-Mar-23
173	UCML Capital Limited	December	31-Mar-23
174	UIDC Securities Limited	December	31-Mar-23
175	Unex Capital Limited	December	31-Mar-23
176	United Capital Securities Limited	December	31-Mar-23
177	Valmon Securities Limited	December	31-Mar-23
178	Valueline Securities and Investment Limited	December	31-Mar-23
179	Vetiva Securities Limited	December	31-Mar-23
180	WCM Capital Limited	December	31-Mar-23
181	WSTC Securities Limited	December	31-Mar-23
182	Zion Stockbrokers and Securities Limited	December	31-Mar-23

INACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	CLG Stockbrokers Limited	December	31-Mar-23
2	Crane Securities Limited	December	31-Mar-23
3	Enterprise Stockbrokers Limited	December	31-Mar-23

4	Investment Shark and Asset Mgt Ltd	December	31-Mar-23
5	Maven Asset Management Limited	December	31-Mar-23
6	Midas Stockbrokers Limited	December	31-Mar-23
7	Primewealth Capital Limited	December	31-Mar-23

ACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Core Securities Limited	December	31-Mar-23

INACTIVE AND NOT SUBMITTED

S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	31-Mar-23
2	Adonai Stockbrokers Limited	December	31-Mar-23
3	Abyn Investment Limited	December	31-Mar-23
4	Cashcraft Securities Limited	December	31-Mar-23
5	CEB Securities Limited	December	31-Mar-23
6	Clearview Investments Company Limited	December	31-Mar-23
7	ECL Asset Management Limited	December	31-Mar-23
8	Elixir Securities Limited	December	31-Mar-23
9	First Stockbrokers Limited	December	31-Mar-23
10	GMT Securities and Asset Mangement Limited	December	31-Mar-23
11	Gombe Securities Limited	December	31-Mar-23
12	Horizon Stockbrokers Limited	December	31-Mar-23
13	ITIS Securities Limited	December	31-Mar-23
14	Mayfield Investment Limited	December	31-Mar-23
15	Midpoint Capital Limited	December	31-Mar-23
16	ML Securities Limited	December	31-Mar-23
17	Mutual Alliance Investment and Securities Limited	December	31-Mar-23
18	Nigerian International Securities Ltd	December	31-Mar-23
19	Quantum Securities Limited	December	31-Mar-23
20	Royal Trust Securities Limited	December	31-Mar-23
21	Standard Alliance Capital and Asset Management Limited	December	31-Mar-23
22	Supra Commercial Trust Limited	December	31-Mar-23

Audited Accounts

TRADING LICENSE HOLDERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2023
ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Absa Securities Nigeria Limited	December	30-Mar-24
2	Afrinvest Securities Limited	December	30-Mar-24
3	Anchoria Investment and Securities Limited	December	30-Mar-24
4	Apel Asset Limited	December	30-Mar-24
5	Apt Securities and Funds Limited	December	30-Mar-24
6	ARM Securities Limited	December	30-Mar-24
7	Arthur Stevens Asset Management Limited	December	30-Mar-24
8	Associated Asset Managers Limited	December	30-Mar-24
9	Atlass Portfolio Limited	December	30-Mar-24
10	AVA Securities Limited	December	30-Mar-24
11	Bancorp Securities Limited	December	30-Mar-24
12	Bauchi Investment Corp. Sec Limited	December	30-Mar-24
13	Belfry Investment and Securities Limited	December	30-Mar-24
14	Bestworth Assets and Trust Limited	December	30-Mar-24
15	Calyx Securities Limited	December	30-Mar-24
16	Camry Securities Limited	December	30-Mar-24
17	Capital Asset Limited	December	30-Mar-24
18	Capital Express Securities Limited	December	30-Mar-24
19	Capital Trust Brokers Limited	December	30-Mar-24
20	Cardinal Stone Securities Ltd	December	30-Mar-24
21	Cashville Investment and Securities Limited	December	30-Mar-24
22	CDL Capital Markets Limited	December	30-Mar-24
23	Centre Point Investment Limited	December	30-Mar-24
24	Century Securities Limited	December	30-Mar-24
25	Chapel Hill Denham Securities Limited	December	30-Mar-24
26	Chartwell Securities Limited	December	30-Mar-24
27	Citi Investment Capital Limited	December	30-Mar-24
28	City Code Trust and Investment Company Limited	December	30-Mar-24
29	Compass Investment and Securities Limited	December	30-Mar-24
30	Cordros Securities Limited	December	30-Mar-24
31	Coronation Securities Limited	December	30-Mar-24
32	Cowry Securities Limited	December	30-Mar-24
33	Crossworld Securities Limited	December	30-Mar-24
34	Crown Capital Limited	December	30-Mar-24
35	CSL Stockbrokers Limited	December	30-Mar-24
36	Deep Trust Investment Limited	December	30-Mar-24
37	Delords Securities Limited	December	30-Mar-24
38	DLM Securities Limited	December	30-Mar-24

39	Dominion Trust Limited	December	30-Mar-24
40	DSU Brokerage Services Limited	December	30-Mar-24
41	Dunbell Securities Limited	December	30-Mar-24
42	Dynamic Portfolio Limited	December	30-Mar-24
43	EDC Securities Limited	December	30-Mar-24
44	Edgefield Capital Management Limited	December	30-Mar-24
45	EFG Hermes Nigeria Limited	December	30-Mar-24
46	El-Elyon Alliance and Securities Ltd	December	30-Mar-24
47	Equity Capital Solutions Limited	December	30-Mar-24
48	Eurocomm Securities Limited	December	30-Mar-24
49	Express Portfolio Services Limited	December	30-Mar-24
50	Falcon Securities Limited	December	30-Mar-24
51	FBC Trust and Securities Limited	December	30-Mar-24
52	FBNQuest Securities Limited	December	30-Mar-24
53	FCSL Asset Management Company Limited	December	30-Mar-24
54	Fidelity Finance Company Limited	December	30-Mar-24
55	Financial and Analytics Capital Limited	December	30-Mar-24
56	Financial Trust Company Nigeria Limited	December	30-Mar-24
57	Finmal Finance Services Limited	December	30-Mar-24
58	First Integrated Capital Manangement Limited	December	30-Mar-24
59	FIS Securities Limited	December	30-Mar-24
60	Foresight Securities and Investment Limited	December	30-Mar-24
61	Forte Financial Limited	December	30-Mar-24
62	Forthright Securities and Investments Limited	December	30-Mar-24
63	Fortress Capital Limited	December	30-Mar-24
64	FSDH Capital Limited	December	30-Mar-24
65	FSL Securities Limited	December	30-Mar-24
66	Funds Matrix and Assets Mgt Limited	December	30-Mar-24
67	Fundvine Capital and Securities Limited	December	30-Mar-24
68	Futureview Securities Limited	December	30-Mar-24
69	GDL Stockbrokers Limited	December	30-Mar-24
70	Gem Assets Management Limited	December	30-Mar-24
71	Gidauniya Investment and Securities Limited	December	30-Mar-24
72	Global Asset Management (Nig) Limited	December	30-Mar-24
73	GlobalView Capital Limited	December	30-Mar-24
74	Golden Securities Limited	December	30-Mar-24
75	Greenwich Securities Limited	December	30-Mar-24
76	Gruene Capital Limited	December	30-Mar-24
77	GTI Securities Limited	December	30-Mar-24
78	Harmony Securities Limited	December	30-Mar-24
79	Heartbeat Investment Limited	December	30-Mar-24
80	Hedge Securities and Investment Limited	December	30-Mar-24
81	Heritage Capital Markets Limited	December	30-Mar-24
82	ICMG Securities Limited	December	30-Mar-24
83	Icon Stockbrokers Limited	December	30-Mar-24
84	Imperial Asset Managers Limited	December	30-Mar-24
85	Integrated Trust and Investment Limited	December	30-Mar-24
86	Interstate Securities Limited	December	30-Mar-24
87	Investment One Stockbrokers International Ltd	December	30-Mar-24

88	Investors and Trust Co. Ltd	December	30-Mar-24
89	ITIS Securities Limited	December	30-Mar-24
90	Kapital Care Trust and Securities Limited	December	30-Mar-24
91	Kedari Capital Limited	December	30-Mar-24
92	Kinley Securities Limited	December	30-Mar-24
93	Kofana Securities and Investment Limited	December	30-Mar-24
94	Kundila Finance Services Limited	December	30-Mar-24
95	Lambeth Capital Limited	December	30-Mar-24
96	Lead Securities and Investment Limited	December	30-Mar-24
97	Lighthouse Capital Limited	December	30-Mar-24
98	Magnartis Finance and Investment Limited	December	30-Mar-24
99	Mainstreet Bank Securities Limited	December	30-Mar-24
100	Marimpex Finance and Investment Company Limited	December	30-Mar-24
101	MBC Securities Limited	December	30-Mar-24
102	Mega Equities Limited	December	30-Mar-24
103	Meristem Stockbrokers Limited	December	30-Mar-24
104	Midas Stockbrokers Limited	December	30-Mar-24
105	Midpoint Capital Limited	December	30-Mar-24
106	Milestone Capital Management Limited	December	30-Mar-24
107	Mission Securities Limited	December	30-Mar-24
108	Molten Trust Limited	December	30-Mar-24
109	Morgan Capital Securities Limited	December	30-Mar-24
110	Mountain Investment and Securities Limited	December	30-Mar-24
111	Network Capital Limited	December	30-Mar-24
112	Network Securities and Finance Limited	December	30-Mar-24
113	Newdevco Investments and Securities Limited	December	30-Mar-24
114	Nigerian Stockbrokers Limited	December	30-Mar-24
115	Norrenberger Securities Limited	December	30-Mar-24
116	Nova Finance and Securities Limited	December	30-Mar-24
117	NOVAMBL Securities Limited	December	30-Mar-24
118	Osborne Capital Markets Limited	December	30-Mar-24
119	PAC Securities Limited	December	30-Mar-24
120	Parthian Securities Limited	December	30-Mar-24
121	Pilot Securities Limited	December	30-Mar-24
122	Pinefields Investment Services Limited	December	30-Mar-24
123	PIPC Securities Limited	December	30-Mar-24
124	Pivot Capital Limited	December	30-Mar-24
125	Planet Capital Limited	December	30-Mar-24
126	Portfolio Advisers Limited	December	30-Mar-24
127	Premium Capital and Stockbrokers Limited	December	30-Mar-24
128	Primewealth Capital Limited	December	30-Mar-24
129	Prominent Securities Limited	December	30-Mar-24
130	PSI Securities Limited	December	30-Mar-24
131	Pyramid Securities Limited	December	30-Mar-24
132	Qualinvest Capital Limited	December	30-Mar-24
133	Quantum Zenith Securities & Investments Limited	December	30-Mar-24
134	Rainbow Securities & Investment Company Limited	December	30-Mar-24
135	Readings Investment Limited	December	30-Mar-24
136	Regency Asset Management Limited	December	30-Mar-24

137	Rencap Securities (Nig) Limited	December	30-Mar-24
138	Resort Securities and Trust Limited	December	30-Mar-24
139	Reward Investment and Service Limited	December	30-Mar-24
140	RMB Nigeria Stockbrokers Limited	December	30-Mar-24
141	Rostrum Investment and Sec Limited	December	30-Mar-24
142	Rowet Capital Mgt Limited	December	30-Mar-24
143	Royal Crest Finance Limited	December	30-Mar-24
144	Royal Guaranty and Trust Limited	December	30-Mar-24
145	Sankore Securities Limited	December	30-Mar-24
146	Santrust Securities Limited	December	30-Mar-24
147	Securities Africa Financial Limited	December	30-Mar-24
148	Securities and Capital Management Company Limited	December	30-Mar-24
149	Security Swaps Limited	December	30-Mar-24
150	Shalom Investment and Financial Services Limited	December	30-Mar-24
151	Shelong Investments Limited	December	30-Mar-24
152	Sigma Securities Limited	December	30-Mar-24
153	Signet Investment and Securities Limited	December	30-Mar-24
154	Skyview Capital Ltd	December	30-Mar-24
155	Smadac Securities Limited	December	30-Mar-24
156	Solid Rock Securities and Investment Plc	December	30-Mar-24
157	Spring Trust and Securities Limited	December	30-Mar-24
158	Springboard Trust and Investment Limited	December	30-Mar-24
159	Stanbic IBTC Stockbrokers Limited	December	30-Mar-24
160	Standard Union Securities Limited	December	30-Mar-24
161	StoneX Financial Nigeria Limited	December	30-Mar-24
162	The Bridge Securities Limited	December	30-Mar-24
163	Tiddo Securities Limited	December	30-Mar-24
164	Tomil Trust Limited	December	30-Mar-24
165	Topmost Securities Limited	December	30-Mar-24
166	Tower Securities and Investment Company Limited	December	30-Mar-24
167	Trade Link Securities Limited	December	30-Mar-24
168	Traders Trust and Investment Co. Limited	December	30-Mar-24
169	Transworld Investment Limited	December	30-Mar-24
170	TrustBanc Capital Management Limited	December	30-Mar-24
171	Trusthouse Investment Limited	December	30-Mar-24
172	TRW Stockbrokers Limited	December	30-Mar-24
173	Tyndale Securities Limited	December	30-Mar-24
174	UCML Securities Limited	December	30-Mar-24
175	UIDC Securities Limited	December	30-Mar-24
176	Unex Capital Limited	December	30-Mar-24
177	United Capital Securities Limited	December	30-Mar-24
178	Valmon Securities Limited	December	30-Mar-24
179	Valueline Securities and Investment Limited	December	30-Mar-24
180	Vetiva Securities Limited	December	30-Mar-24
181	WCM Capital Limited	December	30-Mar-24
182	WSTC Securities Limited	December	30-Mar-24
183	Zion Stockbrokers and Securities Limited	December	30-Mar-24

INACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date
1	Crane Securities Limited	December	30-Mar-24
2	Enterprise Stockbrokers Limited	December	30-Mar-24
3	Investment Shark and Asset Mgt Ltd	December	30-Mar-24
4	Maven Asset Management Limited	December	30-Mar-24
5	Maxifund Investment and Securities Plc	December	30-Mar-24
6	Peace Capital Markets Ltd	December	30-Mar-24
7	Trust Yield Securities Limited	December	30-Mar-24
ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	30-Mar-24
2	Adonai Stockbrokers Limited	December	30-Mar-24
3	Abyn Investment Limited	December	30-Mar-24
4	Cashcraft Securities Limited	December	30-Mar-24
5	CEB Securities Limited	December	30-Mar-24
6	Clearview Investments Company Limited	December	30-Mar-24
7	CLG Stockbrokers Limited	December	30-Mar-24
8	Core Securities Limited	December	30-Mar-24
9	ECL Asset Management Limited	December	30-Mar-24
10	Elixir Securities Limited	December	30-Mar-24
11	First Stockbrokers Limited	December	30-Mar-24
12	GMT Securities and Asset Mangement Limited	December	30-Mar-24
13	Gombe Securities Limited	December	30-Mar-24
14	Horizon Stockbrokers Limited	December	30-Mar-24
15	Mayfield Investment Limited	December	30-Mar-24
16	ML Securities Limited	December	30-Mar-24
17	Mutual Alliance Investment and Securities Limited	December	30-Mar-24
18	Nigerian International Securities Limited	December	30-Mar-24
19	Quantum Securities Limited	December	30-Mar-24
20	Royal Trust Securities Limited	December	30-Mar-24
21	Standard Alliance Capital and Asset Management Limited	December	30-Mar-24
22	Supra Commercial Trust Company Limited	December	30-Mar-24