



# Operating without Compliance Officer

## TRADING LICENSE HOLDERS OPERATING WITHOUT COMPLIANCE OFFICERS AS AT 10 MARCH 2025

### ACTIVE FIRMS

NIL	NIL
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### INACTIVE FIRMS

S/N	FIRMS
1	Adamawa Securities Limited
2	CEB Securities Limited
3	GMT Securities & Asset Management Limited
4	Mact Securities Limited
5	ML Securities Limited
6	Monument Securities & Finance Limited
7	Profund Securities Limited
8	Royal Trust Securities Limited
9	Standard Alliance Capital & Asset Management Limited
10	Elixir Securities Limited

\*An inactive Firm is a firm that has been suspended for a period of more than 3 months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.