NGX Regulation

Broker TraX

Operating without Compliance Officer

TRADING LICENSE HOLDERS OPERATING WITHOUT COMPLIANCE OFFICERS AS AT 5 OCTOBER 2026

ACTIVE FIRMS

	NIL

INACTIVE FIRMS

S/N	FIRMS
1	Adamawa Securities Limited
2	CEB Securities Limited
3	Elixir Securities Limited
4	GMT Securities & Asset Management Limited
5	Mact Securities Limited
6	Profund Securities Limited

*An inactive Firm is a firm that has been suspended for a period of more than 3 months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.