

## Inadequate Shareholders Funds

### TRADING LICENSE HOLDERS WITH INADEQUATE SHAREHOLDERS FUND AS AT 28 AUGUST 2023

1	Adamawa Securities Limited	Inactive
2	CEB Securities Limited	Inactive
3	Enterprise Stockbrokers Limited	Inactive
4	First Stockbrokers Limited	Inactive
5	GMT Securities & Asset Management Limited	Inactive
6	Gombe Securities Limited	Inactive
7	Horizon Stockbrokers Limited	Inactive
8	Investment Shark & Asset Management Limited	Inactive
9	ITIS Securities Limited	Inactive
10	ML Securities Limited	Inactive
11	Standard Alliance Capital & Asset Management Limited	Inactive
12	Supra Commercial Trust Company Limited	Inactive

\*An inactive Firm is a firm that has been suspended for a period of more than 3 months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.