

SEC Approved Rules - Post Rulebook Consolidation

S/N	Rules	Rule Number
1	Trading Halts Due to Extraordinary Market Volatility (Index Circuit Breakers) 2016	Rule 15.46 (Amended)
Dealing Members' Rules (Amendments, Part VIII)		
2	Qualifications of a Chief Executive Officer	Rule 6.10 (Amended)
3	Eligibility and Admission Procedures for Authorized Clerks	Rule 8.2 (Amended)
4	Unauthorized Sale or Transfer of Securities	Rule 11.9 (Amended)
5	Council to Notify the Public of Suspensions and Expulsions	Rule 19.18 (c) (Amended)
6	Publication of Disciplinary Actions	Rule 19.18(d) (Amended)
7	Rules Governing the Inclusion of Retail Participants in Securities Lending	Rules 1.0 - 7.0

Legend

	Rule was Amended
	Post Rulebook Amendments (In separate documents on the website)