

**NOTIFICATION OF ADDITIONS AND AMENDMENTS TO
THE RULEBOOK OF THE EXCHANGE (ISSUERS' RULES
AND TRADING LICENSE HOLDERS' RULES),
ADJUDICATION PANEL AND APPEALS COMMITTEE PROCEDURE RULES
AND INVITATION FOR COMMENTS**

NOTICE IS HEREBY GIVEN THAT, the following proposed Rule additions and amendments, as well as Procedure Rules are exposed for comments. Your participation is requested by way of reviewing the proposed Rule additions and amendments, as well as Procedure Rules, and providing your comments thereon. The proposed Rules may be viewed through the hyperlinked texts provided below:

S/No.	Proposed Rules
1.	Amendments/Additions to the Issuers' Rules <ul style="list-style-type: none"> (a) <u>Proposed Amendments to the Rules on Filing of Accounts and Treatment of Default Filing;</u> (b) <u>Proposed Amendments to Chapter 14: Share Buyback;</u> (c) <u>Proposed Amendments to the Proposed Rules on Listing of Securities Issued by Special Purpose Acquisition Companies (SPACs);</u> and (d) <u>Proposed Rules on the Treatment of Corporate Actions (Bonus and Dividend).</u>
2.	Additions to the Trading License Holders' Rules: <ul style="list-style-type: none"> (a) <u>Proposed Additions to Trading License Holders' Rules Chapter 4, Section XX: Disciplinary Procedures.</u>
3.	Disciplinary Organs Procedure Rules: <ul style="list-style-type: none"> (a) <u>Proposed Adjudication Panel Procedure Rules;</u> and (b) <u>Proposed Appeals Committee Procedure Rules.</u>

We will be grateful to receive your comments not later than the close of business on Thursday, 9 September 2021.

Please send your comments in a **Microsoft Word document** attached to an electronic mail to Mr Oluwatoyin Adenugba, Head, Rules and Adjudication at NGX Regulation Limited via oadenugba@ngxgroup.com **and copy to rad@ngxgroup.com**.



Tinuade T. Awe,
CEO | NGX Regulation Limited
 19 August 2021